1 Simulation of glow and arc discharges in nitrogen: Effect of the

² cathode emission mechanisms

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7 Abstract:

Experimental evidence in the literature has shown that low current DC nitrogen discharges can 8 exist in both glow and arc regimes at atmospheric pressure. However, modelling investigations of 9 the positive column including the influence of the cathode phenomena are scarce. In this work we 10 developed a two-dimensional (2D) axisymmetric model of a plasma discharge in flowing nitrogen 11 gas, studying the influence of the two cathode emission mechanisms – thermionic field emission 12 and secondary electron emission, on the cathode region and the positive column. We show for an 13 inlet gas flow velocity of 1 m s⁻¹ in the current range of 80-160 mA, that the electron emission 14 mechanism from the cathode greatly affects the size and temperature of the cathode region, but 15 16 does not significantly influence the discharge column at atmospheric pressure. We also 17 demonstrate that in the discharge column the electron density balance is local and the electron production and destruction is dominated by volume processes. With increasing flow velocity, the 18 discharge contraction is enhanced due to the increased convective heat loss. The cross sectional 19 area of the conductive region is strongly dependent on the gas velocity and heat conductivity of the 20 21 gas.

1. Introduction

23 Atmospheric pressure direct current (DC) nitrogen discharges have gained recent attention due to their ability to effectively break the triple nitrogen bond at significantly milder conditions than with 24 thermal chemistry relying on high pressures (e.g. the Haber-Bosch process for NH₃ synthesis). The 25 high degree of non-equilibrium between the electron (T_e) , vibrational (T_v) and gas temperature (T_g) 26 creates a chemically active environment, that is particularly interesting for sustainable chemistry, 27 both in industry-oriented nitrogen fixation [1-3], preparative organic synthesis [4], biomedicine 28 29 [5, 6] and other applications. Such chemically active environments are attainable in low current 30 diffusive or partially contracted discharges [7–11]. Unfortunately, the positive column is very susceptible to thermal instabilities and large volume operation of such discharges is known to be 31 exceptionally difficult to sustain. 32

The volume contraction of the DC discharge originates from nonlinearities in the electron 33 production and loss rates [12], with the thermal instability due to gas heating having a major 34 contribution in many discharges. The electron impact ionization frequency has a strong dependence 35 on the reduced electric field. At a constant pressure, a spatially non-uniform gas temperature profile 36 37 will significantly enhance the ionization processes in the high temperature region, which in turn will further increase the temperature, creating a positive feedback loop. Discharges balanced by 38 39 diffusion processes tend to be less constricted, whereas those balanced by volume recombination 40 are significantly more constricted. Furthermore, the effect of the boundary conditions and gas flow 41 dynamics can also influence the gas temperature cross section, thus resulting in stronger or weaker 42 constriction [13].

43 Significant efforts have been made in explaining the thermal instability phenomena in the bulk of
44 the gas [12–23]. Specifically, Yu *et al.* investigated the chemical kinetics of nitrogen in

vibrationally excited plasma at high gas temperature [16]. They found that two regimes of operation 45 exist based on the dominant recombination mechanisms. An S-shape dependence of the electron 46 density as function of the electron temperature for constant gas temperature was attributed to the 47 transition of glow discharges into arc discharges for air [17]. Akishev et al. investigated the basic 48 processes sustaining constricted N₂ discharges using a combination of a 1D computational model 49 and experiments [13]. The main charged particle creation pathway was identified as associative 50 ionization between the electronically excited states of nitrogen. Prevosto et al. studied a similar 51 52 system to the one investigated by Akishev [18]. They included the influence of the associative 53 ionization of the atomic species, further improving the charged particle dynamics for higher currents, assuming a local balance of the plasma species. Naidis et al. developed a 2D air model, 54 quantifying the degree of non-equilibrium and the effect of the gas flow across a wide current range 55 [19]. A dynamic contraction model was developed by Shneider et al. [22, 23], revealing the time-56 dependent evolution of the thermal instability in air and nitrogen. 57

Despite the substantial efforts that have been made to explain the thermal instability phenomena in the bulk of the gas, a lot less work has been done to investigate the influence of the cathode on the positive column in low current, atmospheric pressure discharges. Fully coupled self-consistent models including the electrode effects are scarce, among others due to the computational demand of the calculations.

The phenomena of the cathode instability represent a change of the electron emission mechanism from the electrode. Glow discharges are, by definition, sustained via secondary electron emission, whereas arcs - by field emission, thermionic emission or the combined effect of both [12, 24]. To avoid computational complexities, the effects of the cathode region on the discharge column are often neglected, based on the premise that the cathode region is significantly smaller than the arc

column [18, 21]. Alternative solutions employ boundary conditions or matching conditions to an 68 analytical solution [25]. Baeva et al. incorporated a non-local thermodynamic equilibrium (NLTE)-69 sheath approach, imposing boundary conditions on the interface between the plasma column and 70 the cathode sheath [26, 27]. Liang and Trelles simulated the cathode-plasma interaction by 71 including an effective electrical conductivity on the boundaries [28]. Almeida et al. developed a 72 1D self-consistent model investigating the cathode attachment [29]. A fully self-consistent 73 74 treatment of the cathode and anode attachments has been carried out for high current nitrogen arcs 75 [30] assuming that the main mechanism of emission from the cathode is thermionic emission. 76 Kolev et al. investigated the effect of the cathode instability in a self-consistent argon model [31]. The model accounted for both thermionic-field emission and secondary electron emission, 77 revealing that the cathode mechanisms do not influence the positive column. Nonetheless, a more 78 complete model with minimal neglect of the physical phenomena at the cathode is required for 79 many gas compositions, such as *e.g.* the aforementioned discharges in nitrogen. 80

In this work we developed a 2D two-temperature model in a laminar nitrogen gas flow. The model includes the main ionization pathways determined by Prevosto and Akishev [13, 18]. The main novelty of our model compared to the ones mentioned above is the inclusion of the self-consistent computation of the cathode region. We compare the thermionic-field emission with the secondary electron emission in order to determine the influence of the cathode region on the positive column.

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87 **2. Model description**

88 2.1 Model equations

We constructed a fluid plasma model with the drift-diffusion approximation as employed in the 89 Plasma Module of COMSOL Multiphysics 6.0 [32]. The electron energy distribution function 90 (EEDF) is calculated using BOLSIG+ software. The electron impact cross-sections are then 91 integrated over the distribution function and incorporated in the model. Comparison with the 92 93 experimental data modelled in [13,18] was performed and the results are presented in Appendix B. 94 Although we are using the same kinetic scheme, we observe nearly an order of magnitude higher electron density compared to the results in [18]. When comparing to the results from [13], very 95 good agreement is reached for the gas temperature, but deviation of the vibrational temperature is 96 observed as function of the current. Nevertheless, the aim of our work was different, i.e., to study 97 the effect of the cathode emission on the positive column, and the possible differences between 98 glow and arc regime, where we find no differences. We believe this claim is still valid, despite the 99 100 limitations of our model to reproduce the experiments of [13,18]. However, in view of the above discrepancy, this claim should still be validated by experiments, before drawing final conclusions. 101 The species considered in the model are $N_2(X^1\Sigma_g^+)$, $N_2(A^3\Sigma_u^+)$, $N_2(a'^1\Sigma_u^-)$, $N_2(B^3\Pi_g)$, $N_2(C^3\Pi_u)$, 102 N(⁴S), N(²D), N(²P), N⁺, N₂⁺, N₃⁺, N₄⁺ and the electrons. Excitation of the vibrational degree of 103 104 freedom (R2, Table A1) is assumed to proceed through the first 8 levels, and excitation to higher 105 levels is neglected, as in [18].

