1 Enhancing CO₂ conversion with gas quenching in arc plasma

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7 Abstract

8 We investigated post-plasma gas quenching in an arc plasma for CO₂ conversion. We present 9 experiments with a basic pin reactor, along with four different methods for quick cooling, i.e., a nozzle, 10 wall cooling, combining the nozzle and wall cooling, and a heat exchanger. We demonstrate that 11 quenching can significantly improve the performance. The best results are obtained with a heat 12 exchanger, enhancing the conversion with a factor three (from 6 to above 18 %) and the energy 13 efficiency with a factor 1.5 (from 20 to 30 %) when compared to the benchmark. Temperature 14 measurements indeed confirm that the heat exchanger provides the most effective cooling. In 15 addition, the heat exchanger ensures a more stable and elongated plasma which further improves the 16 performance. Interestingly, we observe a linear increase between conversion and specific energy 17 input, resulting in a constant energy efficiency of about 30 %, which is very promising for further 18 upscaling towards higher specific energy input.

19

20 Keywords

- 21 Plasma; CO₂ conversion; arc; quenching; reactor design
- 22

23 Highlights

- Significant improvement of CO₂ conversion with post-plasma gas quenching.
- Heat exchanger results in three times higher conversion (from 6 to above 18 %) than the
 benchmark.
- Quenching ensures a constant energy efficiency of 30 % in the full SEI range.
- Stable plasma in takeover mode can elongate the arc and improve performance.
- MW and arc plasma demonstrate the same performance under similar conditions.

30 **1. Introduction**

31 Global warming is a complex problem and the pressure for change is high. Our greenhouse gas 32 emission must be greatly reduced, preferably to net zero, to limit the worst consequences of climate 33 change [1]. Direct electrification of industrial processes and innovative carbon capture and utilization 34 are important strategies to pursue [2]. Among the novel electrified technologies such as 35 electrochemistry, plasma reactors are gaining increasing interest for various applications, such as 36 nitrogen fixation for fertilizer synthesis and CO₂ conversion into value-added products [3–6]. Especially 37 so-called warm plasmas are promising, because of their better energy efficiency than cold plasmas. 38 Indeed, stable molecules like CO₂ are easily decomposed by the high temperature (order of several 39 1000 K) characteristic for these plasmas [3,5]. Plasma reactors also have the advantage of instant 40 process control with immediate production, thus coupling very well with fluctuating renewable 41 electricity, and they do not rely on rare metal catalysts for good performance [6].

42 Arc plasma reactors are interesting for CO_2 conversion, thanks to their operation at atmospheric

43 pressure [7]. They are characterized by relatively high gas temperatures, typically higher than 3000 K, 44 enabling fast thermal reactions [3]. An important benefit is their flexible design and easy ignition, since

45 no coupling to electromagnetic waves is needed to sustain the arc, as is the case for microwave (MW)

discharges. However, our previous research has indicated that the conversion in such arc reactors,

47 including gliding arcs, is limited to typically 10 % for a maximum energy efficiency of 30 % [8].

48 One of the most promising strategies for performance improvement is gas quenching, either to

49 enhance the conversion or to tune the selectivity in plasmas operating (near) thermal equilibrium, e.g.

in the production of acetylene from CH₄ plasma [9], and in CO₂ conversion [10]. By quickly cooling the

51 plasma afterglow, the temperature drops fast enough to protect the products from reverse reactions

52 in the effluent, e.g., for CO_2 conversion:

$$0 + CO + M \rightleftharpoons CO_2 + M \tag{R1}$$

$$CO + O_2 \rightleftharpoons CO_2 + O \tag{R2}$$

The stable products are preserved in the case of ideal quenching, while reactive atoms and radicals are converted back into the initial reagents during cooling. In the case of super-ideal quenching, additional conversion occurs during the cooling process, when the atoms and radicals would react with the feed gas, converting it further into the products (i.e., the equilibrium of R2 shifts to the left) [11,12].

