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# Optical properties of metallic MXene multilayers through advanced first-principles calculations

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Having a strong electromagnetic absorption, MXene multilayers are readily envisaged for applications in electromagnetic shields and related prospective technology. However, an *ab-initio* characterization of the optical properties of MXenes is still lacking, due in part to major difficulties with the treatment of metallicity in the first-principles approaches. Here we addressed the latter challenge, after a careful treatment of intra-band transitions, to present a thorough analysis of the electronic and optical properties of a selected set of metallic MXene layers based on Density Functional (DFT) and Many Body Perturbation Theory calculations. Our results reveal that the GW corrections are particularly important in regions of the band structure where  $d$  and  $p$  states hybridize. For some systems, we show that GW corrections open a gap between occupied states, resulting in a band structure that closely resembles the one of intrinsic transparent conductor, thereby opening an additional line of prospective applications for the MXenes family. Nevertheless, GW and Bethe-Salpeter (BSE) corrections have a minimal influence on the absorption spectra, in contrast to what is typically observed in semiconductor layers. Our present results suggest that DFT+IPA calculations are sufficiently accurate for assessing the optical characteristics of bulk-layered MXene materials. Finally, our calculated dielectric properties and absorption spectra, in agreement with existing experimental data, confirm the potential of MXenes as effective infrared emitters.

## I. INTRODUCTION

MXenes, a large family of layered crystals that provide materials engineering with controllable surface functionalization, have been extensively explored for a wide range of technological applications [1–3], including sensors [4–8], energy storage [9–11], generation [12, 13], and catalysis [14]. These primarily metallic materials have also proven to be well-suited for electromagnetic interference shielding, and transparent conductive and anti-reflection coatings [15–21]. Additionally, they show unique optical characteristics such as surface plasmon resonances that enhance absorption in the visible and near-infrared regions. The absorption efficiency depends, however, on their composition and surface functionalization [22, 23], as confirmed by calculations at the independent particle level for  $\text{Ti}_2\text{CT}_2$  and  $\text{Ti}_3\text{C}_2\text{T}_2$  crystals [24–26].

The optical response of this extensive family of materials is interesting and can be explored from first-principles calculations. Nonetheless, the precise first-principles optical characterization often requires the use of many-body-perturbation-theory (MBPT) approaches, such as  $G_0W_0$  [27] and Bethe-Salpeter equation (BSE) [28], which account for electron-electron correlation effects and quasi-particle (QP) energies beyond conventional density functional theory (DFT) calculations. Many-body corrections are known to have a strong influence on

both band gap and quasi-particle energies of various layered semiconductors [29–37]. Yet, such corrections have been less explored in metallic layers. This is partially due to the fact that in standard bulk 3D systems the metallic screening makes the many-body corrections ineffective, leaving the energy of the quasi-particle states unchanged with respect to the DFT reference values. Moreover, the inclusion of the metallic screening in the framework of MBPT calculations is not straightforward and methodologically challenging.

In fact, an accurate use of the  $G_0W_0$  and BSE approximations in systems with metallic screening requires a good description of the frequency dependency of the polarizability, that may in turn require to go beyond the plasmon pole approximation (PPA), significantly enhancing computational cost. Moreover, in metals one needs to account for intra-band transitions at long wavelengths, where the intra-band contribution to the dielectric function in the  $\mathbf{q} \rightarrow 0$  limit becomes critical [38–40]. The intra-band contribution to the optical transitions is particularly relevant for energies below 1 eV, however this term is often not included in the most common implementations, originally developed for semiconductors. A common approach to include the missing intra-band contribution, both at the GW and BSE levels, relies on the use of a phenomenological Drude-like term that depends on a plasma frequency,  $\omega_D$  and a damping factor,  $\gamma$  [41]. In principle these parameters can be determined fully *ab-initio*, however the computational methods can become computationally expensive [41–49]. Alternatively, experimental values can be used when available, which is not the case for the systems under study. There are also in

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the literature, some alternative analytical models that avoid explicit Fermi-surface calculations, while using a limited number of  $\mathbf{k}$ -points [50–52].

Leon *et al.*, in a recent work [38], tackled this issue by implementing two computationally efficient methods for metals, applied to Al and Na: (i) extrapolating the position of the main pole in of the polarizability, from small  $\mathbf{q}$  to  $\mathbf{q} = 0$ , and computing the intra-band pole through the  $f$ -sum rule and (ii) approximating the dielectric function at  $\mathbf{q} = 0$  by a constant dielectric function determined at small  $\mathbf{q}$  values (Constant Approximation, CA). Given the dominant contribution in MXene crystals of the partially filled transition metal  $d$ -shells to the states near the Fermi level [1, 53], we will make use of the approaches mentioned above in order to assess the role of intra-band transitions in the optical properties.

Taking all these issues into account, we investigated the optical properties of several MXene crystals, specifically  $\text{Ti}_2\text{CCl}_2$ ,  $\text{Ti}_2\text{CBr}_2$ ,  $\text{Ti}_3\text{C}_2\text{T}_2$  ( $\text{T} = \text{F}, \text{Cl}, \text{Br}, \text{S}, \text{O}, \text{H}, \text{and OH}$ ),  $\text{Nb}_2\text{CCl}_2$  and  $\text{Nb}_2\text{CBr}_2$ , that were chosen considering their recently reported successful synthesis [54–56]. We start by systematically investigating the systems with smaller unit cells ( $\text{Ti}_2\text{CCl}_2$ ,  $\text{Ti}_2\text{CBr}_2$ ,  $\text{Nb}_2\text{CCl}_2$  and  $\text{Nb}_2\text{CBr}_2$ ), calculating the electronic and the optical properties at different levels of theory: for the electronic structure we employ (i)  $G_0W_0$  and (ii)  $G_0W_0$  with an intra-band correction for the screening, applied using both the Drude approach as implemented in YAMBO code [57, 58] and the CA approximation introduced in Ref. 38; for the optical properties we use (iii) BSE and (iv) BSE with an intra-band correction for the screening, again using both a Drude correction and CA. Having performed these test calculations, we finally calculate the optical properties of these materials, including both inter- and intra-band contributions. We then extend the study to the larger systems, namely  $\text{Ti}_3\text{C}_2\text{T}_2$  ( $\text{T} = \text{F}, \text{Cl}, \text{Br}, \text{S}, \text{O}, \text{H}, \text{and OH}$ ).