106 For each species, the continuity equation is solved:

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$$\frac{dn_{\rm s}}{dt} + \nabla \cdot \boldsymbol{\Gamma}_{\rm s} + (\boldsymbol{u}_{\rm g} \cdot \nabla) n_{\rm s} = R_{\rm s},\tag{1}$$

107 where n_s is the species density, Γ_s is the species flux, u_g is the gas velocity and R_s is the net rate 108 of change, based on the reactions detailed in Appendix A. The neutral species flux is given as:

$$\boldsymbol{\Gamma}_{\mathrm{n}} = -\boldsymbol{D}_{\mathrm{n}} \nabla \boldsymbol{n}_{\mathrm{n}},\tag{2}$$

where D_n is the diffusion coefficient of the neutral species in N₂, given in table A6 in Appendix A, and n_n is the neutral number density.

111 For the charged species, the flux is expressed as:

$$\boldsymbol{\Gamma}_{\rm s} = -D_{\rm s} \nabla n_{\rm s} + \frac{q_{\rm s}}{|q_{\rm s}|} n_{\rm s} \mu_{\rm s} \boldsymbol{E},\tag{3}$$

112 where D_s , μ_s , and q_s are the diffusion coefficient, mobility and species' charge, respectively, and 113 *E* is the electric field vector. The subscript "s" indicates either electrons or ions. The electron 114 transport properties D_e and μ_e are calculated by BOLSIG+. The Einstein relation is used to 115 calculate the ion mobility from the ion diffusivity, listed in table A6 in Appendix A.

116 The electron energy balance equation is solved for obtaining the averaged electron energy $\bar{\varepsilon}_{e}$:

$$\frac{d(n_{\rm e}\overline{\varepsilon}_{\rm e})}{dt} + \nabla \cdot \boldsymbol{\Gamma}_{\rm e} + \boldsymbol{E} \cdot \boldsymbol{\Gamma}_{\rm e} + (\boldsymbol{u}_{\rm g} \cdot \nabla) n_{\rm e} \overline{\varepsilon}_{\rm e} = Q_{\rm bg} + S_{\rm en}, \tag{4}$$

117 where Γ_{ε} is the electron energy flux and S_{en} is the average energy lost through electron collisions. 118 An additional background power density Q_{bg} is used in order to reduce gradients between the 119 discharge column and the surrounding gas. This improves computational stability and reduces 120 requirements on the mesh. The background power density is kept low (10⁵ W m⁻³) such that it does 121 not significantly influence the results. The electron energy flux is given by:

$$\boldsymbol{\Gamma}_{\varepsilon} = -D_{\varepsilon} \nabla n_{e} \overline{\varepsilon}_{e} - \mu_{\varepsilon} n_{e} \overline{\varepsilon}_{e} \boldsymbol{E}, \tag{5}$$

where D_{ε} and μ_{ε} are the electron energy diffusion coefficient and electron energy mobility, respectively. The Poisson equation is also solved, and coupled to the above system of equations. Thus, the electric field is determined self-consistently from the space charge density:

$$\nabla \cdot (\epsilon_0 \mathbf{E}) = -\nabla \cdot (\epsilon_0 \nabla V) = \sum_{\mathbf{s}} q_{\mathbf{s}} n_{\mathbf{s}},\tag{6}$$

where ϵ_0 is the vacuum permittivity and *V* is the electric potential. It is important to note that there is no special treatment of the ambipolar electric field in our model. The electric field entering equation (1) originates from the Poisson equation (6) and the externally connected circuit. The equality of the fluxes of ions and electrons is not implied, hence the losses are determined by the electric field vector, which is self-consistently computed.

130 The gas temperature is calculated using the energy balance equation, assuming the ion temperature131 is equal to the gas temperature:

$$\rho C_{\rm p} \frac{\partial T_{\rm g}}{\partial t} + \rho C_{\rm p} \boldsymbol{u}_{\rm g} \cdot \nabla T_{\rm g} - \nabla \cdot \left(k_{\rm g} \nabla T_{\rm g} \right) = Q_{\rm g} + N_2(X) \frac{e_{\nu} - e_{\nu}(T_{\rm g})}{\tau_{VT}},\tag{7}$$

where ρ is the gas density, $C_{\rm p}$ is the heat capacity at constant pressure, $k_{\rm g}$ is the thermal 132 conductivity and Q_g is the gas heating released from the reactions in the plasma and the Joule 133 heating of the electrons, $N_2(X) \frac{e_v - e_v(T_g)}{\tau_{VT}}$ is the heating due to vibrational-translation (V-T) 134 relaxation, $e_v(T_g)$ is the equilibrium value of the mean vibrational energy and τ_{VT} is the V-T 135 relaxation time calculated from the expressions in [18]. Estimations of the effect of Joule heating 136 resulting from ion currents resulted in negligible differences in the temperature in the positive 137 column and a small effect near the cathode region. In order to improve the computational stability 138 of the problem, the term was neglected from the calculations. 139

140 In addition to the gas temperature balance equation, the vibrational energy balance equation for 141 $N_2(X)$ is also solved:

$$N_2(X)\frac{de_v}{dt} + \nabla \cdot \left(N_2(X)D_{N_2}\nabla e_v\right) + \boldsymbol{u}_{g} \cdot \nabla e_v = Q_{e_v} - N_2(X)\frac{e_v - e_v(T)}{\tau_{VT}}$$
(8)

142

143 where e_v is the mean vibrational energy, Q_{e_v} stands for the heating and cooling rate of the 144 vibrational degrees of freedom due to Joule heating and reactions in the plasma. The mean 145 vibrational energy is related to the vibrational temperature with

$$e_{\nu}(T_{\nu}) = \frac{\hbar\omega}{\exp(\frac{\hbar\omega}{kT_{\nu}}) - 1}$$
(9)

146

147

148 where T_v is the vibrational temperature and $\hbar \omega$ is the vibrational quanta of the nitrogen molecule. 149 The vibrational distribution function is assumed to follow the analytical description as in [13, 18] 150 given by:

$$N_{2}(X, v) = N_{2}(X, 0)exp(-v\frac{\hbar\omega}{kT_{v}}), 0 < v \le v_{1};$$

$$N_{2}(X, v) = N_{2}(X, v = v_{1})\frac{v_{1} + 1}{v + 1}, v_{1} < v \le v_{2}$$

$$N_{2}(X, v) = N_{2}(X, v = v_{2})exp\left(-(v - v_{2})\frac{\hbar\omega}{kT_{g}}\right), v > v_{2}$$

$$v_{1} = 9, v_{2} = v_{1} + (35 - v_{1})exp(-\frac{T_{g} - 300}{3000})$$
(10)

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152 The solution for the gas flow velocity is obtained from the Navier-Stokes equations:

$$\rho \frac{\partial \boldsymbol{u}_{g}}{\partial t} + \rho (\boldsymbol{u}_{g} \cdot \nabla) \boldsymbol{u}_{g} = \nabla \cdot [-p\boldsymbol{I} + \mu_{g} (\nabla \cdot \boldsymbol{u}_{g} + (\nabla \cdot \boldsymbol{u}_{g})^{T}) - \frac{2}{3} \mu_{g} (\nabla \cdot \boldsymbol{u}_{g}) \boldsymbol{I}]$$
(11)

$$\frac{\partial \rho}{\partial t} + \nabla \cdot \left(\rho \boldsymbol{u}_{\mathrm{g}}\right) = 0 \tag{12}$$

154

155 Where *I* is the identity matrix, *p* is the gas pressure, μ_g is the dynamic viscosity (which is a function 156 of temperature) and the superscript *T* identifies a tensor operation. The pressure is assumed to be 157 close to constant at 1 atm and thus, the gas density ρ is assumed to be a function of gas temperature 158 only. The transport properties μ_g , C_p and k_g are taken as tabulated data from [33].

159 *2.2 Boundary conditions*

160 The model is solved for in an axisymmetric geometry comprising of two hemispherical electrodes 161 $(r_{\text{electrode}} = 0.2 \text{ cm})$ separated by a discharge gap of 1.6 cm placed in a cylindrical tube $(r_{\text{tube}} = 2 \text{ cm})$, 162 presented in figure 1. The bottom electrode (AB) is chosen to be the cathode, where a negative 163 voltage is supplied through a ballast resistor connected to a voltage source. The ballast resistor was 164 chosen as 1 M Ω and the applied voltage was varied to control the current.

