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# CO<sub>2</sub> Hydrogenation in a Dielectric Barrier Discharge

## Plasma Revealed

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**Abstract:** The hydrogenation of carbon dioxide in a dielectric barrier discharge plasma is studied with a one-dimensional fluid model. The spatially averaged densities of the most important end products formed in the CO<sub>2</sub>/H<sub>2</sub> mixture are determined as a function of the initial gas mixing ratio. CO and H<sub>2</sub>O are found to be present at the highest densities and to a lower content also CH<sub>4</sub>, C<sub>2</sub>H<sub>6</sub>, CH<sub>2</sub>O, CH<sub>3</sub>OH, O<sub>2</sub>, and some other higher hydrocarbons and oxygenates. The main underlying reaction pathways for the conversion of the inlet gases and the formation of CO, CH<sub>4</sub>, CH<sub>2</sub>O, and CH<sub>3</sub>OH are pointed out for various gas mixing ratios. The CO<sub>2</sub> conversion and the production of value added products is found to be quite low, also in comparison to a CO<sub>2</sub>/CH<sub>4</sub> mixture, and this can be explained by the model.

## 1. Introduction

Global warming, due to CO<sub>2</sub> emissions, is one of the major problems of the 21st century. CO<sub>2</sub> is a very stable molecule that requires a lot of energy to be activated for the majority of synthetic routes to produce chemicals. Therefore, a first objective in the mitigation of CO<sub>2</sub> emissions is the process of carbon dioxide capture and storage (CCS), as pointed out in a special report published in 2005 by the Intergovernmental Panel on Climate Change.<sup>1</sup> Nowadays, it is clear that aside from the reduction of CO<sub>2</sub> emissions to the atmosphere and the use of CCS for this purpose, also the energy efficient utilization of (captured) CO<sub>2</sub>, as an important carbon resource to create products, will be a crucial step in order to achieve an economically viable low-carbon economy.

Today, CO<sub>2</sub> utilization is mainly limited to the direct use, i.e., without a conversion step, as an inert agent for food packaging, in carbonated drinks, in refrigeration systems, in fire extinguishers, as a solvent, and for enhanced oil recovery (particularly in the United States), as well as the indirect use for the chemical production of mainly urea, a lower content of methanol and an even smaller amount of a wide variety of other products.<sup>1-3</sup> Ongoing research on the conversion of CO<sub>2</sub> in value added chemicals is primarily focusing on the formation of carbon monoxide (CO), methanol, polymers, urea, carboxylates, carbonates, olefins, etc.<sup>2</sup> In order to convert CO<sub>2</sub> into products, an energy source, such as heat or electricity, or material inputs, such as fly ash, hydrogen, or epoxides, is required.<sup>2</sup> To become of added value, it is crucial that new CO<sub>2</sub> utilization processes have a lower carbon footprint than their equivalent classical processes using fossil fuel routes for the production of the same product.

In the last decades, there is an increasing interest in using plasma technology for the conversion of gases such as CO<sub>2</sub>. Several types of plasma reactors are being investigated for this purpose.<sup>4</sup> One example of such a plasma is the dielectric barrier discharge (DBD)<sup>5-10</sup> which can

be operated at atmospheric pressure and room temperature, and thus, it enables gas phase reactions at ambient conditions. A DBD is generated between two electrodes of which at least one is covered with a dielectric material. The gap between both electrodes is typically a few millimeters. An ac voltage with an amplitude of 1 - 100 kV and a frequency ranging from a few Hz to MHz is usually applied to this kind of discharges.

Current research on the use of plasma for CO<sub>2</sub> conversion includes the splitting of pure CO<sub>2</sub> into CO and O<sub>2</sub><sup>11-35</sup> and the direct synthesis of higher hydrocarbons, syngas, and oxygenates through the reforming of CH<sub>4</sub> by CO<sub>2</sub><sup>36-94</sup> or the hydrogenation of CO<sub>2</sub><sup>95-98</sup>. However, application of the latter is up to now limited because of the high cost of hydrogen.<sup>39</sup> The sustainable and economically viable production and use of H<sub>2</sub> will be of major importance to develop a competitive process for the hydrogenation of CO<sub>2</sub>. Even more, an environmentally beneficial conversion process can only be realized if this process converts more CO<sub>2</sub> than the amount of CO<sub>2</sub> produced in the whole process including the H<sub>2</sub> manufacturing. Nowadays, H<sub>2</sub> is produced by steam reforming of CH<sub>4</sub>, coal gasification, and partial oxidation of light oil residues. As a result, fossil fuels are depleted and the net atmospheric CO<sub>2</sub> emissions are increased.<sup>99</sup> Therefore, a lot of research is carried out concerning new H<sub>2</sub> production methods based on the use of renewable energy sources. Besides, also technologies for the production of H<sub>2</sub> from H<sub>2</sub>O such as electrolysis, thermolysis, thermochemical splitting, photoelectrolysis, and photobiological cleavage are of interest.<sup>100</sup>

Recently, the interest in the development of new sustainable industrial processes for the direct hydrogenation of CO<sub>2</sub> into CH<sub>3</sub>OH is increasing because of the potential of CH<sub>3</sub>OH in a growing hydrogen economy. Methanol is a primary liquid petrochemical which is of great importance in the chemical and energy industries, because it can be easily stored and transported.<sup>99</sup> A direct

route to produce methanol would be more efficient and environmentally sustainable as it becomes more and more possible to make hydrogen gas in an economically efficient manner using renewable energy. Moreover, this hydrogenation process is a well-known reaction in catalysis research. Olah et al.<sup>100</sup> discussed the present understanding of the mechanism of the catalytic methanol synthesis from syngas. They concluded that CH<sub>3</sub>OH is probably almost exclusively formed by hydrogenation of CO<sub>2</sub> contained in syngas on the catalytic surface. The CO in the syngas first undergoes a water-gas shift reaction to form CO<sub>2</sub> and H<sub>2</sub>. The formed CO<sub>2</sub> then reacts with H<sub>2</sub> to yield CH<sub>3</sub>OH. Furthermore, in view of the goal to reduce the atmospheric concentration of CO<sub>2</sub> and therefore decreasing our dependence on fossil fuels, the use of natural gas and his principal component CH<sub>4</sub> as a coreactant is of less interest. Therefore, if H<sub>2</sub> can be produced from H<sub>2</sub>O by renewable energy sources, it is more interesting as H-source for CO<sub>2</sub> conversion than CH<sub>4</sub>.

In order to develop an economically viable industrial process for the hydrogenation of CO<sub>2</sub> by means of a dielectric barrier discharge, it is crucial to first obtain a better insight into the complicated underlying plasma chemistry acting in the conversion process. Besides experimental work, computer modeling can offer here the necessary information.

Experimental and modeling investigations on the plasma chemistry in CO<sub>2</sub>/H<sub>2</sub> mixtures reported in literature are, however, very rare. Eliasson et al.<sup>95</sup> investigated the hydrogenation of CO<sub>2</sub> to CH<sub>3</sub>OH in a DBD with and without the presence of a catalyst. Experimentally the effects of combining a catalyst with a discharge on the yield of CH<sub>3</sub>OH were analyzed for different reaction parameters, such as the gas temperature, the pressure, the inlet gas mixing ratio, the electric power, and the flow rate of the feed gas. Furthermore, a simplified semiempirical kinetic model was used to simulate the accumulated chemical action of many microdischarges, in order

to calculate the CH<sub>3</sub>OH yield in the CO<sub>2</sub>/H<sub>2</sub> discharge. A radical reaction mechanism was proposed for the formation of CH<sub>3</sub>OH. Liu et al.<sup>101</sup> discussed in a review paper the use of nonthermal plasmas for CO<sub>2</sub> utilization, including the hydrogenation of CO<sub>2</sub> to form CH<sub>3</sub>OH in a DBD, referring thereby to the work of Eliasson et al.<sup>95</sup> Hayashi et al.<sup>96</sup> discussed the decomposition of CO<sub>2</sub> in the presence of H<sub>2</sub> or water vapor by a nonthermal plasma, produced by a surface discharge at atmospheric pressure. CO, CH<sub>4</sub>, dimethyl ether (C<sub>2</sub>H<sub>6</sub>O), formic acid (HCOOH), and water vapor were detected as end products of a gas mixture of 50% CO<sub>2</sub> and 50% H<sub>2</sub>. Kano et al.<sup>97</sup> studied the reforming of CO<sub>2</sub> by H<sub>2</sub> to CH<sub>4</sub> and CH<sub>3</sub>OH by using a radio frequency impulse low-pressure discharge under different discharge parameters. CH<sub>4</sub>, CO, CH<sub>3</sub>OH, and water vapor were found as end products. Recently Zeng et al.<sup>98</sup> investigated the plasma-catalytic CO<sub>2</sub> hydrogenation in a coaxial packed-bed DBD at low temperatures and atmospheric pressure. The performance of different  $\gamma$ -Al<sub>2</sub>O<sub>3</sub> supported metal catalysts on the conversion of CO<sub>2</sub> was studied. The reverse water-gas shift reaction, i.e., the formation of CO and H<sub>2</sub>O, as well as carbon dioxide methanation, i.e., the formation of CH<sub>4</sub> and H<sub>2</sub>O, have been reported as the dominant reaction processes. The results also show that the H<sub>2</sub>/CO<sub>2</sub> molar ratio significantly affects the conversion of CO<sub>2</sub> and the yields of CO and CH<sub>4</sub>. Furthermore, some recent theoretical studies on the hydrogenation of CO<sub>2</sub> are worth mentioning, although they are not directly related to plasma chemistry. Chiavassa et al.<sup>102</sup> modeled the synthesis of CH<sub>3</sub>OH from CO<sub>2</sub>/H<sub>2</sub> on a Ga<sub>2</sub>O<sub>3</sub>-Pd/silica catalyst and Tao et al.<sup>103</sup> performed a density functional theory (DFT) study to investigate the reaction mechanisms for the synthesis of CH<sub>3</sub>OH from CO<sub>2</sub> and H<sub>2</sub>.

In the present paper, we present a 1D fluid modeling study for the conversion of CO<sub>2</sub>, in the presence of H<sub>2</sub>, into CO, higher hydrocarbons and higher oxygenates. The extensive chemistry

set used in this model was earlier developed and previously used to describe the plasma chemistry in an atmospheric pressure DBD in  $\text{CH}_4/\text{O}_2$  and  $\text{CH}_4/\text{CO}_2$  gas mixtures.<sup>87</sup> The formation of a variety of higher hydrocarbons and higher oxygenates in  $\text{CO}_2/\text{H}_2$  for different gas mixing ratios is calculated, as well as the conversion of the inlet gases. These results will be compared with the experimental observations from the papers mentioned above on  $\text{CO}_2/\text{H}_2$  gas discharges, as well as with earlier calculated results with the same model for a  $\text{CH}_4/\text{CO}_2$  gas mixture. This will allow us to determine the best gas mixture, in terms of conversion and production of the various value-added end products considered in the model. Furthermore, the focus of the present paper is on the main underlying pathways governing the conversion of  $\text{CO}_2$  with  $\text{H}_2$ , into the main reaction products, i.e.,  $\text{CO}$ ,  $\text{CH}_4$ ,  $\text{CH}_2\text{O}$ , and  $\text{CH}_3\text{OH}$ , in order to explain the product formation in the different gas mixing ratios and to reveal why some oxygenates are formed while others seem not to be formed.

