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Operational strategies to selectively produce purple bacteria for microbial protein in raceway reactors

#### **Reference:**

Alloul Abbas, Cerruti Marta, Adamczyk Damian, Weissbrodt David G., Vlaeminck Siegfried.- Operational strategies to selectively produce purple bacteria for microbial protein in raceway reactors Environmental science and technology / American Chemical Society - ISSN 0013-936X - 55:12(2021), p. 8278-8286 Full text (Publisher's DOI): https://doi.org/10.1021/ACS.EST.0C08204

To cite this reference: https://hdl.handle.net/10067/1797680151162165141

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# 15 Graphical abstract



# 17 Abstract

Purple non-sulfur bacteria (PNSB) show potential for microbial protein production on 18 wastewater as animal feed. They offer good selectivity (i.e. low microbial diversity and high 19 20 abundance of one species) when grown anaerobically in the light. However, the cost of closed 21 anaerobic photobioreactors is prohibitive for protein production. While open raceway reactors 22 are cheaper, their feasibility to selectively grow PNSB is thus far unexplored. This study developed operational strategies to boost PNSB abundance in the biomass of a raceway reactor 23 24 fed with volatile fatty acids. For a flask reactor run at 2-d sludge retention time (SRT), matching the COD-loading rate to the removal rate in the light period prevented substrate availability 25 during the dark period and increased the PNSB abundance from 50-67% to 88-94%. A raceway 26 reactor run at 2-d SRT showed an elevated PNSB abundance from 14% to 56% when oxygen 27 supply was reduced (no stirring at night). The best performance was achieved at the highest 28 surface-to-volume ratio (10m<sup>2</sup> m<sup>-3</sup> increased light availability) showing productivities up to 29 0.2g protein L<sup>-1</sup> d<sup>-1</sup> and a PNSB abundance of 78%. This study pioneered in PNSB-based 30 microbial protein production in raceways, yielding high selectivity when avoiding the 31 32 combined availability of oxygen, COD and darkness.

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Keywords: Alternative protein source; Single-cell protein, Purple phototrophic bacteria,
Anaerobic fermentation; Carboxylate platform; Short-chain fatty acid; High-rate algae pond;
Nutrient recovery

# 39 1 Introduction

Inefficiencies in the fertilizer-food chain severely distort the carrying capacity of the Earth, 40 surpassing the planetary boundaries (i.e. safe operating space for sustainability) beyond the 41 42 zone of uncertainty.<sup>1</sup> Mitigation can be brought about by upgrading wastewater resources to 43 microbial protein or single-cell protein, which is the use of microorganisms as an ingredient in 44 animal feed or human food.<sup>2,3</sup> Protein production from industrial wastewater installations with separated process water and sanitary water is preferred, as European regulation No 767/2009 45 prohibits the use of waste obtained from feces and urine as feed material.<sup>4</sup> Muys, et al. 2020<sup>5</sup> 46 performed a screening of 19 wastewater installations in the food and beverage industry and 47 observed that 6 companies already separated process water and sanitary wastewater. The study 48 of Muys, et al. 2020<sup>5</sup> also showed that brewery and dairy wastewater is particularly interesting 49 for protein production as heavy metals in the activated sludge were below legal limits for feed 50 51 ingredients.

Upgrading wastewater resources to microbial protein requires either chemo- or 52 photoheterotrophic microorganisms to convert the organic carbon as well as non-axenic 53 production conditions, as it is cost-wise redundant to sterilize vast amounts of water.<sup>2</sup> Aerobic 54 heterotrophic bacteria (AHB) grow chemoheterotrophically by making use of oxidation 55 reactions with oxygen as the terminal electron acceptor for energy generation. These bacteria 56 typically have low biomass yields (0.6 g COD<sub>biomass</sub> g<sup>-1</sup> COD<sub>removed</sub>) and high growth rates (2-57 6 d<sup>-1</sup>).<sup>6</sup> To date, AHB production on wastewater as a source of microbial protein has already 58 59 reached full-scale implementation. The company iCell Sustainable Nutrition, for example, has a facility on brewery wastewater in Shanghai (China).<sup>7,8</sup> However, it is challenging to produce 60 61 an AHB-based product with low microbial diversity and a high abundance of one dominant 62 species (i.e. microbial selective production). Stability through selectivity in the microbial

63 community may yield stability in nutritional characteristics, yet for AHB this is practically impossible. Aerobic chemoheterotrophy on complex COD mixtures is a widespread metabolic 64 trait. Due to competition, changes in influent and operational conditions shifts in community 65 structure occur.<sup>9</sup> Photoheterotrophic cultivation of purple non-sulfur bacteria (PNSB) may 66 offer such potential, because of their unique ability to grow highly selectively under anaerobic 67 conditions in the light.<sup>10-13</sup> PNSB are gram-negative microbes and form a group of purple 68 bacteria. which also comprise the purple sulfur bacteria.<sup>14</sup> PNSB are characterized by high 69 biomass yields (0.9-1.1 g COD<sub>biomass</sub> g<sup>-1</sup> COD<sub>removed</sub>) and have growth rates between 0.6-3.7 d<sup>-</sup> 70 <sup>1</sup>.<sup>12,15</sup> These yields make their COD usage efficiency higher compared to AHB, yet their 71 substrate uptake rate and, thus the COD removal rate will be lower. In terms of product usage, 72 PNSB have more added-value potential than AHB, which is merely a bulk protein ingredient. 73 74 Our previous study, for example, has shown that these microbes have antimicrobial properties against shrimp Vibrio pathogens.<sup>16</sup> They also contain antioxidant compounds such as 75 carotenoids, which have the potential to stimulate the immune performance of the target 76 77 animal.<sup>17</sup> However, compared to AHB, there is a lack of full-scale PNSB facilities for microbial protein production. Due to light limitations,<sup>18</sup> lower biomass productivities (0.01-1.13 g 78 COD<sub>biomass</sub> L<sup>-1</sup> d<sup>-1</sup>)<sup>19</sup> and lower attainable biomass concentrations can be achieved. Hence, 79 larger reactor volumes are required with higher investment costs per volume reactor 80 (photobioreactor i.e. PBR € 1100-5000 m<sup>-3</sup> vs. aerobic reactor € 300 m<sup>-3</sup>).<sup>20-22</sup> 81

