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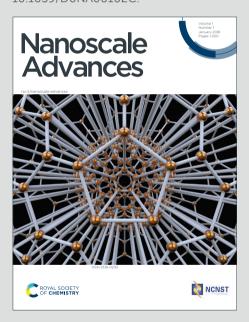


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Self-assembly of Janus Au:Fe₃O₄ branched nanoparticles. From organized clusters to stimuli-responsive nanogel suprastructures

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Janus nanoparticles offer enormous possibilities through a binary selective functionalization and dual properties. Their self-assembly has attracted strong interest due to their potential as building blocks to obtain molecular colloids, supracrystals and well-organized nanostructures that can lead to new functionalities. However, this self-assembly has been focused on relatively simple symmetrical morphologies, while for complex nanostructures this process has been unexplored. Here, we study the assembly of plasmonic-magnetic Janus nanoparticles with a branched (nanostar) - sphere morphology. The branched morphology enhances their plasmonic properties at the near-infrared and therefore their applicability, but at the same time constrains their selfassembly capabilities to obtain more organized or functional suprastructures. We describe the self-assembly of these nanoparticles after amphiphilic functionalization. The role of the nanoparticle branching, as well as the size of the polymer-coating, is explored. We show how the use of large molecular weight stabilizing polymers can overcome the anisotropy of the nanoparticles producing a change in morphology from small clusters to larger quasi-cylindrical nanostructures. Finally, the Janus nanoparticles are functionalized with a thermo-responsive elastin-like recombinamer. These nanoparticles produce reversible self-assembly in the presence of free polymer giving rise to nanoparticle-stabilized nanogel-like structures of controlled size, opening the possibility to expand their applicability to multi-stimuli controlled self-assembly.

Introduction

Janus nanoparticles are those exhibiting a surface composed of two chemically or physically different domains or regions. They offer a unique broken symmetry combined with an ability to impart molecule-like directionality by their chemical anisotropy at a nanometer scale, which makes them exceptional candidates a large number of applications. For instance, thanks to their high interfacial activity in comparison with the homogeneous counterparts, they could be used to form thermodynamically stable emulsions, 1-4 with high applicability in cosmetics, waste-water treatments, heterogeneous catalysis or energy among others. 5-8 Also, in biomedicine, they have been proposed in applications ranging from biosensing 9 and

biomimicking¹⁰ to cell-membrane penetration elements.^{11,12} Other uses include nanomotors and nanojets, electronic displays, anti-reflecting surfaces, etc.^{13–17}

One remarkable use of Janus nanoparticles is as building blocks for nanoparticle self-assembly, enabling the advance in fields such as molecular colloids or supracrystals. 16,18,19 Therefore, a huge effort has been dedicated to the synthesis of novel Janus nanoparticles with a variety of morphologies and high uniformity, as well as their use in the generation of novel selfassembled suprastructures. Among many examples, spherical micelles have been formed with spherical soft Janus nanoparticles, 20,21 stacked sandwich structures with discshaped,²² worm-like structures with hard amphiphilic Janus SiO₂ spheres,²³ and pH-dependent aggregates with Janus dipolar hard spheres.²⁴ More complex morphologies such as spheresphere (also called snowman shape) have shown a wealth of assembled architectures. Honciuc et al. have reported how polymeric snowman nanoparticles with different Janus ratios and lobe separations could give rise to mono-walled capsules, spherical micelles, planar bilayers or capsules with random orientation nanoparticles.^{25,26} Snowman hard Au-SiO₂ nanoparticles have been used to produce small clusters²⁷ while Au-Fe₃O₄ nanoparticles have been employed for the formation of clusters and double- and mono-layer vesicles. 28,29

All these reported works have been directed to relatively simple and highly symmetric Janus nanoparticles. Thus, there is a lack

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[†] Electronic Supplementary Information (ESI) available: [TEM images of nanoparticles and assemblies, UV-Vis spectra of functionalization and self-assembly, MALDI-TOFF and DSC of ELR, Cryo-TEM of thermo-responsive assemblies, photothermal heating profile and UV-Vis]. See DOI: 10.1039/x0xx00000x

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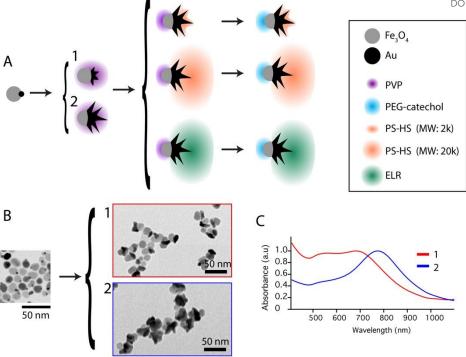