57 Several different experimental design principles have been applied already for quick cooling in CO₂ 58 plasma reactors. For example, gas mixing by injecting cold gas in the hot stream can ensure high cooling 59 rates. Chekmarev et al. applied counterflow quenching with the cooled gas from the reactor, leading 60 to a factor four increase in CO₂ conversion (from 6 to 24 %), and four times increase in energy efficiency 61 (from 5 to 20 %) [13]. Placing a nozzle constriction at the outlet can have a similar effect as gas mixing, 62 since it increases the turbulence after the plasma, thereby mixing the hot core with the colder 63 surrounding gas and improving heat loss to the walls [14,15]. Hecimovic et al. applied a cooled nozzle 64 to a MW discharge, with significant improvements at 900 mbar and low CO₂ flow rates. Their CO₂ 65 conversion increased with a factor seven (from 5 to 35 %) and the energy efficiency with a factor four 66 (from 5 to 20 %) [15]. Mercer et al. obtained the largest relative increase in performance at a pressure 67 of 300 mbar, with almost three times higher conversion when applying the converging-diverging nozzle 68 (from about 10 to 30 %) and three times higher energy efficiency (from 8 to 23 %). At a higher pressure 69 of 700 mbar, however, the improvement was only a factor 1.2 for both conversion and energy 70 efficiency [16]. Li et al. combined a contracting nozzle with an argon arc plasma with CO₂ addition.

- 71 They observed an increase in effective conversion (from 2.4 to 22 %), i.e. a factor nine higher with the
- nozzle, and a parallel improvement in energy efficiency up to 18 % [17]. Some experiments at lower
- 73 pressure with nozzles additionally aim for supersonic gas expansion in the effluent, as an alternative
- vay to boost the cooling by converting the thermal energy into directional kinetic energy [15,18].

75 Cooling by direct contact with a cold wall can also improve the performance, for example by a 76 surrounding double wall [19], a cooling rod in the center of the afterglow [20], or in multiple cooled 77 outlet channels, similar to shell-and-tube heat exchangers [21,22]. Some of the best results to date 78 were achieved by Hecimovic et al. in a MW discharge at atmospheric pressure with a heat exchanger 79 (i.e., four cooled effluent channels) [22]. At a high specific energy input of 7 eV molecule⁻¹, the authors 80 achieved conversions up to 57 % i.e., four times higher than the maximum of 15 % in the standard configuration. For the same conditions, the energy efficiency increased by a factor 10, reaching 20 % 81 82 with the heat exchanger compared to 2 % in the standard design. Interestingly, the results with 83 quenching at atmospheric pressure were close to the best results in the standard configuration at low

- 84 pressure (200 mbar) but did not exceed the energy efficiency of about 30 %.
- 85 Most of the above results were obtained in MW plasmas, or otherwise in argon arc discharges with
- 86 CO₂ addition. To the best of our knowledge, no previous research has studied an arc plasma reactor in
- $pure CO_2$ with nozzle and heat exchanger. In this work, we investigate for the first time the effect of
- 88 quenching on the CO₂ conversion in an arc discharge with integration of either a nozzle or heat
- 89 exchanger. Our arc plasma reactor exhibits a very flexible design, which permits a systematic study of
- 90 how quenching can improve the CO_2 conversion in arc plasmas. Furthermore, we present a direct
- 91 comparison with the best results for CO₂ conversion in MW discharges.

92 **2. Methods**

93 2.1 Experimental setup

94 The experimental setup is shown in **Figure 1**.



95

Figure 1 Schematic representation of the experimental setup for CO₂ conversion in an arc plasma reactor with quenching.
 The plasma is ignited between the high voltage electrode and the surrounding stainless-steel tube.

98 A cylinder with CO₂ gas (AirLiquide, purity 99.5 %) was connected to a mass flow controller (MFC,

99 Bronkhorst El-Flow Select type F-201AV-50K) set to flow rates from 10 to 20 l_s/min (reference

100 conditions 20 °C and 1 atm). The gas was inserted via four tangential inlets with a diameter of 1.5 mm,

101 ensuring a forward swirling flow. The inlet pressure was monitored with a pressure gauge, to ensure

102 that the pressure was the same for all the different designs (e.g., 0.45 barg at 15 l_s/min).

103 The plasma was generated between the stainless-steel pin electrode (0.8 cm diameter), surrounded 104 by a Teflon insulator, and a grounded stainless-steel tube with 1.6 cm inner diameter and 2.0 cm outer 105 diameter. Due to the grounded steel tube, the swirling flow was needed to stabilize the plasma and 106 enable operation in a large current range. When the swirling flow is not used, like in our previous work 107 with air plasma in a quartz tube and pin-to-pin configuration [23], the plasma could not be sustained 108 in this case. The electrode distance, defined as the distance from the electrode tip to the start of the 109 quenching zone, was varied between 5 and 11 cm. The quenching designs are described in more detail 110 in Section 2.2. When water cooling is applied, the reactor is connected to a chiller (DZ5000LS-QX, Vevor)

111 maintaining a water temperature of 20 °C.