165 The boundary condition on the cathode for the electron density balance equation (1) is given as:

$$\mathbf{n} \cdot \boldsymbol{\Gamma}_{\mathrm{e}} = \frac{1}{2} v_{\mathrm{e,th}} n_{\mathrm{e}} - \left(\sum \gamma_{\mathrm{i}} \left(\boldsymbol{\Gamma}_{\mathrm{i}} \cdot \mathbf{n} \right) + \boldsymbol{\Gamma}_{\mathrm{t}} \cdot \mathbf{n} \right), \tag{13}$$

where $v_{e,th} = \sqrt{\frac{8k_BT_e}{\pi m_e}}$ is the electron thermal velocity, $\Gamma_i \cdot \mathbf{n}$ is the ion flux normal to the cathode and γ_i is the secondary electron emission coefficient for each ion. It is important to note that the secondary electron emission coefficients are not well known for these systems and are often calculated as fitting parameters of a specific experiment. The secondary electron emission 170 coefficients in this work were taken from [34] as $\gamma_i = 0.032$ and assumed the same for the four 171 different ions. Γ_t is the electron flux due to field-enhanced thermionic emission, given as [24]. To 172 distinguish between the arc and glow case, the thermionic emission flux (Γ_t in equation 10) is only included 173 when considering the arc case, whereas the secondary electron emission flux (Γ_i in equation 10) is only 174 calculated for in the glow case:

$$\boldsymbol{\Gamma}_{t} \approx \left(A_{T} T_{C}^{2} + A_{F} \boldsymbol{E}_{C}^{x}\right) \exp\left[-\left(\frac{T_{C}^{2}}{B_{T}} + \frac{\boldsymbol{E}_{C}^{2}}{B_{F}}\right)^{-1/2}\right].$$
(14)

Equation 11 accounts for the combined effect of the thermionic and field emission on the electron 175 emission. Although our model assumes a cold cathode ($T_{\rm C} = 300 \text{ K}$), and thus thermionic emission 176 is negligible, we keep the more general expression (11) to allow the future use of our model in 177 more diverse cases. Equation (11) has a rather complicated nature and a detailed discussion 178 179 regarding the exact derivation of the constants is outside the scope of this work. The coefficients A_T , A_F , B_T , B_F and x are separate functions of the cathode material work function W_f , with x being 180 a factor close to unity. A_T (A m⁻² K⁻²) and A_F (AV^{-x} m^{x-2}) are the pre-exponential factors in the 181 Richardson-Dushman and Fowler-Nordheim formula, respectively. $B_T (K^{-2})$ and $B_F (V^{-2} m^{-2})$ can 182 be approximated as exponential factors in both formulae. To account for the surface roughness 183 (which can amplify the electric field through sharp edges in microprotrusions) an effective electric 184 field is defined as $E_{C} = FEF \times (\mathbf{n} \cdot \mathbf{E})$, where FEF is the field enhancement factor accounting for 185 186 amplification of the electric field. This parameter is a similar fitting parameter to γ_i . In [35] a FEF 187 value of 55 is given for a smooth surface. As the purpose of our model is to compare the effects of the cathode phenomena for conditions that will create an arc, we have chosen a FEF factor of 200, 188 which relates to a relatively rough surface without special conditioning. The work function used in 189 our work is chosen to be $W_f = 4.36$ eV, which corresponds to Mo [42]. 190

191 The boundary condition for the electron energy balance equation (4) on the cathode is given as:

$$\boldsymbol{n} \cdot \boldsymbol{\Gamma}_{\varepsilon} = \frac{5}{6} v_{\mathrm{e,th}} n_{\varepsilon} - \left(\sum \gamma_{\mathrm{i}} \epsilon_{\mathrm{i}} \left(\boldsymbol{\Gamma}_{\mathrm{i}} \cdot \boldsymbol{n} \right) + \epsilon_{\mathrm{t}} \left(\boldsymbol{\Gamma}_{\mathrm{t}} \cdot \boldsymbol{n} \right) \right), \tag{15}$$

where ϵ_i is the average energy of secondary electron emission, given as $\epsilon_i = \epsilon_i - 2W_f$, in which ϵ_i is the ionization energy of the bombarding species and W_f is the work function of the material. Furthermore, ϵ_t is the average energy of the electrons emitted by field-enhanced thermionic emission. Although the average energy of electron emission has to be a distribution function, for the sake of numerical stability, we have chosen a low constant value of 0.02 eV for ϵ_t .



Figure 1. Description of the computational domain.

197 The boundary conditions for the ions are the same for the cathode and the anode, given as:

$$\boldsymbol{n} \cdot \boldsymbol{\Gamma}_{i} = n_{i} K_{i,surf} + max(\frac{q_{s}}{|q_{s}|} \frac{D_{i}e}{k_{B}T} n_{i} \boldsymbol{E} \cdot \boldsymbol{n}, 0)$$
(16)

198 The expression $K_{i,surf} = \left(\frac{\gamma_s}{1-\gamma_s/2}\right) \frac{1}{4} \sqrt{\frac{8RT_g}{\pi M_s}}$ where γ_s is the sticking coefficient and M_s is the species

mass, taken from [36], with all surface reactions employing a sticking coefficient of 1. In order to

200 get an adequate comparison between the glow and the arc regime, the temperature of the cathode

and the anode was kept constant at 300 K. The boundary condition (BC) (see figure 1) was chosen 201 as an inlet boundary condition with a given parabolic gas velocity profile, which has a maximum 202 in the middle of the boundary, giving a fully developed flow profile. The specified velocity u_{g_0} is 203 then the average velocity on the boundary. The outlet boundary condition for boundary (DE) is 204 given as: 205

$$\left[-\mathbf{p}\boldsymbol{I} + \mu_{g}\left(\nabla \cdot \boldsymbol{u}_{g} + \left(\nabla \cdot \boldsymbol{u}_{g}\right)^{T}\right) - \frac{2}{3}\mu_{g}(\nabla \cdot \boldsymbol{u}_{g})\boldsymbol{I}\right]\boldsymbol{n} = \mathbf{p}_{0}\boldsymbol{n}$$
(17)
rest of the boundary conditions are listed in table 1, with indication to figure 1

The rest of the boundary conditions are listed in table 1, with indication to figure 1. 206

Table	1. Bounda	ary condi	tions					
	n _e	$n_{ m i}$	n _n	V	$\overline{\varepsilon}_{e}$	$T_{ m g}$	$u_{ m g}$	$T_{ m v}$
(AB)	(13)	(16)	$\boldsymbol{n}\cdot\boldsymbol{arLambda}_{\mathrm{n}}$	$V = V_{\rm c}$	(15)	$T_{\rm g} = 300 {\rm K}$	$u_{\rm g} = 0$	$T_{\rm v} = 300 {\rm K}$
			$= n_{\rm i} K_{\rm n, surf}$					
(BC)		$\boldsymbol{n} \cdot \boldsymbol{\alpha} =$	$0, (\alpha = \boldsymbol{\Gamma}_{e}, \boldsymbol{\Gamma}_{i})$, $\boldsymbol{\Gamma}_{\rm n}$, $-\nabla V$, \boldsymbol{I}	(ε)	$T_{\rm g} = 300 {\rm K}$	$u_{\rm g} = u_{\rm g_0}$	$T_{\rm v} = 300 {\rm K}$
(CD)		$\boldsymbol{n} \cdot \boldsymbol{\alpha} =$	$0, (\alpha = \boldsymbol{\Gamma}_{e}, \boldsymbol{\Gamma}_{i})$, $\boldsymbol{\Gamma}_{\rm n}$, $-\nabla V$, \boldsymbol{I}	(ε)	$T_{\rm g} = 300 {\rm K}$	$u_{g} = 0$	$T_{\rm v} = 300 {\rm K}$
(DE)		$\boldsymbol{n} \cdot \boldsymbol{\alpha} =$	$0, (\alpha = \boldsymbol{\Gamma}_{\rm e}, \boldsymbol{\Gamma}_{\rm i})$	$\boldsymbol{\Gamma}_{\mathrm{n}}, -\nabla V, \boldsymbol{I}$	Γ ε)	$oldsymbol{n} \cdot \mathrm{k} abla T_{\mathrm{g}}$	(17)	$oldsymbol{n}\cdot\mathrm{k} abla T_\mathrm{v}$
						= 0		= 0
(EF)	$\boldsymbol{n} \cdot \boldsymbol{\Gamma}_{e}$	(16)	$\boldsymbol{n} \cdot \boldsymbol{\Gamma}_{\mathrm{n}}$	V = 0	$\boldsymbol{n}\cdot\boldsymbol{\Gamma}_{\varepsilon}$	$T_{\rm g} = 300 {\rm K}$	$u_{ m g}=0$	$T_{\rm v} = 300 {\rm K}$
	$-\frac{1}{1}$	n	$= n_{\rm i} K_{\rm n, surf}$		$-\frac{5}{1}$			
	$\frac{1}{2}v^{e,th}$	114			$-\frac{1}{6}v_{e,th}n_e$			
207								