To achieve selectivity with PNSB (i.e. low microbial diversity and high abundance of one species), current research has focused on closed PBR such as anaerobic membrane bioreactors, anaerobic tubular PBR and illuminated anaerobic sequencing batch reactors.<sup>19</sup> These PBR are operated under anaerobic conditions by preventing oxygen entry and only allow the growth of photoheterotrophs and anaerobic chemotrophs. In the case of our previous study on synthetic wastewater, PNSB were able to be selectively produced with an abundance of *Rhodobacter capsulatus* between 93-97% and low microbial diversity (exponent Shannon index between 1.2-1.5).<sup>13</sup> For microalgae, a review of nine techno-economic analyses on biodiesel production indicated that raceway reactors are more interesting from a cost perspective compared to closed PBR.<sup>23</sup> This is mainly due to the higher investment cost of a vertical tubular PBR, which is between  $\notin$  1100-5,000 m<sup>-3</sup> compared to  $\notin$  14-56 m<sup>-3</sup> for a raceway reactor.<sup>20,22</sup> For PNSB, no detailed cost-assessment exists, yet a similar advantage for production in a raceway reactor can be expected.

Raceway reactors are easy to operate, maintain and clean.<sup>24</sup> These reactors are open 95 96 systems with a longer light path (e.g. liquid depth of 20 cm vs. 6 cm diameter tubular PBR), a lower lit surface-to-volume ratio of 5 m<sup>2</sup> m<sup>-3</sup> (vs. 22 m<sup>2</sup> m<sup>-3</sup> tubular PBR) and are agitated with 97 a paddlewheel (vs. circulation pumps in tubular PBR).<sup>25</sup> In the context of wastewater treatment, 98 99 another function is envisaged for PNSB compared to microalgal raceway systems. More 100 specifically, the loading rate, SRT and treatment stage differ. For microalgae, raceway reactors 101 are used for both secondary and tertiary treatment operated at low loading rates (81-388 mg COD L<sup>-1</sup> d<sup>-1</sup>) and long SRT (4-8 d; 31°C).<sup>26,27</sup> Raceway systems for PNSB, on the other hand, 102 103 would mainly be used for secondary treatment at higher loading rates and shorter SRT.

104 Raceway reactor could be economically interesting for PNSB yet, achieving selective production is more challenging in these reactors, as air continuously enters the system, which 105 106 enables the proliferation of competing aerobic heterotrophs (i.e. non-PNSB). Moreover, the 107 oxygen concentration in a raceway reactor is zero due to its direct use as an electron acceptor, 108 making the growth of anaerobic chemotrophs such as acidogenic microorganisms and sulfate-109 reducing bacteria (SRB) also possible. According to the authors' knowledge, research on PNSB production in raceway reactors is limited. One conference abstract by Fradinho, et al. 2019<sup>28</sup> 110 focuses on polyhydroxyalkanoate production with PNSB in raceway reactors, yet specific 111 112 operational conditions are not fully described. It can, however, be hypothesized that the

following operational strategies are essential to maximize PNSB selectivity (i.e. increase PNSB 113 abundance and decrease Shannon diversity index): (i) limiting the oxygen supply may decrease 114 the growth of aerobic chemotrophs, (ii) increasing the light availability or the illumination 115 period may aide PNSB in their competition for COD with aerobic chemotrophs and anaerobic 116 chemotrophs such as acidogenic microorganisms and SRB, (iii) short sludge retention times 117 (SRT) may washout slower-growing microorganisms such as microalgae, and (iv) matching 118 119 the COD-loading rate to the achievable removal rate in the light period prevents substrate availability during the dark period and may decrease the competition with (an)aerobic 120 121 chemotrophs.

This study hypothesized that PNSB can be selectively produced in a raceway reactor provided a good combination of operational strategies. The first objective was to assess the metabolic growth modes of PNSB and non-PNSB. This would enable us to elucidate how the individually metabolic growth modes of PNSB and non-PNSB contribute in a raceway reactor. The second objective was to study the effect of reactor-specific operational strategies such as oxygen supply, light availability, SRT and COD-loading on the PNSB abundance and microbial diversity.

#### 129 2 Materials and methods

#### 130 **2.1 Inocula and medium**

An *Rb. capsulatus* strain, isolated in our previous study,<sup>12</sup> was used as model PNSB for the axenic flask and non-axenic raceway reactor experiments. This species was selected based on a prior evaluation made between five PNSB cultures, where it showed to have the highest photoheterotrophic growth rate on synthetic wastewater. This species is able to grow photoand chemoheterotrophically,<sup>10</sup> which enables examination of different PNSB growth kinetics in raceway reactors. Aerobic activated sludge of a local brewery company (AB InBev,Belgium, Leuven) was used as a proxy for a non-PNSB inoculum.