112 The reactor was powered by a current-controlled power supply unit (PSU, Technix SR12KV-10kW) with

113 negative polarity and a ballast resistor of 220 Ω . The current signal was determined by measuring the

voltage drop across a 2 Ω shunt resistor, while the voltage was measured with a high voltage probe

115 (Tektronix P6015A). These electrical signals were recorded with a two-channel oscilloscope (Keysight

116 InfiniVision DSOX1102A 100 MHz). The input current was varied from 0.7 to 1 A, resulting in a plasma

power between 300 and 1500 W, calculated from the product of the measured voltage and current.

118 At the outlet, the gas temperature is measured using K-type thermocouples at 55 cm from the gas inlet.

119 The outlet gas after the reactor was sampled at 0.5 l_s/min with an MFC (Bronkhorst type F-200DV Low

- 120 dP) and sent to an optical oxygen sensor (FDO2, Pyroscience) and an NDIR CO₂ sensor (FlowEvo,
- 121 SmartGas GmbH).

- 122 The formulas to analyze the data are taken from our previous work [24]. Every experiment is repeated
- 123 three times for statistical analysis, sometimes the error bars in the figures are too small to be visible.
- 124 The error propagation with, e.g., the MFC measurement error, is included in the calculations.
- 125 The conversion is calculated with (eq.1):

$$\chi = \frac{1 - y_{CO_2}^{out}}{1 + \frac{y_{CO_2}^{out}}{2}}$$
(eq.1)

126 Where $y_{CO_2}^{out}$ is the output fraction of CO₂. This formula is valid since we only use CO₂ as an input gas. 127 The specific energy input (SEI), is defined as:

$$\text{SEI}\left[\text{eV molecule}^{-1}\right] = \frac{\text{Plasma power}\left[\text{kW}\right]}{\text{Flow rate}\left[\text{l}_{s}\min^{-1}\right]} \cdot \left(60\left[\frac{\text{s}}{\min}\right] \cdot 24.1\left[\frac{\text{l}}{\text{mol}}\right] \cdot 6.24 \cdot 10^{21}\left[\frac{\text{eV}}{\text{kJ}}\right] \cdot \text{N}_{\text{A}}^{-1}\left[\frac{\text{mol}}{\text{molecule}}\right]\right) \quad (\text{eq.2})$$

128 And the resulting energy efficiency is defined as:

$$\eta [\%] = \frac{\chi_{CO_2} [\%] \cdot \Delta H_R^{\circ} [eV \text{ molecule}^{-1}]}{\text{SEI } [eV \text{ molecule}^{-1}]}$$
(eq.3)

129 With ΔH_R° is 2.93 eV molecule⁻¹: the standard enthalpy of the dissociation reaction of CO₂. The energy 130 efficiency is calculated based on the plasma power, which is most common in the context of plasma 131 reactor design, and it allows to compare our data with results from literature. However, energy losses 132 in the PSU or the cost of the cooling unit are not included. This would be important for calculating the 133 real impact when integrating our technology in a full process, as discussed in more detail in **Section** 134 **3.8**.

135 2.2 Reactor designs for quenching

Thanks to the simple design of the arc reactor, consisting of a pin electrode surrounded by a grounded
 tube counter-electrode, various quenching methods can be easily studied. We tested five basic
 designs, as presented in Figure 2.



¹³⁹

Figure 2 Cross sections of the different reactor designs to investigate quenching after a CO₂ arc plasma: (a) the benchmark,
(b) nozzle, (c) double wall cooling, (d) combined nozzle and wall cooling, and (e) heat exchanger designs. The important
dimensions are indicated in red; when not indicated, they are the same as in the other designs.

The benchmark (Figure 2a) is a straight, stainless-steel tube with an internal diameter of 1.6 cm. The 143 144 electrode tip is at a distance of 8 to 15 cm from the swirl inlet and the total length until the outlet is 50 145 cm, similar for all designs. The nozzle (Figure 2b) is a round constriction with a diameter of 0.6 cm and 146 a thickness of 1.5 cm. The cooling design (Figure 2c) consists of a double wall (with cooling water, 147 connected to the chiller) with an outer diameter of 4.2 cm and a length of 18 cm. A combination of 148 those two designs results in the nozzle + cooling design (Figure 2d). Finally, the heat exchanger (Figure 149 2e) is a basic version of the shell-and-tube heat exchanger principle. It consists of seven effluent 150 channels with an outer diameter of 0.32 cm and an inner diameter of 0.18 cm (1/8-inch Swagelok stainless steel gas lines), enclosed in a tube with 4.2 cm outer diameter. The plasma and cooling tubes 151 152 are connected through flanges.