The paper is organized as follows. In Section II, we provide the computational details of our approach. In Section III, we compare the band structures of the selected bulk-layered materials computed at the density functional theory (DFT) and  $G_0W_0$  levels. We analyze the impact of the  $G_0W_0$  correction on the optical properties, the influence of intra-band contributions on  $G_0W_0$  and BSE level calculations, and present the ultimately accurate optical properties. We summarize our results in Section IV, offering a concise overview of the methodologies employed to accurately predict the optical properties of multilayered MXene materials.

## II. COMPUTATIONAL METHODS

We start by computing the structural and electronic properties of  $\text{Ti}_2\text{CCl}_2$ ,  $\text{Ti}_2\text{CBr}_2$ ,  $\text{Nb}_2\text{CCl}_2$  and  $\text{Nb}_2\text{CBr}_2$  using DFT as implemented in the Quantum ESPRESSO package [59, 60]. We employed the generalized gradient approximation (GGA) with Perdew-Burke-Ernzerhof

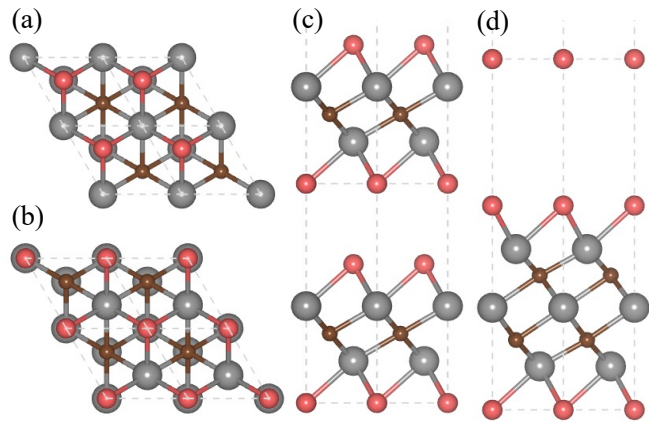


FIG. 1. Top and the side views of the optimized crystal structures of (a - c)  $\text{M}_2\text{CT}_2$  ( $\text{M} = \text{Ti}, \text{Nb}$   $\text{T} = \text{Cl}, \text{Br}$ ) and (b - d)  $\text{Ti}_3\text{C}_2\text{T}_2$  ( $\text{T} = \text{F}, \text{Cl}, \text{Br}, \text{S}, \text{O}, \text{H}, \text{and OH}$ ). A  $2 \times 2$  supercell is displayed for clarity. Grey spheres represent titanium or niobium atoms, while brown and red spheres represent carbon and surface layer atoms, respectively.

(PBE) exchange-correlation functionals [61, 62] and accounted for the van der Waals (vdW) interactions between layers, using the semi-empirical DFT-D2 Grimme method [63]. In order to include many body effects, we performed  $G_0W_0$  calculations using the YAMBO code [57, 58]. Since the materials under study are metallic, we used a real-axis frequency grid with 100 points in the descriptions of the dynamical electronic screening (tests up to 200 frequency points showed QP variations typically of the order of a few meV). The BSE [28] was then solved on top of both PBE and  $G_0W_0$  results to assess the influence of quasi-particle interactions on the optical properties of these bulk materials. The energy cutoff for the  $G$ -vectors used in the sum of the exchange self-energy and the density, was set to 40 Ry. The energy cutoff for the response function was chosen as 7 Ry, both for the dynamically and statically screened interactions.

At the BSE level, the energy cutoff for the wavefunction (FFTGvecs) was set to 40 Ry. When reporting the quasi-particle energies for the bulk materials, we employed a  $\Gamma$ -centered  $24 \times 24 \times 6$   $k$ -grid mesh, which corresponds to 340  $k$ -points in the irreducible Brillouin zone, summing over 400 states for both the screening function and the Green’s function. The optical spectra of these materials were obtained by considering the first 15,000 excitonic states using the SLEPC library[64].

Providing an accurate account of the computational cost of these calculations is not straightforward, since it strongly depends on the computational architecture used. In the present case, a PBE/IPA calculations took a couple of hours in a single state-of-the-art computational node ( $2 \times \text{AMD Epyc 7452}$ , with 32 cores). In contrast, the GW or BSE calculations can take more than 4 days in 8 nodes of the same type. This is in part due to the large memory requirements of the calculations, that don’t allow to take advantage of all the processors in each node.

