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# **Plasma catalysis for ammonia synthesis:**

## **A microkinetic modelling study on the contributions of Eley-Rideal reactions**

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### **Abstract**

Plasma catalysis is an emerging new technology for the electrification and downscaling of  $\text{NH}_3$  synthesis. Increasing attention is being paid to the optimization of plasma catalysis with respect to the plasma conditions, the catalyst material and their mutual interaction. In this work we use microkinetic models to study how the total conversion process is impacted by the combination of different plasma conditions and transition metal catalysts. We study how plasma-generated radicals and vibrationally excited  $\text{N}_2$  (present in a dielectric barrier discharge plasma) interact with the catalyst and impact the  $\text{NH}_3$  turnover frequencies (TOFs). Both filamentary and uniform plasmas are studied, based on plasma chemistry models that provided plasma phase speciation and vibrational distribution functions. The Langmuir-Hinshelwood reaction rate coefficients (i.e. adsorption reactions and subsequent reactions among adsorbates) are determined using conventional scaling relations. An additional set of Eley-Rideal reactions (i.e. direct reactions of plasma radicals with adsorbates) was added and a sensitivity analysis on the assumed reaction rate coefficients was performed. We first show the impact of different vibrational distribution functions on the catalytic dissociation of  $\text{N}_2$  and subsequent production of  $\text{NH}_3$ , and we gradually include more radical reactions, to illustrate the contribution of these species and their corresponding reaction pathways. Analysis over a large range of catalysts indicates that different transition metals (metals such as Rh, Ni, Pt and Pd) optimize the  $\text{NH}_3$  TOFs depending

on the population of the vibrational levels of  $N_2$ . At higher concentrations of plasma-generated radicals, the  $NH_3$  TOFs become less dependent on the catalyst material, due to radical adsorptions on the more noble catalysts and Eley-Rideal reactions on the less noble catalysts.

**Keywords:** plasma catalysis, Eley-Rideal reactions, volcano plots, vibrational excitation, radical reactions, dielectric barrier discharge

## **Introduction**

For over a hundred years, the production of ammonia ( $NH_3$ ) through the Haber-Bosch process has been one of the most important processes of chemical industry.<sup>1</sup> Efficient  $NH_3$  synthesis from  $N_2$  and  $H_2$  is a crucial element in meeting today's agricultural needs, providing the building block for synthetic fertilizers.  $NH_3$  can also be used as a hydrogen carrier and possibly holds the key to efficient hydrogen storage in a carbon-free economy.<sup>2</sup> Thermodynamically,  $NH_3$  synthesis from  $N_2$  and  $H_2$  is an exergonic reaction at atmospheric pressure and ambient temperature, but rates over conventional metal catalysts are low due to the high activation barrier to dissociate  $N_2$ . For this reason, the Haber-Bosch process is carried out at elevated temperature ( $\sim 700$  K) to increase the dissociation rate of  $N_2$  and at elevated pressure ( $\sim 200$  atm) to shift the equilibrium in favor of  $NH_3$ .

Vojdovic, *et al.*<sup>3</sup>, explored the requirements for a catalyst to promote  $NH_3$  synthesis at ambient temperature and pressure along the conventional Langmuir-Hinshelwood (LH) pathway in which  $N_2$  and  $H_2$  dissociate at the catalyst surface and adsorbed N and H combine to form  $NH_3$ . They concluded that materials that follow the predicted linear relationships between activation and reaction energies on metal catalysts, will have negligible rates at these conditions. Mehta, *et al.*<sup>4</sup> noted that these intrinsic material correlations would be shifted if applied to vibrationally excited  $N_2$ , as might be present in a non-thermal plasma. Model results predict that vibrational excitations would increase  $NH_3$  synthesis rates at a given condition on materials kinetically limited by  $N_2$  dissociation,<sup>4,5</sup> that the optimal catalytic material would be different from that at thermal conditions,<sup>4</sup> and that as consequence of non-thermal excitations,  $NH_3$  yields could exceed equilibrium limits.<sup>6</sup> Results were supported by experimental observations over a variety of catalytic materials evaluated in a packed-bed

dielectric barrier discharge (DBD) reactor, although direct comparisons of models and experiments are complicated by the confounding, reactive radical species generated within the DBD.

As reviewed by Mehta, *et al.*<sup>5</sup>, the sensitivity of NH<sub>3</sub> productivity to catalyst choice in DBD plasma has been explored by a number of groups.<sup>6–12</sup> A consensus on an optimal material does not emerge from these observations, in part because experiments explore different plasma and reactor conditions as well as catalyst motifs. However, while observed turnover frequencies and yields vary with transition metals, the results are less sensitive to the catalyst material than would be suggested by the Mehta, *et al.*<sup>4</sup> models. Furthermore, the DBD reactor produces some NH<sub>3</sub> even in the absence of a metal catalyst, and the reactor walls as well as the inner electrode are known to play a role in the NH<sub>3</sub> synthesis.<sup>10,13</sup>

Rouwenhorst *et al.*,<sup>14</sup> defined NH<sub>3</sub> synthesis via an excitation-promoted LH pathway as *plasma-enhanced catalytic* synthesis. Other routes to NH<sub>3</sub> in a DBD plasma are defined as *surface-enhanced plasma-driven* synthesis or *plasma-enhanced semi-catalytic* synthesis.<sup>14</sup> In the former case, N<sub>2</sub> and H<sub>2</sub> are dissociated in the plasma phase and the surface aids in bringing the N and H radicals together to form NH<sub>3</sub>. In the latter case, dissociation of N<sub>2</sub> occurs in the plasma phase and H<sub>2</sub> dissociation happens at the catalyst surface. Subsequent hydrogenation of N occurs at the catalyst surface. These two regimes couple plasma-generated radicals with the surface kinetics. In a given system, these other pathways could supplement or even supplant the thermal LH pathways.

The role of plasma-generated radicals can result in two different mechanisms, (i) a two-step mechanism where the radicals first adsorb to a free catalyst site and subsequently react with other adsorbates, or (ii) a one-step mechanism where the radicals directly react with adsorbates (which are here collectively called Eley-Rideal, or ER reactions). Experimental works<sup>15,16</sup> have tried to pinpoint specific reaction routes via isotope tracing<sup>15</sup>, and mass spectrometry and X-ray photoelectron spectroscopy<sup>16</sup>. These experiments show limited, indirect evidence for ER reactions without providing detailed mechanistic and kinetic information on the exact catalytic pathways.

Microkinetic models can provide the necessary information to elucidate the underlying mechanisms. Hong, *et al.*<sup>17</sup> reported on a kinetic model for plasma-catalytic NH<sub>3</sub> synthesis in an atmospheric pressure discharge in contact with Al<sub>2</sub>O<sub>3</sub> or Fe/Al<sub>2</sub>O<sub>3</sub>, with a

reduced electric field (ratio of electric field over gas number density, typically expressed in Td, where  $1 \text{ Td} = 10^{-21} \text{ V m}^2$ ) in the range of 30-50 Td. The surface reactions included both LH and ER reactions and the reaction rates were determined based on (often estimated) sticking coefficients. The calculation results suggested that the main catalytic pathway for  $\text{NH}_3$  synthesis is a combination of plasma phase dissociation and recombination, followed by both LH and ER reactions on the catalyst. Firstly,  $\text{N}_2$  dissociates in the plasma phase, (i)  $\text{e}^- + \text{N}_2 \rightarrow \text{e}^- + 2\text{N}$ , and then, the subsequent hydrogenations each happen via a different route: the first hydrogenation occurs in the plasma, (ii)  $\text{N} + \text{H} \rightarrow \text{NH}$ , the second hydrogenation follows an ER path, (iii)  $\text{NH} + \text{H}^* \rightarrow \text{NH}_2^*$ , and the last hydrogenation follows a LH path, (iv)  $\text{NH}_2^* + \text{H}^* \rightarrow \text{NH}_3$ . Vibrational excitations of  $\text{N}_2$  were included in this model up to the eighth excited level and corresponding catalytic dissociation rates were defined based on approximate sticking coefficients. Catalytic dissociation of vibrationally excited  $\text{N}_2$  was found to be not as important as the reactions of plasma-generated radicals. While these results provide interesting kinetic insights, the model was limited by the assumed plasma conditions, the choice of catalyst and the simplified reaction kinetics.

In reality, a DBD plasma typically exhibits a filamentary character, consisting of micro-discharge filaments and weaker plasma in between. However, depending on the conditions, more uniform (surface) discharges may also be present. Wang *et al.*<sup>18</sup> calculated that in packed bed DBDs (as typically used in plasma catalysis) the discharge mode is uniform when the dielectric constant of the packing is lower than 5, filamentary when the dielectric constant is higher than 1000, and the plasma exhibits a mixed mode for dielectric constants in between 5 and 1000. Support alumina has a dielectric constant of 9.3. It is unknown how much higher the dielectric constant is for alumina loaded with 10 wt% transition metals. Microdischarges were also found to be promoted by zeolites in the DBD, changing the IV characteristics of the plasma and enhancing  $\text{NH}_3$  synthesis.<sup>19</sup> In addition, microporous phases, like zeolites and metalorganic frameworks (MOFs), can also improve diffusion of the plasma-activated species towards the active sites.<sup>20,21</sup>

For a realistic representation of plasma-catalytic  $\text{NH}_3$  synthesis, different plasma conditions must be accounted for. Therefore, in this paper we discuss the surface kinetics, (i) in the micro-discharges of a filamentary plasma, (ii) in between the micro-discharges of a filamentary plasma, and (iii) in a uniform plasma. The densities of radicals and the vibrational

distribution functions (VDF) are obtained from a plasma chemical kinetics model.<sup>22</sup> The surface reaction rates are determined via scaling relations and vibrationally enhanced dissociation of N<sub>2</sub> is simulated via an alpha-model, like in recent research by Mehta *et al.*<sup>4</sup> However, while Mehta *et al.*<sup>4</sup> assumed a theoretical VDF, and did not include radical densities or ER reactions, our model accounts for different VDFs, and includes radical densities and ER reactions. Results are studied over a wide range of transition metal catalysts and focus on comparing the impact of the different plasma species and their reactions.