## 2. Description of the Model

A one-dimensional fluid model, called Plasimo's MD2D,<sup>104-105</sup> is applied. This fluid model consists of a set of coupled partial differential equations which are derived from the Boltzmann equation. More specifically, particle continuity equations and drift-diffusion equations for the various species, as well as an electron energy balance equation, are solved. These equations are coupled to the Poisson equation for a self-consistent calculation of the electric field. This set of coupled equations is solved in time and in space until periodic steady state is reached. A more detailed description of the physics used in the model and of the numerical methods that are applied, is reported by Hagelaar<sup>106</sup> and by Brok et al.<sup>107</sup> Detailed information about the specific use of the model for describing a detailed plasma chemistry in a DBD and the applied boundary conditions can be found in De Bie et al.<sup>108</sup>



The chemistry set developed for the CO<sub>2</sub>/H<sub>2</sub> gas mixture is almost identical to the one constructed for a CH<sub>4</sub>/O<sub>2</sub> and CH<sub>4</sub>/CO<sub>2</sub> gas mixture, except for some adaptations of the third body species in the neutral-neutral three-body collision reactions, where CO<sub>2</sub> and H<sub>2</sub> are now included as third body instead of CH<sub>4</sub>, O<sub>2</sub>, CO<sub>2</sub>, and H<sub>2</sub>O, with the same rate coefficients. In total, 75 species (electrons, molecules, ions, and radicals) are included in the model, as presented in Table 1. Note that dimethyl ether (CH<sub>3</sub>OCH<sub>3</sub>) and formic acid (HCOOH), which were experimentally found by Hayashi et al.,<sup>96</sup> as mentioned above, are not included in the model as the rate constants for the formation and loss processes for these molecules are unknown. As a consequence, our model will not be able to make predictions on the formation of these products. We expect that these species would anyway play a minor role in the chemistry, especially as intermediates. They might be potential end products, but we do not expect their densities to be higher than, e.g., CH<sub>4</sub>, CH<sub>3</sub>OH, and CH<sub>2</sub>O, and certainly much lower than, e.g., CO. In the future, however, we would like to take these species into account in our model as well, if data would become available to describe their chemistry. The 75 species included in the model interact with each other in 963 gas phase reactions, including 157 electron-neutral, 48 electron-ion, 420 neutral-neutral and 338 ion-ion or ion-neutral reactions. Detailed information on the construction of the chemistry set and the transport coefficients and wall interaction coefficients used in the model can be found in our earlier papers.<sup>87, 108</sup>

**Table 1.** Overview of the Species Included in the Model, besides the Electrons

molecules	CH <sub>4</sub> , C <sub>2</sub> H <sub>6</sub> , C <sub>2</sub> H <sub>4</sub> , C <sub>2</sub> H <sub>2</sub> , C <sub>2</sub> , C <sub>3</sub> H <sub>8</sub> , C <sub>3</sub> H <sub>6</sub> , C <sub>4</sub> H <sub>2</sub> , H <sub>2</sub> , O <sub>3</sub> , O <sub>2</sub> , CO <sub>2</sub> , CO, H <sub>2</sub> O, H <sub>2</sub> O <sub>2</sub> , CH <sub>2</sub> O, CH <sub>3</sub> OH, C <sub>2</sub> H <sub>5</sub> OH, CH <sub>3</sub> CHO, CH <sub>2</sub> CO, CH <sub>3</sub> OOH, C <sub>2</sub> H <sub>5</sub> OOH
ions	CH <sub>5</sub> <sup>+</sup> , CH <sub>4</sub> <sup>+</sup> , CH <sub>3</sub> <sup>+</sup> , CH <sub>2</sub> <sup>+</sup> , CH <sup>+</sup> , C <sup>+</sup> , C <sub>2</sub> H <sub>6</sub> <sup>+</sup> , C <sub>2</sub> H <sub>5</sub> <sup>+</sup> , C <sub>2</sub> H <sub>4</sub> <sup>+</sup> , C <sub>2</sub> H <sub>3</sub> <sup>+</sup> , C <sub>2</sub> H <sub>2</sub> <sup>+</sup> , C <sub>2</sub> H <sup>+</sup> , C <sub>2</sub> <sup>+</sup> , H <sub>3</sub> <sup>+</sup> , H <sub>2</sub> <sup>+</sup> , H <sup>+</sup> , O <sub>4</sub> <sup>+</sup> , O <sub>2</sub> <sup>+</sup> , O <sup>+</sup> , O <sub>4</sub> <sup>-</sup> , O <sub>3</sub> <sup>-</sup> , O <sub>2</sub> <sup>-</sup> , O <sup>-</sup> , CO <sub>2</sub> <sup>+</sup> , CO <sup>+</sup> , H <sub>3</sub> O <sup>+</sup> , H <sub>2</sub> O <sup>+</sup> , OH <sup>+</sup> , H <sup>-</sup> , OH <sup>-</sup>
radicals	CH <sub>3</sub> , CH <sub>2</sub> , CH, C, C <sub>2</sub> H <sub>5</sub> , C <sub>2</sub> H <sub>3</sub> , C <sub>2</sub> H, C <sub>3</sub> H <sub>7</sub> , C <sub>3</sub> H <sub>5</sub> , H, O, OH, HO <sub>2</sub> , CHO, CH <sub>2</sub> OH, CH <sub>3</sub> O, C <sub>2</sub> H <sub>5</sub> O, C <sub>2</sub> HO, CH <sub>3</sub> CO, CH <sub>2</sub> CHO, CH <sub>3</sub> O <sub>2</sub> , C <sub>2</sub> H <sub>5</sub> O <sub>2</sub>

The model is applied to a cylindrical atmospheric pressure DBD reactor setup, as described in our earlier work.<sup>87, 108</sup> The reactor consists of two coaxial electrodes of which the inner electrode is grounded and has an outer diameter of 22 mm. The outer electrode has a diameter of 29.3 mm and is powered by a high-voltage supply. It is wrapped around a dielectric material made of alumina. The alumina tube has an inner diameter of 26 mm and a wall thickness of 1.6 mm, resulting in a discharge gap of 2 mm. The length of the outer electrode, which defines the discharge length, is 120 mm. However, we only consider the radial direction, i.e., the direction between both electrodes, in the model, in order to limit the calculation time and especially to avoid that we have to deal with filament formation in the DBD reactor. Indeed, a DBD operating in a CO<sub>2</sub>/H<sub>2</sub> mixture is not spatially homogeneous, but consists of a large number of microdischarge filaments which occur randomly in time, as well as in space along the axial direction.<sup>33</sup> This filament formation can, however, not be simulated with the present model. For this reason, we apply the model only in the radial direction, so that we do not have to deal with the spatial inhomogeneity (filaments) in the axial direction.

We assume that the gas temperature is 300 K, constant in time and in space. This is justified, because in a DBD there is only local heating due to the discharge filaments. However, the latter only take a very small fraction of the reactor volume for several nanoseconds, with a repetition in the microseconds scale, yielding a so-called volume-corrected filament frequency of about 0.02% per half discharge period.<sup>109</sup> This volume-corrected filament frequency was estimated in Bogaerts et al.<sup>109</sup> from experimental data of Ozkan et al.<sup>33</sup> on the filament lifetime and the number of filaments per half discharge cycle. More specifically, in these experiments, an average filament lifetime of 15.6 ns was measured, and about 200 filaments per half cycle were counted. Assuming a typical filament diameter of 0.1 mm in a gap size of 2 mm corresponds to a filament

volume of  $0.016 \text{ mm}^3$ .<sup>109</sup> The plasma reactor volume of Ozkan et al.<sup>33</sup> was  $15.08 \text{ cm}^3$ ; hence, this yields a filament volume fraction of  $1.04 \times 10^{-6}$ . When we combine this with the measured number of filaments per half cycle (i.e., 200), this gives a so-called “volume-corrected filament frequency” of  $2.08 \times 10^{-4}$  (or 0.02%) per half cycle. Thus, as the filaments occupy only a small fraction of the discharge, both in space and in time, overall, the gas heating is very limited. Furthermore, often a water jacket is used in experiments to cool the reactor and keep the reactor temperature constant.<sup>18, 36, 110-113</sup> More information about the reactor setup can be found in our previous papers.<sup>87, 108</sup>

The calculations are carried out as a function of time, up to 20s. This time corresponds to the gas residence time in the reactor. Thus, the gas conversion and product yields for shorter residence times (corresponding to higher gas flow rates) will also follow automatically from these model calculations. Furthermore, a sinusoidal voltage with amplitude of 5 kV and frequency of 10 kHz is applied. The  $\text{CO}_2$  fraction in the  $\text{CO}_2/\text{H}_2$  mixture is varied from 10 to 90%.

### **3. Results and Discussion**

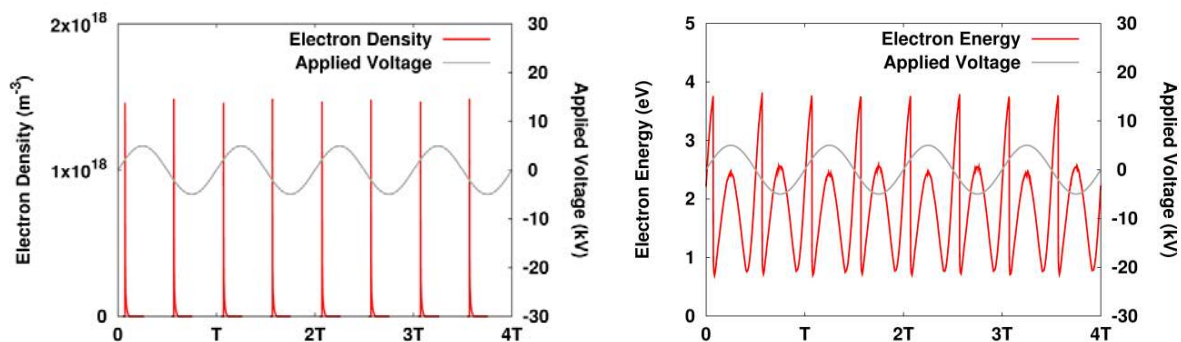
First, the spatially averaged electron density and temperature, and radical densities as a function of time and initial gas mixing ratio will be illustrated. Subsequently, the densities of the formed end products, as well as their yields and selectivities and the conversion of the inlet gases as a function of the initial gas mixing ratio will be presented. Finally, the dominant reaction pathways for the conversion of the inlet gases and the formation of  $\text{CO}$ ,  $\text{CH}_4$ ,  $\text{CH}_2\text{O}$  and  $\text{CH}_3\text{OH}$  will be pointed out for the various gas mixing ratios. A comparison will be made with our

previous work on the conversion in a CO<sub>2</sub>/CH<sub>4</sub> gas mixture, to determine which gas mixture would be more suitable for producing specific value added products.