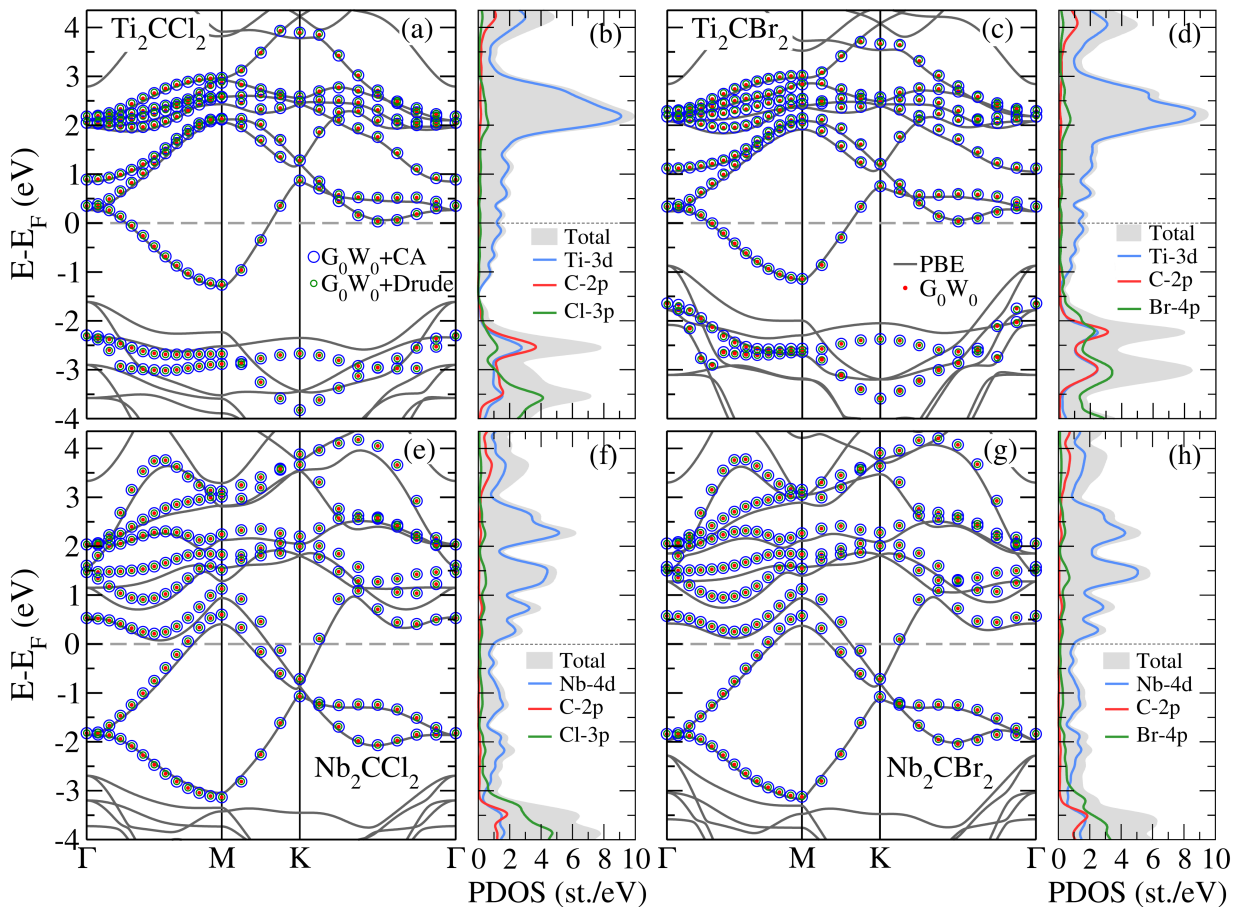


FIG. 2. Comparison between the PBE,  $G_0W_0$ ,  $G_0W_0+CA$  and  $G_0W_0+Drude$  band structures of bulk-layered MXenes, and their total and projected density of states computed within PBE: (a) and (b) for  $Ti_2CCl_2$ , (c) and (d) for  $Ti_2CBr_2$ , (e) and (f) for  $Nb_2CCl_2$ , (g) and (h) for  $Nb_2CBr_2$ . The grey-solid, red-dotted, blue-circle and green-circle lines represent PBE,  $G_0W_0$ ,  $G_0W_0+CA$  and  $G_0W_0+Drude$  band structures, respectively. The grey shadow, blue, red and green lines represent total, Ti(Nb)-d, C-p and Cl(Br)-p orbitals, respectively. The Fermi level corresponds to 0 eV.

For example, the GW calculation for  $Nb_2CCl_2$  in a CPU-only cluster ( $2 \times$ AMD Epyc 7452, with 32 cores) with 8 nodes, took around 72 hours.

When the intra-band corrections to the screening was done within the Drude model, we used the free-electron plasma model with the expression:

$$\varepsilon^{intra}(\omega) = 1 - \frac{\omega_p^2}{\omega^2 + \gamma^2} + i \frac{\omega_p^2 \gamma}{\omega(\omega^2 + \gamma^2)}, \quad (1)$$

The plasma frequency,  $\omega_p$ , and the damping factor,  $\gamma$  values were estimated by fitting Eq. 1 to the independent particle optical spectra  $\varepsilon_1$  and  $\varepsilon_2$ , computed using the YAMBO code, for  $\mathbf{q} \sim 0$  from which we subtract  $\varepsilon_2(\mathbf{q} = 0)$ , where only the inter-band part is present. The selected  $\mathbf{q} \sim 0$  point lays in the  $x-y$  plane, where the k grid is denser due to the layer character of the systems under study. More details and the final fitting results are shown in Figures S2-S4 of the Supplementary Information File (SIF).

The intra-band corrections are included in the calcu-

lation essentially at two different stages. One is in the polarizability,  $X$  and the static screened potential  $W$ , respectively for the GW and the BSE calculations, either using the Drude model or CA. The main difference between the two corrections is that the Drude correction addresses only the head matrix element of the polarizability ( $\mathbf{G} = \mathbf{G}' = 0$ ), whereas CA corrects all  $\chi$  matrix elements. A second correction is done after the BSE calculations, at which an intra-band Drude term for  $\mathbf{q} = 0$  is added to the computed dielectric function.

The optical absorption spectra are obtained through the complex dielectric function  $\varepsilon(\omega) = \varepsilon_1(\omega) + i\varepsilon_2(\omega)$ , with the absorption coefficient,  $I(\omega)$  given by the following expression [65, 66],

$$I(\omega) = \sqrt{2}\omega \left( \sqrt{\varepsilon_1^2(\omega) + \varepsilon_2^2(\omega)} - \varepsilon_1(\omega) \right)^{1/2}. \quad (2)$$

The normalization is computed as follows:

$$100 \times [1 - \exp(-I(\omega) \times \Delta t)], \quad (3)$$

where  $\Delta t$  represents thickness of material and considered in our study as 100 nm.

### III. RESULTS AND DISCUSSION

#### A. Electronic properties

The bulk MXene crystals considered, with two and three metal layers in their unit cells, exhibit a hexagonal, layered lattice structure, as presented in Figure 1. We optimized the crystal structures, obtaining ground state lattice parameters that are in good agreement with previous reports [54, 67, 68]. We then computed the electronic band structures of the MXenes with two metal layers at the vdW-corrected DFT/PBE and  $G_0W_0$  levels of theory. The results, depicted in Figure 2, reveal the metallic nature of these crystals.