Our models predict (i) that different vibrational distribution functions impact the choice of the optimal catalyst material, (ii) that ER reactions likely compete with and may have a greater impact on observed turnover frequencies than vibrational excitations, and (iii) that ER reactions likely decrease the sensitivity of observed turnover frequencies to the choice of catalytic material.

## **Computational Method**

### **1. Microkinetic model of the catalytic reactions**

We developed a mean-field microkinetic model<sup>4,23,24</sup> to simulate plasma-catalytic NH<sub>3</sub> synthesis. This model includes catalytic reactions of both plasma-generated radicals and vibrationally excited N<sub>2</sub> molecules. The rate equations of these catalytic reactions can be described as the time derivative of the surface coverages:

$$\frac{\partial \theta_i}{\partial t} = \sum_j c_{ij} \cdot r_j \quad (1)$$

where  $\theta_i$  is the surface coverage of adsorbate  $i$  (defined as the total number of adsorbed species per total number of adsorption sites),  $c_{ij}$  is the stoichiometric coefficient for the adsorbate in surface reaction  $j$ , and  $r_j$  is the rate (in coverage.s<sup>-1</sup>) corresponding to this reaction, which is defined as:

$$r_j = k_{j,f} \prod_s a_s^{c_{sj,f}} - k_{j,r} \prod_s a_s^{c_{sj,r}} \quad (2)$$

With  $k_{j,f}$  and  $k_{j,r}$  the forward and reverse reaction rate coefficients,  $a_s$  the activity of species  $s$  (equal to the coverage in case of adsorbates and to the partial pressure, in bar, in case of gas phase species) and  $c_{sj,f}$  and  $c_{sj,r}$  the stoichiometric coefficients of the reactants of

the forward and the reverse reactions, respectively. Reaction rate coefficients  $k_{j,f}$  and  $k_{j,r}$  are calculated with harmonic transition state theory, using the Eyring-Polanyi equation:

$$k_{HTST} = \frac{k_B T}{h} e^{\frac{\Delta S^\ddagger}{R}} e^{\frac{-\Delta H^\ddagger}{RT}} \quad (3)$$

Here,  $k_B$  is the Boltzmann constant,  $h$  is Planck's constant and  $\Delta S^\ddagger$  and  $\Delta H^\ddagger$  are the entropy and enthalpy barriers to the transition state, respectively.

A set of rate equations was constructed to compute the reaction kinetics on the terrace sites of a range of transition metal catalysts in contact with an  $N_2/H_2$  gas (plasma) mixture. The  $\Delta H^\ddagger$  for the forward and backward reactions are related to the binding energy of N,  $E_b$ , through scaling relations built from DFT-computed reaction and activation energies tabulated in the CatApp database<sup>3,4,25</sup>. Reaction rate coefficients are assumed constant throughout the simulations, i.e. reaction energies and barriers are assumed independent of previously adsorbed species (or thus possible changes in electronic structure because of them), as is commonly done in microkinetic modelling.<sup>26</sup> More details can be found in the Supporting Information (section 1 and Table S1). We solved the ordinary differential rate equations on the most noble catalyst ( $E_b = 3$  eV, corresponding to Ag) until the surface coverage reached a steady state ( $\partial\theta/\partial t = 0$  for all species  $i$ ). In subsequent steps, we decreased  $E_b$  in increments of -0.01 eV until  $E_b = -1.5$  eV (close to Re,  $E_b = -1.4$  eV). During each iteration, new reaction barriers and the corresponding reaction rate coefficients were calculated, and the steady state of the previous step was used as a guess to solve for an algebraic solution. This solution was inserted back into the rate equations to obtain steady state turnover frequencies (TOFs).

We note that all catalytic reactions (see Table 1, discussed in the results) are treated as reversible. As shown in Figure 1b, further on, and listed in Table S3 of the Supporting Information,  $NH_3$  concentrations are non-zero throughout the calculations of the TOFs in sections 1.2 and 1.3. Also assuming a non-zero  $NH_3$  pressure in section 1.1, would lower the net TOFs but not change the calculated trends. Nonetheless, net catalytic  $NH_3$  synthesis rates are positive at all conditions.

The plasma can sometimes also induce structural modifications of the catalyst that are very complex and diverse in nature and can impact the process. Such interactions are often impossible to implement in zero-dimensional models and would require a lot of additional DFT calculations of the partaking atoms and molecules in different adsorption sites<sup>27</sup>.

## 2. Plasma chemical kinetics model

We use output of our previously developed plasma chemical kinetics model of a DBD<sup>22,28</sup> as input to the microkinetic model. The plasma chemical kinetics DBD model uses the ZDPlasKin module<sup>29</sup> which solves the continuity equation for species in complex chemistries. Analogous to the rate equations above, the time derivative of the species densities is equal to the sum of reaction rates:

$$\frac{\partial n_i}{\partial t} = \sum_j c_{ij} \cdot r_j \quad (4)$$

where  $n_i$  is the density of species  $i$ ,  $c_{ij}$  is the stoichiometric coefficient of the species in reaction  $j$ , and  $r_j$  is the reaction rate (in  $\text{m}^{-3} \cdot \text{s}^{-1}$ ), defined as:

$$r_j = k_j \prod_s n_s^{c_{sj}} \quad (5)$$

with  $k_j$  the rate coefficient,  $n_s$  the species density of reactants  $s$  and  $c_{sj}$  the stoichiometric coefficient of the reactants in the reaction.

ZDPlasKin is coupled to BOLSIG+<sup>30</sup>, which calculates the electron energy distribution function at specified reduced electric fields (i.e., ratio of electric field over gas number density). In our plasma chemical kinetics model, we self-consistently calculate the reduced electric field from a specified power density. The power density conditions determine the described plasma behavior.

To mimic the conditions of DBD plasmas, we defined time-dependent periodically pulsed power density functions. The power density in between those pulses (i.e., micro-discharges) was non-zero and significantly influenced the outcome of the calculations. By changing the significance of the micro-discharges and the plasma in between them, we could



describe both filamentary DBDs (most common in  $N_2/H_2$  mixtures) and also a more uniform regime, in which the micro-discharges are of lesser importance<sup>28</sup>, which could be relevant to packed bed DBDs, with packing beads of low dielectric constant.<sup>18</sup> Different power density profiles were used, mimicking both filamentary and more uniform (packed bed) DBDs, to determine gas phase compositions of radicals in actual plasma conditions, as well as the vibrational distribution function (VDF). Both the micro-discharges (strong plasma) and their afterglows (weak plasma) in a filamentary plasma are considered. Those conditions correspond to a maximum power density of  $2.464 \times 10^{12} \text{ W/m}^3$  and to a minimum power density of  $2.464 \times 10^6 \text{ W/m}^3$ , respectively. The corresponding instantaneous power is 1232 W and 1.232 mW, respectively. Those pulses typically yield reduced electric fields between 10 and 100 Td. In addition, we consider a uniform plasma in which the minimum power density is 3 orders of magnitude higher,  $2.360 \times 10^9 \text{ W/m}^3$  compared to  $2.464 \times 10^6 \text{ W/m}^3$ , corresponding to an instantaneous power of 1.180 W. In this uniform plasma case, the micro-discharges are still present and are similar to the filamentary plasma (i.e.  $2.360 \times 10^{12} \text{ W/m}^3$  compared to  $2.464 \times 10^{12} \text{ W/m}^3$ , corresponding to an instantaneous power of 1180 W), but they did not influence the VDF or species density evolution in a significant way. In this case the reduced electric field is between 20 and 100 Td. Throughout the simulations, the gas temperature was kept constant at 400 K (which is a typical temperature for DBD reactors) and the gas phase composition was a 3:1  $N_2/H_2$  plasma at atmospheric pressure. We refer to van 't Veer, *et al.*,<sup>28</sup> for more details.

## **Results and Discussion**

We performed microkinetic simulations for different plasma conditions, characteristic of a DBD plasma. We show the results of a DBD operating in a filamentary regime and in a uniform regime. As mentioned above, a filamentary regime exhibits micro-discharges with a high instantaneous power (in the order of 1.2 kW), while the plasma in between the micro-discharges is characterized by a much lower power (in the order of 1.2 mW).<sup>28</sup> The latter is referred to as the afterglow of the micro-discharges. During the afterglows, the radical densities and VDF are very different from the densities and VDF throughout the micro-discharges. In the case of a uniform plasma, the power deposition remains approximately constant over time and so do the radical densities and VDF. These differences in plasma characteristics also translate to different surface kinetics.

As we consider an alumina packing (with dielectric constant of  $\sim 9.3$ , loaded with transition metal catalysts), the plasma operates in a mixed mode of uniform (surface) and filamentary discharges, so we applied different power density profiles, mimicking both uniform and filamentary (packed bed) DBDs. In addition, this makes our model generic, applicable to various conditions and DBD operation modes. It is the aim of this paper to show the total  $\text{NH}_3$  turnover frequency (TOF) in these plasma regimes, to elucidate the mechanisms and the kinetics of the reactions at play and compare the obtained insights with previous observations and conclusions in literature.

It should be noted that when considering the micro-discharges (or filaments) and their afterglows in a filamentary plasma, the radicals created in the micro-discharges may diffuse to the neighboring afterglow zones, and influence the reactions there. However, this effect cannot be captured yet in our microkinetic model, because we use the plasma species densities as input from the plasma kinetics model by van 't Veer *et al.*<sup>22</sup>, and the latter is a 0D or global plasma model, which does not capture spatial effects such as diffusion. The latter would require higher dimensional models, but capturing the filamentary behavior in computational fluid dynamics plasma models is very complex.

In the global plasma model by van 't Veer *et al.*, a temporal definition of the afterglow is used, i.e., the afterglow is defined as the period after extinction of a micro-discharge. Van 't Veer *et al.* has shown that the lifetimes of radicals created in the micro-discharges are

typically large enough (order of 30 ms) to last until the afterglow. The VDF in the microdischarges of the filamentary plasma relaxes back to the VDF in the afterglows in approximately 1 ms, i.e., on a time scale much longer than the microdischarge duration (ns time scale).

