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## Surface-Facet-Dependent Phonon Deformation Potential in Individual Strained Topological Insulator Bi<sub>2</sub>Se<sub>3</sub> Nanoribbons

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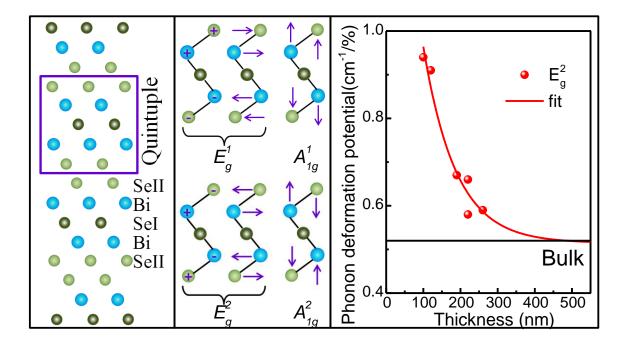
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**ABSTRACT** Strain is an important method to tune the properties of topological insulators. For example, compressive strain can induce superconductivity in  $Bi_2Se_3$  bulk material. Topological insulator nanostructures are the superior candidates to utilize the unique surface states due to the large surface to volume ratio. Therefore, it is highly desirable to monitor the local strain effects in individual topological insulator nanostructures. Here, we report the systematical micro-Raman spectra of single strained  $Bi_2Se_3$  nanoribbons with different thicknesses and different surface facets, where four optical modes are resolved in both Stokes and anti-Stokes Raman spectral lines. A striking anisotropy of the strain dependence is observed in the phonon frequency of strained  $Bi_2Se_3$  nanoribbons grown along the <1120> direction. The frequencies of the

in-plane  $E_g^2$  and out-of-plane  $A_{1g}^1$  modes exhibit a nearly linear blue-shift against bending strain when the nanoribbon is bent along the <11 $\overline{2}0$ > direction with the curved {0001} surface. In this case, the phonon deformation potential of the  $E_g^2$  phonon for 100 nm-thick Bi<sub>2</sub>Se<sub>3</sub> nanoribbon is up to 0.94 cm<sup>-1</sup>/%, which is twice of that in Bi<sub>2</sub>Se<sub>3</sub> bulk material (0.52 cm<sup>-1</sup>/%). Our results may be valuable for the strain modulation of individual topological insulator nanostructures.



**KEYWORDS:** topological insulator, Bi<sub>2</sub>Se<sub>3</sub> nanoribbon, Raman spectroscopy, strain, phonon confinement effect

Topological insulators (TIs) are a new class of quantum matter that possess a trivial insulating bulk phase and robust non-trivial metallic surface states.<sup>1-5</sup> They are considered as promising candidates for novel electronic applications beyond silicon in current electronic world, attracting enormous efforts from both theoretical and experimental research. Among the TIs family,  $Bi_2Se_3$  has been proven to be an ideal candidate for

studying topological surface states due to its simple energy band structure and relatively large bulk band gap of ~ 0.3 eV.<sup>6</sup> The pressure induced phase transition from nonsuperconducting to superconducting phases in topological insulator Bi<sub>2</sub>Se<sub>3</sub>,<sup>7-8</sup> Bi<sub>2</sub>Te<sub>3</sub><sup>9-10</sup> and Sb<sub>2</sub>Te<sub>3</sub><sup>11</sup> bulk materials have been reported, however, ultrahigh pressure is required and a full understanding of the interplay between superconductivity and topological surface states is still elusive.<sup>12-13</sup> Compared to bulk materials, nanostructures have higher crystalline quality, surface-to-volume ratio, and elastic limit<sup>14-15</sup> and thus are more favorable to realize topological superconductivity and to investigate the Majorana fermions.<sup>16-17</sup> However, to our best knowledge, the influence of size, strain and facet on the physical properties of strained Bi<sub>2</sub>Se<sub>3</sub> nanoribbons has not been studied yet.

Micro-Raman spectroscopy is well established as a powerful and sensitive technique to characterize graphene-like layer materials.<sup>18-24</sup> Substrate,<sup>25-27</sup> thickness<sup>28-29</sup> and doping level<sup>30-31</sup> dependent *in situ* and *ex situ* Raman spectra of Bi<sub>2</sub>Se<sub>3</sub> ultra-thin film and nanoplate have been reported. In this work we report the strain, thickness and surface facet dependent phonon properties in individual Bi<sub>2</sub>Se<sub>3</sub> nanoribbons. Utilizing the ultrafine glass tip of a micromanipulator we strained tens of Bi<sub>2</sub>Se<sub>3</sub> nanoribbons with thicknesses ranging from 70 nm to 500 nm. For a typical strain-free Bi<sub>2</sub>Se<sub>3</sub> nanoribbon, all the four optical modes, the in-plane  $E_g^1$  and  $E_g^2$  modes and the out-of-plane  $A_{1g}^1$  and  $A_{1g}^2$  modes, are resolved in both Stokes and anti-Stokes Raman spectra. As expected, these modes soften with decreasing thickness, which is consistent with theoretical predictions.<sup>25-26, 28, 32</sup> Besides that, a strong anisotropic behavior is observed for bent Bi<sub>2</sub>Se<sub>3</sub> nanoribbon, due to the two structurally different facets, the {0001} and the {0115} surface, of Bi<sub>2</sub>Se<sub>3</sub> nanoribbons grown along the <1120> direction.<sup>33-36</sup> Only when the nanoribbon is bent along the  $\langle 11 \ \overline{2} \ 0 \rangle$  direction with the curved {0001} surface perpendicular to the substrate plane and with the exposed {01  $\overline{1} 5$ } top surface, the frequencies of in-plane  $E_g^2$  and out-of-plane  $A_{1g}^1$  modes exhibit a linear blue-shift with increasing strain, which is also strongly thickness dependent. The phonon deformation potential (PDP) of the  $E_g^2$  mode is up to 0.94 cm<sup>-1</sup>/% for 100 nm-thick Bi<sub>2</sub>Se<sub>3</sub> nanoribbon, while it is only approximately 0.52 cm<sup>-1</sup>/% for Bi<sub>2</sub>Se<sub>3</sub> bulk material.<sup>37</sup> Since the phonon modes stiffen linearly as the lattice is compressed,<sup>37-38</sup> the properties of the Bi<sub>2</sub>Se<sub>3</sub> nanoribbons are more sensitive to strain than that of bulk materials. Our work indicates that thin Bi<sub>2</sub>Se<sub>3</sub> nanoribbons are a promising candidate to study the strain modulated unique properties of the topological surface states.