Although the different designs involve various restrictions to the flow in the form of a nozzle, or the heat exchanger, the effects of these on the pressure inside the plasma are minimal, as we measured the same pressure in each case. For instance, the restriction caused by the heat exchanger is minimal, due to the large number of tubes used. While the area of the nozzle is 0.28 cm², the effective area of the heat exchanger is 0.18 cm². Hence, we can safely conclude that the differences observed for the different designs are not due to different pressures.

159 **3. Results and discussion**

First, we will compare the benchmark reactor with the nozzle in **Section 3.1**. We will then present the improved performance of the different cooling designs in **Section 3.2**, based on both the double wall and the heat exchanger, including a discussion of the arc dynamics in **Section 3.3**. The effect of the electrode distance is demonstrated in **Section 3.4**, followed by a discussion on the possible detrimental effects of cooling in **Section 3.5**. **Section 3.6** gives an overview of all designs in terms of conversion and energy efficiency, followed by a comparison between arc and MW designs in **Section 3.7**. Finally, we list some considerations regarding the realistic application of this technology in **Section 3.8**.

167 **3.1 Effect of the nozzle**

Figure 3 presents the CO₂ conversion at (a) different powers and (b) SEI values, for both the nozzle and
 the benchmark. The benchmark reactor clearly shows a lower conversion than the nozzle design. The
 energy efficiencies will be discussed in Section 3.6.



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175 The results presented in **Figure 3** are in line with our expectations: the conversion increases for lower 176 flow rates (corresponding to longer residence times) and higher powers. At every flow rate, the 177 subsequent points of increasing power correspond to an input current of 0.7, 0.8, 0.9 and 1 A, respectively. However, the benchmark cannot couple the same power to the plasma as the nozzle, 178 179 even when the same conditions of input current and flow rate are applied. For example, at 10 l_s/min, 180 the power in the benchmark is at maximum 600 W, while the nozzle is able to reach 800 W at this flow 181 rate. This can be explained by the difference in plasma length between the two designs. In case of the 182 benchmark, the average voltage is 0.6 kV for all input currents at 10 l_s/min. In the nozzle design, the 183 plasma can elongate further, by attaching to the nozzle at the outlet and achieving higher voltage of 0.8 kV. With a higher voltage, a higher power and thus SEI (eq. 2) can be obtained. The arc dynamics 184 185 will be discussed in more detail in the following sections.

The SEI range is much larger for the nozzle (from 0.6 to 1.2 eV molecule⁻¹) than for the benchmark (0.5
to 0.9 eV molecule⁻¹), as indicated in **Figure 3**b. The larger SEI corresponds to a higher conversion in
the nozzle design, with a maximum of 8.6 %, compared to maximum 6 % in the benchmark. Considering

189 conditions with a similar SEI, the nozzle still reaches a higher conversion. At 0.85 eV molecule⁻¹ for

Figure 3 CO₂ conversion at (a) different powers, for three different flow rates (squares for 10 l_s/min, circles for 15 l_s/min, and triangles for 20 l_s/min), with an indication of the 95 % confidence interval of the fit, and (b) different specific energy inputs (SEI), both for the benchmark (black squares) and nozzle (red triangles) with 11 cm electrode distance.

example, it increases from 5.0 % to 7.4 %. This indicates that, besides the increase in power, due tothe rise in plasma length, the nozzle has an additional effect on the performance.

192 This positive effect likely arises from the enhanced mixing after the plasma [15]. Indeed, the nozzle 193 creates turbulence and enforces the hot core gas to mix with the cooler surrounding gas, providing

- 194 faster cooling and limiting the recombination reactions (R1 and R2; cf. Introduction) in the effluent.
- 195

3.2 Comparing the different cooling designs

Figure 4 presents the CO₂ conversion as a function of SEI, for (a) cooling, (b) nozzle + cooling, and (c)

heat exchanger. In (d), the outlet temperature is plotted as a function of time, to compare the cooling
 effect of the different designs.