### 1. NH<sub>3</sub> turnover frequency

In the following sections, we apply our microkinetic model to the metal terrace sites in contact with the plasma composition found (i) in the micro-discharges (at maximum power) of a filamentary plasma, (ii) in the end of the afterglow in between these micro-discharges, and (iii) in a uniform plasma, as calculated previously by van 't Veer, *et al.*<sup>22</sup>. We will first show the impact of the different VDFs and afterwards introduce the radical reactions. The introduction of radical reactions will be performed in two steps, i.e., first radical adsorption and secondly ER reactions.

#### *1.1. Impact of vibrational distribution functions (VDFs)*

In this section we study the effect of different VDFs on the NH<sub>3</sub> TOF. We show the results from thermal catalysis and the impact of a truncated Treanor distribution as previously modelled by Mehta, *et al.*<sup>4</sup> Furthermore, we introduce the VDFs as calculated by van 't Veer, *et al.*,<sup>22</sup> for a filamentary plasma (both inside and in between the micro-discharges) and for a uniform plasma.

The elementary reactions considered in this section are listed in the first column of Table 1. These are the traditional reactions for thermal catalysis and are also the only reactions in *plasma-enhanced catalytic* NH<sub>3</sub> synthesis, where the plasma only aids in lowering the barrier of the catalytic dissociation of the reactant molecules and thus increases the rate of the first two reactions in the first column.

Table 1: List of surface reactions included in the microkinetic model. Details on the kinetic data are shown in the Supporting Information (section 1, Table S1 and S2).

Thermal Reactions	Radical Adsorption	Eley-Rideal (with H*)	Eley-Rideal (with N* or NH <sub>x</sub> *)
$\text{H}_2 + 2^* \rightleftharpoons 2\text{H}^*$	$\text{H} + ^* \rightleftharpoons \text{H}^*$	$\text{H} + \text{H}^* \rightleftharpoons \text{H}_2$	$\text{H} + \text{N}^* \rightleftharpoons \text{NH}^*$

$N_2 + 2^* \rightleftharpoons 2N^*$	$N + ^* \rightleftharpoons N^*$	$N + H^* \rightleftharpoons NH^*$	$H + NH^* \rightleftharpoons NH_2^*$
$N^* + H^* \rightleftharpoons NH^*$	$NH + ^* \rightleftharpoons NH^*$	$NH + H^* \rightleftharpoons NH_2^*$	$H + NH_2^* \rightleftharpoons NH_3$
$NH^* + H^* \rightleftharpoons NH_2^*$	$NH_2 + ^* \rightleftharpoons NH_2^*$	$NH_2 + H^* \rightleftharpoons NH_3$	$N + N^* \rightleftharpoons N_2$
$NH_2^* + H^* \rightleftharpoons NH_3$			

The reaction barriers for the LH reactions (Table 1, column 1) on the metal terrace sites were obtained through scaling relations, as established by previous works<sup>3,4</sup> based on DFT-computed results listed in the CatApp database.<sup>25</sup> The used scaling relations are discussed in more detail in section 1 of the Supporting Information.

Vibrational excitation of  $N_2$  is assumed to lower the dissociative adsorption barrier following an alpha model:<sup>4</sup>

$$k_v = \frac{k_B T}{h} e^{\frac{\Delta S^{\ddagger}}{R}} e^{\frac{-\Delta H^{\ddagger}}{RT}} e^{\frac{\alpha E_v}{RT}} \quad (6)$$

$$k = \sum_v p_v k_v \quad (7)$$

Here,  $k_v$  represents the reaction rate coefficient of an  $N_2$  molecule in the  $v^{\text{th}}$  vibrational level with energy  $E_v$ ,  $k_B$  is the Boltzmann constant,  $h$  is Planck's constant and  $\Delta S^{\ddagger}$  and  $\Delta H^{\ddagger}$  are the entropy and enthalpy barriers with respect to the transition state,  $k$  is the ensemble averaged reaction rate coefficient of vibrationally excited  $N_2$  following a VDF defined by the probabilities  $p_v$ . The  $\alpha$  parameter, gives a measure of the efficiency of the vibrational energy to lower the reaction barrier. To date, no exact expressions for  $\alpha$  are known. An approximate formulation for vibrationally-enhanced dissociation of diatomic molecules was provided by Fridman and Macheret, who related  $\alpha$  to the forward and backward activation energies,  $E_a^f$  and  $E_a^b$ .<sup>31</sup>

$$\alpha = \frac{E_a^f}{E_a^f + E_a^b} \quad (8)$$

Conceptually, if  $E_a^f$  is zero, the reaction is diffusion limited and there is no enthalpy barrier to overcome, so  $\alpha$  is zero. On the other hand, if  $E_a^b$  is zero, the reaction is purely

enthalpy limited and benefits maximally from the provided vibrational energy, thus  $\alpha$  equals one.

The VDFs studied in this section are shown in Figure 1(a). The first VDF, represented by the purple line, represents a Boltzmann distribution at 400 K (i.e., the assumed gas temperature) as reference. The green line represents a Treanor distribution at 3000 K, truncated at the 11<sup>th</sup> energy level, as previously applied by Mehta, *et al.*<sup>4</sup> The blue, red and yellow VDFs are calculated with our plasma kinetics model.<sup>28</sup> These are the VDFs from the micro-discharges of a filamentary plasma ( $T_{\text{vib}} = 597$  K), in between two such micro-discharges (i.e. afterglows) with  $T_{\text{vib}} = 554$  K, and from a uniform plasma ( $T_{\text{vib}} = 2623$  K), respectively.

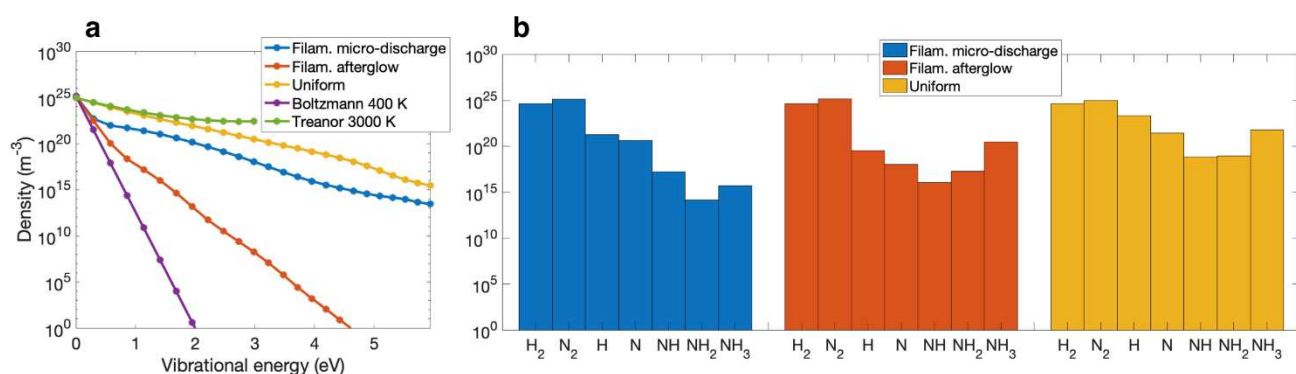


Figure 1: Calculated  $\text{N}_2$  VDFs (a) and radical densities (b) in a DBD, in the micro-discharges of a filamentary discharge (blue), in the afterglow in between these micro-discharges (red), and in a uniform discharge (yellow). VDFs are compared with a Boltzmann distribution at 400 K (purple) and a truncated Treanor distribution at 3000 K (green).<sup>4</sup>

In Figure 2(a) we show the calculated  $\text{NH}_3$  TOFs as function of the  $\text{N}^*$  binding energy for the different VDFs. The purple line displays the well-established volcano behavior observed in thermal catalysis. The green line indicates the effect of a truncated Treanor distribution at 3000 K, as previously studied by Mehta, *et al.*<sup>4</sup> In this case, vibrational excitations have two important effects on the TOF. Firstly, the TOF is increased on the noble side (right side) of the thermal volcano, where  $\text{NH}_3$  synthesis is rate-limited by  $\text{N}_2$  dissociation. Secondly, the maximum TOF is higher than the maximum thermal TOF, by a factor  $1.84 \times 10^2$ . The higher vibrational energy levels are sufficiently populated for the  $\text{N}_2$  dissociation rate on

Rh to exceed the N<sub>2</sub> dissociation rate on the thermally optimal catalyst (in between Fe and Ru, at -0.9 eV). On Rh, the TOF benefits from both a higher dissociation rate due to plasma excitation and a higher product desorption rate due to the more noble catalyst material.

However, the TOFs depend strongly on the assumed VDFs, and the Treanor distribution is only a rough approximation of the actual VDF in a filamentary plasma, as used for NH<sub>3</sub> synthesis, as is clear from Figure 1(a) above. For instance, in the micro-discharges of the filamentary plasma, the vibrational temperature remains relatively low (at 597 K) but with a non-negligible population beyond the 11<sup>th</sup> level. In this case, the TOF plot (blue curve) looks much different than the thermal volcano or the volcano corresponding to the Treanor distribution. The volcano plot has become much wider and despite the lower vibrational temperature, the TOF during the micro-discharges exceeds on many catalysts the TOF obtained from a Treanor distribution. Only in between Ru and Rh does the Treanor distribution perform slightly better than the VDF of the micro-discharges. Beyond the 11<sup>th</sup> level, the populations of the Treanor distribution are zero and the populations of the VDF of the micro-discharges are higher. Higher levels of excitation allow more noble catalysts to produce NH<sub>3</sub> and the volcano stretches out to the right. These results show how important the population of the higher levels is, compared to the vibrational temperature which is only a measure of the population of the ground state and the first excited state.

The highest TOFs are obtained from the VDF of the uniform plasma, despite the vibrational temperature (2623 K) being somewhat lower than the Treanor distribution. This is because the distribution is not truncated. The population of the higher levels shifts the volcano to more noble catalysts with a maximum around Pt, a factor 10<sup>3</sup> higher compared to the Treanor distribution.