#### **RESULTS AND DISCUSSION**

**Raman spectrum of unstrained Bi**<sub>2</sub>Se<sub>3</sub> **nanoribbon.** Bi<sub>2</sub>Se<sub>3</sub> nanoribbons were synthesized by the Chemical Vapor Deposition (CVD) method,<sup>39</sup> and their thickness ranges from 70 to 500 nm while the length from tens to hundreds of micrometers (Figures S1 and S2). The single crystalline nature of Bi<sub>2</sub>Se<sub>3</sub> nanoribbons was confirmed by transmission electron microscopy (TEM) (Figure S1(b)), high-resolution TEM (HRTEM) (Figure S1(c)) and selected area electron diffraction (SAED) patterns (Figure S1(d)). The growth direction of the Bi<sub>2</sub>Se<sub>3</sub> nanoribbons is always along <11 $\overline{20}$ >. Figures 1(a-c) show the scanning TEM (STEM) results of the cross-sectional sample of a nanoribbon, revealing the exposed facets of the as-grown nanoribbons. A TEM image of a representative cross-sectional sample is shown in Figure 1(b) at low magnification, and its side facet indicated by the blue box is enlarged in Figure 1(a) by a high resolution

high-angle annular dark field (HAADF) STEM image. The Fast Fourier Transformation (FFT) pattern of Figure 1(a) is shown in Figure 1(c), which reveals the two structurally inequivalent types of facets to be the {0001} and the {0115} surfaces.<sup>35-36</sup> Consequently, there are two possibilities to position the Bi<sub>2</sub>Se<sub>3</sub> nanoribbons on the substrate: with the {0001} surface parallel to the substrate plane (Figure 1(d)), or with the {0115} surface parallel to the substrate plane (Figure 1(d)), or with the {0115} surface parallel to the substrate (Figure 1(e)). Correspondingly, the nanoribbons can be bent in two different geometries. Later, we will discuss the Raman spectra of these two kinds of bent Bi<sub>2</sub>Se<sub>3</sub> nanoribbons with different thicknesses. Surprisingly they exhibit a totally different strain response.

Bi<sub>2</sub>Se<sub>3</sub> has a layered rhombohedral structure, which belongs to the space group  $D_{3d}^5$  (*R*3*m*) as shown in Figure 2(a). Each unit cell includes five atomic layers in the sequence of SeII-Bi-SeI-Bi-SeII, which is often referred as quintuple layer (QL) with a dimension of ~1 nm along *c* axis. Stacks of QLs linked by weak van der Waals forces with a slightly covalent nature, while intra QL possess strong covalent bonds. Considering the symmetry of the space group  $D_{3d}^5$ , the primitive unit cell contains five atoms corresponding to the chemical formula of Bi<sub>2</sub>Se<sub>3</sub>. Accordingly, there are 15 lattice dynamical modes at the center of its Brillouin zone (q=0), three of which are acoustic and 12 are optical modes. These 12 optical modes can be classified by the irreducible representations  $\chi = 2E_g + 2A_{1g} + 2E_u + 2A_{1u}$ .<sup>32</sup> According to the selection rules,  $2A_{1u}$  and  $2E_u$  are infrared-active, while  $2A_{1g}$  and  $2E_g$  are Raman-active modes. <sup>31</sup> The Raman tensors of the latter one are<sup>31</sup>

$$A_{1g}: \begin{pmatrix} a & 0 & 0 \\ 0 & a & 0 \\ 0 & 0 & b \end{pmatrix},$$

$$E_{\rm g}: \begin{pmatrix} c & 0 & 0 \\ 0 & -c & d \\ 0 & d & 0 \end{pmatrix}, \begin{pmatrix} 0 & -c & -d \\ -c & 0 & 0 \\ -d & 0 & 0 \end{pmatrix}.$$
 (1)

The intensity of Raman scattering  $I \sim |e_s \cdot R \cdot e_i|^2$  may be different for the {0115} or the {0001} surface perpendicular to the direction of incident light, where  $e_s$  and  $e_i$  represent the unit polarization vectors of scattered and incident light, respectively, and are related to the orientation of the sample.

The corresponding atomic displacements of the Raman-active modes are depicted in Figure 2(b), where the  $E_g$  modes are twofold in-plane vibrational modes and the  $A_{1g}$  modes vibrating along the [0001] direction are out-of-plane modes. These two types of Raman-active modes can be distinguished by the nonzero off-diagonal components in the  $E_g$  Raman tensor. In Figure 2(c) a typical unpolarized Raman spectrum of an unstrained Bi<sub>2</sub>Se<sub>3</sub> nanoribbon is plotted consisting of both Stokes and anti-Stokes contributions. All four bulk vibrational modes  $E_g^1$ ,  $A_{1g}^1$ ,  $E_g^2$  and  $A_{1g}^2$  are observed, with the corresponding peak positions at 37.3, 70.4, 131.9 and 171.2 cm<sup>-1</sup>. In order to determine the Raman peak position and the line width, all the Raman spectra are fitted by Lorentzian lineshape.