The overall influence of  $G_0W_0$  correction in the band structure is small but there are some energy regions with more significant corrections, with interesting consequences. For the compounds with Ti, the largest corrections,  $\sim 0.4$  eV, are seen for the energy range from -4 eV to -2 eV around the K point. For  $Ti_2CCl_2$  ( $Ti_2CBr_2$ ) in this energy region, the Ti-3*d*, C-2*p*, and Cl-3*p* (Br-4*p*) orbitals are strongly hybridized as shown by the projected density of states (PDOS) plotted in the Figure 2 (b) and (d). It is interesting to note that the resulting band structure closely resembles the type 3 intrinsic transparent conductors described in Ref. [69], with the partially filled band separated from the band below by a considerable energy gap. In fact, these systems present all the properties of typical transparent conductive materials with the notable difference of being intrinsic, and not obtained through the doping of a wide-bandgap semiconductor.

In contrast, for  $Nb_2CCl_2$  and  $Nb_2CBr_2$ , the Nb-4*d* orbitals, in the same energy region, present a weaker hybridization that result in much smaller  $G_0W_0$  corrections. On the other hand, from 3 to 4 eV above the Fermi energy, the Nb systems show hybridization between the Nb-4*d* and the C-2*p* states (Fig. 2 (f) and (h)) with GW corrections of about 0.38 eV for  $Nb_2CCl_2$  along K- $\Gamma$  and 0.19 eV for  $Nb_2CBr_2$  along M-K. Around the  $\Gamma$  point, the bands 1.5 eV above Fermi level, where Nb-4*d* and the *p* states of either Cl or Br mix, show again corrections of 0.32 and 0.22 eV. The  $G_0W_0$  corrections seem to be more relevant for strongly hybridized states.

The results including the intra-band contribution to the dielectric function in the  $q \rightarrow 0$  limit, calculated within both Drude ( $G_0W_0$ +Drude) and CA ( $G_0W_0$ +CA) approximations are shown in Figure 2. The different band structures are in practice indistinguishable, demonstrating that the intra-band corrections at  $G_0W_0$  level are negligible and can thus be neglected.

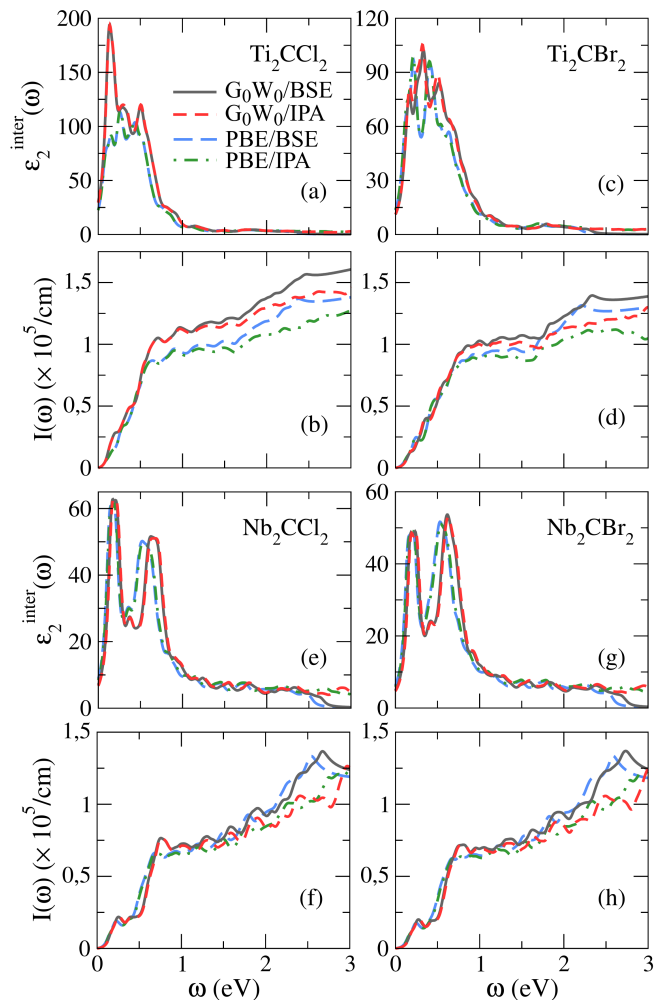


FIG. 3. Imaginary parts of the in-plane dielectric functions and the absorption coefficients of bulk-layered MXenes: (a) and (b) for  $Ti_2CCl_2$ , (c) and (d) for  $Ti_2CBr_2$ , (e) and (f) for  $Nb_2CCl_2$ , (g) and (h) for  $Nb_2CBr_2$ . The grey solid, red short-dashed, blue long-dashed and green dot-dashed lines represent the results of  $G_0W_0$ /BSE,  $G_0W_0$ /IPA, PBE/BSE and PBE/IPA, respectively.

#### B. Optical properties

##### 1. The influence of $G_0W_0$ and BSE corrections

Since the systems under study are layered materials, we will focus on the in-plane components of the dielectric function. Figure 3 shows the imaginary part of the in-plane dielectric functions,  $\epsilon_2^{inter}$ , and the optical absorption spectrum,  $I(\omega)$  computed within the independent particle approximation (IPA) and Bethe-Salpeter Equation (BSE) on top of both PBE and  $G_0W_0$  calculations. The IPA and the BSE results are fairly similar. The differences seen above 2 eV are due to the number of bands included in the BSE calculation, that are not enough to describe the spectra at higher energies.

On the other hand, the  $G_0W_0$  energy corrections lead

to some differences on  $\varepsilon_2^{inter}$ , that are particularly evident in Ti-based structures, for which the QP corrections are larger. In fact, the dielectric function of  $\text{Ti}_2\text{CCl}_2$  at the GW level presents an extra peak below 0.2 eV. In general, the peaks present between 0 and 1 eV, both for Ti and Nb compounds, are shifted by about 0.1 eV higher in energy in the case of GW with respect to the PBE value, consistent with the negative (positive) GW energy correction of the occupied (empty) states.