### 3.1. Densities of the Plasma Species

The plasma chemistry in a DBD is initiated by the electrons, which are heated by the electric field and give rise to electron impact excitation, ionization, and dissociation collisions. The excited species, ions and radicals created in this way, will then further react into the formation of new molecules. The spatially averaged electron density and temperature, as well as the densities of the radicals and ions produced in the plasma, exhibit periodic behavior as a function of time, following the period of the applied sinusoidal voltage. Periodic steady state is reached after 0.001 s. Figure 1 shows the spatially averaged electron density and temperature as a function of time, for four periods of the applied voltage, for a 50/50 CO<sub>2</sub>/H<sub>2</sub> gas mixture. It is clear that the electron density varies from peak values of 10<sup>18</sup> m<sup>-3</sup> in the beginning of each period to virtually zero in the rest of the period, while the spatially averaged mean electron energy varies between 0.7 and 3.8 eV. The overall spatially and time averaged electron density for all CO<sub>2</sub>/H<sub>2</sub> gas mixtures under study amounts to ca. 10<sup>15</sup> m<sup>-3</sup>, while the overall spatially and time averaged mean electron energy varies between 1.9 and 2.7 eV. These results are similar to the values calculated in pure CH<sub>4</sub><sup>108</sup> and in the CH<sub>4</sub>/O<sub>2</sub> and CH<sub>4</sub>/CO<sub>2</sub> gas mixtures<sup>87</sup>. Also the ion densities show a similar periodic behavior, as was illustrated in our earlier work.<sup>87, 108</sup>

Note that an electron density of ca. 10<sup>15</sup> m<sup>-3</sup> is a typical value for the filaments in a DBD,<sup>4, 114</sup> where the electron impact collisions are initiated, while a mean electron energy between 1.9 and 2.7 eV also is typical inside the filaments<sup>4, 114</sup>. This indicates that our model, neglecting the filaments, will still give a realistic estimate of the plasma chemistry.

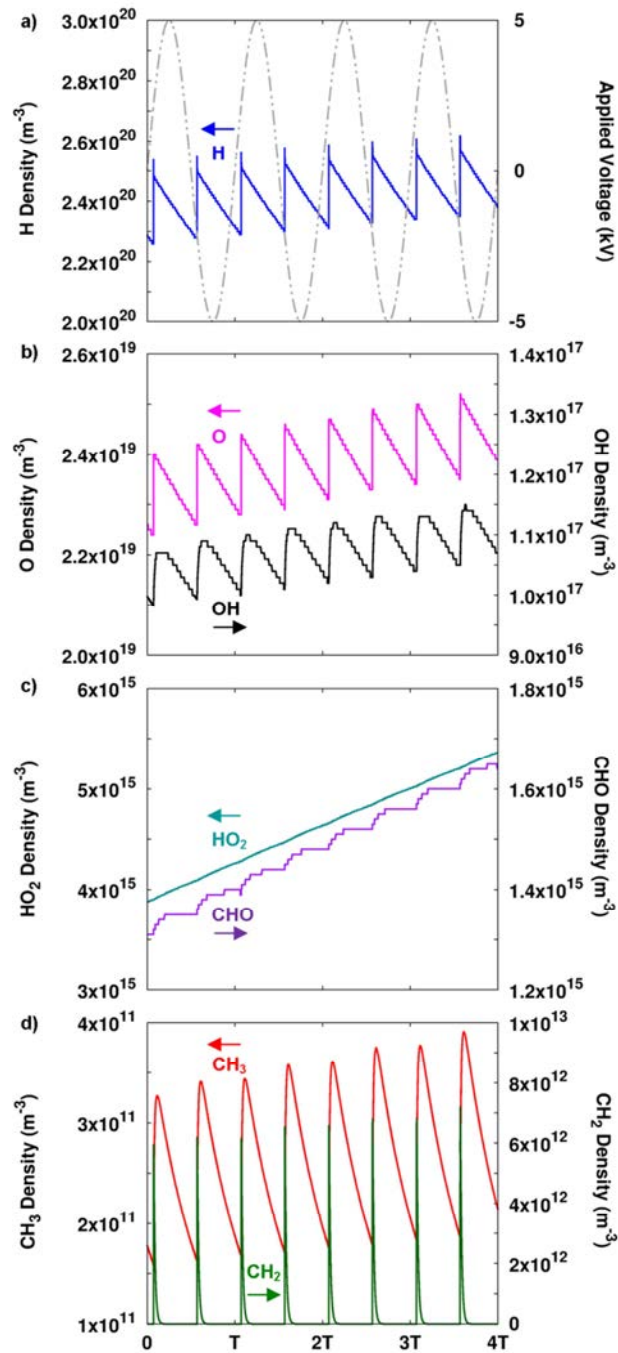


**Figure 1.** Spatially averaged electron density (a) and energy (b) as a function of time for a 50/50  $\text{CO}_2/\text{H}_2$  gas mixture, on a linear scale, as well as the applied sinusoidal voltage (gray, right axis) for four periods of the applied voltage (i.e., between 0.0016 and 0.002 s).

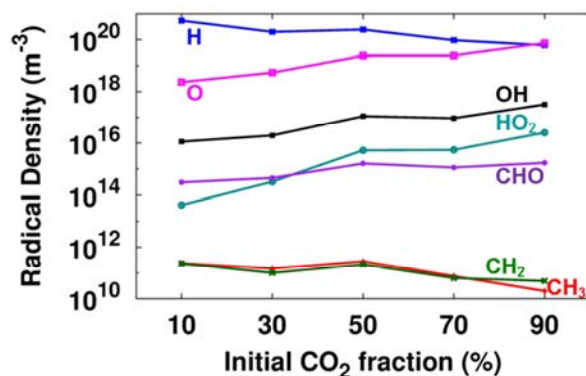
Figure 2 illustrates the periodic behavior of the most important radical densities for a 50/50  $\text{CO}_2/\text{H}_2$  gas mixture, for four periods of the applied voltage. Only the  $\text{CH}_3$  and  $\text{CH}_2$  radicals vary over a wide range as a function of time within one period of the applied voltage, while the other radicals show only a minor ( $\sim 10\%$ ) periodic variation ( $\text{H}$ ,  $\text{O}$ ,  $\text{OH}$ ), or almost no periodic variation at all ( $\text{HO}_2$ ,  $\text{CHO}$ ). The periodic trend is here superimposed on a rising trend, acting over a longer time scale until periodic steady state is reached. The  $\text{H}$  atoms are the most abundant radicals, with an overall spatially and time averaged density of about  $10^{20} \text{ m}^{-3}$ , followed by the  $\text{O}$  atoms,  $\text{OH}$ ,  $\text{HO}_2$ , and  $\text{CHO}$  radicals, which have densities in the order of  $10^{19}$ ,  $10^{17}$ ,  $10^{15}$ , and  $10^{15} \text{ m}^{-3}$ , respectively. The  $\text{CH}_3$  and  $\text{CH}_2$  radicals only have spatially and time averaged densities in the order of  $10^{11} \text{ m}^{-3}$ . The reason why most of these radicals, except  $\text{CH}_2$ , do not vary a lot as a function of time within one period is because the formation of all these radicals proceeds in a quite similar way, i.e., either directly or indirectly related to electron impact dissociation of the inlet gases. However,  $\text{CH}_2$  is rapidly destroyed in reactions with  $\text{CO}_2$ , one of the inlet gases, which is thus present at high density, explaining the significant drop in the  $\text{CH}_2$

density as a function of time, while H, O, OH, HO<sub>2</sub>, CHO, and CH<sub>3</sub> react away through collisions with other radicals or molecules at lower densities. The most abundant radicals will determine the different reaction pathways for the formation of different end products (see below). Compared to our previous results for the CO<sub>2</sub>/CH<sub>4</sub> mixture<sup>87</sup>, the higher order hydrocarbon radicals, such as C<sub>2</sub>H<sub>5</sub> and C<sub>2</sub>H<sub>3</sub>, as well as the oxygenate radicals, such as CH<sub>3</sub>O, CH<sub>2</sub>OH, and CH<sub>3</sub>O<sub>2</sub>, are formed to a lower extent in CO<sub>2</sub>/H<sub>2</sub>, which is logical, as there is no hydrocarbon precursor (CH<sub>4</sub>) in the inlet gas mixture, resulting in a lower overall carbon fraction than in CO<sub>2</sub>/CH<sub>4</sub>.

The spatially and time averaged densities of the most abundant radicals in CO<sub>2</sub>/H<sub>2</sub> are plotted in Figure 3 as a function of the initial CO<sub>2</sub> in the mixture. Upon rising the initial fraction of CO<sub>2</sub> between 10 and 90 %, the densities of the H, CH<sub>3</sub>, and CH<sub>2</sub> radicals drop by 1 order of magnitude, because these radicals are directly or indirectly formed out of H<sub>2</sub>. On the other hand, the densities of O, OH, HO<sub>2</sub>, and CHO, as well as the other O-containing radicals (not shown), increase by one order to several orders of magnitude upon rising the inlet fraction of CO<sub>2</sub>, as they are directly or indirectly formed out of CO<sub>2</sub>.



**Figure 2.** Spatially averaged radical densities as a function of time for a 50/50 CO<sub>2</sub>/H<sub>2</sub> gas mixture, as well as the applied sinusoidal voltage (gray, right axis in (a)) for four periods of the applied voltage (i.e., between 0.0016 and 0.002 s).



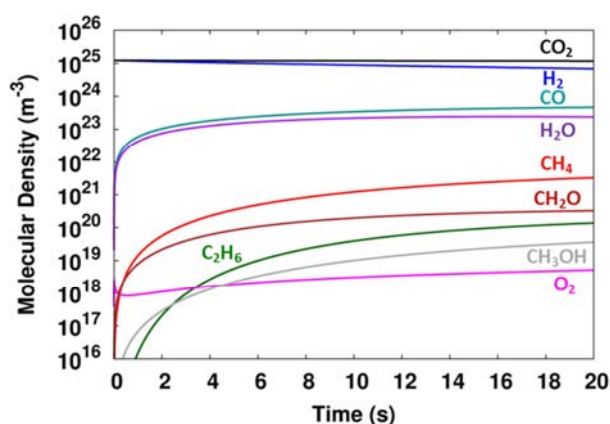
**Figure 3.** Spatially and time averaged (taken over 1 period, i.e., between 0.0019 and 0.002 s) radical densities as a function of the initial CO<sub>2</sub> fraction in the CO<sub>2</sub>/H<sub>2</sub> gas mixture.

The most abundant ion in the CO<sub>2</sub>/H<sub>2</sub> gas mixture is H<sub>3</sub>O<sup>+</sup>, with a spatially and time averaged density in the order of 10<sup>15</sup> m<sup>-3</sup>, hence comparable to the electron density (cf. above). The other ion densities are 2 or even more orders of magnitude lower, and thus the ion densities are much lower than the spatially and time averaged densities of the most abundant radicals, indicating that the ions play a minor role in the plasma chemistry (see also sections 3.3 and 3.4 below). Therefore, we do not go in further detail on the ion densities.

The densities of the stable molecules do not exhibit a periodic behavior like the electrons and the radicals. The reason is that their formation rates are typically much larger than their loss rates, in contrast to the radicals and ions. The densities of the molecules formed during the hydrogenation of CO<sub>2</sub>, i.e., CO, higher order hydrocarbons and oxygenates, exhibit a rising trend as a function of time, because their net production is higher than their net consumption. This will continue until periodic steady state will be reached. Indeed, when the densities of the reaction products rise, the rates of their consumption reactions will rise as well, until a balance is reached between production and consumption. The inlet gases, on the other hand, have a higher net



consumption, so their densities show a gradual decrease as a function of time again until periodic steady state will be reached. The initial densities of the inlet gases, at a 50/50 gas mixture, both amount to  $1.22 \times 10^{25} \text{ m}^{-3}$ , as calculated from the ideal gas law at 300 K and 1 atm. The conversion is most pronounced in the first few seconds, and afterward the densities of the molecules do not significantly change anymore for a longer residence time, as is clear from Figure 4, for both  $\text{CO}_2$  and  $\text{H}_2$ , and the most abundant products.