The choice of the boundary conditions on (CD) was evaluated to ensure the wall is not influencing 208 the plasma. To test this condition, the position of the wall was varied at r = 2, 4 and 6 cm. The 209 results showed no influence to the gas temperature and electron density, showing that the gas flow 210 is strong enough to insulate the plasma from the wall. 211

212

3. Results and discussion

We solved our model for three different current values (80, 120 and 160 mA) and a flow velocity 213 of 1 m s⁻¹. For the 80 mA case the flow conditions were expanded to 1, 2 and 4 m s⁻¹. Each of the 214 current values for a velocity of 1 m s⁻¹ were solved either with field-enhanced thermionic emission 215

(arc) or secondary electron emission (glow) boundary condition on the cathode. We used a timedependent solver until reaching steady-state. To aid in the following discussion, we define the
radius of the plasma column as follows:

$$r_{\beta} = \sqrt{\frac{\int \beta \, ds}{\pi Max(\beta)}},\tag{18}$$

where β is either T_g or the total current density *j*. In order to evaluate the radius and area of the discharge, the current density is chosen as a more universal parameter, rather than the electron density. It is important to note however that the current density profile coincides with the electron density profile in the positive column.

In the following, we will start with a discussion on the cathode region of both types of discharges, followed by the positive column, in both cases for different electric currents, and finally we will investigate the effect of the gas flow velocity on the plasma behavior in the positive column.

3.1 Cathode region

In Figure 2 the axial variation (r = 0) of several quantities in the cathode region for the arc and the glow case are presented. The results are plotted as a logarithmic function of z in order to highlight the deviations of the plasma parameters in the cathode layer. A non-quasineutral layer is observed for both the glow and arc case. For the case of electron supply by field emission and thus the arc discharge (figure 2a)), the size of the non-quasineutral cathode layer is in the order of 10^{-4} cm. The ion and electron density are within the same order of magnitude within this layer, owing to the high efficiency of electron emission.



Figure 2. Axial profile of the total positive ion density, electron density and electron temperature in the near cathode region, for a) the arc and b) glow regime, at the symmetry axis (r = 0), at I = 80 mA and $u_{g_0} = 1$ m s⁻¹.

The high value of the electron temperature observed in both cases (arc and glow) is attributed to 234 the acceleration of electrons in the high space charge field created by the difference between the 235 electron and ion densities. For the arc regime (figure 2a)) $T_e \cong 3.8 \text{ eV}$ in the cathode region, while 236 for the glow case (figure 2b)) $T_e \approx 9 - 17$ eV. In the latter case, when the cathode emission 237 mechanism is changed to secondary electron emission, the efficiency of electron emission from the 238 surface is greatly reduced. Therefore, the electron density in the vicinity of the cathode surface 239 becomes significantly lower than the ion density, and the resulting difference in the opposing 240 charges leads to a substantially stronger electric field and thus a much higher T_{e} compared to the 241 arc regime. The size of the space charge imbalance layer is also considerably larger than in the arc 242 regime: above 10⁻³ cm. Beyond the space charge imbalance layer, we can define the negative glow 243 in the glow regime, and a transitional layer in the arc regime (as indicated in figure 2). 244



Figure 3. Axial profile of the various positive ion densities and the gas temperature in the near cathode region, for a) the arc and b) glow regime, at the symmetry axis (r = 0), at I = 80 mA and $u_{g_0} = 1$ ms⁻¹. Figure 3 represents the axial density profiles of the various positive ions, as well as the gas 245 246 temperature, for both emission mechanisms, *i.e.* the arc (a) and glow (b) regime. In the arc case, the dominant ion in the cathode layer is N_2^+ (figure 3 a)), whereas in the glow case (figure 3 b)) the 247 dominant ion changes to N4⁺. The change in the dominant ion is due to the larger increase in gas 248 temperature close to the wall in the arc compared to the glow regime. Indeed, the maximum gas 249 temperature and electron density in the arc regime is reached much closer to the wall (within the 250 transitional layer), while in the glow regime, no maximum gas temperature or electron density is 251 observed in the negative glow region, and the gas temperature rises steadily as a function of axial 252 position towards the positive column. 253

As shown in figure 2, the electron density in the vicinity of the cathode is significantly larger in the arc than in the glow regime. There are enough electrons to create a conductive connection between the positive column and the electrodes, and hence the electric potential drop across the nonquasineutral layer in the arc regime is rather small (*ca.* 15 V, see figure 4; inset). The electron density in the glow regime is significantly lower, and thus, the electric field has to be much higher, for the plasma to sustain the current in this area, *i.e.* to accelerate the ions, which provide the same total current. This results in a very steep and very large voltage drop across the non-quasineutral layer, of 300 V (see figure 4). However, even though the mechanism of electron emission differs for the arc and glow regimes, we can see in figure 4 that the electric potential across the positive column sustains a linear dependence beyond the near-cathode region. In addition to this, the differences in the electric potential (and thus in electric field) in the positive column are small.



Figure 4. Axial profile of the electric potential across the discharge, for the arc and the glow case at r = 0, for I = 80 mA and $u_{g_0} = 1$ m s⁻¹. The radius of the cathode and anode is 0.2 cm (placed at z = 0 and 2 cm, respectively).

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Here we should stress that the additional voltage at the cathode layer in the glow discharge means additional deposited power, which in this case is significant and in the same order as the rest of the discharge, *i.e. ca.* 24 W for 80 mA current, while the total power is 56 W. Due to the significant ion current near the cathode in the glow regime, the gas is additionally heated by the ions. Despite that, our calculations suggest that the gas temperature in the cathode fall of the glow discharge is only slightly elevated (see figure 3b)), which is due to the intense cooling from the cathode, assumed to be at 300 K in our configuration. The gas cooling is effective due to the large surface area of the cathode region in the glow discharge and the small distance to the cathode surface. If
the cathode is not cooled, the power deposited there could be sufficient for considerable gas and
cathode heating and transition to an arc.