Despite these differences on the  $\varepsilon_2^{inter}$  curves, their impact on the final optical absorption spectra is less visible, and in fact the  $I(\omega)$  curves computed starting from PBE and GW band structures are fairly similar. Considering the computational cost of the  $G_0W_0$  corrections, it seems reasonable to neglect them in the calculation of the optical absorption of the MXene family, which is an important advantage for, for example, high-throughput analysis or other automated studies. The calculated  $\varepsilon_1$  results, presented in Figure S5 in the SIF, confirm this conclusion.

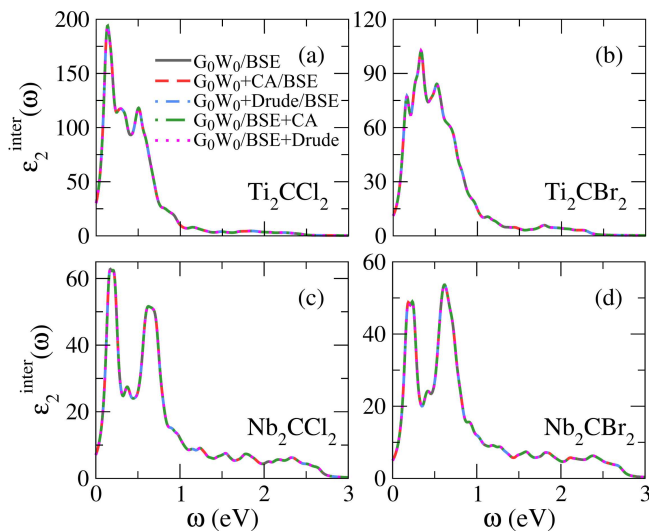


FIG. 4. Imaginary parts of the in-plane dielectric functions of bulk-layered MXenes: (a) for  $\text{Ti}_2\text{CCl}_2$ , (b) for  $\text{Ti}_2\text{CBr}_2$ , (c) for  $\text{Nb}_2\text{CCl}_2$ , (d) for  $\text{Nb}_2\text{CBr}_2$ . The grey solid, red dashed, blue dot-short-dashed, green dot-long-dashed and magenta dotted lines represent the results of  $G_0W_0/\text{BSE}$ ,  $G_0W_0+\text{CA}/\text{BSE}$ ,  $G_0W_0+\text{Drude}/\text{BSE}$ ,  $G_0W_0/\text{BSE}+\text{CA}$  and  $G_0W_0/\text{BSE}+\text{Drude}$ , respectively.

## 2. The influence of intra-band correction on $G_0W_0$ and BSE levels

Following the assessment of the influence of GW and BSE corrections on electronic energies, quasi-particle energies, and optical properties, we now investigated the impact of intra-band transitions on the BSE calculations. In this study, we adopted two distinct approaches to correct the polarizability or, more precisely, its product with the Coulomb potential  $Y = v\chi$ , as detailed

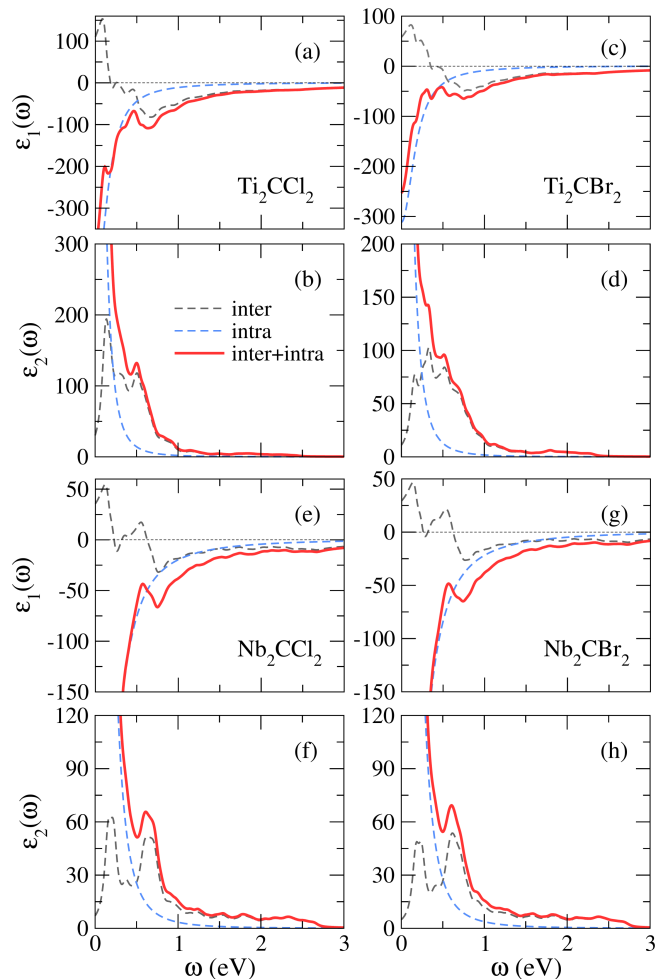


FIG. 5. Real and imaginary parts of the dielectric function of bulk-layered MXenes calculated with  $G_0W_0/\text{BSE}$ : (a) and (b) for  $\text{Ti}_2\text{CCl}_2$ , (c) and (d) for  $\text{Ti}_2\text{CBr}_2$ , (e) and (f) for  $\text{Nb}_2\text{CCl}_2$ , (g) and (h) for  $\text{Nb}_2\text{CBr}_2$ . The grey dashed, blue dashed and red solid lines represent inter, intra and inter+intra contributions, respectively.

in Ref. 38: (i) added a complex Drude pole expressed as  $Y_D(\omega) = \omega_D^2 [\omega(\omega + i\gamma)]^{-1}$  and (ii) approximated the full  $Y(\mathbf{q} = 0)$  matrix element by its nearest neighbor,  $Y(\mathbf{q} \neq 0)$ , i.e. with the  $q$ -vector closest to 0 according to the adopted  $k$ -point grid. Here, the Drude pole was determined as explained in Section II. The obtained  $\omega_p^2$  and  $\gamma$  values are listed in Table I.