A synthetic medium was chosen over real wastewater to avoid inherent variability from 138 real wastewater and to clearly discriminate the effect of specific operational strategies on the 139 microbial community. Volatile fatty acids (VFA) were used as carbon source as a proxy for 140 fermented wastewater, as we argued in a previous study that fermentation prior to protein 141 production will favor the microbial selectivity.<sup>2,12</sup> The COD concentration was 3 g L<sup>-1</sup> and 142 contained a defined mixture of acetate, propionate and butyrate on a 1/1/1 COD basis. The 143 medium also contained 0.8 g L<sup>-1</sup> KH<sub>2</sub>PO<sub>4</sub>, 0.5 g L<sup>-1</sup> MgCl<sub>2</sub>.6H<sub>2</sub>O, 0.1 g L<sup>-1</sup> CaCl<sub>2</sub>.2H<sub>2</sub>O, 0.7 g 144 L<sup>-1</sup> Na<sub>2</sub>SO<sub>4</sub>, 1.2 g L<sup>-1</sup> NH<sub>4</sub>Cl, 1.0 g L<sup>-1</sup> NaCl and 0.3 g L<sup>-1</sup> NaHCO<sub>3</sub>. 1 mL of trace elements 145 and 1 mL of vitamin solution, based on the composition of Imhoff 2006<sup>10</sup>, was also added per 146 147 liter of water. PNSB grown photoheterotrophically on this VFA mixture have a biomass yield that approximate 1 g COD<sub>biomass</sub> g<sup>-1</sup> COD<sub>removed</sub>.<sup>12,29</sup> This makes it easy to assess the 148 chemoheterotrophic growth of PNSB and of competing non-PNSB, as a lower biomass yield 149 implies oxidation of COD to  $CO_2$ . Note that the overall biomass yield in a treatment system 150 will be lower than 1 as COD removed during the anaerobic fermentation will also be included. 151

152 **2.2 Overview of the experiments** 

153 Three sets of flask and raceway reactor experiments were performed in this study to explore 154 the metabolic growth modes of PNSB and the effect of oxygen supply, light availability and 155 SRT on PNSB selectivity (Table 1).

157**Table 1** Objectives and experimental setup of three tests to grow a protein-rich PNSB biomass on brewery wastewater. *Rhodobacter capsulatus* was used as purple non-sulfur bacterium (PNSB)158inoculum and aerobic brewery sludge as non-PNSB inoculum. Experiments were performed at 28°C. Surface-to-volume (S/V) ratios were calculated based on the illuminated surface area. The159flasks were illuminated from the side and the raceway reactor from the top. The axenic experiments were performed with a sterile medium inoculated with *Rb. capsulatus* and samples were taken160axenically. The non-axenic experiments were conducted with a non-sterile medium and inoculated with a community enriched in *Rb. capsulatus*. Dissolved oxygen (DO) concentrations are shown161as averages with standard deviations. The determined oxygen mass transfer coefficients (k<sub>L</sub>a) for the flasks filled with a working volume of 500 mL, flasks with a working volume of 200 mL, and162raceway reactor were 0.3 h<sup>-1</sup>, 1.8 h<sup>-1</sup>, and 1.2 h<sup>-1</sup>, respectively. The oxygen transfer rates (OTR) were calculated as k<sub>L</sub>a ×(DOs-DO). DOs: DO saturation level (7.85 mg L<sup>-1</sup> at 28°C); HRT: hydraulic

163 retention time

Objective	DO	OTR	Stirring	Illumination	S/V ratio	HRT	Inoculum	Cultivation	Reactor
	(mg O <sub>2</sub> L <sup>-1</sup> )	$(mg O_2 L^{-1} d^{-1})$	(on/off)	(light/dark)	(m <sup>2</sup> m <sup>-3</sup> )	(d)			type
Assess metabolic growth modes	N.D.*	331**	24h/0h 24h/0h 24h/0h 0h 0h 0h 0h 0h 0h 0h			PNSB			
of PNSB and non-PNSB	-	0		24h			PNSB	Axenic Non-axenic	Flask
(section 3.1)	N.D.*	331**		0h	<i>(</i> 1***	Datah	PNSB		
	-	0		0h	01	batch ****	PNSB		
	N.D.*	331**		0h			Non-PNSB		
	-	0		0h			Non-PNSB		
Effect of SRT on PNSB growth	$0.18\pm0.04$	331	24h/0h		n/12h 61	1.25		Non-axenic	Flask
(section 3.2)	$0.18\pm0.06$	331		12h/12h		2	PNSB		
	$0.15 \pm 0.04$ 333				3				
PNSB selectivity over	$0.17\pm0.15$	219	24h/0h 12h/12h		5***	2	PNSB	Non-axenic	Raceway
sequential batches (section 3.3)	$0.14\pm0.12$	220		12h/12h	5	2			
	$0.24 \pm 0.12$	217	24h/0h		10	2			

164 \*DO was not determined (N.D.) during the axenic experiments as it would result in contamination.

165 \*\*The DO concentration for the OTR calculation was assumed to be  $0.18 \text{ mg O}_2 \text{ L}^{-1}$ .

166 \*\*\*The surface area exposed to air for the flask experiments was equal to  $11 \text{ m}^2 \text{ m}^{-3}$  and for the raceway reactor 5-10 m<sup>2</sup> m<sup>-3</sup>.

167 \*\*\*\*Growth experiment between 50-150h, stopped when the stationary phase was reached

#### 168 2.2.1 Assess the metabolic growth modes of PNSB and non-PNSB

Flask batch experiments were performed to explore the photoheterotrophic and (an)aerobic chemoheterotrophic metabolic growth mode of PNSB along with the (an)aerobic chemoheterotrophic metabolic growth mode of competing non-PNSB. These tests were conducted to understand how these growth modes may individually contribute in a raceway reactor.

174 To explore the metabolic growth modes of PNSB, four different conditions were tested under axenic conditions: (i) illumination with oxygen supply to study the combined photo- and 175 chemotrophic growth (conditions prevalent in a raceway reactor), (ii) illumination without 176 oxygen supply to study the phototrophic growth, (iii) no illumination with oxygen supply to 177 study the aerobic chemotrophic growth (i.e. aerobic growth) not to be confused with aerobic 178 179 growth of PNSB on hydrogen gas as an electron donor (i.e. aerobic chemoautotrophic growth) and (iv) no illumination without oxygen supply to study the anaerobic chemotrophic growth 180 181 (i.e. acidogenic metabolism). Rb capsulatus was first axenically pre-cultivated in a climate 182 chamber (Snijders Scientific) with the pre-autoclaved VFA medium (section 2.1). Flasks of 500 mL were then filled with 200 mL of autoclaved VFA medium and inoculated with Rb. 183 capsulatus at an initial total suspended solids (TSS) concentration of 0.02 g L<sup>-1</sup>. All 184 185 experiments were tested in triplicate. A detailed description of the methodology can be found in Supporting Information S1. 186

187 An experiment was also performed to assess the effect of oxygen supply on the photo-188 and chemoheterotrophic growth of PNSB. The methodology and results are available in 189 Supporting Information S2-S3.