Strain dependent Raman spectra of  $Bi_2Se_3$  nanoribbons with the {0001} surface parallel to the substrate plane. In order to investigate the influence of strain on the physical properties of topological insulator  $Bi_2Se_3$  nanoribbons, a series of samples with various thickness and width are bent and measured. Figure 3(a) shows the scanning electron microscopy (SEM) image and scheme of a typical bent  $Bi_2Se_3$  nanoribbon. To distinguish a given nanoribbon was lying either with its {0001} facets facing up and down on the substrate or the facets on the other long edge of the nanoribbon ({0115}) facing up and down, the sample was characterized by atomic force microscopy (AFM) to get the thickness and width. The width of this nanoribbon is 300 nm and the thickness is 180 nm. According to the STEM results, tens more cross-sectional Bi<sub>2</sub>Se<sub>3</sub> nanoribbon samples with different sizes had been prepared, and we found – without an exception – the width of the samples is always larger than the thickness, which implies that the {0001} facets are bigger than the {0115} facets. This can be easily understood by the growth mechanism. Because Bi<sub>2</sub>Se<sub>3</sub> is a layered material, the in-plane growth is much easier than the growth along [0001] direction. For this sample shown in Figure 3(a), the Bi<sub>2</sub>Se<sub>3</sub> nanoribbon should be with the {0001} surface parallel to the substrate plane. Raman spectra collected from three different positions along the Bi<sub>2</sub>Se<sub>3</sub> nanoribbon, marked by red, green and blue color in Figure 3(a), are shown in Figure 3(b) plotted with respective color. The frequencies of all four vibrational peaks  $E_{g}^{1}$ ,  $A_{1g}^{1}$ ,  $E_{g}^{2}$  and  $A_{1g}^{2}$  are denoted by the dashed lines.

Quantitatively, the maximum tensile and compressive strain  $\varepsilon_{ab}^{max}$  at the outer and inner side of the bent Bi<sub>2</sub>Se<sub>3</sub> nanoribbon can be calculated by<sup>40</sup>

$$\varepsilon_{ab}^{max} = \frac{a-a_0}{a_0} = \pm \frac{d}{2R_{ab}},\tag{2}$$

where *a* and  $a_0$  are the lattice constants of the sample in the strained and unstrained case, *d* is the width of the Bi<sub>2</sub>Se<sub>3</sub> nanoribbon here, and  $R_{ab}$  is the radius of curvature with  $R = \frac{[1+(f')^2]^2}{|yf''|}$ , where y = f(x) is the curve function of bent Bi<sub>2</sub>Se<sub>3</sub> nanoribbon. When the bending strain increases from 0 to 2.4%, the frequencies of all four vibrational modes  $E_g^1$ ,  $A_{1g}^1$ ,  $E_g^2$  and  $A_{1g}^2$  remain constant (Figure 3(c)). The full width at half maximum (FWHM) of the in-plane  $E_g^2$  peak exhibits a negligible increase from 6 to 8 cm<sup>-1</sup> with increasing strain, while the ratio of Raman intensities  $I_{A_{1g}^1}/I_{A_{1g}^2}$  does not change (Figure 3(d)). Considering the much larger spot size (~1 µm) of incident laser compared to the width of the nanoribbon, the contributions from the tensile outer side and the compressive inner side of the narrow nanoribbon will add up and cancel the frequency shift, as well as induce the broadening of the FWHM of the  $E_g^2$  mode. As there is no strain along the [0001] direction in this case, the Raman intensity of the out-of-plane modes does not change with the bending strain  $\varepsilon_{ab}$ . Similar results of three more samples are shown in Figure S3-S5 in the supporting information.

Strain dependent Raman spectra of Bi<sub>2</sub>Se<sub>3</sub> nanoribbons with the exposed {0115} top surface on the substrate. A typical SEM image and scheme of a bent 100 nm-thick Bi<sub>2</sub>Se<sub>3</sub> nanoribbon are produced in Figure 4(a), where the {0001} surface is perpendicular to the substrate plane and the exposed top surface is the {0115} surface. The nanoribbon is bent along the <1120> direction with the curved {0001} surface. The Raman spectra are fitted by Lorentzian lineshape and plotted in Figure 4(b). Five curves with different colors correspond to the five positions on the Bi<sub>2</sub>Se<sub>3</sub> nanoribbon marked by the same colors in Figure 4(a). With increasing strain, the Raman frequencies of the  $A_{1g}^1$ and  $E_g^2$  features rise linearly, similar with the typical pressure-induced phonon stiffening<sup>37</sup>. The shear stress along *c* direction enhances the bond interaction by decreasing the bond length of Bi-SeI and SeII-SeII, resulting in the blue shift of the vibrational frequencies of the  $A_{1g}^1$  and  $E_g$  modes. The corresponding PDP of these two modes are about 0.66 and 0.94 cm<sup>-1</sup>/%, respectively (Figure 4(c)). For a comparison, the reported PDP of the  $E_g^2$  mode in bulk Bi<sub>2</sub>Se<sub>3</sub> material under pressure (3.13 cm<sup>-1</sup>/GPa)<sup>37</sup> can be converted to a strain value (%) by<sup>40</sup>

$$\frac{\partial \Delta \omega}{\partial \varepsilon} = (1 - 2\upsilon) E_{ab} \frac{\partial \Delta \omega}{\partial \sigma_{ab}},\tag{3}$$

The Poisson's ratio v used here is 0.27, and the value of Young's modulus of Bi<sub>2</sub>Se<sub>3</sub> bulk material along the  $\langle 11\overline{2}0 \rangle$  direction  $E_{ab}$  is 35.9 GPa,<sup>41</sup> thus the PDP of bulk material is 0.52 cm<sup>-1</sup>/%. It is worth noting that the frequency shift of the  $E_g^2$  mode induced by the same strain in the Bi<sub>2</sub>Se<sub>3</sub> nanoribbon is nearly twice of what is observed in bulk. In contrast to the blue-shift of the  $A_{1g}^1$  and  $E_g^2$  modes, the Raman frequencies of the  $A_{1g}^2$  peak exhibit a red-shift with the PDP value about -1.78 cm<sup>-1</sup>/%. The red-shift of the out-of-plane  $A_{1g}^2$  mode may be caused by the strain modulation of the opposite vibrations of the Bi and SeII atoms.<sup>28</sup> The FWHM of both  $A_{1g}^1$  and  $E_g^2$  modes remains almost unchanged, implying that the phonon-phonon scattering stays the same (Figure 4(d)). Usually, the vibrational peaks broaden when contributions from tensile strain at outer surface and compressive strain at inner surface add up. On the other hand, the FWHM decreases when the strain-induced carrier concentration rises.<sup>19</sup> It has been reported that the carrier concentration of Bi<sub>2</sub>Se<sub>3</sub> bulk materials is enhanced more than ten times when the pressure increases from 0 to 10 GPa,<sup>7</sup> even without a structural phase transition. We conclude that the FWHM of both  $A_{1g}^1$  and  $E_g^2$  modes is unaltered as these two opposite effects cancel each other.