Figure 5 illustrates the 2D electron density profiles in the arc and glow regime for different currents. 276 The cathode region looks clearly different in both regimes, for all currents investigated, *i.e.* it is 277 characterized by a very high electron density, but confined in a very small region in the arc regime, 278 while the electron density profile is quite wide with much lower values in the glow regime. 279 Moreover, increasing the discharge current leads to qualitatively different behavior in the glow and 280 281 the arc in the near-cathode region. In the glow regime, the cathode region is sustained by secondary electron emission initiated by the ion flux normal to the cathode surface. Because of this, increasing 282 the current does not lead to more electron emission, but the current density is preserved and the 283 cathode region enlarges radially. This effect is clearly observed in Figure 5. The area of the cathode 284 region determined from the current density increases from 0.55×10^{-2} cm² to 1.2×10^{-2} cm² and is 285 directly proportional to the current, following the well-known fact that the current density at the 286 cathode surface in normal glow discharges remains constant. In the arc regime, increasing the 287 current results in even stronger field-enhanced thermionic emission. In contrast to the glow regime, 288 the current density in the arc cathode region increases upon increasing current. The area of the arc 289 cathode region determined from the current density remains approximately constant as a function 290 of the current, at a value as small as 7.07×10^{-6} cm², which corresponds to a radius of 1.5×10^{-3} cm. 291 As mentioned above, this results in almost two orders of magnitude higher electron densities (see 292 293 figure 5).



Figure 5. 2D profiles of the electron density at three different currents, for a) the glow and b) the arc regime, for a gas velocity $u_{g_0} = 1 \text{ ms}^{-1}$.

The significantly higher electron densities in the arc compared to the glow give rise to an increase 295 in the vibrational temperature near the electrode. The axial profile of the vibrational temperature 296 for 80 mA and $u_{g_0} = 1 \text{ ms}^{-1}$ in the near cathode region is presented in Figure 6. Within the glow, 297 the gas temperature and vibrational temperature are nearly in equilibrium, because the electron 298 density in the negative glow is nearly the same as in the positive column. This results in a smooth 299 transition between the near cathode region and the positive column, leading to V-T equilibrium in 300 the whole region. In contrast, in the arc spot, the electron density experiences a maximum (see 301 Figure 2 a)) and a sharp decline towards the positive column. Within the high electron density 302 region, electron impact vibrational excitation (R2) deposits a significant amount of energy into the 303 304 vibrational degrees of freedom, which do not have enough time to relax to the translational degrees of freedom. As a result, a maximum of the vibrational temperature is observed in the high electron 305 density region. 306

307



Figure 6. Axial profile of the vibrational temperature for 80 mA and $u_{g_0} = 1 \text{ ms}^{-1}$ in the near cathode region.

309 *3.2 Positive column.*

The key difference between the glow and the arc cathode region identified in the previous 310 subsection is the significantly higher electron density in the arc regime at the discharge axis. This 311 312 effect enhances the vibrational heating, leading to vibrational temperature much higher in the arc spot than in the glow, as shown in figure 6 above In figure 7, the axial and radial dependence of 313 the gas temperature, at the three different currents for both the glow and arc regime are presented. 314 315 Within the positive column, the vibrational and gas temperature are in near equilibrium with each 316 other, thus the vibrational temperature is not shown in figure 7. The contracted nature of the plasma within the investigated current range results in high gas temperatures (≈ 4700 K) and negligible 317 differences between the arc and glow discharge. In the axial direction, there is no strong gradient 318 of gas temperature, resulting in a nearly constant axial distribution. The only differences observed 319 between the glow and the arc are in the near cathode region, where the arc exhibits an increase in 320

321 gas temperature with rising vibrational temperature, as shown in figure 7 a). This leads to strong



322 V-T non-equilibrium in the arc spot.

323

Figure 7. Gas temperature at three different currents, for both glow and arc regime, in a) the axial direction (at r = 0, where $r_{cathode}$ and $r_{anode} = 0.2$ cm, placed at z = 0 and 2 cm) and b) the radial direction (at z = 1 cm), for $u_{g_0} = 1$ m s⁻¹. The radial temperature distribution at the three different currents (at z = 1 cm) is presented in figure

7b). Upon increasing the current from 80 mA to 160 mA, the radius of the region with elevated gas
temperature increases by 12.5 % (from 0.24 cm to 0.27 cm), while the cross section increases with
27 % (from 0.18 cm² to 0.23 cm²).

The heating and cooling rates in the positive column for 80 mA and z = 1 cm are presented for the 327 arc regime in figure 8. Due to similarities between the glow and arc column, only the result for the 328 329 arc is shown. The heat conductivity (black curve) transports the energy radially from the middle of the column towards the side, until the convective heat transfer (red curve) stops the expansion. As 330 function of the current there is an increase in the gas temperature which can be seen in figure 7. 331 The maximum gas temperature increases by 433 K, from 4483 K to 4916 K. The radial distribution 332 of the temperature is then determined by the balance between the heat conductivity and the 333 334 convective heat losses. Our analysis shows that the radial conductive component has the largest

335 contribution to the loss mechanisms in the plasma column and the dominant heating process is V-





Figure 8. Heat balance in the positive column for 80 mA, arc regime, z = 1 cm and $u_{g_0} = 1$ m s⁻¹.

337

As indicated in [13], this effect insulates the plasma from the wall, acting as a virtual cold wall. It 338 is then logical that at a constant gas flow velocity, the positive column expands upon increasing 339 current. The expansion of the positive column can also be seen in the radial electron density profile. 340 The radial dependence of the electron density at three different currents, for the glow and the arc 341 regime, at z = 1 cm and $u_{g_0} = 1$ m s⁻¹, is presented in figure 9. Similar as in figure 7, because of 342 the contracted nature of the plasma, no differences are observed in the electron density between the 343 glow and arc. Upon rising current from 80 to 160 mA, the electron density in the positive column 344 experiences a small increase, from $5.8 \times 10^{19} \text{ m}^{-3}$ to $6.5 \times 10^{19} \text{ m}^{-3}$. 345



Figure 9. Radial dependence of the electron density at three different currents, for the glow and the arc regime, at z = 1 cm, $u_{g_0} = 1$ m s⁻¹. 346

Generally speaking, our results show that in the positive column and the anode region, the differences in all quantities between the arc and the glow regime amount to negligible values. This effect is highlighted in figure 10, where 2D distributions of the electron density of the arc and glow case are compared to each other. Although the glow cathode region covers a significantly larger area than the arc cathode region, this does not translate to a larger area of the positive column. It is important to note that these results are not universal: they depend strongly on the chemistry and probably on the boundary conditions and the cathode properties.



Figure 10. 2D electron density profile, at three different currents, for the arc (a) and glow (b) regime, at $u_{g_0} = 1 \text{ m s}^{-1}$.

Upon increasing the current from 80 to 160 mA, the radius of the current density profile increases 355 by 64 % (from 3.8×10^{-2} cm to 6.3×10^{-2} cm), while the cross section rises by 167 % (from 4.5×10^{-3} 356 cm^2 to $1.2 \times 10^{-2} cm^2$). The electron density balance was determined by analyzing equation (1). In 357 figure 11, the total rates of production and destruction, as well as the rate of flux losses and the rate 358 of gas convection losses, determining the electron density balance, are presented for the arc regime 359 at 80 mA and z = 1 cm. As previously stated, due to the negligible differences in the glow and arc 360 column, only the result for the arc is shown. The electron density balance is determined to be local 361 362 and the diffusive, migrative and gas convective fluxes have a negligible contribution (see figure 11). The absolute value of the loss terms was taken in order to make the comparison between the 363 364 dominant terms.



Figure 11. Production and destruction rates determining the electron density profile, for the arc regime, at I = 80 mA, $u_{g_0} = 1$ m s⁻¹, and z = 1 cm. The glow regime has a similar radial profile, thus only the result for the arc is presented here.