# 190 2.2.2 Effect of SRT and COD-loading rate on PNSB growth

191 These experiments were performed to explore the effect of SRT on productivity, biomass yield,
192 biomass composition and PNSB selectivity.

193 Experiments were performed under combined photo- and chemotrophic conditions, allowing the entry of oxygen along with illumination (i.e. conditions prevalent in raceway 194 reactor). Flasks of 500 mL were used as reactors and illuminated through a natural 12-h 195 light/12-h dark regime with two halogen lamps at a light intensity of 30 W m<sup>-2</sup> (vs. previous 196 flask experiments section 2.2.1: 24-h light or 24-h dark). The flasks were filled with 200 mL 197 of medium (COD concentration 3 g L<sup>-1</sup> see section 2.1) corresponding to a maximum OTR of 198 336 mg  $O_2$  L<sup>-1</sup> d<sup>-1</sup>. An influent COD concentration of 6 g L<sup>-1</sup> was also tested to study the effect 199 of a higher COD-loading rate on the microbial selectivity (more COD available during the 200 201 night). The experiment was performed non-axenically with *Rb. capsulatus* as initial inoculum. The tested SRT were 1.25 d, 2 d and 3 d. The hydraulic retention time was equal to the SRT. 202 All tests were performed in biological duplicate. A detailed description of the methodology can 203 204 be found in Supporting Information S4.

#### 205 2.2.3 Operational strategies to steer PNSB selectivity and reactor performance

A final experiment was performed to demonstrate that PNSB can be maintained in a raceway reactor over multiple generations and determine the best operational strategy in terms of productivity and COD removal. The productivity was calculated by dividing the biomass concentration or protein concentration by the SRT.

210 A 100-L raceway reactor was used under non-axenic conditions (MicroBio Engineering Inc., USA) with Rb. capsulatus as inoculum The reactor was filled with the VFA-based 211 212 medium (section 2.1). Three operational strategies were tested: (i) 12-h light/12-h dark with 24-h stirring at a surface-to-volume ratio of 5  $m^2 m^{-3}$  as a benchmark (reactor filled up to 100 213 L and depth 20 cm) with a duration of 9.6 d or 4.8 times the SRT, (ii) 12-h light/12-h dark with 214 12-h stirring during the light period at a surface-to-volume ratio of 5 m<sup>2</sup> m<sup>-3</sup> with a duration of 215 9.5 d or 4.7 d the SRT to study the effect oxygen supply and (iii) 12-h light/12-h dark with 24-216 h stirring at a surface-to-volume ratio of 10 m<sup>2</sup> m<sup>-3</sup> (higher light availability vs. 5 m<sup>2</sup> m<sup>-3</sup> reactor 217

filled up to 50 L and depth 10 cm) with a duration of 15 d or 7.5 times the SRT to study the 218 effect of light. The SRT was chosen based on the maximum specific growth rate during the 219 batch experiments (Supporting Information S5-S6). The microbial community structure can be 220 different in a single batch compared to an experiment over multiple SRT, which can also affect 221 the growth kinetics. For safety reasons, an SRT of 2 d was chosen for the three conditions to 222 prevent washout from the reactor. The effluent was first removed and influent was then added 223 224 before the start of the light period (i.e. sequencing batches). A detailed description of the methodology can be found in Supporting Information S7. 225

# 226 2.3 Analytical procedures

During the experiments, TSS, volatile suspened solids, COD, sulfate, ammonium, nitrite, 227 nitrate, ortho-phosphate, protein and bacteriochlorophyll a were analyzed. A detailed 228 229 description of the analytic procedures can be found in Supporting Information S8. The oxygen mass transfer coefficient (k<sub>L</sub>a) was derived from the increasing DO levels after chemical 230 deoxygenation with sodium sulfite.<sup>30</sup> The k<sub>L</sub>a for the different flask and raceway reactors are 231 presented in Table 1 along with the DO concentration measured during the experiments. The 232 OTR was calculated by multiplying k<sub>L</sub>a with the difference between the DO saturation 233 concentration (i.e. 7.85 mg  $L^{-1}$  at 28°C) and the actual DO concentration. 234

235 **2.4 Mici** 

# Microbial community analyses

Genomic DNA was extracted from biomass samples collected (after steady-state) across the reactor experiments using the DNeasy UltraClean microbial extraction kit (Qiagen, Venlo, the Netherlands) according to the manufacturer's instructions. In brief, the V3-V4 hypervariable region of the bacterial 16S rRNA gene pool of the DNA extracts was amplified by PCR using the pair of 341f/806r primers prior to sequencing of PCR products using a HiSeq 2500 sequencer (Illumina, USA). The data have been deposited with links to BioProject accession number PRJNA720505 in the NCBI BioProject database. A detailed description of the wet-lab
and dry-lab workflows can be found in Supporting Information S9.

#### 244 2.5 Statistical analyses

Statistical analyses were performed in R (version 3.4.1) using RStudio (RStudio®, USA) for Windows.<sup>31</sup> Student's t-test were conducted to compare means. Normality of data residuals was tested using the Shapiro-Wilk normality test. The assumption of homoscedasticity was verified through a Levene's test. The non-parametric Kruskal-Wallis rank sum test was executed when normality was rejected. The Welch's t-test was used in case of heteroscedasticity. A significance level of p < 0.05 was chosen.