In Figure 2(b), we depict the forward rate coefficients of N<sub>2</sub> dissociation as function of the N\* binding energy for each of the assumed VDFs. On the far-right side of the graphs, the dissociation barrier is highest and vibrational energy contributes maximally to lowering this barrier. When moving towards less noble catalysts, the dissociation barrier decreases and the rate coefficient follows a plateau, where gradually the contribution of the higher levels is cancelled. Indeed, if the energy of the  $n^{th}$  level (multiplied by the  $\alpha$  parameter) would be higher than the dissociation barrier,  $E_a$ , the barrier is lowered by  $E_a$  and not by  $\alpha E_n$ . In this regime, the vibrational energy can thus not fully contribute to the catalytic dissociation. On

even less-noble catalysts, the  $\alpha$  parameter has become much smaller and most of the vibrational energy goes unused, causing the dissociation rate coefficient to fall back to the thermal dissociation rate coefficient.

Figure 2(a) highlights the potential of plasma catalysis by means of vibrational excitation, but also shows the importance of choosing the appropriate catalyst. It is clear that vibrational excitation can enhance the catalytic  $\text{NH}_3$  TOF (i.e. from  $10^{-12} \text{ s}^{-1}$  in thermal catalysis to  $10^{-9} \text{ s}^{-1}$  inside the micro-discharges of a filamentary plasma and  $10^{-7} \text{ s}^{-1}$  inside a uniform plasma). However, it should be noted that the best thermal catalyst performs better in thermal catalysis than when put in contact with the Treanor distribution or the VDF of the uniform plasma. In these cases, the product desorption on the thermal catalyst is not fast enough to deal with the enhanced dissociation of the reactants. Plasma-enhanced catalytic  $\text{NH}_3$  synthesis, which takes advantage of vibrational excitation of  $\text{N}_2$ , therefore requires careful choice of the catalyst material as function of the plasma conditions.

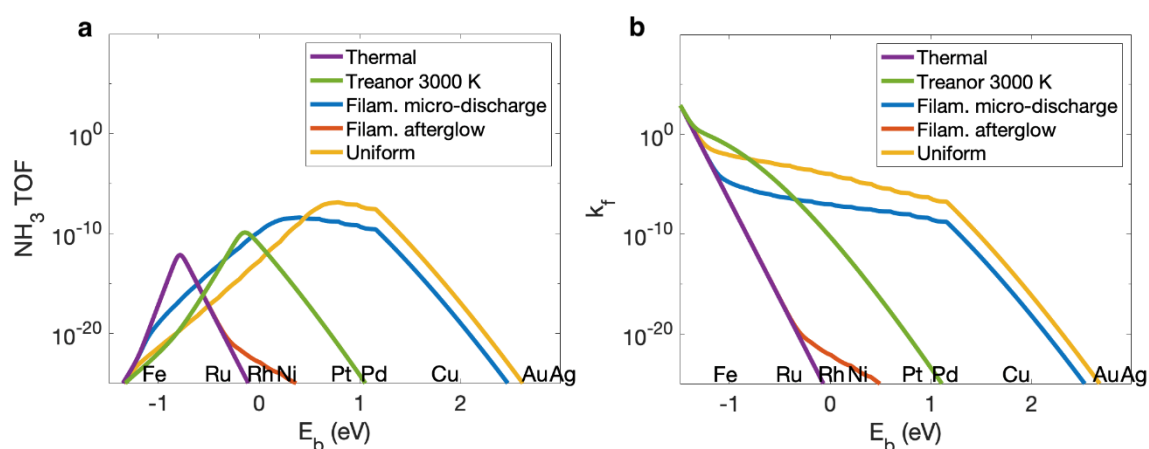


Figure 2: Calculated  $\text{NH}_3$  TOF at 400 K and 1 bar (a) in vibrationally-enhanced catalysis and (b)  $\text{N}_2$  dissociation rate coefficient, as a function of  $\text{N}^*$  binding energy, for the metal terrace sites, (i) in thermal catalysis, thus no vibrational excitation (purple line), (ii) with a VDF following a Treanor distribution at 3000 K (green line), (iii) with a calculated VDF during the micro-discharges of a filamentary plasma (blue line), (iv) with a calculated VDF during the afterglow of the micro-discharges of a filamentary plasma (red line) and (v) with a calculated VDF inside a uniform plasma.

### 1.2. Impact of radical adsorption

We now add the direct adsorption of plasma-generated radicals onto free surface sites (Table 1, column 2). In this case, the plasma can provide a new initializing adsorption step, but the subsequent surface reactions follow the LH mechanisms from the thermal conditions. Radical adsorption is assumed to proceed without an enthalpy barrier and is rate-determined by the assumed complete loss of translational entropy associated with moving from the gas phase to the adsorbed state. Radical adsorption rates are thus calculated as follows:

$$r_{ads} = \frac{k_B T}{h} e^{\frac{-S_i^\circ}{R}} p_i \theta_* \quad (9)$$

With  $S_i^\circ$  the standard entropy of radical 'i' at temperature T,  $p_i$  the pressure of radical 'i', and  $\theta_*$  the fraction of free surface sites.

We show the results for the radical densities calculated inside the micro-discharges and afterglows of a filamentary plasma and inside a uniform plasma. These densities are plotted in Figure 1(b) and the exact values are tabulated in Table S3 of the Supporting Information. These radicals are not only predicted by modeling to be important in DBDs, but also by optical emission spectroscopy.<sup>32</sup>

Figure 3 depicts the  $\text{NH}_3$  TOFs, analogous to the ones shown in Figure 2(a), but now including the effect of vibrationally excited  $\text{N}_2$  as well as plasma-generated radical adsorption. The allowed reactions are listed in columns 1 and 2 of Table 1. The plasma-catalytic TOF curves now look significantly different than in the previous section. In each of the cases, the maximum TOF can be observed on the most noble catalysts. With radical adsorption happening fast, the  $\text{NH}_3$  production is rate-limited by product desorption, which is fastest on noble catalysts. In the afterglows of a filamentary discharge, the maximum TOF is already reached around Cu, while in the micro-discharges the maximum is reached around Au, and in the uniform plasma, the maximum is not yet reached within the range of  $\text{N}^*$  binding energies examined. The afterglows of a filamentary discharge contain the lowest radical densities of the three cases (cf. Figure 1(b)) and product desorption on catalysts on the right side of Cu is already happening faster than radicals can adsorb.  $\text{NH}_3$  production is then rate-limited by radical adsorption, which is assumed barrierless on each catalyst and therefore has a constant rate beyond this point. For the micro-discharges and the uniform plasma, the same trend



holds, but the plateau is found at higher  $N^*$  binding energies, because the adsorption rates are higher due to higher radical densities.

Despite having different radical densities in the gas phase, the TOFs during the micro-discharges of a filamentary plasma, during the afterglows of a filamentary plasma and during a uniform plasma are identical, until the TOFs of the afterglows reach the plateau around Cu. This can be explained by the fact that radical adsorption is not rate limiting and, in each case, the same desorption reaction is limiting the  $NH_3$  TOF, namely  $2N^* \rightarrow N_{2(g)}$ .

We also note that the TOF on the thermally optimal catalyst (in between Fe and Ru) is again significantly lower under plasma conditions than in thermal conditions. Around these  $N^*$  binding energies, product desorption is too low to counter the radical adsorption rate, and the build-up of  $N^*$  species inhibits further hydrogenation towards  $NH_3$ .

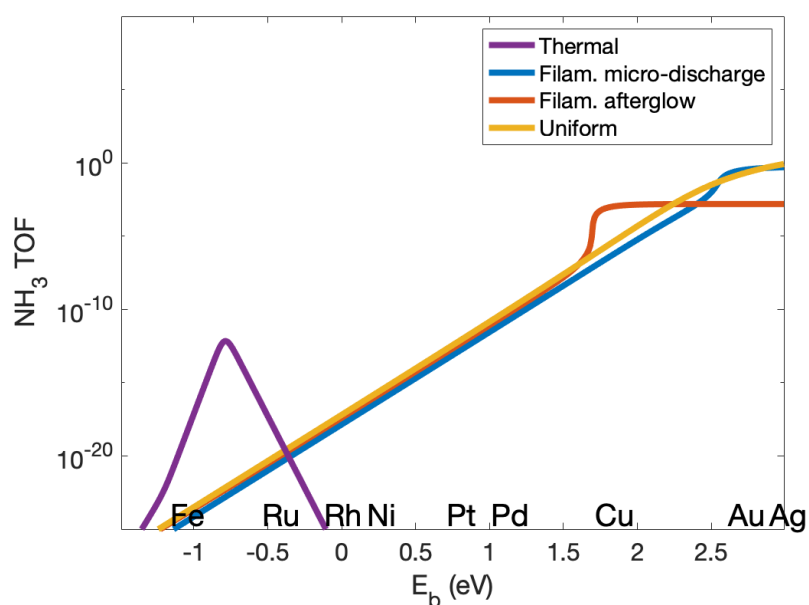


Figure 3: Calculated  $NH_3$  TOF as function of the  $N^*$  binding energy, for the metal terrace sites at 400 K and 1 bar, (i) in thermal catalysis, thus no vibrational excitation and no radicals (purple line), (ii) inside the micro-discharges of a filamentary plasma (blue line), (iii) during the afterglows of a filamentary plasma (red line) and (iv) inside a uniform plasma (yellow line). Calculations include the reactions in columns 1 and 2 of Table 1.

### 1.3. Impact of Eley-Rideal reactions

We now include the ER reactions of plasma-generated radicals with adsorbed species (cf. Table 1, columns 3 and 4). Unlike in the previous sections, these reactions do not require the involving species to be simultaneously adsorbed on the catalyst and are thus not inhibited by competitive adsorption.

Throughout this section, ER reactions are considered to have no enthalpy barrier and are thus only limited by the entropy loss going from a gas phase state to a surface state. To support this claim, we provide in the Supporting Information the DFT-calculated potential energy surfaces of three ER reactions, i.e.,  $N + N^* \rightarrow N_{2(g)}$ ,  $H + H^* \rightarrow H_{2(g)}$ , and  $N + H^* \rightarrow NH^*$ , on Ru, Ni and Cu (see section 3, Figures S1 – S3). To account for the limitations of DFT calculations, we present a sensitivity analysis in the next section, to study the impact of assumed ER rates on the  $NH_3$  TOFs.