366 Our reaction analysis revealed that the dominant mechanism of electron production, for both arc

and glow regime, is Penning and associative ionization (R28, R29, R30 - table A3)

R28 N₂
$$(a'^{1}\Sigma_{u}^{-}) + N_{2} (a'^{1}\Sigma_{u}^{-}) \rightarrow N_{4}^{+} + e,$$

R29
$$N_2(A^3\Sigma_u^+) + N_2(a^{'1}\Sigma_u^-) \rightarrow N_2^+ + N_2 + e_{\mu}$$

R30
$$N_2(a'^1\Sigma_u^-) + N_2(a'^1\Sigma_u^-) \rightarrow N_2^+ + N_2 + e$$

which is balanced by dissociative recombination (R32 - table A4). The electronically excited N₂(A³ Σ_u^+) and N₂ (a^{'1} Σ_u^-) are produced primarily from quenching of the electronically excited N₂(B³ Π_g) and N₂(C³ Π_u) states. Further analysis of the production and loss processes for the positive ions and excited states revealed that they are also locally balanced. The radial distribution of the various ion densities for the same conditions is presented in figure 12. It is clear that the dominant positive ion is N_2^+ throughout most of the positive column. Near the edges of the current conductive region, where the gas temperature is lower, N_3^+ becomes the dominant ion. This is attributed to the charge exchange reaction (R19 – table A1). The density profile of the atomic ions N^+ closely follows that of N_2^+ . Upon increasing current, the density of N^+ approaches the density of N_2^+ , as also found in [18].



Figure 12. Radial distribution of the various ion densities, as well as the electron density, for z = 1 cm, I = 80 mA, $u_{g_0} = 1$ m s⁻¹, in the arc regime. Due to similarities between the glow and arc column, only the result for the arc is shown here. 378

379 3.3 Effect of the gas flow rate

In section 3.2 we demonstrated that the cathode region, and hence the electron emission mechanism, has no significant effect on the positive column. To investigate the effect of the gas flow rate on the discharge, we chose a single current condition (80 mA) and a single electron

emission mechanism, *i.e.* thermionic field emission, hence reflecting the arc regime. We varied the inlet flow velocities as 1, 2 and 4 m s⁻¹. The 2D velocity distributions are shown in figure 13.



Figure 13. 2D distribution of the gas velocity magnitude, at three different inlet flow velocities, for I = 80 mA, in the arc regime. The white arrows show the velocity direction.

385

We can see that the cathode is effectively shielded by the high velocity region of the flow. A velocity gradient is present in both axial and radial direction. As the flow velocity rises to 4 m s⁻¹, a small recirculation zone appears above the cathode surface. The velocity in the recirculation zone is rather low and has no significant effect on the arc properties near the cathode.

390



Figure 14 2D profiles of a) gas temperature and b) electron density, at three different inlet flow velocities, for I = 80 mA in the arc regime.

In Figure 14 the electron density and gas temperature profiles at the three different gas flow velocities are presented. In section 3.2 we already demonstrated, in agreement with [13], that the gas flow effectively insulates the arc from the walls through convective heat transfer in the axial direction. The increased axial cooling upon higher gas flow rate leads to further contraction of the area of the elevated gas temperature. The radius reduces by 37.5 % (from 0.22 to 0.16 cm) between

1 and 4 m s⁻¹ (see figure 14b)), and the cross section area reduces by 87.5 % (from 0.15 to 0.08 397 cm²). The arc radius defined from the current density profile changes from 3.8×10^{-2} cm to 3.3×10^{-2} 398 2 cm, corresponding to a 15 % decrease, and the cross section area drops by 32 % (from 4.5×10^{-3} 399 to 3.4×10^{-3} cm². The radial temperature gradient also becomes significantly steeper upon higher 400 gas flow velocity, which further enhances the cooling of the arc due to heat conduction and results 401 in slightly lower temperatures in the center of the positive column. The radial profiles of gas 402 temperature and electron density at three different gas flow velocities, for z = 1 cm, are shown in 403 figure 15. It is interesting to see that the increase in the gas flow velocity does not influence the 404 core of the positive column. The effects of the gas flow are primarily on the periphery of the plasma 405 indicating the electrodes are shielding the column from the gas flow. This leads to a reduction in 406 the radial size of the positive column without significantly affecting the core. A constant V-T non-407 equilibrium of ~600 K is observed in the middle of the positive column where the electron density 408 has it highest values (Figure 15 a)). The electron density also does not experience a significant 409 change in its maximum value as function of the gas flow (Figure 15 (b)) 410



Figure 15. Radial distribution for a) the gas and vibrational temperature and b) the electron density, at three different gas flow velocities, for z = 1 cm.

The dominant ion remains N_2^+ with an increasing population of N^+ upon higher flow velocities. Naturally, as the plasma is cooled down, a higher voltage is required to sustain the discharge because of the lower gas temperature of the positive column. Because the electrodes are protecting the plasma main conductive channel increasing the velocity does not lead to significant increase in the burning voltage 405 V for 1 m s⁻¹ to 412 V for 4 m s⁻¹.



Figure 16. Axial profile at r = 0 of the arc radius defined from the current density profile a) and from the gas temperature profile b) for I = 80 mA.

416

Figure 16 shows the axial profile at r = 0 for the radius of the arc defined from the current density *j* and the gas temperature T_g . The strong coupling between the gas temperature and the electron density is observed as function of the axial position. Following the discussion in the previous section, the radius of the region with elevated temperature is defined by the balance between the convective and conductive components, meaning that there is a strong connection between the gas velocity and the gas temperature. The electron density balance was determined to be local, indicating the radius of the current density profile depends strongly on the gas temperature profile and indirectly on the gas velocity distribution. The gas entering the domain from the cathode
towards the anode is at 300 K and gradually heats up along the axial direction. The axial transport
of heated gas leads to a less steep temperature gradient in the radial direction as function of the
axial position, which in turn results in expansion of the arc. This effect is highlighted in Figure 16
b) where the gas temperature radius increases as function of the axial position for any flow rate
together with the current density profile (Figure 16 a)).

430 Conclusions

431 We developed a fully self-consistent model of a nitrogen plasma at atmospheric pressure, including the cold cathode emission processes, which allows us to model the cathode region next to the 432 positive column. Specifically, we compare thermionic-field emission with secondary electron 433 emission in order to determine the influence of the cathode region on the positive column, for both 434 arc and glow regime, respectively. Hence, our model investigates the possibility of instabilities 435 arising from the cathode emission phenomena sustaining arc and glow discharges. The results show 436 that for a constant gas flow velocity of 1 m s⁻¹ and electric currents of 80, 120, and 160 mA, the 437 different electron emission mechanisms greatly affect the size and temperature of the cathode 438 439 region, which is hot and very constricted for the arc regime, and at lower temperature and more spread out (especially upon higher currents) for the glow regime. However, this different cathode 440 441 region behavior does not significantly influence the positive column at atmospheric pressure. Our 442 model also reveals that the electron density balance is local and the electron migration and diffusion 443 are negligible at the investigated conditions. Furthermore, the contraction of the positive column 444 and its properties are mainly determined by the balance between the conductive and convective heat transport. Finally, our model predicts that increasing the gas flow velocity does not 445

significantly influence the peak gas temperature, and the resulting contraction weakly influencesthe electron density.

- 448 Our calculations inspire optimism that it is possible to create extended gas-discharge systems with449 a large area sectioned cathode that can generate plasma in large volumes, regardless of the mode
- 450 of the cathode layer on the cathode sections.

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454 Data availability statement

The data that support the findings of this study are available upon reasonable request from theauthors.