The maximum specific growth rate in section 3.1 was calculated through the modified Gompertz equation by using the GraphPad Prism version 5.03 for Windows.<sup>32</sup>

253 **3 Results and discussion** 

# **3.1** Assess the metabolic growth modes of PNSB and non-PNSB

Axenic batch experiments in flask bottles were performed to determine how the different metabolic growth modes of PNSB and non-PNSB separately would contribute to the joint process in a raceway reactor. Four conditions were tested. The first test used passive aeration and illumination. This mimics the conditions prevalent in a raceway reactor and, thus, allows for combined photo-and chemoheterotrophic growth. The other three batch tests explored the individual metabolic growth modes.

The results of the batch growth experiments (Figure 1) show that during the combined photo- and chemotrophic growth (i.e. light and oxygen supply), the phototrophic metabolism (i.e. under light) was dominant and not their chemotrophic metabolism (i.e. oxygen supply). Biomass yields for their phototrophic and the combined photo- and chemotrophic metabolisms were similar (p > 0.05) and growth rates were almost equal. There was, therefore, more photo-

assimilation of COD than oxidation to CO<sub>2</sub>. The bacteriochlorophyll content was lower for the 266 combined photo- and chemotrophic metabolism than for the phototrophic metabolism, yet still 267 6 times higher than for the aerobic chemotrophic growth (i.e. no illumination with oxygen 268 supply). It should be noted that the chemotrophic metabolism of PNSB contributes more to 269 growth when the oxygen supply increases. Supporting Information S3 shows that growth rates 270 for the combined photo- and chemotrophic metabolism do not change, yet the aerobic 271 272 chemotrophic growth increases. This implies that chemotrophic growth contributed more to the combined photo- and chemotrophic metabolism at a higher oxygen supply. It remains, 273 274 however, unclear whether the same PNSB species performs both metabolisms simultaneously or if there is a division of labor between bacteria. More research is needed to elucidate this. 275

In a full-scale raceway reactor, the DO concentration will approximate zero due to the 276 direct consumption of oxygen, allowing anaerobic fermentation of COD. The anaerobic 277 chemotrophic growth of PNSB was, therefore, tested with a more complex medium of 3.7 g 278 COD L<sup>-1</sup> containing a mixture of organics such as yeast, peptone, malt extract, acetate, 279 propionate and butyrate (details Supporting Information S1). PNSB were able to anaerobically 280 ferment organics (Figure 1). Growth rates and biomass yields were respectively  $0.3 \pm 0.08 \text{ d}^{-1}$ 281 and 0.16  $\pm$  0.09 g COD<sub>biomass</sub> g<sup>-1</sup> COD<sub>removed</sub>, lower than their combined photo- and 282 chemotrophic growth (1.75  $\pm$  0.05 d<sup>-1</sup>; 0.71  $\pm$  0.07 g COD<sub>biomass</sub> g<sup>-1</sup> COD<sub>removed</sub>). A similar 283 observation was made by Schultz Weaver 1982<sup>33</sup> with low anaerobic growth rates and biomass 284 yields for *Rb. capsulatus* ( $\approx 0.08 \text{ d}^{-1}$ ; 0.09 g COD<sub>biomass</sub> g<sup>-1</sup> COD<sub>removed</sub>) and *Rhodospirillum* 285 *rubrum* ( $\approx 0.13 \text{ d}^{-1}$ ; 0.11 g COD<sub>biomass</sub> g<sup>-1</sup> COD<sub>removed</sub>). The non-PNSB inoculum showed growth 286 rates of  $0.58 \pm 0.03$  d<sup>-1</sup> or 2 times higher compared to PNSB. Therefore, anaerobic fermentation 287 will mainly be performed by competing non-PNSB, which can act as symbionts by making 288 COD available for PNSB. Stronger competition during the light and dark period might arise 289 from aerobic chemotrophic non-PNSB since their growth rate was 2.8 times higher than for the 290

aerobic chemotrophic growth of PNSB and equal to the combined photo- and chemotrophic growth (p > 0.05).

293 PNSB cells were able to produce bacteriochlorophyll a during the aerobic and anaerobic 294 batch test in the dark and in the light (Figure 1A) because the activation of photosynthetic genes 295 is dependent on the oxidative conditions.<sup>34</sup> Ghosh, et al. 1994<sup>35</sup>, for example, found that the 296 formation of photosynthetic membranes is triggered at DO concentrations lower than 0.40 mg 297  $O_2 L^{-1}$ .





Figure 1 (A) maximum specific growth rate and biomass yield for purple non-sulfur bacteria (PNSB) and non-PNSB along with (B) biomass fractionation and bacteriochlorophyll a (BCA) content. Tested conditions: combined photo- and chemoheterotrophic (illumination: V; oxygen supply: V), photoheterotrophic (V; X), aerobic chemoheterotrophic (X; V) and anaerobic chemoheterotrophic (X; X) growth. The oxygen transfer rate was 336 mg O<sub>2</sub> L<sup>-1</sup> d<sup>-1</sup>. Experiments were performed axenically with *Rhodobacter capsulatus* used as model PNSB. Non-PNSB were grown non-axenically. Averages with standard error. TSS: total suspended solids; VSS: volatile suspended solids; FSS: fixed suspended solids i.e. ash

#### **305 3.2 Effect of SRT and COD-loading rate on PNSB growth**

Sludge age is an important parameter in open community biotechnology as it can impose
 selective pressure on the microbial community. Slow-growing microbes are washed out, while

faster growers are retained. This continuous experiment was performed under non-axenic
 conditions in flask bottles to study the effect of SRT on PNSB selectivity.