**Figure 4.** Spatially averaged molecular densities as a function of the residence time for a 50/50  $\text{CO}_2/\text{H}_2$  gas mixture.

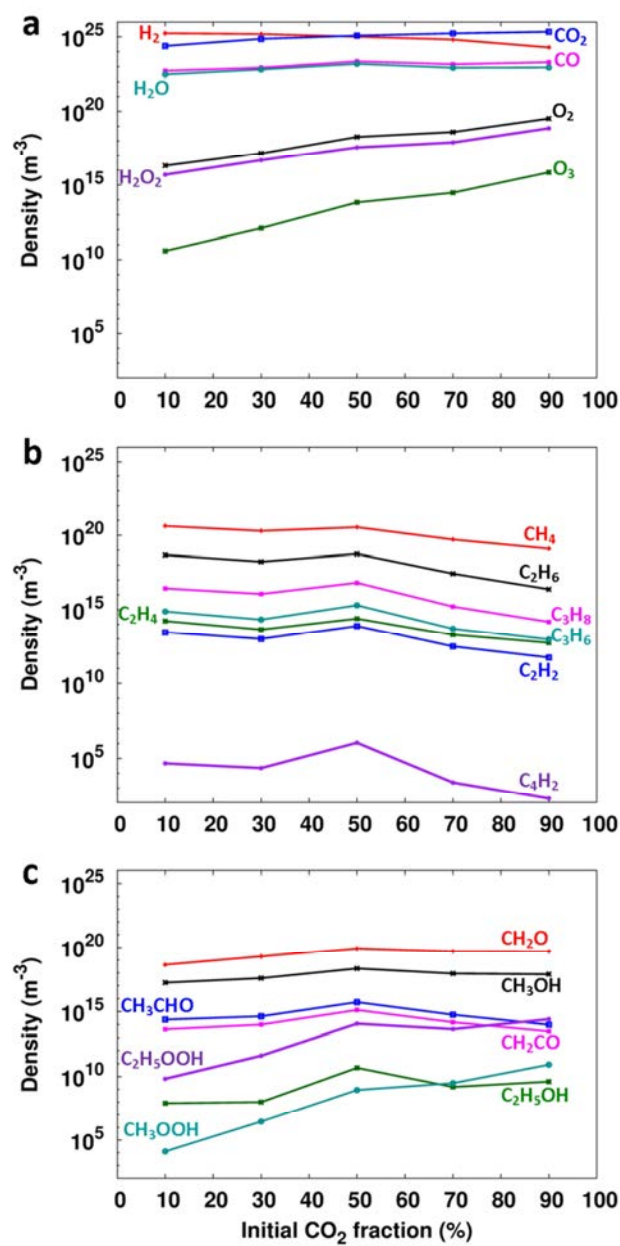
Figure 5 illustrates the densities of the various molecules in the  $\text{CO}_2/\text{H}_2$  gas mixture as a function of the initial  $\text{CO}_2$  fraction, after a residence time of 5 s. The most abundant reaction products are  $\text{CO}$ ,  $\text{H}_2\text{O}$ ,  $\text{CH}_4$ ,  $\text{CH}_2\text{O}$ ,  $\text{C}_2\text{H}_6$ ,  $\text{O}_2$ , and  $\text{CH}_3\text{OH}$  (more or less in order of decreasing density). This is in good agreement with the end products reported by Eliasson et al.<sup>95</sup>, i.e.,  $\text{CH}_4$  and  $\text{CH}_3\text{OH}$ , Hayashi et al.<sup>96</sup>, i.e.,  $\text{CO}$  and  $\text{CH}_4$ , Kano et al.<sup>97</sup>, i.e.  $\text{CO}$ ,  $\text{CH}_4$  and  $\text{CH}_3\text{OH}$ , and Zeng et al.<sup>98</sup>, i.e.  $\text{CO}$  and  $\text{H}_2\text{O}$  as major products, a small amount of  $\text{CH}_4$  and traces of  $\text{C}_2\text{H}_6$ , for similar  $\text{CO}_2/\text{H}_2$  discharges. Note that Hayashi et al.<sup>96</sup> also detected the formation of dimethyl ether and formic acid, which are not included in our model as mentioned above. However, our

model provides us more insight in the formation of other higher hydrocarbons and oxygenates. The densities of CO and H<sub>2</sub>O, which are by far the most abundant products, are almost not influenced by the inlet fraction of CO<sub>2</sub> (see Figure 5a). For H<sub>2</sub>O, a maximum is obtained at an initial CO<sub>2</sub> fraction of 50%. This can be explained because H<sub>2</sub>O is formed out of the collision of OH and H radicals. From Figure 3 it is clear that the H density decreases while the OH density increases with increasing initial CO<sub>2</sub> fraction, resulting in an optimum ratio at an inlet concentration of 50% CO<sub>2</sub>. Furthermore, as will be clear from section 3.2 below, the CO<sub>2</sub> conversion drops upon increasing initial CO<sub>2</sub> fraction in the mixture, and thus, the same applies to the yield of CO. On the other hand, a higher initial CO<sub>2</sub> fraction in the mixture allows for more CO<sub>2</sub> to be converted, and as both effects compensate each other, the effective CO<sub>2</sub> conversion remains constant, explaining why the CO density is constant for all CO<sub>2</sub>/H<sub>2</sub> gas mixtures (see Figure 5a).

On the other hand, the densities of O<sub>2</sub>, H<sub>2</sub>O<sub>2</sub>, and O<sub>3</sub> increase by several orders of magnitude upon increasing initial fraction of CO<sub>2</sub>, which is logical, as they are directly formed out of the CO<sub>2</sub> splitting products (O and O<sub>2</sub>). The densities of the higher hydrocarbons (C<sub>x</sub>H<sub>y</sub>) generally drop upon increasing initial fraction of CO<sub>2</sub>, which can be explained by the higher conversion of CO<sub>2</sub> at lower initial fraction of CO<sub>2</sub> (see section 3.2 below) resulting in higher densities of CH<sub>2</sub> and CH<sub>3</sub> radicals, as is clear from Figure 3 above, which are the building blocks for the higher hydrocarbons. However, an optimum seems to be reached for the 50/50 CO<sub>2</sub>/H<sub>2</sub> gas mixture (see Figure 5b). Indeed, these higher hydrocarbons need the C from CO<sub>2</sub> as their building block, but they also need the H originating from H<sub>2</sub>, and therefore an equal presence of both inlet gases seems to be preferable.

The same is true for the densities of  $\text{CH}_2\text{O}$  and  $\text{CH}_3\text{OH}$  and the other oxygenates, as is clear from Figure 5(c), although the hydroperoxides ( $\text{CH}_3\text{OOH}$  and  $\text{C}_2\text{H}_5\text{OOH}$ ) generally increase with rising initial fraction of  $\text{CO}_2$ . Compared to our previous results on the formation of higher hydrocarbons and oxygenates in  $\text{CH}_4/\text{O}_2$  and  $\text{CH}_4/\text{CO}_2$  mixtures<sup>87</sup>, it is clear that, except for  $\text{CO}$  and  $\text{H}_2\text{O}$ , the densities of the most important end products are now several orders of magnitude lower. The reason for this is that the conversion of  $\text{CO}_2$  is very low in all gas mixtures (see section 3.2 below), while  $\text{CH}_4$  as C building block was more easily converted<sup>87</sup>, and therefore, the crucial radicals in the formation process of higher hydrocarbons and oxygenates, such as  $\text{CH}_2$  and  $\text{CH}_3$ , can be produced at a much higher density in mixtures with  $\text{CH}_4$  than in the  $\text{CO}_2/\text{H}_2$  mixture under study here. Note that the trends illustrated in Figure 5 correspond to a residence time of 5 s; however, the different molecules might have their maximum densities at a different residence time for the different gas mixing ratios studied (see for instance De Bie et al.<sup>87</sup> for the  $\text{CH}_4/\text{O}_2$  and  $\text{CH}_4/\text{CO}_2$  mixtures); therefore, the trends depicted in Figure 5 are not necessarily the same at other residence times.

Altering the inlet gas mixing ratio also affects the  $\text{H}_2/\text{CO}$  (syngas) ratio. A variable  $\text{H}_2/\text{CO}$  molar ratio is useful, as it allows the mixture to be used for various industrial synthesis processes, while classical processes, like steam reforming, partial oxidation, and dry reforming typically produce syngas with a  $\text{H}_2/\text{CO}$  molar ratio greater than 3, less than 2, and less than 1, respectively.<sup>37, 45</sup> The  $\text{H}_2/\text{CO}$  ratio, as obtained from our calculations, decreases with increasing initial  $\text{CO}_2$  fraction, which is logical. It ranges from 54 (at 10%  $\text{CO}_2$ ), which is not useful for industrial synthesis processes, to 3 (at 90%  $\text{CO}_2$ ), which can be of interest as this is similar to the molar ratio produced by steam reforming (see above).



**Figure 5.** Spatially averaged molecule densities as a function of the initial  $\text{CO}_2$  fraction in the  $\text{CO}_2/\text{H}_2$  gas mixture, after a residence time of 5 s.

### 3.2. Conversion of CO<sub>2</sub> and H<sub>2</sub> and Yields and Selectivities of the Main Reaction Products

The following definitions are used for calculating the conversion X of the inlet gases, and the yields Y and the selectivities S of most interesting end products, such as CO, the higher hydrocarbons and higher oxygenates:

$$X_{CO_2/H_2} = \frac{n_{CO_2/H_2,converted}}{n_{CO_2/H_2,feed}} \times 100 \% \quad (1)$$

$$Y_{C_xH_yO_z} = \frac{x \times n_{C_xH_yO_z}}{n_{CO_2,feed}} \times 100 \% \quad (2)$$

$$S_{C_xH_yO_z} = \frac{x \times n_{C_xH_yO_z}}{n_{CO_2,converted}} \times 100 \% \quad (3)$$

The parameter x in these definitions denotes the stoichiometric balance coefficient, which corresponds also to the index in the compound name of C<sub>x</sub>H<sub>y</sub>O<sub>z</sub>. Note that the yield and selectivity of CO are calculated with  $Y_{C_xH_yO_z}$  and  $S_{C_xH_yO_z}$ , respectively, with y = 0, and that the yield and selectivity of the higher hydrocarbons (C<sub>x</sub>H<sub>y</sub>) are calculated with  $Y_{C_xH_yO_z}$  and  $S_{C_xH_yO_z}$ , respectively, with z = 0.