Figure 1. A) Schematic representation of the different steps of nanoparticle functionalization for two sets of nanoparticles (1 and 2). B) TEM images corresponding to the initial heterodimer seeds and after the growth of Au nanostars of two different sizes. (1) Size of $Fe_3O_4 > size$ of Au, and (2) size of Au > size of $Fe_3O_4 < size$ of the nanoparticles showed in (B).

of understanding in the self-assembly of more complex Janus nanoparticles, such as those with irregular shapes or those exhibiting hybrid soft-hard materials. The work developed here will help to advance in this idea deepening into the old but still highly relevant question of "How far can we push chemical selfassembly?".30 For this, Janus Au-Fe₃O₄ nanoparticles have been tested, further modifying the Au part into a branched morphology and varying the polymer surface. This morphology has the advantage of presenting dual superparamagnetic properties and plasmonic response at the near infrared (NIR) making it highly interesting in biosensing, multimodal imaging, or dual magnetic-plasmonic hyperthermia among others.31-33 Several stimuli have been tested to trigger the directed selfassembly of these nanoparticles. First, a solvent exchange was performed by adding water, which acts as a bad solvent for the hydrophobic nanoparticle side, to a stabilized nanoparticle solution. The water caused the hydrophobic aggregation of the corresponding region, therefore driving the assembly. We show that the molecular weight of the hydrophobic polymer has dramatic effects on the assembly morphology decreasing the effect of the nanoparticle anisotropy at high molecular weights. Second, temperature variation was applied as a stimulus to nanoparticles with one side stabilized by elastin-like recombinamers (ELR). ELRs are smart polymers that exhibit a fast and reversible hydrophobic aggregation upon the increase of temperature above their lower critical solution temperature (LCST), also known as inverse temperature transition.^{34,35} ELRs have shown a high tunability in the LCST and can be easily modified to respond to a wide range of stimuli, such as light, pH, redox potential, ionic strength, etc.^{34–37} Furthermore, given the recombinant nature of ELRs, a blend of different structural protein domains or enhanced functionalities such as bioactive sequences can be easily incorporated into their backbone.^{38,39} In this case, the addition of free ELR to the solution of nanoparticles, functionalized with both a hydrophilic and a thermo-responsive ELR, allowed the size control of the assemblies upon change of temperature. Finally, given the thermal transduction properties of these nanoparticles, alternating magnetic and electromagnetic stimuli could also be applied. As an example, we show how the photothermal transduction, by irradiating at NIR with relatively low concentration and dose, can be used to increase the temperature over the LCST and trigger the assembly.

Results and discussion

Janus nanoparticles composed of two epitaxially bond nanomaterials, Au-nanostar and Fe₃O₄-nanosphere, were synthesized as previously reported (see supporting information, SI).³² Two versions of those nanoparticles were obtained sharing the same F₃O₄ part with a diameter of 16 nm, and Au nanostars of two different sizes (hereafter called nanoparticles (1) and (2)). Nanoparticles (1) have Au-nanostars smaller than the Fe₃O₄ lobe (d_{equivalent} ~ 11 nm) and short tips. Nanoparticles (2) have Au-nanostars bigger than the Fe₃O₄ part (d_{equivalent} ~23 nm) and longer tips (see figure 1.B and SI figure S1). By ICP (see SI) the volume ratio corresponds to a Au/Fe₃O₄ volume ratio of 0.36 and 1.31 for nanoparticles (1) and (2) respectively. The

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nanoparticles were synthesized with polyvinylpyrrolidone (PVP) as a weak capping agent. This facilitates the ligand exchange with molecules containing groups such as thiols for the Au surface, or catechols and silanes for Fe_3O_4 . The Janus nanoparticles were modified to obtain amphiphiles in a two-step selective functionalization (see figure 1) following a previously reported protocol. ⁴⁰ In the first step, a ligand exchange with thiol-terminated polystyrene (with two molecular weights 2 kg/mol and 20 kg/mol) was followed by UV-Vis with a red-shift of the Au plasmon band due to the change in refractive index (SI, figure S2). Once the ligand exchange was produced, catechol-terminated polyethylene glycol was added to functionalize the Fe_3O_4 surface in a second step, minimizing