310 Overall, PNSB abundances did not show substantial differences between SRT (Figure 2). This is in line with our previous observation where we tested the effect of SRT on PNSB 311 abundance in a closed PBR.<sup>12</sup> If the reactor is overloaded, PNSB will not be able to remove all 312 COD during the light period, which can lead to increased growth of competing chemotrophs. 313 314 A lower microbial selectivity was observed for all tested SRT when the COD-loading rate was doubled (Figure 2). The relative PNSB abundance decreased from 88-94% to 50-67% and the 315 316 Shannon diversity index increased from 0.4-0.6 to 1.0-1.1, indicating a lower microbial selectivity. The abundance of the (an)aerobic chemoheterotrophs Arcobacter was 317 predominantly higher at higher loading rates (17-36%). Hence, COD availability during the 318 319 night will negatively influence the PNSB abundance in reactors operated in batch mode. We envision that a full-scale raceway reactor will be operated in sequential batch mode. This 320 implies that fresh wastewater is only added during the light period and not during the dark 321 phase to prevent substrate availability for competing microbes. 322

323 Comparing light with dark periods, the COD removal rates did not change (Supporting
324 Information S10). The highest rates were observed at the lowest SRT (1.25 d).



Figure 2 Effect of sludge retention time (SRT) on microbial community composition, Shannon's H index, exp(H') and purple
 non-sulfur bacteria (PNSB) abundance. Flasks were used as a reactor. The PNSB genera *Rhodobacter* and *Rhodopseudomonas* are all marked in orange. Samples obtained after 2-10 SRT.

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# **3.3** Reactor performance and community dynamics over sequential batches

This continuous experiment was conducted under non-axenic conditions to demonstrate that PNSB can be maintained in a raceway reactor over multiple generations (4.7-7.5 times the SRT), investigate the effect of light, oxygen supply and the combination of both on PNSB selectivity and determine the best operational strategy in terms of productivity and COD removal.

The highest productivities (0.21 g protein  $L^{-1} d^{-1}$  corresponding with 0.43 g TSS  $L^{-1} d^{-1}$ ; 335 Figure 3) and removal rates (0.79 g COD  $L^{-1} d^{-1}$ ) were achieved when PNSB were most 336 abundant (Figure 4). This was during the experimental condition of 24-h stirring and 12-h 337 light/12-h dark at the highest surface-to-volume ratio of 10 m<sup>2</sup> m<sup>-3</sup>. A higher ratio of 10 m<sup>2</sup> m<sup>-</sup> 338 <sup>3</sup> increased the light availability, resulting in higher biomass concentrations ( $0.81 \pm 0.04$  g TSS 339 L<sup>-1</sup>) relative to the benchmark of 5 m<sup>2</sup> m<sup>-3</sup> (0.62  $\pm$  0.02 g TSS L<sup>-1</sup>). For a closed PBR operated 340 on the same medium at an SRT of 1 d (vs. 2 d for raceway reactor), we reached TSS 341 productivities that were 1.5-2.6 times higher compared to the raceway reactor.<sup>12</sup> This was 342 343 probably due to the higher light availability in the PBR compared to a raceway reactor (surfaceto-volume ratio 33 vs. 5-10 m<sup>2</sup> m<sup>-3</sup>). Capson-Tojo, et al. 2020<sup>19</sup> have compared the biomass 344 345 productivity of several PNSB reactors. The productivity for closed PBR were maximally 0.77 g TSS L<sup>-1</sup> d<sup>-1</sup> (10-39°C), 1.8 times higher than the productivity in this study (28°C). For the 346 COD removal rate, values between 0.45-0.79 g COD<sub>removed</sub> L<sup>-1</sup> d<sup>-1</sup> were reached in the raceway 347 reactor, comparable with the median productivity of photo anaerobic membrane bioreactors 348 (0.96 g COD<sub>removed</sub> L<sup>-1</sup> d<sup>-1</sup>. 10-39°C). For the microalga Chlorella vulgaris cultivated in the 349 same reactor (12-h light/12-h dark), the productivity was 0.009 g protein L<sup>-1</sup> d<sup>-1</sup> or 22 times 350 lower compared to PNSB.<sup>36</sup> This was probably due to the higher growth rates of PNSB of 0.6-351

3.7 d<sup>-1</sup> compared to the ones of microalgae of 0.60-1.38 d<sup>-1</sup> (28°C).<sup>12,37,38</sup> This is also true for 352 microalgal bacterial floc technology, a wastewater treatment system where microalgae supply 353 oxygen to aerobic bacteria for COD removal.<sup>27</sup> Van Den Hende, et al. 2014<sup>26</sup> observed biomass 354 productivities and COD removal rates of respectively 0.07-0.22 g TSS L<sup>-1</sup> d<sup>-1</sup> and 0.06-0.30 g 355 COD<sub>removed</sub> L<sup>-1</sup> d<sup>-1</sup> with the microalgal bacterial floc technology (31°C), lower than the PNSB 356 raceway reactor operated in this study (0.24-0.43 g TSS L<sup>-1</sup> d<sup>-1</sup> and 0.45-0.79 g COD<sub>removed</sub> L<sup>-1</sup> 357 d<sup>-1</sup>; 28°C). The aerobic bacteria in the microbial community could theoretically cope with low 358 SRT, yet the system is operated at an SRT of 4-8 d to maintain microalgae in the reactor (vs. 2 359 360 d SRT in this study).

Biomass yields increased around 1.2 times when stirring was prevented during the night or at higher surface-to-volume ratios (Figure 3). The yields in the reactor were lower than the theoretical yield for anaerobic photoheterotrophic growth (1 g  $COD_{biomass} g^{-1} COD_{removed}$ ) as PNSB and non-PNSB aerobically oxidize COD. The same was observed for the axenic flask experiment (Figure 1), where the biomass yield was 0.71 g  $COD_{biomass} g^{-1} COD_{removed}$  for the combined photo- and chemotrophic condition.