The calculated in-plane  $\varepsilon_2^{inter}$  is shown in Figure 4 for five different calculations, the first without intra-band corrections for  $\mathbf{q} = 0$  and the other four with intra-band corrections either at the GW or the BSE level: 1)  $G_0W_0/\text{BSE}$  corresponds to BSE calculations on top of  $G_0W_0$  results without any intra-band correction for  $\mathbf{q} = 0$ ; 2)  $G_0W_0+\text{CA}/\text{BSE}$  and 3)  $G_0W_0+\text{Drude}/\text{BSE}$  correspond to BSE calculations on top of  $G_0W_0$  results corrected either with CA or the Drude model; 4)  $G_0W_0/\text{BSE}+\text{CA}$  and 5)  $G_0W_0/\text{BSE}+\text{Drude}$  refer to BSE calculations on top of  $G_0W_0$  results with a CA or

Drude correction at the BSE level. It is worth noticing that the corrections are included in the screened potential  $W$  but the final BSE spectra at this point, still does not include the intra-band contribution in the long wavelength limit  $\mathbf{q} = 0$ , which justifies the label  $\varepsilon^{inter}$ . These will be discussed in Section III C.

Since the GW quasi-particle corrections are similar when computed using a polarizability with and without intra-band corrections, as described above and illustrated in Fig. 2, as expected, the BSE spectra computed on top of these three calculations are also similar. The intra-band correction of  $W$  at the BSE level also has no effect visible effect. In fact, these results suggest that intra-band correction to the response function is not essential for the calculation of the optical properties of layered MXene structures and will be neglected for the remaining systems.

TABLE I. The fitting values of plasma frequencies ( $\omega_p$ ) and damping parameters ( $\gamma$ ) of all the considered materials.

Systems	$\omega_p^2$ (eV <sup>2</sup> )	$\gamma$ (eV)	Systems	$\omega_p^2$ (eV <sup>2</sup> )	$\gamma$ (eV)
Ti <sub>2</sub> CCl <sub>2</sub>	12.4	0.157	Ti <sub>3</sub> C <sub>2</sub> F <sub>2</sub>	26.5	0.141
Ti <sub>2</sub> CBr <sub>2</sub>	10.1	0.180	Ti <sub>3</sub> C <sub>2</sub> Cl <sub>2</sub>	16.4	0.158
			Ti <sub>3</sub> C <sub>2</sub> Br <sub>2</sub>	10.7	0.183
			Ti <sub>3</sub> C <sub>2</sub> O <sub>2</sub>	9.6	0.160
Nb <sub>2</sub> CCl <sub>2</sub>	21.2	0.166	Ti <sub>3</sub> C <sub>2</sub> S <sub>2</sub>	14.5	0.147
Nb <sub>2</sub> CBr <sub>2</sub>	23.4	0.163	Ti <sub>3</sub> C <sub>2</sub> H <sub>2</sub>	9.7	0.134
			Ti <sub>3</sub> C <sub>2</sub> (OH) <sub>2</sub>	16.0	0.200

### C. Dielectric properties

After assessing the effect of the intra-band correction on the screened potential of the metallic materials under study, we finally compute the optical properties using  $G_0W_0$ /BSE methods including also intra-band excitations. In line with previous analysis, the intra-band transitions were included employing the Drude model as described in the Methods section. The values determined for  $\omega_p^2$  and  $\gamma$  are listed in Table I and the figures depicting the accuracy of the fitting are presented in SIF. Using the computed Drude parameters, we then add to the BSE spectra the intra-band contribution given by Eq. 1.

Figure 5 presents the real ( $\varepsilon_1$ ) and the imaginary ( $\varepsilon_2$ ) parts of the dielectric functions derived from these calculations. In the 0 to 1 eV range, substantial contributions both from intra- and inter-band transitions can be seen for all materials, indicating a region with a high potential for optical response. The most intense peaks in the inter-band spectrum are below 1 eV and can be identified with transitions between states close to the Fermi level in the  $\Gamma$ -M region, as illustrated by the band structures shown in Fig. 2. The  $\varepsilon_2$  spectra here obtained are in good agreement with the spectra of (i) F and OH terminated Ti<sub>2</sub>CT<sub>2</sub> and Ti<sub>3</sub>C<sub>2</sub>T<sub>2</sub> MXenes, estimated by the HSE06

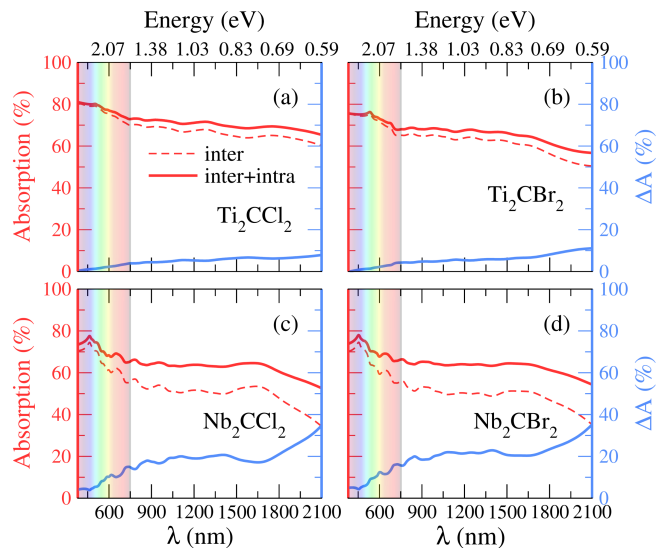


FIG. 6. The normalization of absorption coefficient of bulk-layered MXenes calculated with  $G_0W_0$ /BSE via Eq. 2: (a) for Ti<sub>2</sub>CCl<sub>2</sub>, (b) for Ti<sub>2</sub>CBr<sub>2</sub>, (c) for Nb<sub>2</sub>CCl<sub>2</sub>, and (d) for Nb<sub>2</sub>CBr<sub>2</sub>. The dashed and solid red lines represent inter and inter+intra contributions, respectively. The blue line shows the change when intra-band transitions are not considered.

and Drude approximations [25] and (ii) F, Cl, Br and I terminated Ti<sub>3</sub>C<sub>2</sub>T<sub>2</sub> MXenes obtained via DFT calculations [70].