A series of bent Bi<sub>2</sub>Se<sub>3</sub> nanoribbons with different thicknesses have been measured (Figures S6 and S7). As shown in Figure 5(a), the Raman shift of the  $E_g^2$  modes in 100,

120, 190, 220 and 260 nm-thick  $Bi_2Se_3$  nanoribbons all exhibit a blue-shift with increasing strain. Some deviations from the linear relationship may be caused by the nonuniform interaction between  $Bi_2Se_3$  nanoribbons and the substrate. The most interesting discovery is that the PDP of the  $E_g^2$  feature increases with reducing the sample thickness (Figure 5(b)), which may arise from the surface effect.<sup>14</sup> Therefore, the strain modification on the phonon properties depends on the thickness of the  $Bi_2Se_3$ nanoribbons strongly. As reported, the relationship between the FWHM and thickness had been explained using exponential format.<sup>32</sup> Similarly, here we also use the exponential fit to thickness dependence of the PDP of  $E_g^2$  mode in Figure 5(b).

Thickness dependent Raman spectra of strain-free Bi<sub>2</sub>Se<sub>3</sub> nanoribbons. To explore the size effect in topological insulators, Raman spectra of three dimensional (3D) bulk materials and 2D thin films have been collected,<sup>3, 25, 28-29, 32</sup> where infrared features were observed due to the symmetry breaking in 2D thin film samples.<sup>29, 42</sup> Here, quasi 1D Bi<sub>2</sub>Se<sub>3</sub> nanoribbons with various thicknesses are measured to further investigate the size effect.

In Figure 6(a), the Raman peak positions of individual Bi<sub>2</sub>Se<sub>3</sub> nanoribbons are plotted as a function of the sample thickness. When the thicknesses *d* of unstrained Bi<sub>2</sub>Se<sub>3</sub> nanoribbons decreases from 500 to 70 nm, the frequencies of both  $A_{1g}^1$  and  $E_g^2$  modes exhibit a red-shift about 4 cm<sup>-1</sup>, which is consistent with previous results of thin film materials.<sup>25, 28, 32, 43</sup> Considering the phonon confinement effects, the red-shift would relate to the surface-to-volume ratio, or 1/d.<sup>44, 45</sup> Then the relationship of the frequency and *d* can be fitted by<sup>32, 44</sup>

$$\omega(d) = \omega(0) - A/d,\tag{4}$$

where  $\omega(0)$  is the phonon frequency in the bulk (Figure 6(a)). Meanwhile, the surface relaxation and structural defects should also be considered to explain the red-shift.

The ratio of the Raman intensities  $I_{A_{1g}^1}/I_{A_{1g}^2}$  increases as the thickness is reduced, in contrast to the results reported for thin films.<sup>32</sup> Considering the surface tension in the nanostructure with large surface-to-volume ratio, the strength of the out-of-plane vibration  $A_{1g}^1$  mode should be enhanced by decreasing thickness, as shown in Figure 6(b).

Discussion. Our systematic investigations of the strain, thickness and surface facet dependent Raman spectra of Bi<sub>2</sub>Se<sub>3</sub> nanoribbons yield the basis for some important points. For strain-free samples, all the optical phonon frequencies become red-shifted as the sample thickness is reduced, due to the increased phonon confinement effect and surface tension, which is insensitive to the way how the nanoribbons are placed on the substrate. While for strained samples with the  $\{0001\}$  or the  $\{01\overline{1}5\}$  surface parallel to the substrate plane, strong anisotropies are observed, caused by the different bending loading method on the nanoribbon. The strain induced anisotropy demonstrates that Raman spectra of  $Bi_2Se_3$  nanoribbon are more sensitive to the stress along c direction. Furthermore, the PDP of the  $E_g^2$  mode of Bi<sub>2</sub>Se<sub>3</sub> nanoribbon was found to increase with decreasing the nanoribbon thickness. Therefore, a much lower critical pressure (stress) is expected to be sufficient to induce a superconducting phase transition in thin Bi<sub>2</sub>Se<sub>3</sub> nanoribbons compared to the bulk counterpart. Utilizing this great advantage of nanostructures, a superconducting dome was observed recently in WTe<sub>2</sub> nanoflakes with a pressure even smaller than 5 Gpa.46

#### CONCLUSIONS

In conclusion, the influence of size and strain on the Raman spectra of topological insulator Bi<sub>2</sub>Se<sub>3</sub> nanoribbons are investigated systematically. All four optical modes, the in-plane  $E_g^1$  and  $E_g^2$ , and out-of-plane  $A_{1g}^1$  and  $A_{1g}^2$  modes, are resolved in both Stokes and anti-Stokes Raman spectra, and they all soften as the thickness of unstrained Bi<sub>2</sub>Se<sub>3</sub> nanoribbons reduces. While for bent Bi<sub>2</sub>Se<sub>3</sub> nanoribbons a strong anisotropy is observed, due to the differences in the exposed top facets on the substrate: the {0001} and the {0115} surfaces. Only when the {0001} surface is perpendicular to the substrate plane and the exposed top surface is the {0115} surface, that is, the nanoribbon is bent along the <1120> direction with the curved {0001} surface, the  $E_g^2$  and  $A_{1g}^1$  ( $A_{1g}^2$ ) modes of the Bi<sub>2</sub>Se<sub>3</sub> nanoribbon show a blue-shift (red-shift) with strain, and the phonon deformation potential of the  $E_g^2$  mode rises nonlinearly with decreasing sample thickness. The results are helpful for further investigations on the quantum transport of strained Bi<sub>2</sub>Se<sub>3</sub> nanostructures.