The bacteriochlorophyll a content of the biomass was between 6-10 mg g<sup>-1</sup> TSS (Figure 367 3). *Rb. capsulatus* grown anaerobically in the light typically has values around 21-50 mg  $g^{-1}$ 368 TSS.<sup>39</sup> The lower pigment content in the raceway reactor compared to strict anaerobic systems 369 was due to aerobic chemoheterotrophic growth of PNSB. Ghosh, et al. 1994<sup>35</sup> have shown that 370 371 PNSB grown heterotrophically with oxygen can produce pigments at DO concentrations lower than 0.40 mg  $O_2 L^{-1}$ . We observed that the bacteriochlorophyll a content of *Rb. capsulatus* was 372 1.4 mg g<sup>-1</sup> TSS or 12 times lower compared to their anaerobic photoheterotrophic growth 373 374 (Figure 1A). Low pigmentation was also visually observed for aerobically grown Rb. sphaeroides, Rhodopseudomonas palustris and Rhodospirillum rubrum in our previous 375 study.<sup>13</sup> It was, thus, likely that the overall bacteriochlorophyll a content of the biomass 376

produced in a raceway reactor was lower compared to strict anaerobic conditions due to thecontribution of aerobic heterotrophic growth to biomass production.

In terms of PNSB selectivity (Figure 4), preventing the combination of oxygen supply 379 and darkness (not stirring) was an effective strategy. The PNSB abundance was 56% (vs. 14% 380 benchmark 24-h stirring) and the microbial community had a higher diversity showing a lower 381 exponent of the Shannon diversity index (3.7 vs. 4.3 for benchmark). The decrease in PNSB 382 383 abundance to 41% was notable during the dark period along with the increase of the exponent of the Shannon diversity index from 3.7 to 7.2. This drop was due to a lower contribution of 384 385 PNSB to biomass production relative to non-PNSB. In the dark period, PNSB only use their chemotrophic growth mode, which has a lower maximum specific growth rate compared to the 386 combined photo- and chemotrophic growth mode (see Figure 1). The reactor operated with 24-387 h stirring at a surface-to-volume ratio of 10 m<sup>2</sup> m<sup>-3</sup> was the best strategy in terms of PNSB 388 selectivity, showing a PNSB abundance of 78% or 5.6 times higher compared to the benchmark 389 and very comparable to the inoculum. The exponent of the Shannon diversity index was only 390 391 2.5, the lowest for all conditions and even lower than the inoculum (3.5). This increase in PNSB 392 abundance was also notable from the bacteriochlorophyll absorbance ratio of 800:660 nm and 393 860:660 nm, which were 1.2-1.3 times higher compared to the benchmark (Supporting Information S11). It was likely that the effect of higher light availability was stronger than the 394 395 negative effect of an increased oxygen transfer rate. This implies that light availability is key 396 to boost PNSB selectivity in a raceway reactor. The findings also show that a raceway reactor 397 can approach the PNSB selectivity of a closed PBR. Potential higher PNSB abundances might 398 even be achieved if oxygen supply is prevented during the night along with high surface-to-399 volume ratios. This can be achieved by stopping the paddlewheel during the night and decreasing the water depth of the reactor. Raceway reactors typically have a water depth of 400

around 20 cm.<sup>25</sup> A cost-benefit analysis is, therefore, still required to understand whether an
 increased productivity could justify a higher investment cost.

Other PNSB genera were also present in the system such as *Rhodopseudomonas* (2-3%), 403 404 and *Blastochloris* (< 0.2%). The main competing genera were *Acinetobacter* (aerobic chemoheterotroph), Dysgonomonas (anaerobic chemoheterotrophs), Arcobacter ((an)aerobic 405 chemoheterotrophs) and Alcaligenes (aerobic chemoheterotrophs) with an abundance of 406 respectively 1-4%, 1-12%, 0-31% and 1-54%. Microalgae are undesirable due to oxygen 407 production and stimulation of aerobic chemotrophic COD conversion. These microbes were 408 409 not detected through the absorbance spectra (no chlorophyll peaks) and no cyanobacteria were identified by amplicon sequencing. This was probably due to the short SRT (2 d; 28°C), 410 resulting in washout of slower-growing microalgae (µmax 0.60-1.38 d<sup>-1</sup>; 28°C).<sup>37</sup> It could, 411 412 therefore, be argued that SRT control and potentially additional measures in a real system are crucial to prevent microalgal growth. Although the sulfate concentration in the medium was 413 1.2 g L<sup>-1</sup>, there were no SRB detected (Figure 4) and no sulfate was removed (Supporting 414 Information S12). SRB require 0.7 g COD to remove 1 g of sulfate.<sup>40</sup> Therefore, they can 415 contribute to COD removal, yet biomass production will be negligible due to their low biomass 416 yields of 0.015-0.033 g VSS g<sup>-1</sup> SO<sub>4</sub><sup>2-,41</sup> An anaerobic fermenter is proposed prior to the 417 raceway reactor, where sulfate can be converted to sulfide. The majority of PNSB grow only 418 at levels less than 45 mg S L<sup>-1</sup>, yet some species of *Rhodobacter* and *Rhodoferax* can tolerate 419 sulfide concentrations up to 361 mg S L<sup>-1</sup>.<sup>42</sup> In practice, sulfide will not be problematic as 420 sulfate concentration, for example for brewery wastewater, are typical around 7 mg S L<sup>-1.43</sup> 421

It is unlikely that biological N<sub>2</sub> fixation by PNSB occurred, as nitrogen concentrations were never limiting (effluent ammonium > 150 mg NH<sub>4</sub><sup>+</sup> N L<sup>-1</sup>). Interestingly, a gap of around 91-124 mg NH<sub>4</sub><sup>+</sup> N L<sup>-1</sup> exists in the nitrogen balance (Supporting Information S12 Table S3). This could in principle be due to nitrification and denitrification or through ammonia stripping. 426 Nitrification would theoretically be possible at an SRT of 2 days and a temperature of 28°C, yet no nitrifiers were detected in the microbial community (Figure 4) and the nitrite and nitrate 427 concentration in the effluent was zero (Supporting Information S12 Table S3). At a pH of 7 428 and a temperature of 28°C, 7% of the total ammonia nitrogen is available as free ammonia. 429 CO<sub>2</sub> sparging for pH control and stirring of the paddlewheel may have contributed to NH<sub>3</sub> 430 stripping. Garcia, et al. 2000<sup>44</sup> for instance, observed that ammonia stripping accounted for 32-431 432 47% of the total nitrogen removal in an algae raceway reactor treating sewage (pH 8.6-9.4, temperature 12-27°C and HRT 3-10 d). 433