Table 2 shows the maximum conversions of the inlet gases, i.e. CO<sub>2</sub> and H<sub>2</sub>, and the maximum yields and corresponding selectivities of CO and CH<sub>4</sub>, for different CO<sub>2</sub>/H<sub>2</sub> gas mixtures. These maximum values are in all cases obtained for a residence time of 20 s. The conversion of CO<sub>2</sub> clearly decreases with increasing initial CO<sub>2</sub> fraction in the mixture, from 7% at 10% CO<sub>2</sub> in the mixture to roughly 2% at 90% CO<sub>2</sub> in the mixture. This trend is in good agreement with the results of Zeng et al.<sup>98</sup>, who reported that the conversion of CO<sub>2</sub> increases almost linearly with the increase of the H<sub>2</sub>/CO<sub>2</sub> molar ratio at a fixed flow rate. A similar trend was earlier observed in CO<sub>2</sub>/CH<sub>4</sub>, but the conversion of CO<sub>2</sub> was a factor of 3 higher at a high initial CH<sub>4</sub> fraction

(i.e., 90%) compared to a high initial H<sub>2</sub> fraction of 90%. This can be explained because CH<sub>2</sub>, which is a direct dissociation product of CH<sub>4</sub>, is much more abundant in CO<sub>2</sub>/CH<sub>4</sub> than in CO<sub>2</sub>/H<sub>2</sub> and thus provides an extra and very important loss process for CO<sub>2</sub> in a CO<sub>2</sub>/CH<sub>4</sub> mixture.<sup>87</sup> The H<sub>2</sub> conversion is significantly larger, i.e., between 30 and 60 %, but no clear trend can be observed as a function of gas mixing ratio, because the discharge characteristics are strongly affected by the initial gas mixing ratio. As CO is directly produced by electron impact dissociation of CO<sub>2</sub> (see section 3.3 below), the yield of CO shows the same trend as the conversion of CO<sub>2</sub>, with values of only 2-6 %. Moreover, CO is the only C containing molecule directly produced out of CO<sub>2</sub>, and therefore the selectivity of CO is in all cases around 90%. CH<sub>4</sub> is only formed with a selectivity above 1% at a low initial CO<sub>2</sub> fraction, i.e., a high initial H<sub>2</sub> fraction, which is logical. The yields of C<sub>2</sub>H<sub>6</sub>, CH<sub>2</sub>O, and CH<sub>3</sub>OH are one or 2 orders of magnitude lower than the yield of CH<sub>4</sub>, while the yields of other higher hydrocarbons and oxygenates are even more negligible, which is of course the direct result of the rather low conversion of CO<sub>2</sub> in all gas mixing ratios. Note that also some sticking of the C atoms and hydrocarbon species at the walls occurs, which explains why the sum of the selectivities is not equal to 100 %. This formation of a C-containing layer on the electrodes was indeed also experimentally observed in the DBD reactor under study for a pure CO<sub>2</sub> discharge.<sup>30, 115</sup>

**Table 2.** Overview of the Maximum Conversions of the Inlet Gases, i.e., CO<sub>2</sub> and H<sub>2</sub>, and the Maximum Yields and Corresponding Selectivities of CO and CH<sub>4</sub> for Different CO<sub>2</sub>/H<sub>2</sub> Gas Mixtures.

initial CO <sub>2</sub> fraction	X (CO <sub>2</sub> )	X (H <sub>2</sub> )	Y (CO) - S (CO)	Y (CH <sub>4</sub> ) - S (CH <sub>4</sub> )
10	7.0	64	6 - 86	0.2 - 2.2
30	3.6	33	3 - 90	0.03 - 0.9
50	4.4	44	4 - 87	0.03 - 0.6
70	2.1	33	2 - 89	0.003 - 0.2
90	1.9	58	2 - 92	0.0003 - 0.02

<sup>a</sup> All values are noted as percentages.

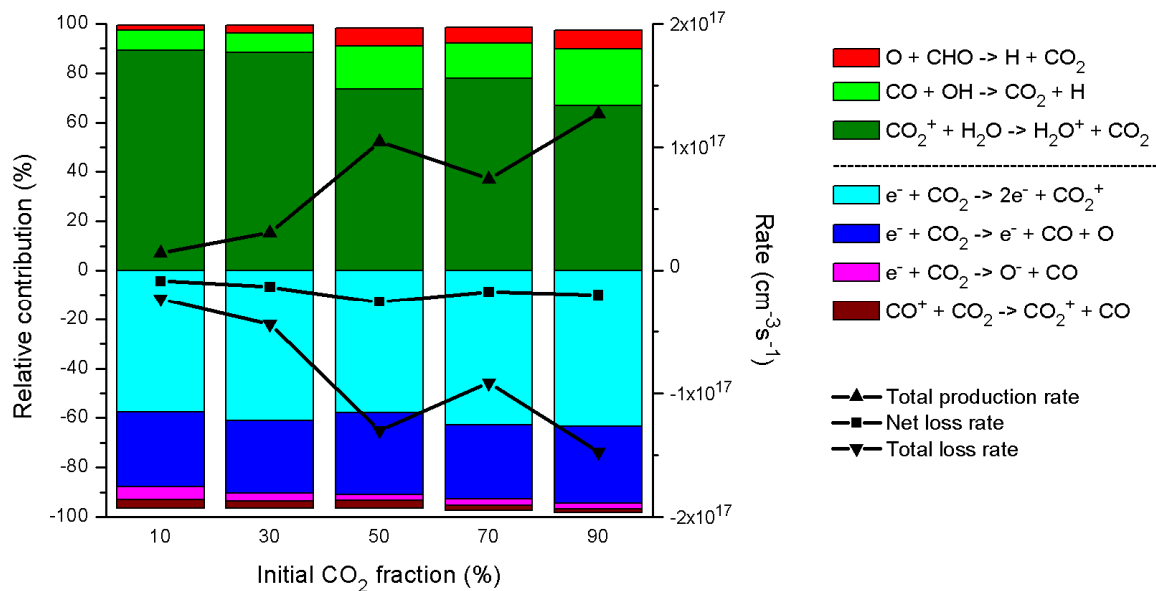
### 3.3. Dominant Reaction Pathways

To better explain the above trends, and to find out how the densities of the most important products can be optimized, it is crucial to obtain a better insight in the underlying reaction chemistry. Therefore, we will now discuss the dominant reaction pathways for the conversion of the inlet gases into the most important value-added products, i.e., CO, CH<sub>4</sub>, CH<sub>3</sub>OH, and CH<sub>2</sub>O, for the entire range of gas mixing ratios.

#### 3.3.1. Dissociation of CO<sub>2</sub> and H<sub>2</sub>

The dominant reactions for CO<sub>2</sub> consumption (and production), as well as the time-averaged total production rate, total loss rate and net loss rate, as a function of the initial CO<sub>2</sub> fraction in the gas mixture are depicted in Figure 6. Although the consumption of CO<sub>2</sub> is mostly relevant in this work, we also show the production processes, because part of the CO<sub>2</sub> dissociation products will again recombine into the formation of CO<sub>2</sub>. However, the total loss rate is larger than the total production rate, as is clear from Figure 6, thus leading to a net loss of CO<sub>2</sub> (i.e., conversion into other products). Furthermore, the total formation and loss rate generally increase upon larger

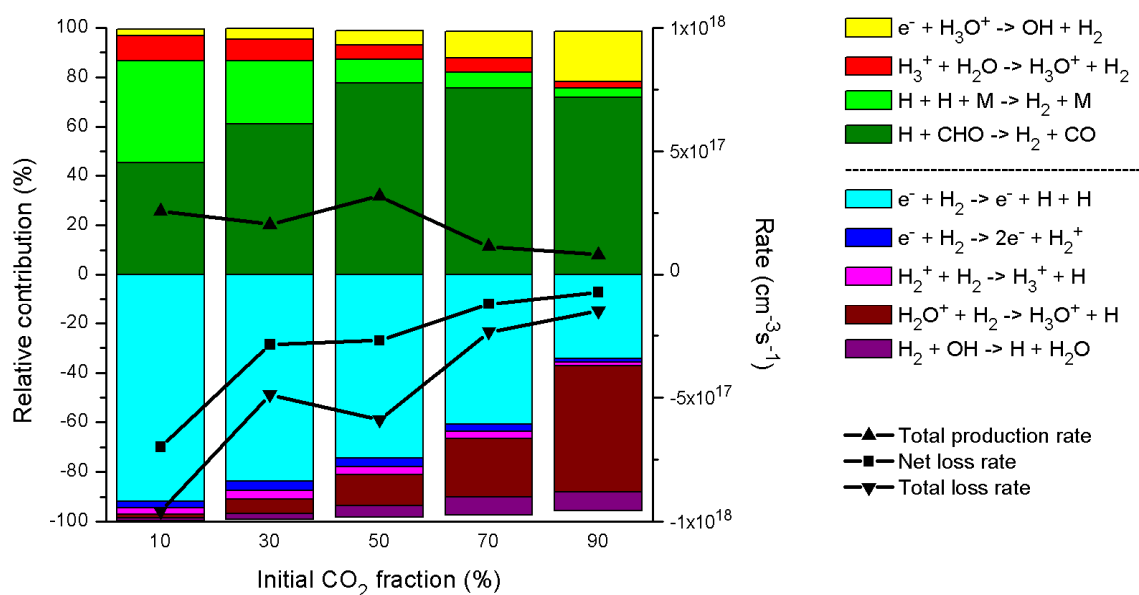
initial  $\text{CO}_2$  fraction in the mixture, which is logical. The most important channel for consumption of  $\text{CO}_2$  is electron impact ionization toward  $\text{CO}_2^+$ . However,  $\text{CO}_2^+$  immediately reacts back toward  $\text{CO}_2$  upon charge transfer with  $\text{H}_2\text{O}$  molecules. Therefore, the most important reaction for consumption of  $\text{CO}_2$  is effectively electron impact dissociation toward  $\text{CO}$ . This result was also obtained in earlier simulations carried out in our group for pure  $\text{CO}_2$  splitting.<sup>27</sup> Furthermore, the relative importance of the various consumption and production processes is more or less independent from the gas mixing ratio, as is clear from Figure 6.



**Figure 6.** Relative contributions of the various production and consumption processes of  $\text{CO}_2$  (left axis), as well as the time-averaged total production rate, total loss rate, and net loss rate (right axis), as a function of the initial  $\text{CO}_2$  fraction in the  $\text{CO}_2/\text{H}_2$  gas mixture. The production rates are defined as positive values, while the consumption rates are plotted as negative values. The time-averaged values are taken over the entire simulation time (i.e., 0 – 20 s). This also applies to the following figures.