In Figure 4 we plot the calculated  $NH_3$  TOFs, analogous to Figures 2 and 3, now including all the reactions listed in Table 1. The dashed lines show the TOFs when only vibrational excitations are considered (i.e. no radical reactions, identical to the results shown in Figure 2), for easy comparison between the two plasma effects.

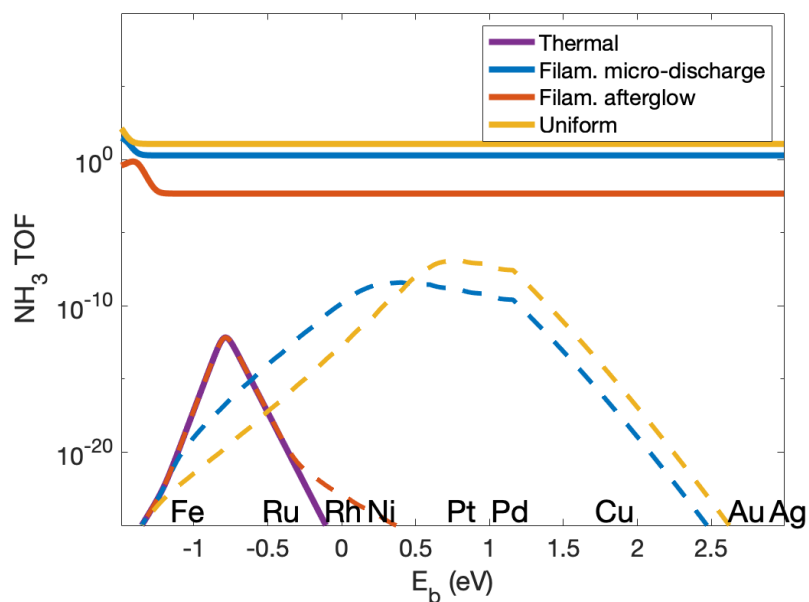


Figure 4: Calculated  $NH_3$  TOF as function of the  $N^*$  binding energy, for the metal terrace sites at 400 K and 1 bar, (i) in thermal catalysis, thus no vibrational excitation and no radicals (purple line), (ii) inside the micro-discharges of a filamentary plasma (blue line), (iii)

during the afterglows of a filamentary plasma (red line) and (iv) inside a uniform plasma (yellow line). Calculations include all the reactions in Table 1. The dashed lines represent the  $\text{NH}_3$  TOF when only including vibrational excitation (identical to Figure 2).

In the LH plus radical adsorption model, in which ER reactions are excluded, the TOF was highest on the noble catalysts. After introduction of barrierless ER reactions, this variation vanishes and the TOF is invariant to material. In the previous case, surfaces become completely covered with adsorbates and rates are slow due to the absence of free sites for subsequent reactions. However, because the ER reactions lead to products without the intervention of free surface sites, rates on the non-noble side of the plot increase.

In each of the three cases, the  $\text{NH}_3$  production is rate-limited by radical reactions, either adsorption reactions (Table 1 column 2) or ER reactions (Table 1 columns 3 and 4). This is also confirmed by the fact that the TOFs are equal to the plateaus observed in the previous section (Figure 3). The kinetics will be discussed further in section 2. *Reaction mechanisms and kinetics.*

Figure 4 also shows the calculated  $\text{NH}_3$  TOFs as obtained from the models with only vibrational excitations of  $\text{N}_2$  (dashed lines, identical to Figure 2). In the three cases, radical reactions have a much larger impact on the total  $\text{NH}_3$  TOF than vibrational excitation. In each case, the characteristic volcano behavior of thermal catalysis is not observed.

#### 1.4. Sensitivity analysis of the Eley-Rideal barriers

In the previous section, we assumed that the surface is 100% effective in dissipating adsorption energy associated with radical reactions (i.e. acts as a perfect third body), effectively cooling and concentrating the radicals. In this section, we introduce an additional free energy barrier to the ER reactions to explore the consequences of kinetic limitations of these reaction steps. We do so by applying an artificial Boltzmann factor to the rates in two steps: (i) gradual increase of the barriers of the reactions in column 3 of Table 1, and (ii) gradual increase of the barriers of the reactions in column 4 of Table 1. In both cases the remaining ER reactions are assumed to be barrierless. The reaction rate coefficients,  $k_{\text{ER}}$ , are calculated with the formula:

$$k_{ER} = k_{ER,0} \cdot e^{-\frac{E_{ER}}{RT}} \quad (10)$$

Here,  $k_{ER,0}$  represents the maximum rate coefficient, assuming radical reactions are barrierless and the exponential factor is the Boltzmann factor corresponding to an assumed ER barrier,  $E_{ER}$ .

$E_{ER}$  is assumed constant in the entire range of binding energies, i.e. catalyst materials. Due to the similarity of the reactions in column 3 (all ER reactions involving  $H^*$ ), each one of these reactions is assumed to have the same enthalpy barrier. Analogously, the reactions in column 4 all involve  $N^*$  or  $NH_x^*$  and are therefore also assumed to proceed with the same enthalpy barrier. We emphasize that in each case there is also an entropy barrier, which depends on the entropy of the gas phase reactant. For this reason, each of the reactions in column 3 still has a unique reaction rate coefficient. Similarly, reaction 4 in column 4 has a different rate coefficient than the other three reactions.

We show here the results for the micro-discharges of a filamentary plasma, and refer to the Supporting Information for the results on the afterglows of the filamentary plasma and on the uniform plasma (section 4, Figures S4 – S5).

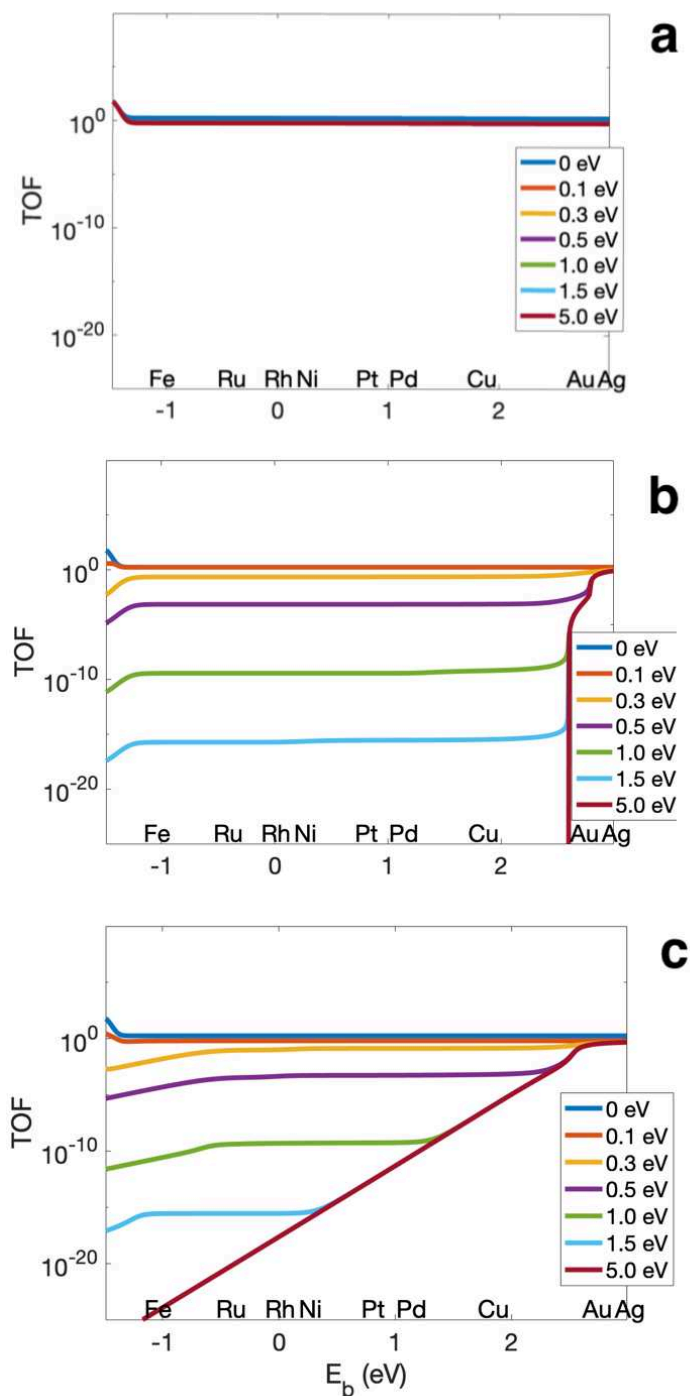


Figure 5: Calculated  $\text{NH}_3$  TOF as function of the  $\text{N}^*$  binding energy, for the metal terrace sites, at 400 K and 1 bar, inside the micro-discharges of a filamentary plasma for different barriers of the ER reactions, (a) in Table 1, column 3, (b) in Table 1, column 4, and (c) in Table 1, columns 3 and 4 together.

Figure 5 illustrates how different ER reaction barriers impact the  $\text{NH}_3$  TOF, for the reactions in Table 1, column 3 (panel a), column 4 (panel b) and columns 3 and 4 together (panel c).

From Figure 5a, it is clear that upon changing the barriers of the ER reactions in column 3 of Table 1, the  $\text{NH}_3$  TOF remains almost unchanged. In the maximum rate case, the ER reaction  $\text{N} + \text{H}^* \rightarrow \text{NH}^*$  is rate limiting. When this reaction rate is decreased, another ER reaction (from column 4), i.e.,  $\text{H} + \text{N}^* \rightarrow \text{NH}^*$ , takes its place, with a rate of about a factor 3 smaller. More details on the reaction mechanisms are discussed in section 2. *Reaction mechanisms and kinetics*. Figure 5b shows that the reaction barriers of the ER reactions in column 4 of Table 1 have a much greater impact on the  $\text{NH}_3$  TOF. Without these reactions, the hydrogenations towards  $\text{NH}_3$  become more difficult and the catalyst suffers from poisoning by  $\text{NH}_x$  species.