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567 Appendix A – Chemical reactions included in the model

Table A1 Electron impact reactions

Electron impact processes		Rate coefficient ^{a,b} [m ³ s ⁻¹]	Reference
R1	$e + N_2 \rightarrow e + N_2$	$k_1 = f(E/N)$	[38,39]
R2	$\mathbf{e} + \mathbf{N}_2 \rightarrow \mathbf{e} + \mathbf{N}_2(X, \nu \le 8)$	$k_2 = f(E/N)$	[38,39]
R3	$e + N_2 \leftrightarrow e + N_2(A^3\Sigma_u^+)$	$k_3 = f(E/N)$	[38,39]
R4	$e + N_2 \leftrightarrow e + N_2 (B^3 \Pi_g)$	$k_4 = f(E/N)$	[38,39]
R5	$\mathbf{e} + \mathbf{N}_2 \leftrightarrow \mathbf{e} + \mathbf{N}_2 \left(\mathbf{a}^{'1} \boldsymbol{\Sigma}_{\mathbf{u}}^{-} \right)$	$k_5 = f(E/N)$	[38,39]
R6	$e + N_2 \leftrightarrow e + N_2(C^3 \Pi_u)$	$k_6 = f(E/N)$	[38,39]
R7	$\mathbf{e} + \mathbf{N}_2(X, v) \rightarrow \mathbf{e} + \mathbf{e} + \mathbf{N}_2^+$	$k_7 = f(E/N)$	[38,39]
R8	$e + N_2(X, v) \rightarrow e + N + N(^2D)$	$k_8 = f(E/N)$	[38,39]
R9	$e + N \rightarrow e + N$	$k_9 = f(E/N)$	[38,39]
R10	$e + N \leftrightarrow e + N(^2D)$	$k_{10} = f(E/N)$	[38,39]
R11	$e + N \leftrightarrow e + N(^2P)$	$k_{11} = f(E/N)$	[38,39]
R12	$e + N \rightarrow e + e + N^+$	$k_{12} = f(E/N)$	[38,39]

^a The rate coefficient of the reverse process is calculated by the principle of detailed balance. ^b The rate coefficient is calculated after integrating the cross section $\sigma_k(\epsilon)$ by a electron energy distribution function calculated using BOLSIG+[39].

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Table A2	lon	conversion	reactions

Ion co	onversion	Rate coefficient ^c [m ³ s ⁻¹] ^d	Reference
R13	$\mathrm{N} + \mathrm{N}_2^+ \rightarrow \mathrm{N}^+ + \mathrm{N}_2$	$k_{13} = 7.2 \times 10^{-19} (T_g/300)$	[18]
R14	$\mathrm{N^+} + \mathrm{N_2} \rightarrow \mathrm{N} + \mathrm{N_2^+}$	$k_{14} = 1.0 \times 10^{-18}$	[18]
R15 ^d	$\mathrm{N_2} + \mathrm{N_2} + \mathrm{N^+} \rightarrow \mathrm{N_2} + \mathrm{N_3^+}$	$k_{15} = 1.7 \times 10^{-41} (300/T_{\rm g})^{2.1}$	[18]

R16^d N₂ + N₂ + N₂⁺
$$\rightarrow$$
 N₂ + N₄⁺ k₁₆ = 5.2 × 10⁻⁴¹(300/T_g)^{2.2} [18]

R17
$$N_2(A^3\Sigma_u^+) + N_2^+ \to N + N_3^+$$
 $k_{17} = 3.0 \times 10^{-16}$ [18]

R18
$$N + N_3^+ \rightarrow N_2 + N_2^+$$
 $k_{18} = 6 \times 10^{-17}$ [18]

R19
$$N_2 + N_3^+ \rightarrow N_2 + N_2 + N^+$$
 $k_{19} = 6.0 \times 10^{-16} \exp(-17000/(T_g + T_v))$ [43]

R20
$$N_2(A^3\Sigma_u^+) + N_3^+$$
 $k_{20} = 6.0 \times 10^{-16}$ [13]

$$\rightarrow$$
 N₂ + N₂ + N⁺

R21
$$N + N_4^+ \rightarrow N_2 + N_3^+$$
 $k_{21} = 1.0 \times 10^{-15}$ [18]

R22
$$N + N_4^+ \rightarrow N_2 + N_2 + N^+$$
 $k_{22} = 1.0 \times 10^{-17}$ [18]

R23
$$N_2 + N_4^+ \rightarrow N_2 + N_2 + N_2^+$$
 $k_{23} = 8.1 \times 10^{-17} \exp(-4842/(T_g + T_v))$ [43]

^c In the expressions for the reaction coefficients, T_g is in [K].

^d The units of the rate coefficients for these three-body reactions are $[m^6 s^{-1}]$.

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Table A3 Associative ionization and Penning ionization reactions

Associative ionization		Rate coefficient ^c [m ³ s ⁻¹]	Reference
R24	$N(^{2}D) + N(^{2}P) \rightarrow N_{2}^{+} + e$	$k_{24} = 1.92 \times 10^{-21} T_g^{0.98} [1$	[18]
		$-\exp(-3129/T_g)$]	-1
R25	$N(^{2}P) + N(^{2}P) \rightarrow N_{2}^{+} + e$	$k_{25} = 3.2 \times 10^{-21} T_g^{0.98} [1$	[18]
		$-\exp(-3129/T_g]^{-1}$	-1
R26	$N_2(a'^1\Sigma_u^-) + N(^2P) \rightarrow N_3^+ + e$	$k_{26} = 1 \times 10^{-17}$	[18]

R27
$$N_2(A^3\Sigma_u^+) + N_2(a^{'1}\Sigma_u^-) \to N_4^+ + e$$
 $k_{27} = 0.5 \times 5 \times 10^{-17}$ [18]

R28
$$N_2(a'^1\Sigma_u^-) + N_2(a'^1\Sigma_u^-) \to N_4^+ + e$$
 $k_{28} = 0.5 \times 2 \times 10^{-16}$ [18]

Penning ionization

R29
$$N_2(A^3\Sigma_u^+) + N_2(a'^1\Sigma_u^-) \rightarrow N_2^+ + N_2 + e \qquad k_{29} = 0.5 \times 5 \times 10^{-17}$$
 [18]

R30
$$N_2(a'^{1}\Sigma_u^{-}) + N_2(a'^{1}\Sigma_u^{-}) \rightarrow N_2^{+} + N_2 + e \quad k_{30} = 0.5 \times 2 \times 10^{-16}$$
 [18]

^c In the expressions for the reaction coefficients, T_g is in [K].

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Electron-ion recombination		Rate coefficient ^e [m ³ s ⁻¹]	Reference	
R31	$e + N_2^+ \rightarrow N + N(^2D)$	$k_{31} = 0.11 \times 2 \times 10^{-13} (300/T_e)^{0.5}$	[18]	
R32	$e + N_2^+ \rightarrow N + N(^2P)$	$k_{32} = 0.77 \times 2 \times 10^{-13} (300/T_e)^{0.5}$	[18]	
R33	$e + N_2^+ \rightarrow N(^2D) + N(^2D)$	$k_{33} = 0.11 \times 2 \times 10^{-13} (300/T_e)^{0.5}$	[18]	
R34 ^d	$e + N_2 + N_2^+ \rightarrow N_2 + N_2$	$k_{34} = 6 \times 10^{-39} (300/T_e)^{1.5}$	[13]	
R35	$e + N^+ \rightarrow N$	$k_{35} = 7 \times 10^{-18}$	[13]	
R36 ^d	$e + e + N^+ \rightarrow e + N$	$k_{36} = 7.0 \times 10^{-32} (300/T_e)^{4.5}$	[13]	
R37 ^d	$e + e + N_2^+ \rightarrow N_2 + e$	$k_{37} = 1.0 \times 10^{-31} (300/T_e)^{4.5}$	[18]	
R38 ^d	$e + N_2 + N^+ \rightarrow N_2 + N$	$k_{38} = 6.07 \times 10^{-34} T_e^{-2.5}$	[18]	
R39	$e + N_3^+ \rightarrow N_2 + N$	$k_{39} = 2.0 \times 10^{-13} (300/T_e)^{0.5}$	[18]	
R40	$e + N_4^+ \rightarrow N_2 + N_2$	$k_{40} = 2.0 \times 10^{-12} (300/T_e)^{0.5}$	[18]	
^d The	^d The units of the rate coefficients of these three-body reactions are $[m^6 s^{-1}]$.			

^e In the expressions for the reaction coefficients, T_e is in [K].