#### MATERIALS AND METHODS

Synthesis of Bi<sub>2</sub>Se<sub>3</sub> Nanoribbons. Bi<sub>2</sub>Se<sub>3</sub> nanoribbons were synthesized by CVD method. Bi<sub>2</sub>Se<sub>3</sub> powder (Alfa Aesar) with high purity (> 99.99%) was put in the center of a horizontal tube furnace (Lindberg/Blue M) as the source, while several undoped silicon pieces with 5 nm gold film deposited by electron beam evaporation were placed downstream approximately 9 cm away from the source as the collecting substrates. High purity Ar gas was used to pump and flush the tube, maintain the inside pressure and carry the source vapor from central hot zone (700 °C) to the cold edge (about 350 °C). After

the growth, desirable  $Bi_2Se_3$  nanoribbons were chose from the as-grown products, transferred onto the  $Si/SiO_2$  substrate with marks, and then manipulated to be curved under an optical microscope with micromanipulator by using two needle-shaped glass tips.

**Characterization of Bi<sub>2</sub>Se<sub>3</sub> Nanoribbons.** The as-synthesized Bi<sub>2</sub>Se<sub>3</sub> nanoribbons were characterized by the field-emission SEM (FEI NanoSEM 430) with the accelerating voltage 10 kV. The Bi<sub>2</sub>Se<sub>3</sub> nanoribbons were transferred onto a copper grid by gently rub it on an as-grown substrate and then characterized by 200 kV field-emissions TEM (Tecnai G2 F20). To prepare the cross-sectional TEM sample, ten more Bi<sub>2</sub>Se<sub>3</sub> nanoribbons were transferred onto SrTiO<sub>3</sub> substrate. The nanoribbons were randomly chosen using focused ion beam (FIB, FEI Helios NanoLab DualBeam system). Amorphous carbon/Pt protection layer was deposited to protect the Bi<sub>2</sub>Se<sub>3</sub> nanoribbon was cut along the cross-section by the FIB and then transferred onto a TEM grid by a nano-manipulator in the FIB system. HAADF-STEM was performed using an FEI Titan Cube 60-300 microscope fitted with an aberration corrector for the probe forming lens operated at 200 kV.

**Raman Spectral Measurement.** Unpolarized Raman spectra of the Bi<sub>2</sub>Se<sub>3</sub> nanoribbons were measured by a home-built confocal micro-Raman microscopy system (Princeton Instruments Acton SP 2500 i) in the backscattering configuration at room temperature. The Raman spectra are excited by a  $\lambda$ =532 nm solid state laser with high power stability. The focused spot size is about 1 µm, the spectra resolution ~1 cm<sup>-1</sup> and the lowest available frequency is better than 20 cm<sup>-1</sup> by a set of 532 nm BragGrate<sup>TM</sup>

Notch Filter (532 BNF). The incident laser power after the 100x objective (N.A. = 0.90) is about 0.2 mW to avoid sample damage or laser induced heating. Each spectrum is collected for 3 minutes, because the signal of the nanoribbon is relatively small.

Conflict of Interest: The authors declare no competing financial interest.

*Supporting Information Available:* SEM picture of as-synthesized Bi<sub>2</sub>Se<sub>3</sub> nanoribbons. Typical TEM, HRTEM images and SAED patterns of a strain-free Bi<sub>2</sub>Se<sub>3</sub> nanoribbon. Typical AFM image of a strained Bi<sub>2</sub>Se<sub>3</sub> nanoribbon. Raman spectra of strained Bi<sub>2</sub>Se<sub>3</sub> nanoribbons with different thicknesses in two cases. This material is available free of charge *via* the Internet at http://pubs.acs.org.

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Figure Captions

Figure 1. Characterization of as grown  $Bi_2Se_3$  nanoribbons. (a) High resolution HAADF-STEM image, (b) TEM image and (c) the corresponding FFT pattern of (a) from a crosssectional sample of  $Bi_2Se_3$  nanoribbon. High magnification SEM image of  $Bi_2Se_3$ nanoribbon (d) with the {0001} surface and (e) with the {0115} surface lying on the substrate.

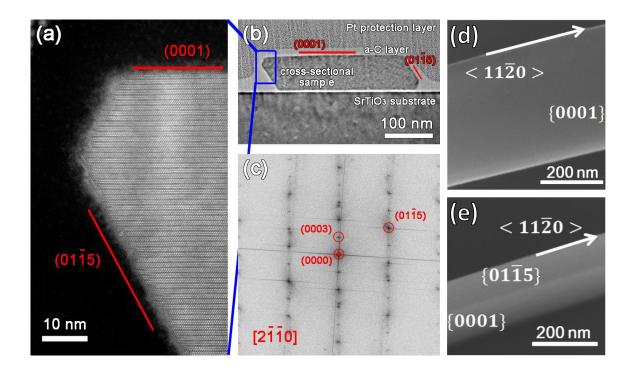


Figure 2. Crystal structure and Raman modes of Bi<sub>2</sub>Se<sub>3</sub>. (a) Layered structure of Bi<sub>2</sub>Se<sub>3</sub>. Five atomic layers in sequence of SeII-Bi-SeI-Bi-SeII as a repeating unit along *c* axis are indicated. (b) Schematic illustration of atomic displacements of bulk Bi<sub>2</sub>Se<sub>3</sub> in Raman-active modes, where "+" and "-" correspond to the inward and outward vibrations perpendicular to the paper plane, respectively. (c) Typical Raman spectrum of a strain-free Bi<sub>2</sub>Se<sub>3</sub> nanoribbon consisting of both Stokes and anti-Stokes contributions, where all four bulk vibrational modes are observed and marked.