From a chemical perspective, the reactions in column 3 of Table 1 are more likely slower than the reactions in column 4. For, e.g.  $\text{N} + \text{H}^* \rightarrow \text{NH}^*$  to proceed, the N atom would have to take the place of the H atom on the surface, because  $\text{NH}^*$  is attached to the surface via the N atom instead of the H atom. However, Figure 5a shows that overall TOFs are less sensitive to this rate.

Lastly, Figure 5c reports the  $\text{NH}_3$  TOF when all ER barriers (columns 3 and 4 in Table 1) are gradually increased. In these calculations all ER enthalpy barriers are assumed equal and are gradually increased from 0 eV to 5 eV. The results are similar to Figure 5b for the lower energy barriers, but with less poisoning at the higher energy barriers. As the barriers increase, the TOF converges to the TOF of the blue line in Figure 3, where only reactions from columns 1 and 2 in Table 1 are allowed.

Note that the results for the afterglows of the filamentary plasma and for the uniform plasma exhibit the same behavior (see Figures S4 – S5 in the Supporting Information).

In conclusion, the ER reactions in column 3 ( $\text{N} + \text{H}^*$  or  $\text{NH}_x + \text{H}^*$ ) are relatively insignificant, as long as the ER reactions in column 4 ( $\text{H} + \text{N}^*$  or  $\text{H} + \text{NH}_x^*$ ) can continue to drive the production forward. Without the reactions in column 4, the  $\text{N} + \text{H}^*$  and  $\text{NH}_x + \text{H}^*$  reactions will quickly deplete the  $\text{H}^*$  coverage. This poisons the surface with  $\text{NH}_x^*$  species, which have no further way to hydrogenate, as H adsorption is not possible due to the

poisoning and  $H + NH_x^*$  reactions are not allowed. Further, ER reactions seem to mostly impact the kinetics on the non-noble catalysts, while the TOF on the noble catalysts remains unchanged. Moreover, without ER reactions, the TOF on the non-noble catalysts becomes negligible, e.g.  $10^{-20} \text{ s}^{-1}$  on Ru in Figure 5c (5 eV barrier). Figure 2 (blue line) showed that when only vibrational excitation is assumed (i.e. a micro-discharge without including radicals), the TOF on Ru reached a value of up to  $10^{-9} \text{ s}^{-1}$ .

Within our model assumptions, the ER reactions are expected to contribute more to observed TOFs than do LH steps on the non-noble catalysts. The importance of ER reactions is expected to be great at the high radical densities typically present in DBD plasmas.<sup>17,22,28</sup> To what extent plasma-activated species can penetrate the pores of the catalyst and interact with the active metal sites and how plasma-activated species interact with support materials can be an important descriptor for efficient catalyst design. The mesoporous nature of zeolites and MOFs retains specific interest to enhance diffusion of plasma species and/or alter plasma characteristics that could help further optimization of the technology.<sup>19–21</sup>

## 2. Reaction mechanisms and kinetics

The previous section focused on the total  $NH_3$  TOF in a filamentary and a uniform plasma, to elucidate the relative contributions of various pathways to the total TOF. In this section, we discuss in more detail the full reaction pathways, identify the bottle necks and formulate the resulting reaction rate equations. For this discussion, all the reactions in Table 1 are included and ER reactions are assumed to occur at maximum possible rate, as in Figure 4. In this discussion we will focus on the micro-discharges of the filamentary plasma. Because our model predicts that the reaction mechanisms are the same among the various non-noble catalysts on the one hand, and the noble catalysts on the other hand, we will show the results on Ru as an example of a non-noble catalyst and Ag as an example of a noble catalyst.

The density of plasma species and the VDF of  $N_2$  in the micro-discharges, at maximum instantaneous power, as obtained from the simulations by van 't Veer, *et al.*,<sup>22</sup> are shown in Figure 1. At the maximum power, N and H are the most important radicals in the plasma. The density of N is about  $10^3$  times higher than NH,  $10^6$  times higher than  $NH_2$  and about  $10^5$  times higher than  $NH_3$ . The vibrational temperature, defined based on the ratio of the populations of the first excited state and the ground state is 597 K.

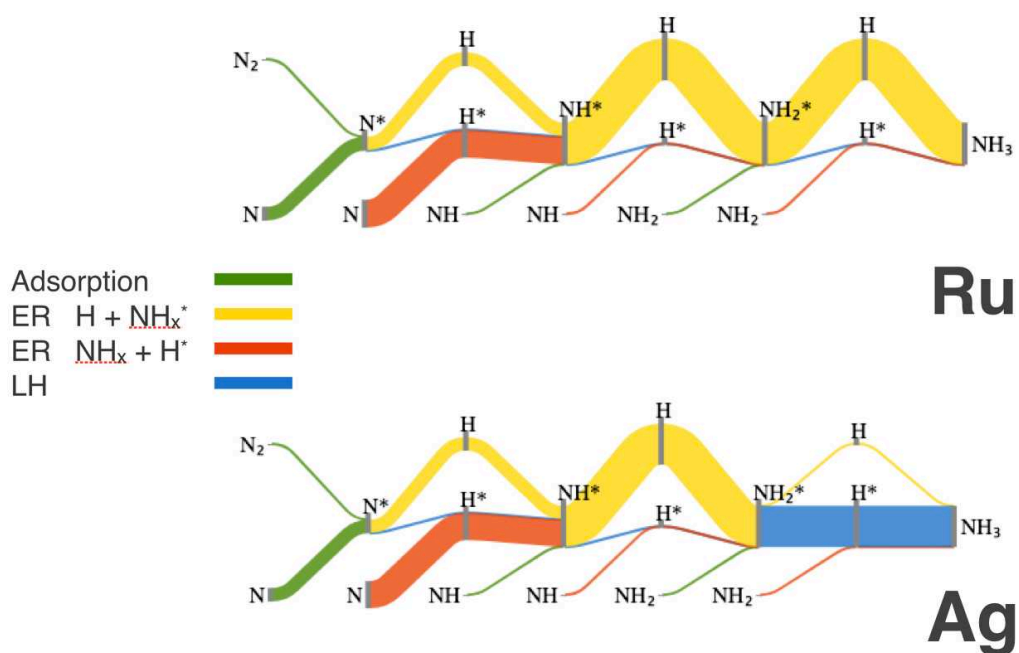


Figure 6: Reaction flow diagrams for plasma-catalytic  $\text{NH}_3$  synthesis on a non-noble catalyst (Ru) and a noble catalyst (Ag), presenting the relative weight of the various reaction rates, in a filamentary DBD, during the micro-discharges.

In Figure 6, we show net rates along the various parallel  $\text{NH}_3$  synthesis pathways during the micro-discharges of a filamentary DBD, for a non-noble catalyst (Ru) and a noble catalyst (Ag). These flow diagrams show the net reaction rates of each reaction in the chemistry set. We refer to the Supporting Information (Figure S6) for the exact values of the reaction rates as a function of the  $\text{N}^*$  binding energy, and the ratio of the contribution of ER and LH reactions (Table S5).

On the non-noble catalyst (Ru), the primary source of  $\text{NH}_3$  is the ER mechanism of  $\text{NH}_2^* + \text{H}_{(\text{g})}$ . The required  $\text{NH}_2^*$  is produced from the ER reaction  $\text{NH}^* + \text{H}_{(\text{g})}$ . The  $\text{NH}^*$  in this case is mainly produced from the ER reaction  $\text{N}_{(\text{g})} + \text{H}^*$  (see Figure 6), which is the rate limiting step in this regime. On the non-noble catalysts, the net rate of  $\text{NH}_3$  synthesis can be written as:

$$\text{rate} = k_{\text{ER}} \cdot p_{\text{N}} \cdot \theta_{\text{H}} \quad (11)$$



where  $k_{ER}$ ,  $p_N$  and  $\theta_H$  stand for the rate coefficient of  $N + H^* \rightarrow NH^*$ , the N radical pressure in the plasma and the fraction of adsorbed H, respectively.

In section 1.4. *Sensitivity analysis of the Eley-Rideal reaction barriers*, we showed that if  $N + H^* \rightarrow NH^*$  is slow, ER reactions with  $H + N^*$  or  $H + NH_x^*$  would replace it. In that case, the path would be  $N + * \rightarrow N^*$ , followed by  $H + N^* \rightarrow NH^*$ . When  $N + H^*$  is allowed, the reaction rate is about a factor 2 larger than  $H + N^*$ .

On the noble catalyst (Ag),  $NH_3$  is primarily produced through the LH mechanism of  $NH_2^* + H^*$  (the ER reactions  $NH_2 + H^*$  and  $H + NH_2^*$  are a factor  $10^6$  less important). The required  $NH_2^*$  is produced from the ER reaction  $NH^* + H_{(g)} \rightarrow NH_2^*$ , while the  $NH^*$  is obtained via two possible ER reactions, i.e.,  $N^* + H_{(g)}$  and  $N_{(g)} + H^*$ . For both of these ER reactions, the source of N are the plasma-generated radicals, that either adsorb on a free site ( $N + * \rightarrow N^*$ ) before subsequent hydrogenation or are directly reacting with adsorbed  $H^*$ . On the most noble catalysts, the  $H^*$  coverage drops and the former reaction contributes more than the latter, and will be the rate limiting step. The  $NH_3$  TOF is thus directly proportional to the partial pressure of the N radicals in the plasma and the net rate of  $NH_3$  synthesis can be written as:

$$\text{rate} = k_{ads} \cdot p_N \cdot \theta^* \quad (12)$$

where  $k_{ads}$ ,  $p_N$  and  $\theta^*$  stand for the rate coefficient of N radical adsorption, the N radical pressure in the plasma and the fraction of free surface sites, respectively. The rate of radical adsorption of N followed by ER hydrogenation, is about a factor three higher than for the other ER reaction,  $N + H^* \rightarrow NH^*$ , because  $\theta^*$  and  $\theta_H$  are approximately 0.75 and 0.25, respectively. On even more noble catalysts,  $\theta_H$  would be even lower, and the direct ER route  $N + H^* \rightarrow NH^*$ , would cancel entirely.