in this way the catechol-terminated molecule adhesion to the

The polystyrene with low molecular weight (2 kg/mol) is a small polymer or oligomer of only ~19 monomers that even in the extreme case of completely stretched it would be only ~5-6 nm length. Thus, this polymer is expected to form a thin hydrophobic layer on the Au surface in a way that the assembly is highly dictated by the Au morphology. On the other side, the PEG with a molecular weight of 5 kg/mol, is expected to form a more expanded hydrophilic region. If nanoparticles are in a suitable solvent for both sides, such as DMF or THF, and a small quantity of water is added (10%) to the system, nanoparticles self-assemble in small clusters of 2 to 4 nanoparticles with the hydrophobic side facing the interior and the hydrophilic side facing the exterior (Figure 2). The slow assembly process can be followed by UV-Vis where a red-shift is produced over approximately two hours (SI, figure S3). Interestingly, similar

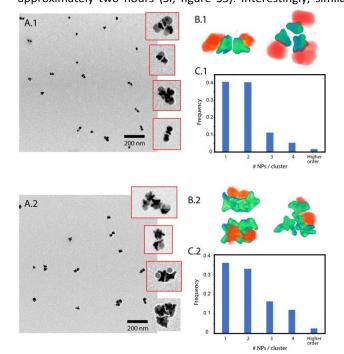
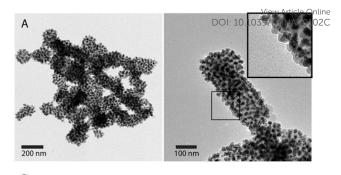


Figure 2 A) TEM images of assemblies of nanoparticle amphiphiles with short PS. Insets show some of those clusters. B) Electron tomography showing the cluster hydrophobic core formed by Au nanostars and the hydrophilic exterior formed by hydrophilic Fe₂O₄. C) Quantification of cluster sizes according to the number of nanoparticles per cluster, observed in the TEM images. A.1, B.1 and C.1 correspond to nanoparticles (1), and A.2, B.2 and C.2 to nanoparticles (2).



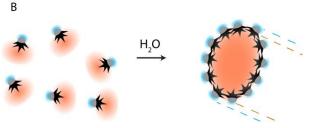


Figure 3 (A) TEM images of nanoparticle-amphiphiles assemblies (figure 1.B1) with long thiol-terminated PS (MW: 20 kg/mol). Inset shows the Janus nanoparticles with the Fe_3O_4 facing the exterior of the assemblies. B) Schematic representation of a self-assembly process by solvent exchange and the formation of assemblies where the Au nanostars are facing the hydrophobic core and the hydrophilic Fe_3O_4 is facing the exterior.

small clusters were formed for nanoparticles with the two different sizes of Au nanostars (nanoparticles 1 and 2), only slightly higher for nanoparticles with bigger stars (Figure 2. C.1 and C.2). TEM images and 3D reconstructions obtained by electron tomography of clusters show that nanoparticles are, in fact, arranged quite differently (Figure 2. B.1 and B.2). For small nanostars, the hydrophilic Fe₃O₄ tends to be located on opposite sides to maximize the hydrophilic surface of the cluster. However, for bigger nanostars, the longer tips impose big constrains on the cluster. They interdigitate to maximize the hydrophobic contact while the hydrophilic Fe₃O₄ exterior cannot be organized at opposite directions, but still conferring the cluster enough colloidal stability in water. Note here, that when simple nanostars are functionalized with thiol-terminated PEG they do not exhibit any aggregation while when this functionalization is made with thiol-terminated PS they completely separate from the solution sedimenting and sticking to the surface of the solution vial.

To overcome the limitations of nanostar irregular shapes, a hydrophobic polymer with higher molecular weight was used to form a bigger hydrophobic "cushion" and soften the Au branched morphology. Therefore, we modified the Au surface with a thiol-terminated PS, of 20 kg/mol molecular weight, and performed a similar experiment. The hydrophobic association was much faster than in the previous case (a few minutes) and the assemblies tended to sediment with time (completely sedimented after one day). After purification and dispersion in water, the TEM images showed much bigger assemblies (Figure 3). They had an elongated morphology with a hydrophobic interior and one-nanoparticle-layer thickness shell. The inset in Figure 3.A shows the side of one of these assemblies where, as expected, the exterior is formed by the Fe₃O₄ hydrophilic parts (light grey). For the nanoparticles inside the structure, the orientation preference is not obvious, possibly due to the