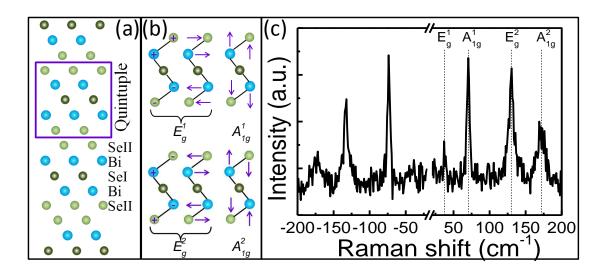


Figure 3. Raman spectra of a strained Bi<sub>2</sub>Se<sub>3</sub> nanoribbon with the {0001} surface parallel to the substrate plane. (a) SEM image and schematic illustration of a bent Bi<sub>2</sub>Se<sub>3</sub> nanoribbon with a width of 300 nm and a thickness of 180 nm. The nanoribbon is bent along the <11Z0> direction with the bending plane perpendicular to the {0001} surface. (b) Raman spectra collected at different positions along the Bi<sub>2</sub>Se<sub>3</sub> nanoribbon. The color of each curve corresponds to three different points on the Bi<sub>2</sub>Se<sub>3</sub> nanoribbon. Frequencies of four vibrational modes are indicated by dashed lines. (c) Relationship of Raman shift of these four vibrational modes against strain. (d) FWHM of  $E_g^2$  mode and ratio of intensities  $I_{A_{1g}^1}/I_{A_{1g}^2}$  as a function of strain.

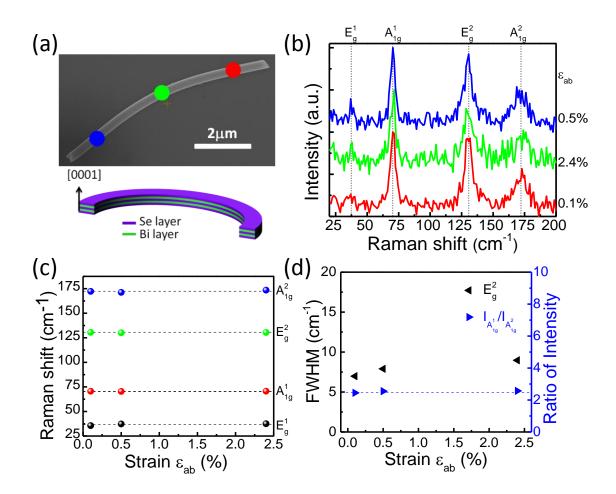


Figure 4. Raman spectra of a strained Bi<sub>2</sub>Se<sub>3</sub> nanoribbon with the {0001} surface perpendicular to the substrate plane and the exposed {0115} top surface. (a) SEM image and schematic illustration of a bent Bi<sub>2</sub>Se<sub>3</sub> nanoribbon with a thickness of 100 nm. The nanoribbon is bent along the  $\langle 11\overline{2}0 \rangle$  direction with the curved {0001} surface. (b) Lorentzian fitted Raman spectra collected at different positions along the Bi<sub>2</sub>Se<sub>3</sub> nanoribbon. The color of each curve corresponds to the five different points on this Bi<sub>2</sub>Se<sub>3</sub> nanoribbon. (c) Relationship of Raman shift of the  $A_{1g}^1$  and  $E_g^2$  modes against strain. (d) FWHM of the  $A_{1g}^1$  and  $E_g^2$  modes as a function of strain.

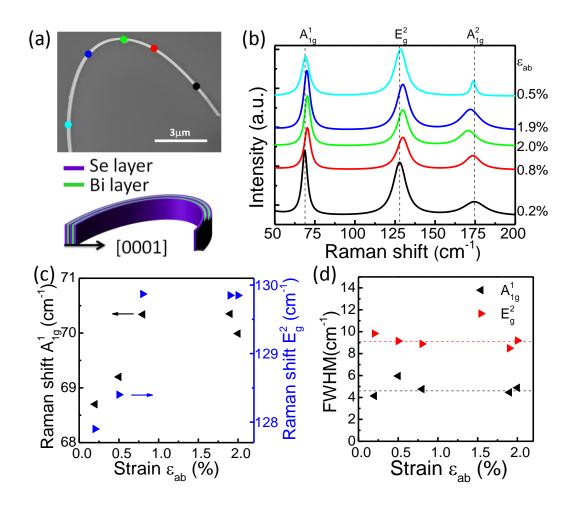


Figure 5. Thickness dependent Raman shift of strained Bi<sub>2</sub>Se<sub>3</sub> nanoribbons with the {0001} surface perpendicular to the substrate plane and the exposed {0115} top surface. (a) Relationship of strain and Raman shift of  $E_g^2$  modes of 100, 120, 190, 220, 260 nm thick Bi<sub>2</sub>Se<sub>3</sub> nanoribbons, and the data have been shifted up by a constant value to make it clear. (b) The phonon deformation potential (PDP) of  $E_g^2$  mode *versus* the thickness of Bi<sub>2</sub>Se<sub>3</sub> nanoribbons. The red line is the fit by an exponential function. The bold black line indicates the PDP value of Bi<sub>2</sub>Se<sub>3</sub> bulk material from ref. 37.

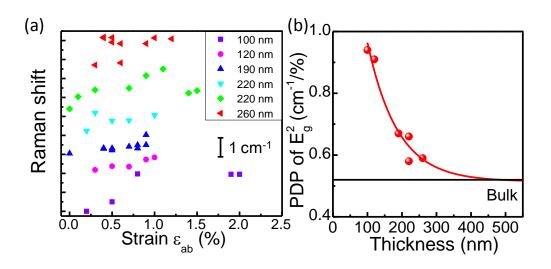
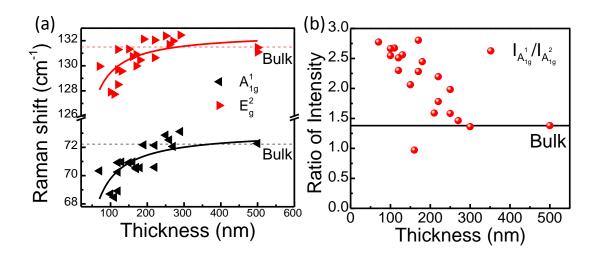


Figure 6. Thickness dependent Raman spectra of strain-free Bi<sub>2</sub>Se<sub>3</sub> nanoribbons. (a) Raman shift of  $A_{1g}^1$  and  $E_g^2$  modes *versus* thickness. The black (red) solid line is fitting curve of  $A_{1g}^1(E_g^2)$  mode. (b) Ratio of intensities  $I_{A_{1g}^1}/I_{A_{1g}^2}$  versus thickness. The bold lines indicate the corresponding value of Bi<sub>2</sub>Se<sub>3</sub> bulk material from ref. 31.