According to the classification by Rouwenhorst, *et al.*,<sup>14</sup> both on the noble and the non-noble catalysts, the plasma-catalytic  $NH_3$  synthesis can be called *plasma-enhanced semi-catalytic*, i.e., dissociation of  $N_2$  occurs in the plasma, while  $H_2$  dissociation, as well as subsequent hydrogenation of N happens at the catalyst surface. In other words, the catalytic dissociation of  $H_2$  on all catalysts is (slightly) faster ( $2.92 \times 10^2 \text{ s}^{-1}$ ) than plasma dissociation of  $H_2$  followed by radical adsorption of H ( $2.77 \times 10^2 \text{ s}^{-1}$ ), while the catalytic dissociation of  $N_2$  on

the catalyst surface is slower than plasma dissociation followed by radical adsorption or ER reactions, for all catalyst materials investigated.

We note that rates (11) and (12) are identical to each other (as also tabulated in Table 2), because  $k_{\text{ads}}$  and  $k_{\text{ER}}$  are both equal to  $k_{\text{B}}T/h \cdot \exp(-S_{\text{N(g)}}^\circ)$ , enthalpy barriers are in both cases 0, and  $\theta_{\text{noble}}^* = \theta_{\text{H,non-noble}} = 1$ . This means that in a plasma regime where the catalytic dissociation of  $\text{N}_2$  is negligible compared to dissociation in the plasma phase, followed by radical adsorption and/or ER reactions, the catalyst material has no impact on the TOF of  $\text{NH}_3$ , as demonstrated in the previous section, in Figure 4.

Table 2: Reaction rate equations in a filamentary plasma in the micro-discharges and the afterglows on Ru and Ag and reaction rate coefficient of the rate limiting step.

<b>Ru</b>	$\text{rate} = k_{\text{ER}} \cdot p_{\text{N}} \cdot \theta_{\text{H}}$
<b>Ag</b>	$\text{rate} = k_{\text{ads}} \cdot p_{\text{N}} \cdot \theta^*$
<b><math>k_{\text{ER}} = k_{\text{ads}}</math></b>	$k_{\text{B}}T/h \cdot \exp(-S_{\text{N(g)}}^\circ)$

We emphasize that these results correspond to plasmas operating at around 400 K. At higher temperatures the transition metal catalysts become more active and could change the reaction pathways and kinetics. We refer to section 8 of the Supporting Information, for a discussion of reaction mechanisms for a filamentary plasma operating at 700 K. In summary of these results, LH reactions are found to be more important than ER reactions at higher temperature. However, adsorption of N atoms from the plasma is still more important for  $\text{NH}_3$  formation than  $\text{N}_2$  dissociation at the catalyst surface, explaining why the radical TOFs are still higher than the TOFs from vibrational excitation (cf. Figure S9 in the SI).

van't Veer, *et al.*, recently reported that in a filamentary plasma,  $\text{NH}_3$  is produced by recombination in the afterglow, while the obtained  $\text{NH}_3$  is partly destroyed again during the micro-discharges until an equilibrium conversion has been established.<sup>22</sup> Because electron impact dissociation of the produced  $\text{NH}_3$  is inevitable in a plasma environment, the ultimate aim in plasma catalysis is to increase the production terms in order to increase the conversion. From this perspective, the results shown above also show the advantage of plasma-catalytic

NH<sub>3</sub> synthesis over homogeneous plasma NH<sub>3</sub> synthesis. The introduction of radical recombination reactions breaks down the detailed balance of the thermal process, because the reverse reactions (catalytic dissociation followed by desorption of one of the radicals) are nigh impossible due to the high barriers. So, while radical recombination towards NH<sub>3</sub> is quickly happening at the catalytic surface, NH<sub>3</sub> dissociation is happening much more slowly. On the noble catalysts this is explained by the high activation barrier of NH<sub>3</sub> dissociation, while on the non-noble catalysts this is due to the lack of free sites caused by the adsorption of radicals. Mehta, *et al.*,<sup>6</sup> showed that the introduction of plasma-activated reactions without a reverse reaction could lead to conversion beyond the thermal limits. However, while the inclusion of a surface can improve the conversion, within our model assumptions, it seems not to matter which transition metal is used, as all transition metals seem to perform equally well under these conditions and preference for application can be decided based on material cost and durability.

We acknowledge that the list of elementary reaction steps in this model (cfr. Table 1), for plasma-catalytic NH<sub>3</sub> synthesis, is not exhaustive and that the complex plasma speciation allows for many more possible steps. The given list includes the elementary steps that are generally considered to be dominant in thermal catalysis, as well as radical adsorption reactions and Eley-Rideal reactions that were found or suggested to be important in previous experimental and modelling studies on plasma catalysis, as discussed in the next section, *Insight of our models beyond the current state of knowledge*. These studies show that experimental analysis of reaction pathways in plasma catalysis is very complex and not very decisive. Nevertheless, our model results both agree with and expand the conclusions and insights in the current state-of-the-art.

### 3. Insight of our models beyond the current state of knowledge

In this section, we revisit the current literature and compare the obtained insights from our models with previously reported trends and concepts.

Mehta, *et al.*,<sup>4</sup> predicted a vertical (higher) and horizontal (more noble) shift of the observed volcano when introducing vibrational excitation of N<sub>2</sub> compared to thermal catalytic NH<sub>3</sub> synthesis (see also Figure 2a). They assumed that the vibrational distribution function follows a Treanor distribution at  $T_{\text{vib}} = 3000$  K, truncated at the 11<sup>th</sup> level to account for depopulation of highly excited levels. They observed a maximum TOF of  $10^{-6} \text{ s}^{-1}$  at 473 K, on

the (111) metal terraces on Ru ( $E_b = -0.55$  eV). We explicitly calculated the  $N_2$  vibrational distribution functions in our plasma chemical kinetics models, and our models reveal a vibrational temperature up to 597 K in the filamentary regime (see Figure 2) and up to 2623 K in the uniform regime (i.e., DBD with less pronounced micro-discharges, as could be relevant for packed bed DBD with packing beads of low dielectric constant).<sup>18</sup>

We find the same result for the effect of  $N_2$  vibrational excitation, even with different vibrational temperature (see Figure 2a), but we observed that the vertical and horizontal shifts of the volcano are overruled by plasma-generated radicals in both regimes (cf. Figure 4). It is possible that at lower specific energy inputs, than assumed in the plasma chemistry models by van't Veer, vibrational excitations are relatively more important than plasma dissociation. In that case, the  $NH_3$  TOF follows the volcano behavior predicted in Figure 2, rather than the horizontal trends predicted in Figure 4.

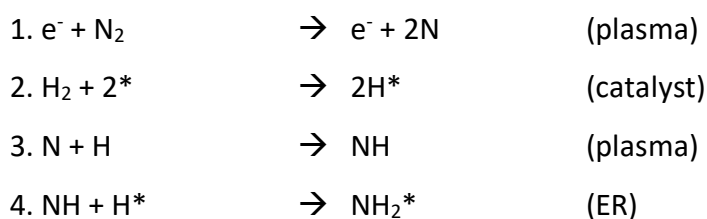
Ben Yaala, *et al.*,<sup>16</sup> speculated that the ER reaction  $H_{(g)} + N^* \rightarrow NH^*$  dominates the  $NH_3$  synthesis on W in low pressure RF plasma, as opposed to the ER reaction  $N_{(g)} + H^* \rightarrow NH^*$ . This conclusion was made based on XPS analyses of a W catalyst pre-treated with  $H_2$  and subsequently put in contact with an  $N_2$  plasma. Our model reveals that if both of these reactions occur, they will contribute to  $NH_3$  production, certainly on the most noble catalysts (e.g., Ag), while on the less noble catalysts (like W) the ER reaction  $N_{(g)} + H^* \rightarrow NH^*$  is predicted to be even more important (cf. Figure 6). We believe the mechanism put forward by Ben Yaala, *et al.*,<sup>16</sup> is too limited, because it considers plasma pre-treatment with only one gas, followed by the other gas, and so it does not fully reflect the situation of  $NH_3$  synthesis from an  $N_2/H_2$  plasma. Indeed, our model indicates that the subsequent hydrogenations of  $NH^*$  also follow an ER mechanism:  $H_{(g)} + NH_x^*$ . This pathway is impossible in a pure  $N_2$  plasma, as it requires H radicals in the gas phase, and this could explain why no  $NH_3$  was observed in their XPS analysis, when pre-treating with  $H_2$  plasma, followed by  $N_2$  plasma.<sup>16</sup>

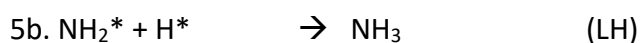
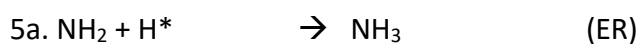
In addition, they observed higher  $NH_3$  conversion at the 1:1  $N_2/H_2$  ratio in plasma catalysis, while under homogeneous plasma conditions the 1:3, stoichiometric, ratio performed better. This trend was suggested to indicate the occurrence of ER reactions under plasma-catalytic conditions. The same trend is not confirmed by the current model, which predicts that the 3:1 ratio achieves the highest TOFs (cf. Fig S11). The latter is explained by the higher density of N atoms in the 3:1 gas mixture (cf. Table S6), which was found to be the

rate-limiting factor in the process (cf. Table 2). This discrepancy between model and experiments could be due to the assumption of fixed gas phase ratios in the model. The 3:1 gas mixture has higher N density, which causes higher loss rate of the N atoms, and over time the N density in the 3:1 gas ratio might become more similar to the 1:1 ratio. Our model might thus not be suited to predict reaction orders of radical species. We believe a study of reaction orders might be a valuable topic of future research, as soon as fully coupled plasma catalysis models (that simultaneously simulate surface and plasma reactions) are available to the community.