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branching of the nanoparticle and the collapse during the drying process. The thickness of those micelles was around 120 \pm 25 nm for nanoparticles with small nanostars. Assuming a nanoparticle size of 20-25 nm, this corresponds to an interior diameter of 70-80 nm that could be due to the formation of a second nanoparticle layer, as previously described for dumbbell-like nanoparticles, 28,29 or to the presence of nonbonded polystyrene in solution. The nanoparticles with bigger nanostar size gave rise to similar structures and, as expected for these bigger nanostars and containing more PS per nanoparticle, the size of assemblies grew to average diameters of 200 \pm 55 nm (SI, Figure S4). Although the elongated morphology is not completely clear, directional growth has been previously observed in micrometer size particles and emulsified particles at an intermediate range of Janus balance.23,41

This previous system can be reversible, therefore switchable, upon changing the solvent back to DMF or THF, however, this process is either slow or give rise to high dilutions. To expand the range of stimuli that can trigger the self-assembly (switchability) and do it in a simpler manner, we substituted the hydrophobic polymer by an ELR. In our case, the ELR used was based on the pentapeptide (VPGVG)_n with a tri-cysteine terminal sequence that provided the necessary thiol groups for the Au functionalization, and with an LCST around ~30 °C (SI, figure S6). The Fe₃O₄ was functionalized with catechol-PEG in the same way as previous nanoparticles. The role of this hydrophilic polymer was, like in the previous cases, to keep the stability of the formed structures upon nanoparticle assembly, offering a hydrophilic PEG layer that surrounding them.

Upon increasing the temperature above the LCST_{vi} and despite the relatively high molecular weight ଡ଼ିମ:the ଡ଼ିମ୍ବର ନ୍ୟୁ ନିର୍ମ୍ବ ମଧ୍ୟ ନିର୍ମ୍ବ ନ୍ୟୁ ନିର୍ମ୍ବ ନ୍ୟୁ ନିର୍ମ୍ବ ନ୍ୟୁ ନିର୍ମ୍ବ ନ୍ୟୁ ନିର୍ମ୍ବ ନ୍ୟୁ ନିର୍ମ୍ବ ନିର୍ମ ନିର୍ମ୍ବ ନିର୍ମ୍ବ ନିର୍ମ୍ବ ନିର୍ମ୍ବ ନିର୍ମ ନିର୍ମ୍ବ ନିର୍ମ୍ବ ନିର୍ମ୍ବ ନିର୍ମ୍ବ ନିର୍ମ୍ବ ନିର୍ମ୍ବ ନିର୍ମ୍ବ ନିର୍ମ୍ବ ନିର୍ମ୍ବ ନିର୍ମ ନିର୍ମ୍ବ ନିର୍ମ ନିର୍ମ୍ବ ନିର୍ମ ନି kg/mol), minimum changes were observed. Only a slight shift in the plasmonic band on the UV-Vis together with a small decrease in the hydrodynamic diameter (SI, Figure S7 A-B). These effects can be explained by a contraction of the ELR shell on the nanoparticle Au surface and an increase in its refractive index. Interestingly, this is produced only after a good cleaning procedure. Mildly cleaned nanoparticles (with only one centrifugation cycle after functionalization) produces a distinct increase in the absorbance spectrum and in the hydrodynamic diameter, indicative of nanoparticles interaction. This finding highlights the importance of the free polymer in the solution that might be the real cause of aggregation in many previous reports of thermo-responsive polymer-coated nanoparticles. Based on this observation, we artificially added free ELR to the

smart nanoparticle amphiphiles. By varying the amount of added polymer to the solution, the final size of the assembly could be easily controlled (Figure 4.B). TEM images (Figure 4C) showed that those assemblies were mainly formed by ELR hydrogel and surrounded by a sparse layer of nanoparticles as depicted schematically in Figure 4A. The assemblies observed by TEM images showed a polydisperse size distribution and a clear increase of size with the [free polymer]/[nanoparticle] ratio as observed by DLS. The bigger sizes observed in the TEM images could be due as the adsorption on the TEM grid causing the spreading of the nanostructure on the amorphous carbon that finally collapses by the drying of the sample. Additional cryo-TEM (SI Figure S9) of the assemblies also confirmed the formation of these self-assembled structures depicted