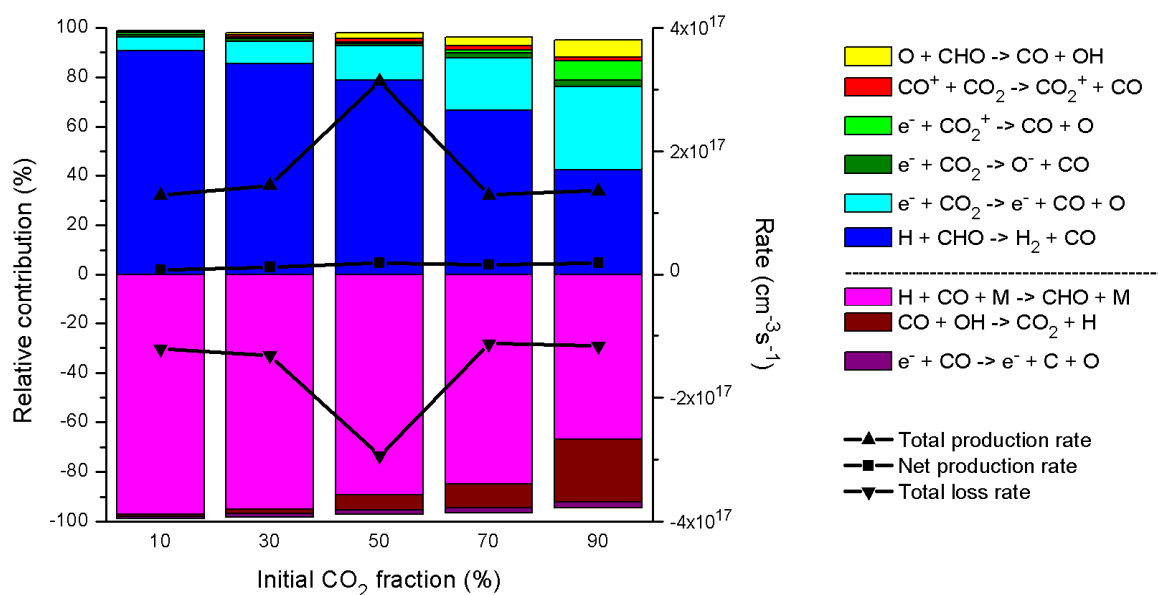
In Figure 7 the most important reactions for consumption (and production) of H<sub>2</sub> are shown. At an initial CO<sub>2</sub> inlet fraction of 10%, electron impact dissociation is the most important loss process for H<sub>2</sub>, but part of the H atoms will recombine back into H<sub>2</sub>, or react with CHO radicals into H<sub>2</sub> and CO. At an inlet fraction of 90% CO<sub>2</sub> the reaction of H<sub>2</sub> with H<sub>2</sub>O<sup>+</sup> toward H<sub>3</sub>O<sup>+</sup> becomes the most important loss mechanism. However, the latter is not due to the high absolute rate of this reaction but rather because the rate of electron impact dissociation drops. Indeed, it is clear from Figure 7 that the total loss rate of H<sub>2</sub> is much lower at 90% than at 10% CO<sub>2</sub> content, because there is of course less H<sub>2</sub> in the mixture. Nevertheless, from comparing Figures 6 and 7, it is clear that the net consumption of H<sub>2</sub> is much higher than the net consumption of CO<sub>2</sub>. Indeed, the net loss rate of H<sub>2</sub> drops from  $7 \times 10^{17} \text{ cm}^{-3} \text{ s}^{-1}$  at 10% CO<sub>2</sub> to  $7 \times 10^{16} \text{ cm}^{-3} \text{ s}^{-1}$  at 90% CO<sub>2</sub>, while the net loss rate of CO<sub>2</sub> is virtually constant around  $10^{16} \text{ cm}^{-3} \text{ s}^{-1}$  for all gas mixing ratios. This explains also why the conversion of H<sub>2</sub> is much higher than the conversion of CO<sub>2</sub> (see section 3.2 above).



**Figure 7.** Relative contributions of the production and consumption processes of  $\text{H}_2$  (left axis), as well as the time-averaged total production rate, total loss rate, and net loss rate (right axis), as a function of the initial  $\text{CO}_2$  fraction in the  $\text{CO}_2/\text{H}_2$  gas mixture.

### 3.3.2. Formation of $\text{CO}$ , $\text{CH}_4$ , $\text{CH}_2\text{O}$ , and $\text{CH}_3\text{OH}$

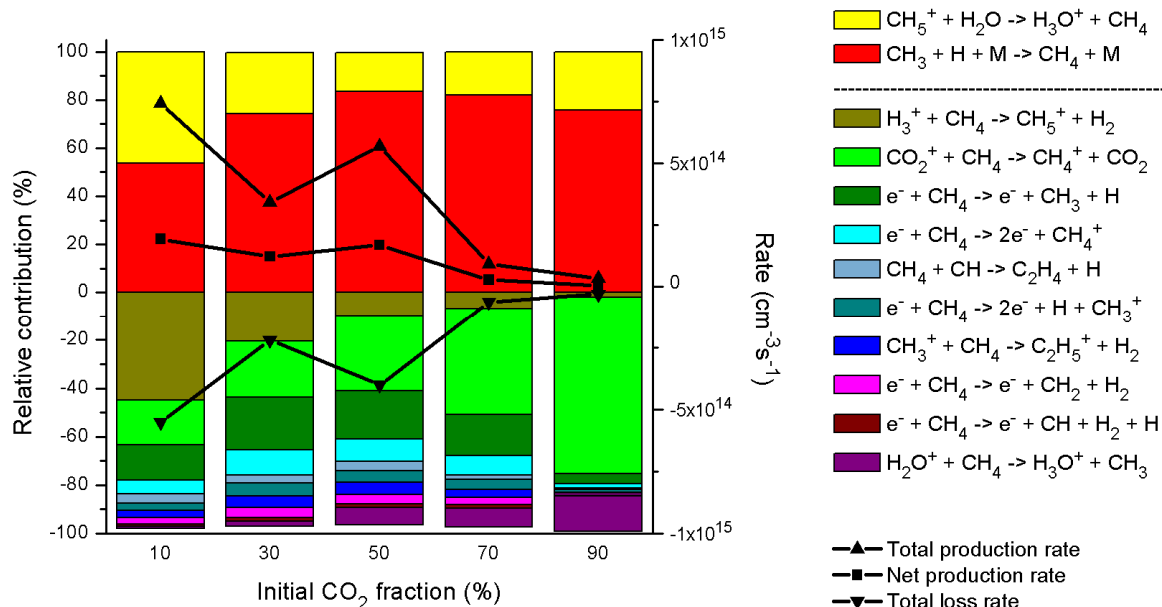
In Figure 8 the most important channels for the production (and loss) of  $\text{CO}$  are illustrated as a function of the initial  $\text{CO}_2$  fraction in the gas mixture. The most important production process appears to be the reaction between  $\text{H}$  atoms and  $\text{CHO}$  radicals, forming  $\text{H}_2$  and  $\text{CO}$ , but this reaction is counterbalanced by the most important loss process, i.e., the recombination of  $\text{H}$  with  $\text{CO}$  into  $\text{CHO}$  radicals. Therefore, the most important effective reaction for the formation of  $\text{CO}$  is electron impact dissociation of  $\text{CO}_2$ . On average there is a net formation of  $\text{CO}$ , with a rate in the order of  $10^{16} \text{ cm}^{-3}\text{s}^{-1}$ , slightly increasing upon higher initial  $\text{CO}_2$  fraction in the mixture, which is logical.



**Figure 8.** Relative contributions of the production and consumption processes of CO (left axis), as well as the time-averaged total production rate, total loss rate, and net production rate (right axis), as a function of the initial CO<sub>2</sub> fraction in the CO<sub>2</sub>/H<sub>2</sub> gas mixture.

The most important reactions for production (and loss) of CH<sub>4</sub> are depicted in Figure 9 as a function of the initial CO<sub>2</sub> fraction in the gas mixture. The production of CH<sub>4</sub> seems to be driven by only two reactions, i.e., the three-body recombination reaction between CH<sub>3</sub> and H radicals, and at a lower initial fraction of CO<sub>2</sub> also the charge transfer reaction between CH<sub>5</sub><sup>+</sup> and H<sub>2</sub>O. However, the latter reaction is partially balanced by the loss of CH<sub>4</sub> via a charge transfer reaction with H<sub>3</sub><sup>+</sup>. At a higher initial CO<sub>2</sub> fraction, the charge transfer reaction with CO<sub>2</sub><sup>+</sup> becomes the most important loss mechanism for CH<sub>4</sub>. A similar trend is observed for the net production rate of CH<sub>4</sub> as a function of the initial CO<sub>2</sub> fraction as for the net loss rate of H<sub>2</sub> (see Figure 7 above). Indeed, the dissociation of H<sub>2</sub> leads to the formation of H radicals which are needed for the

formation of  $\text{CH}_4$ . An optimum is obtained for an initial  $\text{CO}_2$  fraction of 10%, as is logical, and can be explained by the maximum densities found for the  $\text{CH}_3$  and  $\text{H}$  radicals, as shown in Figure 3 above.



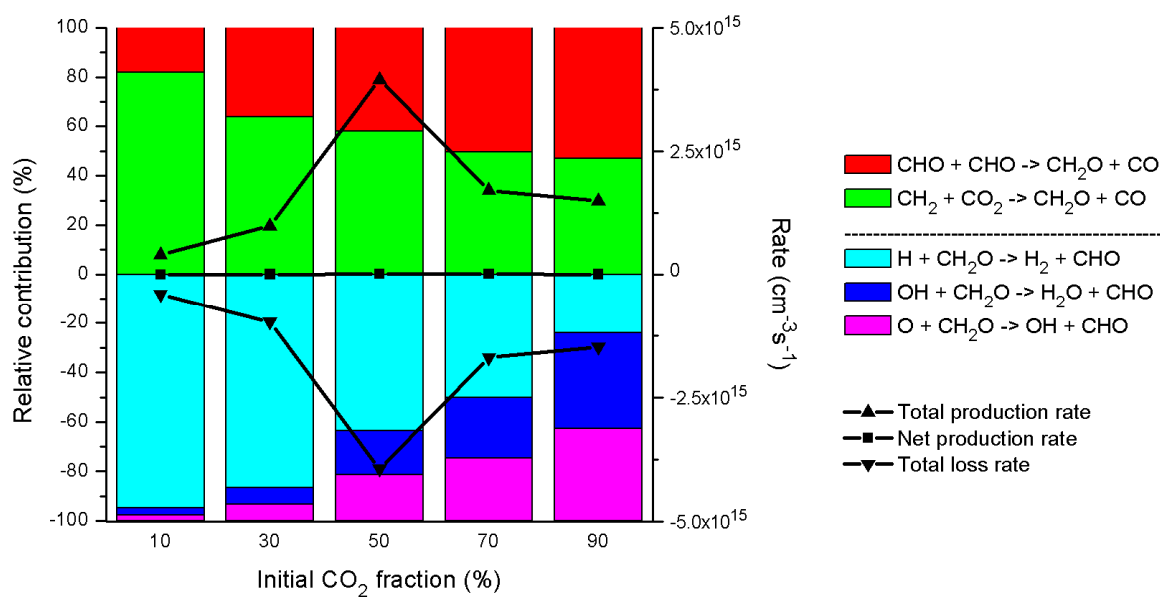
**Figure 9.** Relative contributions of the production and consumption processes of  $\text{CH}_4$  (left axis), as well as the time-averaged total production rate, total loss rate, and net production rate (right axis), as a function of the initial  $\text{CO}_2$  fraction in the  $\text{CO}_2/\text{H}_2$  gas mixture.

Figures 10 and 11 show the dominant reactions for production (and loss) of  $\text{CH}_2\text{O}$  and  $\text{CH}_3\text{OH}$ , respectively, as a function of the initial  $\text{CO}_2$  fraction in the gas mixture. The reaction between  $\text{CO}_2$  and  $\text{CH}_2$  radicals appears to be the most important channel for the production of formaldehyde at low initial  $\text{CO}_2$  fractions, as was also observed for a  $\text{CO}_2/\text{CH}_4$  mixture<sup>87</sup>. At higher initial  $\text{CO}_2$  fractions,  $\text{CH}_2\text{O}$  is also formed to some extent out of two  $\text{CHO}$  radicals.