### **Supporting Information**

# Surface-Facet-Dependent Phonon Deformation Potential in Individual Strained Topological Insulator Bi<sub>2</sub>Se<sub>3</sub> Nanoribbons

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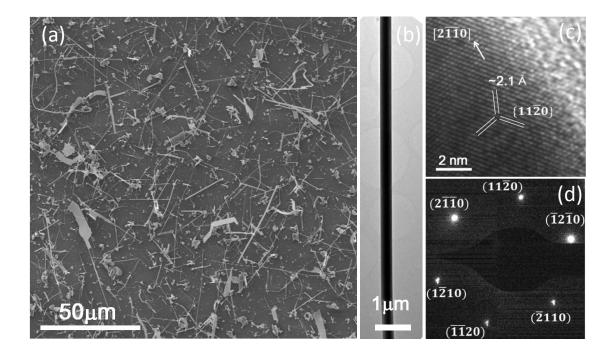


Figure S1. Characterization of as-grown  $Bi_2Se_3$  nanoribbons. (a) SEM, (b) TEM, (c) HRTEM images and (d) SAED pattern of as grown  $Bi_2Se_3$  nanoribbons.

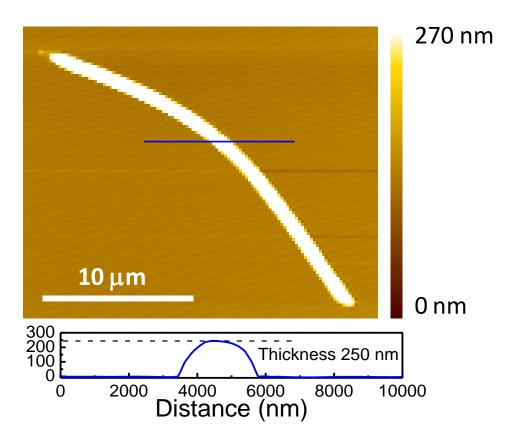
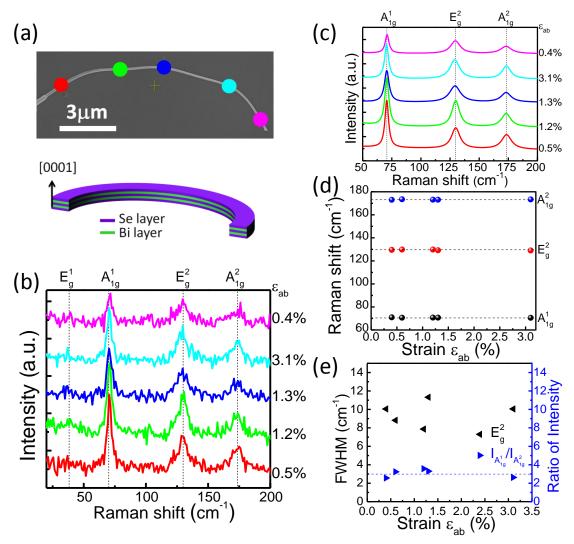
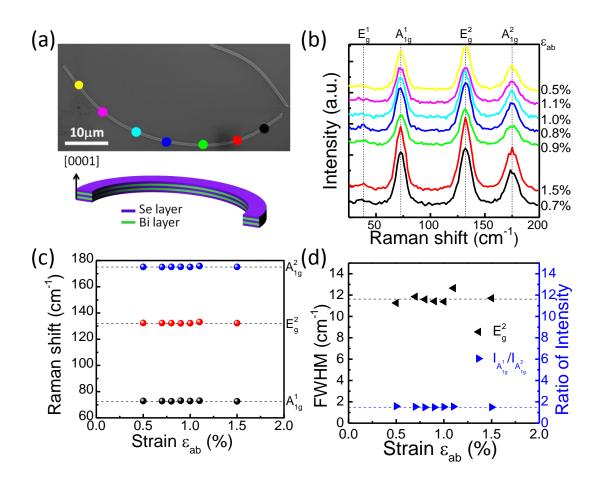


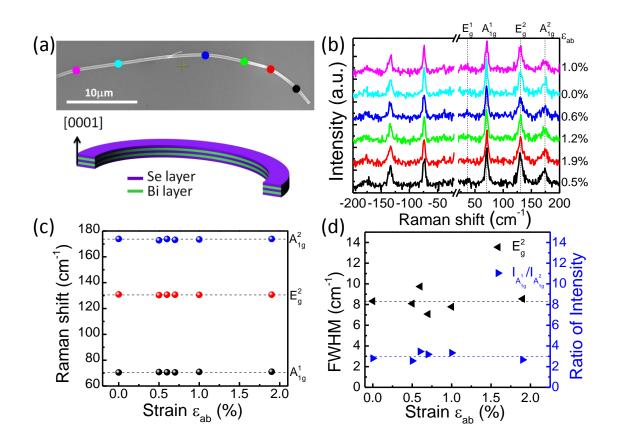
Figure S2. Atomic force microscopy (AFM) characterization of a representative  $Bi_2Se_3$  nanoribbon after Raman measurements. The thickness is 250 nm.