The real components of the intra- and inter-band spectra in this energy range are very different, unequivocally indicating the need to include intra-band transitions when computing the dielectric functions of these materials. The present analyses reveals that the materials under study display a region with a negative real dielectric function, hinting at their prospective utility in surface plasmon applications [71]. In fact, the real components of the dielectric function are comparable with those of Al, Cu, and Ag [72]. However, their imaginary part, particularly in the 0-1 eV interval, are more pronounced than those of standard metals, due to the presence of carbon and chlorine or boron atoms alongside the transition metal atom in MXenes.

The absorption capacity is the ability of a material to absorb electromagnetic radiation, and depends on the wavelength. The absorption spectra, computed within BSE is show in Figure 6. As the wavelength ( $\lambda$ ) increases, there is a monotonic decrease in both the inter ( $\alpha_{inter}$ ) and the total, i.e. inter+intra ( $\alpha$ ) contributions. The absorption reaches a maximum value ranging from 70% to 80% in the ultraviolet region, indicative of a metallic character [73]. Notably, responses exceeding 50% are also observed in the infrared region. The vertical axis on the right hand side of Figure 6, colored in blue, quantifies the contribution of the intra-band transitions to the spectra ( $100 [\alpha - \alpha_{inter}]/\alpha$ ). As anticipated, this contribution is below 5% at lower wavelengths but increases to approximately 20% at higher wavelengths, showing the relevance

of the  $\mathbf{q} = 0$  intra-band transitions in the determination of a material's absorption properties.

#### D. The $\text{Ti}_3\text{C}_2\text{T}_2$ compounds

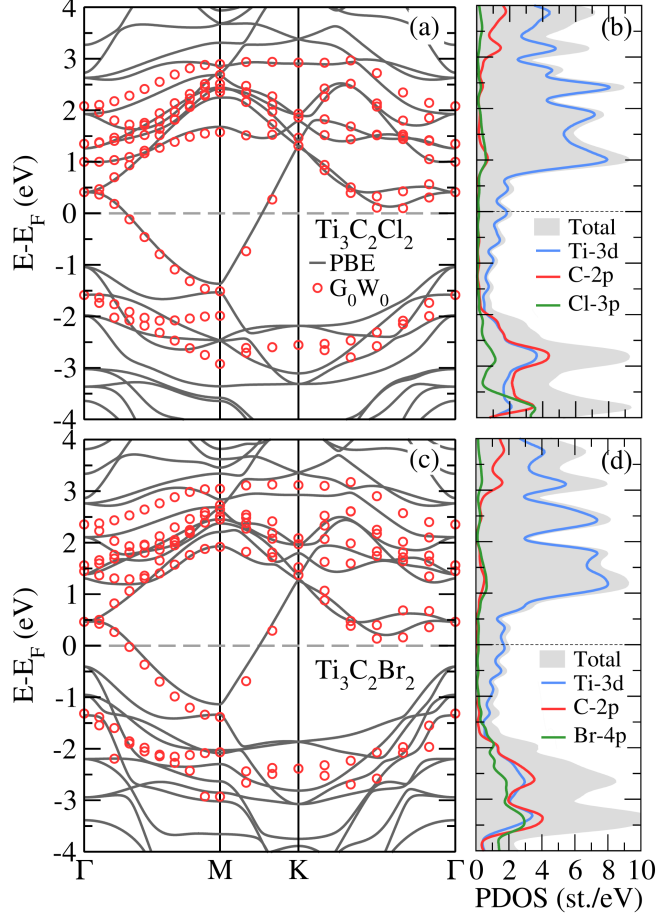


FIG. 7. Band structure computed within PBE and  $G_0W_0$ , and PDOS computed within PBE of bulk-layered MXenes: (a) and (b) for  $\text{Ti}_3\text{C}_2\text{Cl}_2$ , (c) and (d) for  $\text{Ti}_3\text{C}_2\text{Br}_2$ .

We now extend our study to the MXene compounds  $\text{Ti}_3\text{C}_2\text{T}_2$  ( $T = \text{F}, \text{Cl}, \text{Br}, \text{S}, \text{O}, \text{H}$ , and  $\text{OH}$ ). As described previously, these compounds feature three metal layers, with seven atoms in each unit cell. A schematic crystal representation and the corresponding lattice constants are presented in Figure S1 and Table S1 of the SIF. The number of atoms in their unit cells makes these compounds computationally more challenging than the  $\text{M}_2\text{CT}_2$  class, particularly when performing GW calculations. In Fig. 7 we present the band structure and the PDOS computed for  $\text{Ti}_3\text{C}_2\text{Cl}_2$  and  $\text{Ti}_3\text{C}_2\text{Br}_2$  within PBE. We show also the GW corrected band structures. We report, in the SIF, the bands calculated for the remaining systems at the PBE level and in some cases also the GW results obtained with unconverged  $k$ -grids, in order to illustrate the qualitative effect of these corrections.

Again the calculations show larger GW correction in the regions where  $d$  and  $p$  states coexist. If we compare the band structures of  $\text{Ti}_3\text{C}_2\text{Cl}_2$  and  $\text{Ti}_3\text{C}_2\text{Br}_2$  with  $\text{Ti}_2\text{CCl}_2$  and  $\text{Ti}_2\text{CBr}_2$  discussed in the previous sections, the most obvious difference is the smaller energy gap along  $\Gamma$ -M between the occupied  $d$  and  $p$  states in the case of the three metal layer systems.

Our analysis of the optical response of the  $\text{M}_2\text{CT}_2$  ( $M = \text{Ti}, \text{Nb}$ ,  $T = \text{Cl}, \text{Br}$ ) systems done above shows that their electronic properties computed at the PBE and GW level, and their optical properties, computed at the IPA and BSE levels are not significantly different. On the other hand, it is important to consider the intraband contribution to the spectra, here done through a Drude model parametrization. With this assumption, we have computed the optical properties of additional MXene materials by performing IPA calculations on top of PBE band structures, then corrected for the intra-band transition within the Drude model. The parameters of the model are listed on Table I). The calculated electronic band structures and projected density of states, as well as the real/imaginary parts of the dielectric constants for these materials, are illustrated in Figures S6-S7 and Figures S8-S9 in SIF, respectively. We used the same computational settings for plane-wave energy cutoff,  $k$ -point grid, and vdW correction across these materials.