Figure 4 CO_2 conversion as a function of SEI, for (a) cooling design (open blue triangles) compared to the benchmark (black squares, (b) combined nozzle and cooling (blue triangles) compared to nozzle without cooling (open red triangles), and (c) heat exchanger (green triangles for 20 I_s /min, circles for 15 I_s /min, and squares for 10 I_s /min), all with an 8 cm electrode distance. In each case, the benchmark is also shown for comparison. (d) Outlet temperature as a function of time for the five different designs at 20 I_s /min and 1A. The power was turned off after 13 min. Note that the benchmark was operated for a shorter time, to limit the maximum temperature and protect reactor components. The measurement also started at time zero, but the first data point is shifted, so that the drop in temperature aligns with the other designs.

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Applying (double wall) cooling appears detrimental for the conversion, as shown in **Figure 4**a. The conversion is lower than the benchmark at similar values of SEI and even decreases for higher SEI values. This indicates that the onset of cooling is too early, and quenching occurs before the maximum conversion is reached. This will be discussed further in **Section 3.5**. Note that a higher SEI can reached than the benchmark, even without an attachment point like a nozzle. This effect can be explained by the colder gas boundary layer, increasing the drag force and pushing the attachment point of the arc further downstream [25].

217 When the double wall cooling is added behind the nozzle, the conversion is clearly better than the 218 benchmark, mainly due to the higher SEI values that can be reached, similar to Figure 3b. However, 219 the conversion is not significantly better than the nozzle without cooling (Figure 4b), at least in this SEI 220 range. The nozzle + cooling design reaches a maximum conversion of 12.2 %, compared to maximum 221 11 % in case of the nozzle without cooling. It thus seems that the gas mixing due to the nozzle provides 222 more significant cooling than when the gas is in contact with the cold wall. Indeed, the nozzle has a 223 clear benefit when compared to cooling (without nozzle) (Figure 4a). The nozzle likely helps to maintain 224 the plasma within the reactor volume before cooling and provides the additional benefits of enhanced 225 gas mixing and plasma elongation through attachment at the nozzle.

226 The cooling with heat exchanger is clearly the most beneficial. Figure 4c demonstrates a significantly 227 higher conversion at the same SEI values as the benchmark, for example improving from 5.7 % to 7.6 % 228 at 0.7 eV molecule⁻¹. Three regions can be distinguished for the heat exchanger, aligning with the three 229 different flow rates. This can be explained by the stable plasma (see Section 3.3) resulting in a more 230 constant power input, so that the flow rate has larger effect in resulting SEI values. The lowest flow 231 rate yields the highest SEI, and because of the (roughly) linear correlation between SEI and conversion, 232 the maximum conversion of 14.6 % is reached at the flow rate of 10 l_s/min, corresponding to an SEI of 233 1.4 eV molecule⁻¹.

234 The temperature at the outlet in Figure 4d gives an indication of the different cooling capacities of all 235 designs. The outlet temperature in the benchmark case is as high as 500 °C. Introducing (double wall) 236 cooling decreases the temperature to 385 °C, but a nozzle is more efficient and reduces the 237 temperature to 300 °C without extra cooling, and to 270 °C in combination with cooling. This confirms 238 that gas mixing after the nozzle provides a faster and more effective way of cooling than the double 239 wall. Indeed, the improved gas mixing after the nozzle induces enough turbulence to improve the heat 240 transfer to the walls and it increases the overall heat loss in the system, explaining why the results of 241 the nozzles without and with extra cooling are so similar, as seen in Figure 4b. Finally, the heat 242 exchanger causes even more efficient cooling, bringing the outlet temperature down to 90 °C. Even 243 though the surface of the heat exchanger is smaller than for the double wall cooling (58 cm² compared 244 to 75 cm²), the surface to volume ratio of the heat exchanger is much larger (23 cm⁻¹ compared to 2.5 245 cm⁻¹). In other words, the heat exchanger ensures a much better contact between the effluent gas and 246 the cold wall, explaining the low outlet temperature and improved performance.

247

248 **3.3 Electrical characteristics of different cooling designs**

To explain the different performance of the various cooling designs, another factor to account for is the difference in SEI range between the designs. Why can the heat exchanger reach up to 1.4 eV molecule⁻¹, compared to only 0.9 eV molecule⁻¹ in the benchmark? This can be explained by the plasma stability, demonstrated by the voltage and current signals, as displayed in **Figure 5** for (a) the benchmark and (b) the heat exchanger designs. These arc dynamics are well described for plasma torches [26].