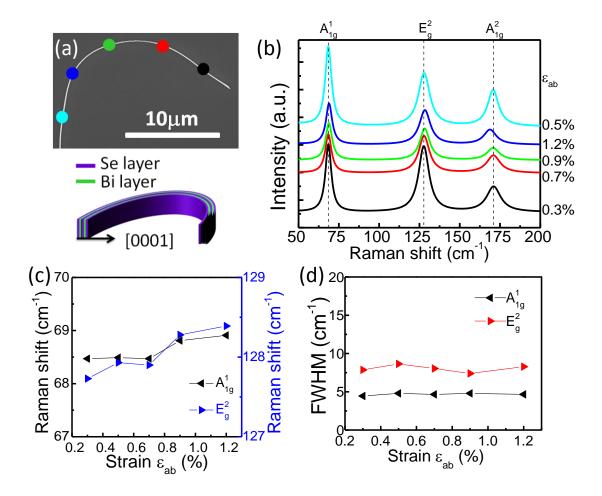
**Figure S3.** Raman spectra of a strained Bi<sub>2</sub>Se<sub>3</sub> nanoribbon with the {0001} surface parallel to the substrate plane. (a) SEM image and schematic illustration of a bent Bi<sub>2</sub>Se<sub>3</sub> nanoribbon with a width of 145 nm and a thickness of 130 nm. (b) Raman spectra and (c) Lorentzian fitted Raman spectra collected at different positions along the Bi<sub>2</sub>Se<sub>3</sub> nanoribbon. The colored curves correspond to five different positions on the Bi<sub>2</sub>Se<sub>3</sub> nanoribbon. Frequencies of the vibrational modes are indicated by dashed lines. (d) Raman shift of the vibrational modes (A<sub>1g</sub><sup>1</sup>, E<sub>g</sub><sup>2</sup>, A<sub>1g</sub><sup>2</sup>) *versus* strain. (e) FWHM of  $E_g^2$ mode and ratio of intensities  $I_{A_{1g}^1}/I_{A_{1g}^2}$  as a function of strain.



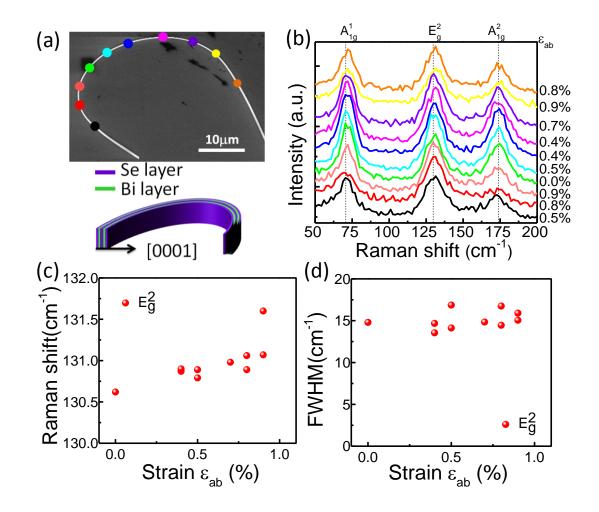
**Figure S4.** Raman spectra of a strained Bi<sub>2</sub>Se<sub>3</sub> nanoribbon with the {0001} surface parallel to the substrate plane. (a) SEM image and schematic illustration of a bent Bi<sub>2</sub>Se<sub>3</sub> nanoribbon with a width of 320 nm and a thickness of 250 nm. (b) Raman spectra collected at different positions along the Bi<sub>2</sub>Se<sub>3</sub> nanoribbon. The color of each curve corresponds to seven different points on the Bi<sub>2</sub>Se<sub>3</sub> nanoribbon. Frequencies of each vibrational mode are indicated by dashed lines. (c) Raman shift of the vibrational modes  $(A_{1g}^{1}, E_{g}^{2}, A_{1g}^{2})$  versus strain. (d) FWHM of  $E_{g}^{2}$  mode and ratio of intensities  $I_{A_{1g}^{1}}/I_{A_{1g}^{2}}$  as a function of strain.



**Figure S5.** Raman spectra of a strained Bi<sub>2</sub>Se<sub>3</sub> nanoribbon with the {0001} surface parallel to the substrate plane. (a) SEM image and schematic illustration of a bent Bi<sub>2</sub>Se<sub>3</sub> nanoribbon with a width of 425 nm and a thickness of 170 nm. (b) Raman spectra collected at the different positions along the Bi<sub>2</sub>Se<sub>3</sub> nanoribbon. The color of each curve corresponds to six different points on the Bi<sub>2</sub>Se<sub>3</sub> nanoribbon. Frequencies of each vibrational mode are indicated by dashed lines. (c) Raman shift of the vibrational modes  $(A_{1g}^{1}, E_{g}^{2}, A_{1g}^{2})$  versus strain. (d) FWHM of  $E_{g}^{2}$  mode and ratio of intensities  $I_{A_{1g}^{1}}/I_{A_{1g}^{2}}$  as a function of strain.



**Figure S6.** Raman spectra of a strained Bi<sub>2</sub>Se<sub>3</sub> nanoribbon with the {0001} surface perpendicular to the substrate plane and the exposed {0115} top surface. (a) SEM image and schematic illustration of a bent Bi<sub>2</sub>Se<sub>3</sub> nanoribbon with a thickness of 120 nm. (b) Lorentzian fitted Raman spectra collected at the different positions along the Bi<sub>2</sub>Se<sub>3</sub> nanoribbon. The color of each curve corresponds to five different points on the Bi<sub>2</sub>Se<sub>3</sub> nanoribbon. (c) Raman shift and (d) FWHM of  $A_{1g}^1$  and  $E_g^2$  modes *versus* strain.



**Figure S7.** Raman spectra of a strained Bi<sub>2</sub>Se<sub>3</sub> nanoribbon with the {0001} surface perpendicular to the substrate plane and the exposed {0115} top surface. (a) SEM image and schematic illustration of a bent Bi<sub>2</sub>Se<sub>3</sub> nanoribbon with a thickness of 190 nm. (b) Raw Raman spectra collected at the different positions along the Bi<sub>2</sub>Se<sub>3</sub> nanoribbon. The color of each curve corresponds to ten different points on the Bi<sub>2</sub>Se<sub>3</sub> nanoribbon. (c) Raman shift and (d) FWHM of  $E_g^2$  mode *versus* strain.