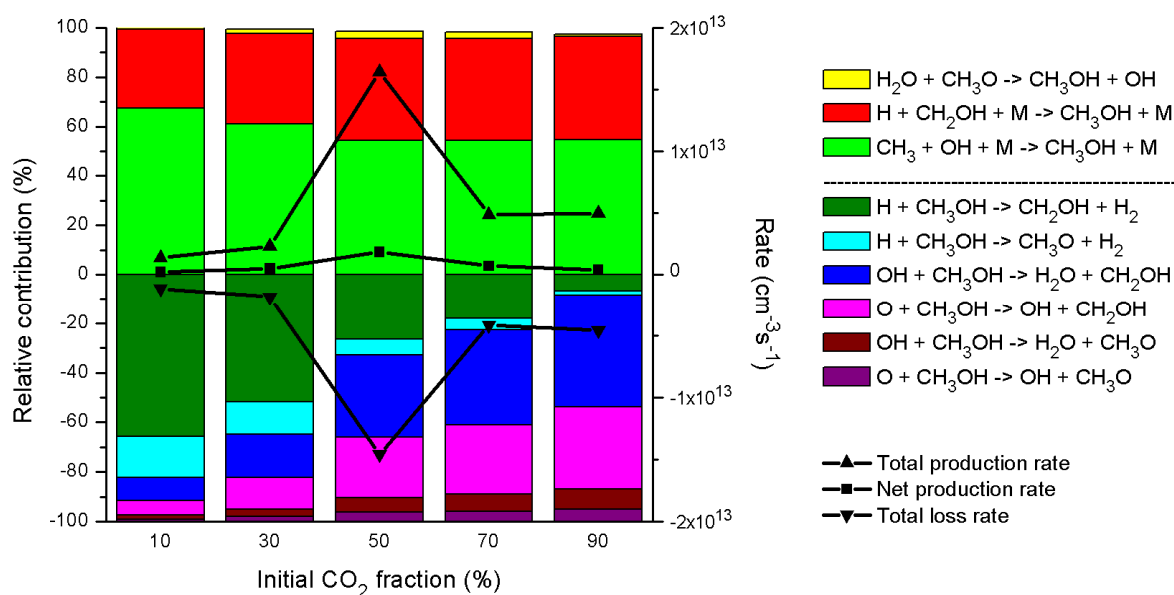
Furthermore, CH<sub>2</sub>O is mainly lost upon collision with H atoms, yielding CHO and H<sub>2</sub>, although the collisions with O atoms or OH radicals, yielding CHO and OH or H<sub>2</sub>O, respectively, become gradually more important at higher CO<sub>2</sub> fractions, which is logical.

The total formation and loss rates reach a clear maximum at 50% CO<sub>2</sub> in the mixture, which is explained by the fact that at these conditions an optimum ratio of CH<sub>2</sub> (see the maximum in Figure 3 above) and CO<sub>2</sub> is present in the gas mixture. As the total formation and loss rates are more or less equal to each other, the net formation rate of CH<sub>2</sub>O is very low, and increases from 10<sup>11</sup> to 10<sup>13</sup> cm<sup>-3</sup>s<sup>-1</sup> upon rising CO<sub>2</sub> fraction. This explains why the CH<sub>2</sub>O density rises slightly upon increasing CO<sub>2</sub> fraction in the mixture, as illustrated in Figure 5 above.

As is clear from Figure 11, the most important channel for the production of methanol, as predicted by our model, is the three-body reaction between CH<sub>3</sub> and OH radicals, like was also the case in the CO<sub>2</sub>/CH<sub>4</sub> mixture studied before<sup>87</sup>. However, different from the CO<sub>2</sub>/CH<sub>4</sub> mixture, the three-body reaction between CH<sub>2</sub>OH and H radicals is now also an important production channel. Most of the CH<sub>3</sub>OH produced is also consumed again upon collision with either H atoms, OH radicals, or O atoms, so the net formation rate of CH<sub>3</sub>OH varies from 10<sup>11</sup> to 10<sup>12</sup> cm<sup>-3</sup>s<sup>-1</sup>. An optimum production of CH<sub>3</sub>OH is again observed at 50/50 CO<sub>2</sub>/H<sub>2</sub>, because at these conditions an optimum ratio of CH<sub>3</sub> and OH (see Figure 3 above) is present in the gas mixture, and this explains why the CH<sub>3</sub>OH density reaches a maximum at this mixing ratio, as shown in Figure 5 above.



**Figure 10.** Relative contributions of the production and consumption processes of CH<sub>2</sub>O (left axis), as well as the time-averaged total production rate, total loss rate, and net production rate (right axis), as a function of the initial CO<sub>2</sub> fraction in the CO<sub>2</sub>/H<sub>2</sub> gas mixture.



**Figure 11.** Relative contributions of the production and consumption processes of  $\text{CH}_3\text{OH}$  (left axis), as well as the time-averaged total production rate, total loss rate, and net production rate (right axis), as a function of the initial  $\text{CO}_2$  fraction in the  $\text{CO}_2/\text{H}_2$  gas mixture.

### 3.4. Overall Reaction Mechanism for the Hydrogenation of $\text{CO}_2$ into Valuable Products

Figure 12 summarizes the dominant reaction pathways for the conversion of  $\text{CO}_2$  and  $\text{H}_2$  in a 50/50  $\text{CO}_2/\text{H}_2$  gas mixture. Note that the thickness of the arrow lines is proportional to the rates of the net reactions. The conversion starts with electron impact dissociation of  $\text{CO}_2$ , yielding  $\text{CO}$  and  $\text{O}$  radicals. Simultaneously, and much more pronounced, is the electron impact dissociation of  $\text{H}_2$ , resulting in the formation of  $\text{H}$  radicals (cf. the thickness of the arrow line). Radical recombination reactions of the  $\text{O}$  and  $\text{H}$  radicals lead to the formation of  $\text{OH}$  radicals, which recombine further into  $\text{H}_2\text{O}$ , and this explains why  $\text{H}_2\text{O}$  is also formed at relatively high density,

as shown in Figure 5 above. However, this is of course of lesser interest than CO as valuable product.

CO will partially react back into CO<sub>2</sub>, mainly through the formation of CHO radicals. Note that in this gas mixture, the major reaction from CO back into CO<sub>2</sub> indeed proceeds through CHO, as the rate of the reaction (CO + H + M → CHO + M) is in the order of 10<sup>17</sup> cm<sup>-3</sup> s<sup>-1</sup>, and the rate of the subsequent formation of CO<sub>2</sub> through the reaction (CHO + O → CO<sub>2</sub> + H) is about 7 x 10<sup>15</sup> cm<sup>-3</sup> s<sup>-1</sup>, while the rate of the direct reaction (CO + O + M → CO<sub>2</sub> + M) is only in the order of 10<sup>15</sup> cm<sup>-3</sup> s<sup>-1</sup>. The H atoms thus contribute significantly to the back reaction of CO into CO<sub>2</sub>. It is clear from the thick arrow line from H to CHO in Figure 12 that the formation of CHO out of CO and H indeed occurs at a very high rate. The reason why the arrow line from CO to CHO is much thinner is because CHO also reacts back into CO upon collision with H (CHO + H → CO + H<sub>2</sub>), so the net reaction from CO to CHO is smaller than the net reaction from H to CHO. Furthermore, electron impact dissociation of CO results in the formation of C radicals, which react further into CH, CH<sub>2</sub>, C<sub>2</sub>HO and CH<sub>3</sub> radicals in several successive radical recombination reactions. The formed CH<sub>2</sub> radicals react with CO<sub>2</sub> into the formation of CH<sub>2</sub>O, as was also shown in Figure 10 above. The CH<sub>3</sub> radicals easily form CH<sub>4</sub>, which is much more favored (i.e., the rate is 1 order of magnitude larger) than the formation of CH<sub>3</sub>OH out of CH<sub>3</sub>. CH<sub>4</sub> partially reacts further into higher hydrocarbons (C<sub>x</sub>H<sub>y</sub>).

From the reaction scheme, it is clear that a lot of subsequent radical reactions are necessary for the formation of (higher) hydrocarbons and oxygenates, such as CH<sub>4</sub>, C<sub>2</sub>H<sub>6</sub>, CH<sub>2</sub>O, and CH<sub>3</sub>OH, which explains the very low yields and selectivities of these end products (see section 3.2 above). Indeed, the lack of direct formation of CH<sub>2</sub> and CH<sub>3</sub> in CO<sub>2</sub>/H<sub>2</sub>, which is important in CO<sub>2</sub>/CH<sub>4</sub> gas mixtures<sup>87</sup>, combined with the very low conversion of CO<sub>2</sub>, which is again due to



the absence of CH<sub>2</sub> as important collision partner for the loss of CO<sub>2</sub>, makes a CO<sub>2</sub>/H<sub>2</sub> mixture under the present conditions less interesting for the formation of higher hydrocarbons and oxygenates than a CO<sub>2</sub>/CH<sub>4</sub> mixture. This is especially true because H<sub>2</sub> itself is a useful product, while CH<sub>4</sub>, besides being a fuel itself, also greatly contributes to global warming, and thus, the simultaneous conversion of CO<sub>2</sub> and CH<sub>4</sub> will reduce the concentration of two greenhouse gases. Moreover, CO<sub>2</sub>/CH<sub>4</sub> mixtures are available from biomass installations, and their simultaneous conversion can be seen as a direct valorization of biogas, instead of the energy intensive biogas upgrading to a CH<sub>4</sub>-rich gas by removing CO<sub>2</sub>. Another possibly interesting H-source to be added to a CO<sub>2</sub> plasma to produce value added chemicals, could be water, and the combined CO<sub>2</sub>/H<sub>2</sub>O conversion could even mimic the natural photosynthesis process. However, recent investigations in our group have illustrated that this gas mixture is also not able to produce oxygenates above the ppm range in a DBD plasma. Moreover, adding H<sub>2</sub>O to a CO<sub>2</sub> plasma was found to even yield a drop in the CO<sub>2</sub> conversion, because the OH radicals formed out of H<sub>2</sub>O splitting in the plasma, recombine with CO molecules back into CO<sub>2</sub>. Moreover, as H<sub>2</sub>O is electronegative, it will trap the electrons, making the discharge less stable, and there will also be less electrons available for CO<sub>2</sub> dissociation. Hence, all this indicates that a CO<sub>2</sub>/H<sub>2</sub>O DBD plasma (without catalysts) might also not be an optimal choice for CO<sub>2</sub> conversion into value-added chemicals.<sup>4</sup>

In general, we believe that a CO<sub>2</sub>/H<sub>2</sub> mixture can be of interest for producing CO, to obtain gas mixtures with a specific H<sub>2</sub>/CO ratio. However, besides the CO<sub>2</sub> conversion and CO yield, also the energy efficiency of the CO<sub>2</sub> conversion into CO is a key performance indicator for this technology. The energy efficiency is calculated from the CO<sub>2</sub> and H<sub>2</sub> conversion, the reaction enthalpy ( $\Delta H_R$ ), and the specific energy input (SEI), with the following formula:

$$\eta(\%) = \frac{\Delta H_R \left(\frac{\text{kJ}}{\text{mol}}\right) * X_{\text{overall}}(\%)}{\text{SEI} \left(\frac{\text{kJ}}{\text{L}}\right) * 24.6 \left(\frac{\text{L}}{\text{mol}}\right)} \quad (4)$$