Figure 5 Temporal behavior of plasma voltage and current, for (a) the benchmark and (b) the heat exchanger with an
 electrode distance of 8 cm. Both designs operate at 10 l_s/min and 1 A input current.

In case of the benchmark, the plasma exhibits the characteristic restriking mode of a gliding arc, with 258 periodic movement of the arc along the grounded electrode and typical voltage fluctuation (Figure 5a) 259 260 [27]. In the heat exchanger, however, the plasma is more stable and characterized by the takeover arc regime (Figure 5b), probably due to a more stable attachment of the arc. In our reactor, the average 261 262 voltage is significantly higher in the takeover mode (e.g., 0.9 kV) than in the restriking mode (e.g., 0.5 263 kV) as seen in **Figure 5**. This yields a larger power for the same input conditions, explaining the higher 264 SEI values and thus also the higher conversion. 265 In summary, the combined effect of faster cooling and higher SEI range due to more stable plasma,

265 In summary, the combined effect of laster cooling and higher SEI range due to more stable plasma,
 266 enhances the performance, for both the nozzle and the heat exchanger, with the latter demonstrating
 267 superior performance.

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269 **3.4 Effect of electrode distance**

270 Figure 6 illustrates the effect of the electrode distance on the CO₂ conversion as a function of the SEI,

for (a) the heat exchanger and (c) the nozzle without cooling. The temporal variation of voltage is presented in (b) and (d) for the respective designs.



Figure 6 CO₂ conversion as a function of SEI, for two different electrode distance (circles for 5 cm, triangles for 11 cm), for (a) the heat exchanger and (c) the nozzle. Temporal behavior of the voltage for (b) the heat exchanger at conditions of maximum SEI, i.e. 10 l_s/min and 1A; and (d) the nozzle at conditions of similar SEI, i.e. 1.08 eV molecule⁻¹.

278 The electrode distance proves to be a determining parameter for the performance. A larger electrode 279 distance is clearly beneficial in case of the heat exchanger (Figure 6a). The SEI range in the 5 cm case 280 is maximum 1.1 eV molecule⁻¹, although the rising trend in conversion is evident. With a longer electrode distance of 11 cm, the SEI can reach up to 1.8 eV molecule⁻¹ at the same conditions of 10 281 282 I_s/min and 1 A. This trend can again be attributed to the longer plasma length. The plasma can attach easily to the heat exchanger and form a stable channel. A longer electrode distance thus yields a longer 283 284 plasma, resulting in a higher voltage, as shown in Figure 6b, hence, more power can be coupled. As a 285 result, the heat exchanger with 11 cm electrode distance can achieve much higher conversion, i.e., at 286 maximum 18.5 %.

However, a longer electrode distance is only beneficial when comparing similar plasma modes, as demonstrated by the results of the nozzle in **Figure 6**c. The results of the shorter distance (5 cm) are slightly better than for 11 cm at similar SEI values. The voltage signal in **Figure 6**d demonstrates a clear difference between both cases. At 5 cm, the plasma operates in the takeover mode, compared to the restriking mode in case of 11 cm. The nozzle is probably more difficult to attach than the heat exchanger, due to the higher velocity in the nozzle throat [14]. Probably, the arc keeps gliding somewhere in the tube when the distance is too great, resulting in a different operating mode at 11 294 cm than at 5 cm. Consequently, the afterglow is further away from the nozzle and quenching happens 295 too late, so that the recombination reactions have taken place already, thus explaining the lower 296 conversion. In summary, these results indicate that the performance can be optimized at the largest 297 electrode distance that can maintain a stable plasma in the takeover mode.

298

299 3.5 Why does cooling not always help?

Figure 7 presents the results for the cooling design at electrode distances of 8 and 11 cm, illustrating
(a) the CO₂ conversion as a function of SEI, and (b) the temporal voltage signal. The data at 8 cm is also
shown in Figure 4a.



303

Figure 7 (a) CO₂ conversion as a function of SEI, and (b) temporal behavior of the plasma voltage for different electrode distances (up light-blue triangles for 8 cm, down dark-blue triangles for 11 cm) in the cooling design without nozzle.