Figure 3 Production features of a raceway reactor operated at a sludge retention time of 2 d, testing the effect of oxygen (stirring) and light (surface-to-volume ratio). Sampling occurred after the light (L) or dark (D) period. Results show (A) the protein productivity and the volumetric removal rate along with (B) the biomass yield and bacteriochlorophyll (BCA) content. Experiments were performed non-axenically with *Rhodobacter capsulatus* as initial inoculum. Stirring (on/off) 12h/12h implies stirring during the light period and not during the dark. Average values with standard error. Underlined text shows the change in reactor operation relative to the benchmark. Samples were taken at the end of the experiment. TSS: total suspended solids

![](_page_21_Figure_0.jpeg)

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Figure 4 Raceway reactor operated at a sludge retention time of 2 d, testing the effect of oxygen (stirring) and the combination of light (surface-to-volume ratio). Sampling occurred after the light (L) or dark (D) period. operational strategy on microbial community composition, Shannon's H' index, exp(H') and purple non-sulfur bacteria (PNSB) abundance. Stirring (on/off) 12h/12h implies stirring during the light period and not during the dark. The PNSB genera *Rhodobacter* and *Rhodopseudomonas* are marked in orange. Underlined text shows the change in reactor operation relative to the benchmark. Samples were taken at the end of the experiment.

# 449 3.4 Challenges for upscaling a raceway reactor for PNSB production on 450 wastewater

This study used a synthetic medium, which contained only VFA as a carbon source. Acetate 451 acid, propionic acid and butyric acid cannot be converted to secondary fermentation products 452 such as butanol, hexanol and caproic acid without the presence of ethanol or hydrogen gas.<sup>45</sup> 453 454 In this experiment, the microbial competition was mainly between PNSB and aerobic chemoheterotrophs. Methanogens have also the potential to compete with PNSB for VFA, yet 455 the short SRT of 2 d imposed on the system likely prevented their proliferation (minimal SRT 456 methanogens 4-11 d at 28°C).<sup>6</sup> Non-PNSB microbes might be additionally favored in real 457 wastewater treatment due to its more complex organic composition and additional influent 458 inflow of microbes, which may result in a lower proportion of PNSB in the microbial 459 community. Pre-fermentation will convert a part of the COD. Due to the limited transfer of 460

461 microbes to the raceway reactor and residual fermentable COD, fermenters can grow and act462 as symbionts by making COD available for PNSB.

Light limitation is a key challenge in a raceway reactor. It is mainly affected by the light 463 pass length and the suspended solids concentration.<sup>18</sup> In this study, the reactor with a depth of 464 10 cm showed a productivity of  $0.43 \pm 0.03$  g TSS L<sup>-1</sup> d<sup>-1</sup>, which was 1.4 times higher compared 465 to one with a depth of 20 cm (Figure 3). The better performance for the system with a 10 cm 466 depth was due to the higher light availability per unit of biomass (0.64 W g<sup>-1</sup> TSS vs. 0.44 W 467 g<sup>-1</sup> TSS). More importantly for real wastewater, however, is the influence of incoming 468 469 suspended solids, which can cause light limitations to the system. Solid/liquid separation of the incoming wastewater, for instance through a combination of coagulation/flocculation and 470 settling, is, therefore, still required to reduce turbidity and improve the light penetration into 471 472 the water.

Another operational challenge for realistic wastewater treatment is the pH control system. 473 In the current set-up, CO<sub>2</sub> sparging was used, as is practiced in industrial microalgae cultivation 474 in raceway reactors where CO<sub>2</sub> delivery is essential to avoid carbon limitations.<sup>46</sup> For PNSB, 475 CO<sub>2</sub> only serves as an electron sink for more reduced electron donors such as propionate and 476 butyrate.<sup>14</sup> Acid dosage based on CO<sub>2</sub> sparging is, therefore, not essential for PNSB growth. In 477 the test set-up, the CO<sub>2</sub> sparging may unintentionally have created more favorable conditions 478 479 for PNSB by stripping out some dissolved oxygen (preventing additional aerobic conversions) 480 and sulfide (preventing potential toxicity). Follow-up research should also look into alternative 481 and economical pH control systems, for instance partially making use of the low influent pH of fermentate. 482

#### 483 Acknowledgments

484 The authors kindly acknowledge the Research Foundation Flanders (Fonds Wetenschappelijk 485 Onderzoek - Vlaanderen) for supporting A.A. with a doctoral fellowship (1S23018N); the Rosa Blanckaert Foundation for supporting A.A with a research grant; the Belgian Federal Science 486 487 Policy Office for their support to MELiSSA (CCN5 to C4000109802/13/NL/CP), ESA's life support system R&D program, which scientifically and logistically supported this study 488 (http://www.esa.int/Our Activities/Space Engineering Technology/Melissa); 489 the project 490 'Saraswati 2.0' (821427) funded by the European Union's Horizon 2020 Research and 491 Innovation programme, for financial support of A.A.; the project PurpleRace (40207) funded by IOF for financial support of A.A.; Matthijs Juchem and Enerelt Bilegt for their assistance 492 493 with the raceway reactor experiments. D.G.W. and M.C. are supported by a start-up grant of 494 the Department of Biotechnology of the TU Delft.

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Supporting Information. Detailed methodology section 2.2.1; Influence of oxygen supply on PNSB growth; Detailed methodology section 2.2.2; Light and oxygen as tool to steer PNSB selectivity; Detailed methodology section 2.2.3; Illumination spectrum; Analytic procedures; Detailed methodology microbial community analyses; Effect of SRT on COD removal rate; Absorbance spectrum for raceway reactor; Dissolved oxygen concentration, nitrogen- and sulfate balance and biomass parameters during raceway reactor operation. This information is available free of charge via the Internet at

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