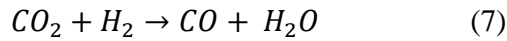
The overall conversion is obtained by multiplying the absolute conversion of the inlet gases with their inlet fraction:

$$X_{\text{overall}}(\%) = X_{\text{CO}_2}(\%) * [\text{CO}_2](\%) + X_{\text{H}_2}(\%) * [\text{H}_2](\%) \quad (5)$$

The SEI is obtained from the plasma power, divided by the gas flow rate, as follows:

$$\text{SEI} \left(\frac{\text{kJ}}{\text{L}}\right) = \frac{\text{Plasma power (kW)}}{\text{Flow rate} \left(\frac{\text{L}}{\text{min}}\right)} * 60 \left(\frac{\text{s}}{\text{min}}\right) \quad (6)$$

As CO is the main product in our study, the reaction we consider for calculating the energy efficiency for the hydrogenation of CO<sub>2</sub> is as follows:

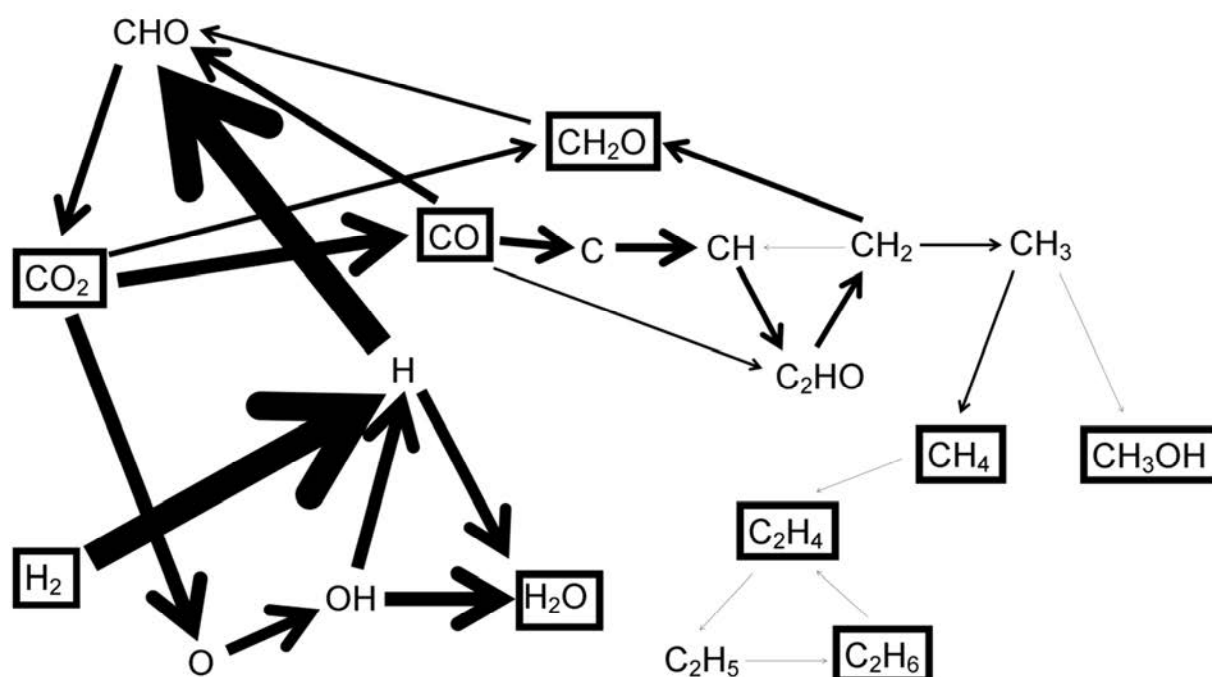


$$\Delta H_R = 41.2 \frac{\text{kJ}}{\text{mol}} = 0.427 \frac{\text{eV}}{\text{molecule}} \quad (\text{at } 298 \text{ K}) \quad (8)$$

Using these formulas, an energy efficiency of 0.92 % is obtained at a residence time of 2 s for a corresponding conversion of 1.1 % CO<sub>2</sub> and 8.0 % H<sub>2</sub> in 50/50 CO<sub>2</sub>/H<sub>2</sub>. Similar values are obtained for the other gas mixtures. These values are very low, indicating that a relatively high SEI of 8.3 kJ L<sup>-1</sup> is required to obtain only a conversion of 1.1 % CO<sub>2</sub>. Note that this energy efficiency is lower than the values obtained for pure CO<sub>2</sub> splitting or dry reforming of methane, which are typically in the order of 1-10 %.<sup>27, 72, 77-78, 85, 91</sup> However, also the latter values are still quite low, and indicate that a normal DBD reactor might not be competitive with classical thermal processes for CO<sub>2</sub> conversion. Indeed, the limited energy efficiency is a major drawback of a DBD plasma, as also reported in literature (e.g., Aerts et al.<sup>27</sup>). However, this value can be improved by inserting a dielectric packing in the DBD reactor. Indeed, the dielectric packing yields enhanced electric fields in the plasma, due to polarization of the dielectric beads, resulting in higher electron energies.<sup>116</sup> The latter gives rise to more electron impact excitation, ionization,

and dissociation of  $\text{CO}_2$  for the same applied power and thus a higher energy efficiency. This is indeed illustrated in several papers, where simultaneous improvements in both the  $\text{CO}_2$  conversion and energy efficiency of a factor 2 were reported.<sup>24, 28, 117</sup>

Furthermore, this so-called packed bed DBD reactor can also be combined with a catalyst packing (or catalytic coating on the dielectric packing), yielding so-called plasma catalysis.<sup>118</sup> This will enable the selective production of value-added chemicals, like specific oxygenated compounds. The latter was demonstrated by Eliasson et al.<sup>95</sup>, who reported much higher methanol yields in the presence of a catalyst in the plasma, and by Zeng et al.<sup>98</sup>, who found that the combination of a plasma with a catalyst enhances the conversion of  $\text{CO}_2$  by 7-36 %, as well as the yield and energy efficient production of  $\text{CO}$ .



**Figure 12.** Dominant reaction pathways for the conversion of  $\text{CO}_2$  and  $\text{H}_2$  into various products, in a 50/50  $\text{CO}_2/\text{H}_2$  gas mixture. The thickness of the arrow lines is proportional to the rates of the net reactions. The stable molecules are indicated with black rectangles.

#### 4. Conclusions

A 1D fluid modeling study for the hydrogenation of CO<sub>2</sub> in a DBD plasma was carried out for different CO<sub>2</sub>/H<sub>2</sub> gas mixing ratios. The densities of the various plasma species as a function of the residence time and the gas mixing ratio were discussed. The spatially averaged densities of the electrons, radicals and ions produced in the plasma exhibit periodic behavior as a function of time, following the period of the sinusoidal applied voltage. The most abundant radicals are H, O, OH, HO<sub>2</sub>, CHO, CH<sub>3</sub>, and CH<sub>2</sub>. The densities of the molecules formed during the hydrogenation of CO<sub>2</sub>, i.e., CO, higher order hydrocarbons and oxygenates, exhibit a rising trend as a function of time, because their net production is higher than their net consumption. The most abundant reaction products are CO, H<sub>2</sub>O and CH<sub>4</sub>, and to a lower extent also CH<sub>2</sub>O, C<sub>2</sub>H<sub>6</sub>, O<sub>2</sub> and CH<sub>3</sub>OH. This is in good agreement with reported results from literature for similar CO<sub>2</sub>/H<sub>2</sub> discharges. Altering the inlet gas mixing ratio did not drastically affect the densities of the formed higher hydrocarbons and oxygenates, as the conversion of CO<sub>2</sub> was found to be very low in all gas mixing ratios. We have also presented the calculated conversions of the inlet gases and the maximum yields and corresponding selectivities of the main reaction products. It is clear that the conversion of CO<sub>2</sub> is rather low (i.e., in the order of 2-7 %) in all gas mixtures, and much lower than in a CO<sub>2</sub>/CH<sub>4</sub> mixture, where typical conversions in the order of 3-20 % are obtained at similar conditions. The reason is the abundance of CH<sub>2</sub> radicals in the latter mixture, which significantly contribute to the loss of CO<sub>2</sub>, but their density is very low in the CO<sub>2</sub>/H<sub>2</sub> mixture. The H<sub>2</sub> conversion was calculated to be about 30-60 %, depending on the gas mixing ratio. CO was found to be the only value-added end product with a high selectivity.

Note that the gas conversion might be slightly underestimated in the model, because the 1D fluid model does not account for filament formation in the DBD reactor, but simply assumes a

homogeneous plasma. Hence, the calculated mean electron energy in this model might be somewhat lower than typical values expected inside the microdischarge filaments, although the values obtained in our model are still in the same order as typical values reported for the filaments in literature.<sup>4, 114</sup> As a consequence, electron impact excitation, ionization and dissociation reactions might be slightly underestimated, but we expect that the effect will be minor. Moreover, the subsequent plasma chemistry governing the gas conversion is mainly attributed to radical reactions, which also occur in between the filaments, so we expect that the calculations still give a realistic picture of the plasma chemistry. This can also be deduced from the reasonable agreement with experimental data from literature, for the CO<sub>2</sub>/H<sub>2</sub> mixture, but also for the pure CH<sub>4</sub> plasma and the CH<sub>4</sub>/CO<sub>2</sub> and CH<sub>4</sub>/O<sub>2</sub> mixtures that we investigated previously, using the same model assumptions.<sup>87, 108</sup> It needs to be mentioned, however, that an alternative, and probably better, approach to model the plasma chemistry in a DBD reactor is to apply a 0D model, which describes the plasma chemistry only as a function of time, taking into account a large number of power pulses, mimicking the microdischarge filaments in the DBD reactor, which the gas molecules pass when they travel through the reactor. This approach has been applied for instance in other work from our group.<sup>22, 26-27, 78, 91, 109</sup> Nevertheless, in spite of this completely different approach, similar results in terms of plasma chemistry, gas conversion, and product formation are observed, indicating that the present 1D fluid model is also a reasonable approach to model the gas conversion in a DBD plasma.

Finally, the underlying plasma chemistry governing the conversion of CO<sub>2</sub> and H<sub>2</sub> into the various products was analyzed in detail. The dominant reaction pathways for the consumption of CO<sub>2</sub> and H<sub>2</sub> and the production and loss of some interesting end products, i.e., CO, CH<sub>4</sub>, CH<sub>2</sub>O, and CH<sub>3</sub>OH, were discussed. It is clear from our results that a higher conversion of CO<sub>2</sub>, as well

as a higher density of  $\text{CH}_3$  and  $\text{CH}_2$  radicals, would be necessary in order to obtain higher yields of the desired end products. For the conditions under study, only CO is formed at an acceptable level. Thus, it is clear that a  $\text{CO}_2/\text{H}_2$  mixture is not very suitable for the production of other value added chemicals besides CO, and a  $\text{CO}_2/\text{CH}_4$  mixture is more appropriate, because of the presence of  $\text{CH}_2$  and  $\text{CH}_3$  radicals. The use of a catalyst can possibly increase the formation of some desired oxygenates, as is indeed also shown by Eliasson et al.<sup>95</sup> and Zeng et al.<sup>98</sup>

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