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Table A5 Thermal dissociation and three-body recombination reactions
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Therm	al dissociation & three-body recom	bination Rate coefficient ^c $[m^3 s^{-1}]^{d,f}$	Reference
R41	$N_2 + N \rightarrow N + N + N$	$k_{41} = 6.6 \times 5.4 \times 10^{-14} \exp(-113200/T_g) [1$	[37]
		$-\exp(-3354/T_g)]$	
R42	$N_2 + N_2 \rightarrow N + N + N_2$	$k_{42} = 4.98 \times 10^{-9} T_g^{-1.5} \exp(-113260/T_g)$	[18]
R43 ^d	$N + N + N_2 \rightarrow N_2 + N_2$	$k_{43} = 1.91 \times 10^{-45}$	[37]
R44 ^d	$N + N + N \rightarrow N + N_2$	$k_{44} = 3 \times 1.8 \times 10^{-45} \exp(435/T_g)$	[37]

Reactions involving electronically excited molecules and atoms

R45	$N_2(v_1) + N_2(v_2) \rightarrow N_2 + N_2(A^3\Sigma_u^+)$	$k_{45} = 0.5 \times 10^{-22}, v_{1,2} \ge 12$	[13]
R46	$N_2(v_1) + N_2(v_2) \rightarrow N_2 + N_2(B^3\Pi_g)$	$k_{46} = 1 \times 10^{-21}$, $v_{1,2} \ge 14$	[13]
R47	$N_2(v_1) + N_2(v_2) \rightarrow N_2 + N_2(a'^1\Sigma_u)$	$k_{47} = 1 \times 10^{-21}, v_{1,2} \ge 16$	[13]
R48	$N_2(v_1) + N_2(v_2) \rightarrow N_2 + N_2(C^3\Pi_u)$	$k_{48} = 3.25 \times 10^{-23}$, $v_{1,2} \ge 25$	[13]
R49	$N_2(A^3\Sigma_u^+) + N_2(v+6)$ $\rightarrow N_2(B^3\Pi_g) + N_2(v)$	$k_{49} = 3 \times 10^{-17}$	[13]
R50	$N_2(A^3\Sigma_u^+) + N_2(A^3\Sigma_u^+) \rightarrow N_2 + N_2(B^3\Pi_g)$	$k_{50} = 3.0 \times 10^{-16}$	[37]
R51	$\mathrm{N}_2(\mathrm{A}^3\Sigma^+_\mathrm{u}) + \mathrm{N}_2(\mathrm{A}^3\Sigma^+_\mathrm{u}) \to \mathrm{N}_2 + \mathrm{N}_2(\mathrm{C}^3\Pi_\mathrm{u})$	$k_{51} = 1.6 \times 10^{-16}$	[18]
R52	$N_2(A^3\Sigma_u^+) + N_2 \rightarrow N_2 + N_2$	$k_{52} = 3.0 \times 10^{-22}$	[18]
R53	$N_2(A^3\Sigma_u^+) + N \rightarrow N_2 + N(^2P)$	$k_{53} = 4.0 \times 10^{-17} (300/T_g)^{2/3}$	[18]
R54	$N_2(B^3\Pi_g) + N_2 \rightarrow N_2 + N_2(A^3\Sigma_u^+)$	$k_{54} = 3.0 \times 10^{-17}$	[18]
R55	$N_2(B^3\Pi_g) + N_2 \rightarrow N_2 + N_2$	$k_{55} = 2.0 \times 10^{-18}$	[18]
R56	$N_2 \left(a^{'1} \Sigma_u^-\right) + N_2 \rightarrow N_2 + N_2 \left(B^3 \Pi_g\right)$	$k_{56} = 1.9 \times 10^{-19}$	[18]
R57	$N_2(C^3\Pi_u) + N_2 \rightarrow N_2 + N_2(a^{'1}\Sigma_u^-)$	$k_{57} = 1.0 \times 10^{-17}$	[18]
$R58^{\rm f}$	$N_2(B^3\Pi_g) \rightarrow N_2(A^3\Sigma_u^+) + hv$	$k_{58} = 1.5 \times 10^5$	[18]

R59^f N₂(C³Π_u) → N₂(B³Π_g) + *hν*
$$k_{59} = 2.4 \times 10^7$$
 [13]

R60^d N + N + N₂
$$\rightarrow$$
 N₂(A³ Σ_{u}^{+}) + N₂ $k_{60} = 8.3 \times 10^{-46} \exp(500/T_{g})$ [18]

R61
$$N(^{2}D) + N_{2} \rightarrow N + N_{2}$$
 $k_{61} = 6.0 \times 10^{-21}$ [18]

R62
$$N(^{2}P) + N \rightarrow N(^{2}D) + N$$
 $k_{62} = 1.8 \times 10^{-18}$ [37]

R63
$$N(^{2}P) + N_{2} \rightarrow N_{2} + N$$
 $k_{63} = 6.0 \times 10^{-20}$ [18]

R64
$$N(^{2}P) + N_{2} \rightarrow N_{2} + N(^{2}D)$$
 $k_{64} = 2.0 \times 10^{-24}$ [18]

^c In the expressions for the reaction coefficients, T_g is in [K].

^d The units of the rate coefficients of these three-body reactions are $[m^6 s^{-1}]$.

^f The units of the rate coefficients of these radiative decay reactions are [s⁻¹].

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Table A6 Diffusion coefficients in N_2 Diffusion coefficient [m² s⁻¹] Species Reference $N_2(X^1\Sigma_g^+), N_2(A^3\Sigma_u^+), N_2(a^{'1}\Sigma_u^-), 0.17 \times 10^{-4} \cdot \left(\frac{T}{300 \text{ K}}\right)^{1.7816}$ [13] $N_2(B^3\Pi_g), N_2(C^3\Pi_u)$ $N(^{4}S)$ $0.28 \times 10^{-4} \cdot \left(\frac{T}{300 \text{ K}}\right)^{1.5}$ [37] $N(^{2}D)$ $0.227 \times 10^{-4} \cdot \left(\frac{T}{300 \text{ K}}\right)^{1.5}$ [37] $N(^{2}P)$ $0.185 \times 10^{-4} \cdot \left(\frac{T}{300 \text{ K}}\right)^{1.5}$ [37] $7.8\times 10^{-6}\cdot \left(\frac{T}{300\ \text{K}}\right)^{1.7816}$ N^+ [13]

$$N_2^+$$
 $4.8 \times 10^{-6} \cdot \left(\frac{T}{300 \text{ K}}\right)^{1.7816}$ [13]

$$N_3^+$$
 $4.8 \times 10^{-6} \cdot \left(\frac{T}{300 \text{ K}}\right)^{1.7816}$ [13]

$$N_4^+$$
 $5.8 \times 10^{-6} \cdot \left(\frac{T}{300 \text{ K}}\right)^{1.7816}$ [13]

577 Appendix B - Comparison with experiments.



Figure B1. Comparison of the electron density (at z = 1 cm) from our model, with the results of [18].

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579 Our model was calculated for the experimental conditions in [18] and the results of the electron 580 density as function of the current are presented in Figure B1. Our calculated electron density is 581 about one order of magnitude larger than the value of [18], and is more or less constant upon 582 increasing current, while the data of [18] rise with current. Even though we have the same kinetic 583 mechanisms in [18] our model is not able to reproduce the results. We attribute this to the 584 complicated gas flow behavior of the experiment, which is not explained in detail. Our modelling approach requires information of the full geometry in order for us to calculate the flow field. We
performed additional comparison with the experiments in [13] presented in figure B2.



Figure B2. Comparison of the vibrational temperature T_v and gas temperature T_g (at z = 1 cm) for our model and the experimental results in [13] for different currents.

There is good agreement between the experiment and our model for the values of the gas 587 temperature as function of the current. The experimental vibrational temperature shows a 588 decreasing trend as function of the current and approaches (near) equilibrium with the gas 589 590 temperature, while our model shows an increase in vibrational temperature and strong deviation from equilibrium. The discrepancy between our model and these experiments might be because 591 some kinetic pathway is still missing, or because we do not have all information on the full 592 geometry of these experiments, which would be needed for an accurate description, due to the 593 strong coupling between gas flow and plasma behavior in our model. However, we believe our present 594 model can already qualitatively describe the differences between arc and glow regime, and the effect on the 595 596 plasma column, which was the purpose of our work.