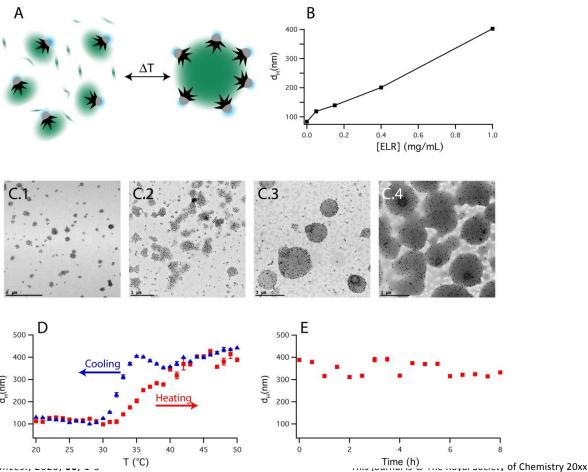


Figure 4 A) Schematic representation of the temperature-triggered self-assembly of a solution containing ELR functionalized Janus nanoparticles together with free ELR. B) Hydrodynamic diameter (d_H) upon increasing the temperature above the LCST of ELR as a function of the ELR concentration and nanoparticle concentration constant. C) TEM images of the assemblies measured in Figure B, at concentrations of 0.05, 0.15, 0.4 and 1 mg/mL of ELR. D) d_H in a heating-cooling cycle showing the reversibility of the system. D) d_H of the assemblies measured during several hours at 50 °C.

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schematically in Figure 4A. In this case, the sizes of the observed assemblies were limited by the thickness of the glassy ice layer formed in the plunged-freezing preparation.

The self-assembly process was reversible with 2-3 °C of hysteresis in the heating-cooling cycle (Figure 4D) and once the assemblies were formed, they showed to be stable over several hours (figure 4E). On the other hand, when nanoparticles were not present in solution, upon increasing the temperature, the polypeptide aggregated in micrometer-size macroparticles (Figure S8 C). Therefore, the nanoparticles act as nanosurfactants inhibiting the growth of the polymer aggregates and stabilizing the assemblies. Assuming that the number of nanoparticles is proportional to the surface of the assemblies and the amount of ELR to the volume of the assemblies, this would produce a linear variation of the diameter of these assemblies with the [free polymer]/[nanoparticle] ratio. This linear variation is observed in Figure 4B and is similar to the ones observed in emulsified systems 41

This self-assembly can be easily extended to other stimuli by the design of ELRs with different sensitivities.34 Furthermore, thanks to the nanoparticle core properties, the self-assembly process can be also triggered by a hyperthermia transduction mechanism, either with an alternating magnetic field or with light irradiation at the plasmonic band wavelengths.33 We tested this last case, where the temperature raised by irradiating at the NIR. In this case, the temperature increment is highly dependent on the nanoparticle concentration and irradiance. We found out that a Au concentration of only ~0.33 mM and a relatively low irradiance of 1 W/cm² (at 808nm) raised the temperature of the whole sample more than 20 °C, enough to increase the temperature from room temperature to above the LCST and trigger the self-assembly (see figure S10).

Conclusions

Janus nanoparticles are remarkable building blocks to obtain complex self-assembled suprastructures. We have shown that complex Janus nanostructures, such as the Au-Fe₃O₄ starsphere nanoparticles, possess unique assembly features. This is highly dependent on the geometry of nanoparticles and the molecular weight of the polymeric shell. For small hydrophobic layers, nanoparticles self-assemble in small micellar clusters, their organization being affected by the nanostar size and shape. Larger polymer shell gives rise to bigger elongated assemblies with oriented nanoparticle nanoamphiphiles. We have also shown that the use of thermo-responsive polymers does not trigger the self-assembly itself. However, the addition of free polymer in solution allows the formation of nanoparticle stabilized assemblies with tunable size. This self-assembly is reversible and can be equally triggered by the increase in temperature or by the irradiation at the NIR. The work presented here highly contributes to improving our understanding of Janus nanoparticle self-assembly and shows the formation of novel suprastructures with dual magnetic and plasmonic properties that convert the assemblies in very versatile tools for future applications and can be extended to other stimuli-triggered systems.

Conflicts of interest

There are no conflicts to declare

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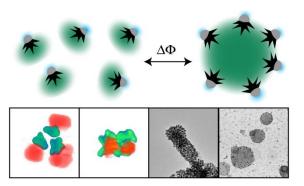
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TOC



Novel suprastructures, from small clusters to micelle-like structures, are obtained by self-assembly of Janus $Au:Fe_3O_4$ nanostars under external stimuli.