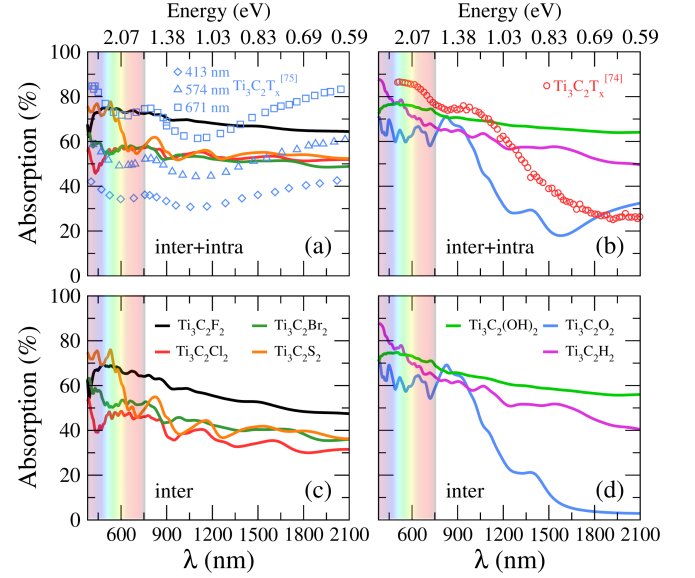


FIG. 8. The normalization of absorption coefficient of bulk-layered  $\text{Ti}_3\text{C}_2\text{T}_2$  MXenes calculated with PBE/IPA via Eq. 2: (a) for inter+intra contribution and (b) for inter contribution, compared with the experimental results of  $\text{Ti}_3\text{C}_2\text{T}_x$ . Refs. [74, 75] The black, red, dark-green, blue, orange, magenta and light-green lines show the results for  $\text{Ti}_3\text{C}_2\text{F}_2$ ,  $\text{Ti}_3\text{C}_2\text{Cl}_2$ ,  $\text{Ti}_3\text{C}_2\text{Br}_2$ ,  $\text{Ti}_3\text{C}_2\text{O}_2$ ,  $\text{Ti}_3\text{C}_2\text{S}_2$ ,  $\text{Ti}_3\text{C}_2\text{H}_2$  and  $\text{Ti}_3\text{C}_2(\text{OH})_2$ , respectively.

The inter and inter+intra absorption spectra, computed using PBE/IPA, are presented in Figure 8. The inclusion of the intra-band contributions makes the spectra



of the several MXenes similar, particularly in the higher wave length range, with the exception of  $\text{Ti}_3\text{C}_2\text{O}_2$ . In fact  $\text{Ti}_3\text{C}_2\text{F}_2$  and  $\text{Ti}_3\text{C}_2(\text{OH})_2$  almost coincide. Among the considered materials,  $\text{Ti}_3\text{C}_2\text{H}_2$  exhibits maximum absorbance around the UV region, while  $\text{Ti}_3\text{C}_2\text{F}_2$  and  $\text{Ti}_3\text{C}_2(\text{OH})_2$  show a wide spectrum of maximum absorbance within the range of 70-80%. The Cl, Br, S, and H functionalized structures display comparable absorption spectra for wavelengths  $> 1000$  nm. The spectra intensity of  $\text{Ti}_3\text{C}_2\text{Cl}_2$  and  $\text{Ti}_3\text{C}_2\text{Br}_2$  are slightly lower than the intensities computed for the corresponding two layers systems,  $\text{Ti}_2\text{CCl}_2$  and  $\text{Ti}_2\text{CBr}_2$  shown above.

The present results agree qualitatively with experimental measurements available for  $\text{Ti}_3\text{C}_2\text{T}_x$  MXene and included in Fig. 8 for reference, suggesting similar absorption potential in both infrared and ultraviolet regions [74–77]. O-functionalized  $\text{Ti}_3\text{C}_2$  differs from the other compounds, particularly the F, OH, and H cases, showing a significant decrease in the absorption with increasing wavelengths. Notably, this echos the experimental measurements reported for randomly functionalized  $\text{Ti}_3\text{C}_2$  [74] which leads us to speculate about a possible dense O functionalization in the reported experiment.

#### IV. SUMMARY AND CONCLUSIONS

We have performed detailed electronic and optical first-principles calculations for a selected group of metallic MXene bulk-layered crystals, focusing on the effect of many-body corrections and the inclusion of intra-band corrections on the optical properties of those materials. Our results show that the GW corrections to the band structure are not uniform in the energy range, being larger in the regions with larger hybridization between  $p - d$  states. The present results reveal that, at least for  $\text{Ti}_2\text{CCl}_2$ , the GW corrections can open a gap between the occupied states, resulting in a band structure typical of intrinsic transparent conductors, which opens a novel prospective field of applications for the MXenes family

and will be explored in future research. We find that the intra-band contribution to the response function is negligible and can be omitted.

We subsequently computed the optical properties of the same selected systems at IPA and BSE levels. Interestingly, the GW corrections do not lead to significant differences on the final absorption spectra. This suggests that, for systems within the MXenes family, the absorption spectra can be computed on top of Kohn-Sham eigenvalues without a significant loss of accuracy. Again, excitonic corrections seem to exert almost negligible influence on the resulting dielectric properties, suggesting that an IPA calculation already yields the sufficiently accurate optical spectra.

It is however crucial to recognize that the contributions of the intra-band transitions to the final absorption profile may be as high as 20% and should therefore be included. In the present work we have modeled the intra-band contribution using the Drude model, whose parameters were determined with a method that does not impose any toll on the computational cost, being therefore conveniently suited for the calculation of the optical spectra for large metal systems, such as the MXene multilayers.

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