By combining experimental measurements with ab initio molecular dynamics (MD) simulations, Yamijala, *et al.*,<sup>33</sup> studied the performance of Fe, Pt and Cu in low pressure RF plasmas at a 1:3 N<sub>2</sub>/H<sub>2</sub> gas composition. They concluded from the experiments, in agreement with the findings of this paper, that NH<sub>3</sub> yields were weakly dependent on the catalyst material. Subsequently, they used optical emission spectroscopy and MD to analyze potential mechanisms of NH<sub>3</sub> synthesis. Their work confirms the importance of hydrogen-terminated surfaces for the hydrogenation towards NH<sub>3</sub>. They found that direct interaction between N and H\* is possible on both Pt and Cu (the two catalysts studied via MD), but more likely to occur on Cu. Our own findings suggest that the interaction between N and H\* is more likely on Pt. However, their MD simulations do not account for different H\* coverages which they assumed equal on both metals. In our microkinetic simulations, the H\* coverage is lower on more noble catalysts, which would lower the likelihood of the N+H\* reaction. Their results also confirm the importance of hydrogenation of NH towards NH<sub>3</sub> via the ER mechanism with plasma-generated H, which was speculated to be the reason why the less noble catalyst performed equally well as the more noble catalysts, in agreement with our findings in section 2, reaction mechanisms and kinetics.

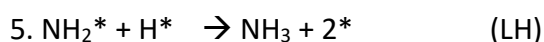
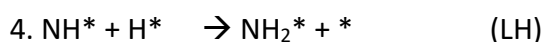
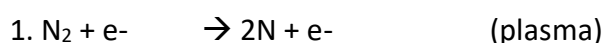
Hong, et al.,<sup>17</sup> suggested the following reaction pathway on Fe/Al<sub>2</sub>O<sub>3</sub> catalyst in a DBD plasma, based on their plasma kinetics model with simple surface reactions:





In their model, they considered fixed reduced electric fields between 30 Td and 70 Td. The electron temperature was between 1 eV and 1.5 eV (compared to 6 eV during the micro-discharges of our filamentary plasma and 0.7 eV during the afterglows in between the micro-discharges, for our typical plasma<sup>22</sup>) and the vibrational temperature was approximately 700 K. On par with our results, they suggested that the  $\text{NH}_3$  synthesis on non-noble catalysts follows a plasma-enhanced semi-catalytic route, where  $\text{N}_2$  is dissociated in the plasma and  $\text{H}_2$  is dissociated on the surface. On the other hand, they found that  $\text{NH}$  was first created in the plasma and subsequently reacts via an ER reaction with  $\text{H}^*$ . Our model suggests that  $\text{NH}^*$  is created at the catalyst surface via the ER reaction  $\text{N} + \text{H}^*$  during the micro-discharge filaments (i.e., at maximum power density). This difference can be explained by the fact that we have a higher N density than NH density in our plasma phase. Hong, et al., however, assumed a uniform plasma without micro-discharges, and obtained a higher NH density than N density. They suggested that both ER and LH reactions contribute to the final hydrogenation of  $\text{NH}_2^*$ . While our model confirms that the LH reaction is relatively more important in between the micro-discharges, it is still less important on the least noble catalysts.

Shah, et al.,<sup>34</sup> suggested the following reaction pathway for a low-pressure RF plasma with Fe catalyst, based on a similar plasma kinetics model with simple surface reactions as developed by Hong, et al.<sup>17</sup>:



In this pathway  $\text{NH}^*$  is created via an ER mechanism, while subsequent hydrogenations follow a LH mechanism. It can be expected that in low pressure plasmas LH

reactions are relatively more important than in atmospheric pressure plasmas, because of the lower density of radicals. Shah, et al.,<sup>34</sup> indeed suggested that in DBD plasmas at atmospheric pressure ER reactions would become more important. In a different article by Shah et al.<sup>35</sup>, again ER reactions were found crucial for the formation of  $\text{NH}^*$  and  $\text{H}_2$  recombination, in a low pressure RF plasma. Additionally, they suggested the existence of a ‘volcano’ trend when plotting the TOF against a linear combination of the activation barriers of  $\text{H}_2$  recombination, H-bulk diffusion and  $\text{NH}^*$  formation. Direct comparison to their volcano must be done carefully, as their independent variable does not arrange the transition metals in the same way as in this paper or in the models by Mehta et al.<sup>4</sup> and Vojvodic et al.<sup>3</sup>

Wang, et al.,<sup>32</sup> showed a correlation between the concentration of strong acid sites of  $\text{Al}_2\text{O}_3$  supported Fe, Ni and Cu and the  $\text{NH}_3$  yields and TOFs. In agreement with Mehta, et al.,<sup>4</sup> they concluded that in plasma catalysis the more noble catalysts Ni and Cu perform better than Fe, which is generally used for thermal catalytic  $\text{NH}_3$  synthesis. However, while Mehta, et al., predicted a steep decrease in  $\text{NH}_3$  TOF (more than a factor  $10^{10}$ , as also indicated by Figure 2), the performance of Ni and Cu was almost identical in the experiments of Wang, et al.<sup>32</sup>. This is indeed also what our model predicts for a filamentary discharge, as well as for a uniform discharge, like studied by Wang, et al. (cf. Figure 4). The lower performance of Fe compared to Ni and Cu could indicate that our model underestimates the barriers of ER reactions on the least-noble catalysts. The observed trend might be more on par with the calculated TOF when assuming ER barriers of e.g. 0.3 eV, as shown in Figure 5c and discussed in the section Sensitivity analysis of the Eley-Rideal reactions.

Another explanation for the lower performance of Fe was given by Winter, et al.<sup>36</sup> By means of FTIR spectroscopy, they observed the formation of  $\text{N}_2\text{H}_y$  species on the Fe catalyst, impeding the production of  $\text{NH}_3$ . While the reactions of  $\text{N}_2\text{H}_y$  species are not included in our model, these species can only be formed as the coverage of  $\text{NH}_x$  species increases. In Figure S11 of the Supporting Information, we show that during the afterglows of the filamentary plasma, catalysts with a binding energy stronger or equal to Fe become entirely covered with NH. Under these conditions it is likely that  $\text{N}_2\text{H}_y$  species are being formed, lowering the  $\text{NH}_3$  TOF on catalysts that bind  $\text{NH}_x$  species more strongly or as strongly as Fe.

Patil, et al.,<sup>11</sup> studied the influence of the feed ratio ( $\text{N}_2/\text{H}_2$ ), specific energy input, reaction temperature, metal loading, and gas flow rates on the yield and energy efficiency of

NH<sub>3</sub> production. One of their conclusions was that Al<sub>2</sub>O<sub>3</sub> loaded with 10 wt% Fe and Mn provided lower NH<sub>3</sub> concentrations than Al<sub>2</sub>O<sub>3</sub> loaded with 10 wt% Ni, Co or Mo. In other words, in their setup, NH<sub>3</sub> production is dependent on the catalyst material. However, they attributed this change in reactivity to the physical influence of the catalyst on the discharge mode. Fe/Al<sub>2</sub>O<sub>3</sub> and Mn/Al<sub>2</sub>O<sub>3</sub> showcased significantly less discharges and were operating more in a non-filamentary regime, in contrast with the other catalysts. These results can thus not be compared to the modeling results in the previous sections, where the same plasma conditions were assumed for each of the catalyst materials in order to study the chemical properties of the metal, instead of the physical properties.

## **Conclusion**

In case of plasma-enhanced catalytic NH<sub>3</sub> synthesis, i.e. when only vibrational excitations are important, our model results predict that optimal catalyst materials are strongly dependent on the imposed VDFs. Specifically, more noble catalysts (metals such as Rh, Ni, Pt and Pd) are found to optimize TOFs depending on the population of the higher energy levels. The population of higher energy levels is found to be more important than the vibrational temperature.

In case where radicals are present in the plasma phase (with concentrations calculated via plasma chemistry models), the process follows a surface-enhanced plasma-driven synthesis, i.e. radicals are the primary source of N and H. Under these conditions, radical adsorption reactions are found to largely remove the dependency of TOFs on the catalyst binding energy of the more noble catalysts. Additionally, Eley-Rideal reactions are found to largely remove the dependency of TOFs on the catalyst binding energy on the less noble catalysts. In this way, the reactions of plasma-generated radicals counter the volcano behavior from thermal conditions and from plasma conditions that only include vibrational excitation.

Under the conditions studied in this paper, the plasma/catalyst system appears to be closer to the latter regime than the former, acknowledging direct comparisons are difficult. For all calculated radical densities and VDFs, including uniform and filamentary DBD plasmas, and both inside and in between the micro-discharges, the reactions of plasma-generated radicals have more impact on the NH<sub>3</sub> TOF than the catalytic dissociation of vibrationally



excited N<sub>2</sub> molecules. The NH<sub>3</sub> TOF in the afterglows of the micro-discharges were found to be a factor 10<sup>10</sup> higher than the thermal TOF, and the TOFs inside the micro-discharges and in the uniform plasma were found to be another factor 10<sup>3</sup> and 10<sup>4</sup> higher than in the afterglows. In each case, the TOFs are between 10<sup>6</sup> and 10<sup>10</sup> times higher than when only vibrational excitations are assumed in the absence of radicals.

Under the conditions of high radical densities, we showed that on all catalysts, NH<sub>3</sub> TOFs are directly related to the density of N atoms. Because radical adsorptions and ER reactions are assumed barrierless and because the coverage of H\* on non-noble catalysts and the coverage of free sites on noble catalysts are close to unity, our model reveals that the TOFs are independent of the catalyst material in the entire range of catalysts studied.

We conclude that the models presented in this article elucidate trends and concepts reported in previous research, despite the different plasma conditions studied in each of these works. Moreover, the detailed comparison between the different contributions of plasma species and their reactions show the individual impact of each of these effects on the NH<sub>3</sub> production, complementing the current state of knowledge, which is much needed for further advancement in the field.

### **Supporting Information**

Kinetic data, gas phase densities, DFT calculations, sensitivity analyses, reaction rates, reaction pathways in the afterglows, results at higher temperature

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## **Synopsis:**

This article describes a computational method to determine the catalytic activity of different transition metals in contact with a plasma.

## **Abstract Graphic:**