Obviously, the design with double wall cooling displays opposite trends in conversion as a function of SEI for different electrode distances. The results at low SEI (~ 0.6 eV molecule⁻¹) are relatively close, with a conversion between 4 and 5 % (**Figure 7**a). The conversion increases with SEI in the case of 11 cm, as expected, but the opposite trend is observed for the 8 cm electrode distance. The conversion drops to values as low as 2.9 %, compared to 6.7 % conversion in the case of 11 cm. The difference in performance cannot be explained by a difference in the plasma operation mode, as both operate in the restriking mode (**Figure 7**b).

313 Instead, the observations in Figure 7 a can be explained by the effect of quenching location. For a higher 314 SEI input, the plasma extends freely and propagates into the double wall cooler. In case of a shorter 315 electrode distance, the early onset of cooling is detrimental for the performance, because the 316 conversion has not reached its maximum value yet. This is in line with a recent combined modelling 317 and experimental study, where Ceulemans et al. [28] studied the balance between CO_2 splitting and 318 recombination reactions and demonstrated that the latter only become dominant in the afterglow of 319 a gliding arc plasma. In our cooling design with a longer electrode distance, the quenching only starts 320 after the CO₂ conversion has reached its maximum, and thus it is beneficial for the performance. This negative trend for smaller electrode distances is not observed in the designs with a nozzle or heat 321 322 exchanger, because they have physical borders that limit the plasma size. A model specific for these 323 experiments can help to explain these trends in quenching location and will be part of future work. 324 Overall, these results confirm that the cooling needs to happen only in the afterglow for an improved 325 performance and not within the arc length for the range of SEI under study.

326 3.6 Overview of the performance for the different designs

Figure 8 compares the benchmark to three cooling designs in terms of (a) CO₂ conversion and (b) energy efficiency. The (double wall) cooling is not presented, because we demonstrated it typically exhibits lower performance.





Figure 8 Overview of the results in terms of (a) CO₂ conversion and (b) energy efficiency as a function of SEI. Four designs are
 compared: the benchmark (black squares), nozzle without (red circles) and with cooling (blue up triangles), and heat
 exchanger (green down triangles). The electrode distance is 11 cm in all designs.

334 The enhanced CO₂ conversion is clearly demonstrated for all three cooling designs in **Figure 8**a. Any 335 method of quenching will result in a higher conversion at the same SEI as the benchmark. More 336 importantly, the quenching designs can change the plasma mode, due to the attachment of the arc to 337 the nozzle or heat exchanger, thereby extending the plasma length. Hence, the resulting power in the 338 plasma can be much higher, expanding the SEI range, even up to 1.8 eV molecule⁻¹ for the heat 339 exchanger operated at 10 l_s/min and 1 A, in the stable takeover mode. The CO₂ conversion increases 340 linearly with SEI for all designs, but the results of the heat exchanger are consistently higher than for 341 the other designs, indicating that it is the most effective way of cooling to prevent the recombination 342 reactions.

343 The energy efficiency completes our understanding of the comparison between the cooling designs, 344 and is displayed in Figure 8b. The benchmark shows the typical trend that a higher conversion at higher 345 SEIs is accompanied by a drop in energy efficiency. This happens when the conversion rises to a lower extent than the SEI (cf. equation 3 in Section 2.1). The nozzle also shows a downward trend in 346 347 efficiency, but it is still higher than the benchmark, e.g. at 0.8 eV molecule⁻¹, the energy efficiency increases from 20.6 % to 26.1 %. Adding a cooled double wall after the nozzle yields a slight 348 349 improvement in conversion, but the effect on the energy efficiency is more significant, because the 350 trend is less negative. Finally, the heat exchanger outperforms all other designs, with a constant energy 351 efficiency of 30 % in the full SEI range.

From our experiments, it is difficult to separate whether specific plasma-chemical interactions or flow dynamics could also play a role. Overall, our experiments suggest that simply the difference in cooling efficiency is the most important, since the heat exchanger has an outlet temperature of less than 100 °C compared to about 250 °C in the designs with the nozzles and about 500 °C in the benchmark. A model specific for these experiments, as well as sophisticated laser diagnostics, can help to explain the observed trends and will be part of future work. In summary, the heat exchanger yields a factor three enhancement in the CO₂ conversion, from maximum 6.0 % in the benchmark to 18.5 %, and at the same time, the energy efficiency also improves by a factor 1.5, from 20.5 % in the benchmark, to 30.2 %. This clearly demonstrates that the heat exchanger is the most effective cooling method in our study. Although it was previously believed that extremely high quenching rates are needed to preserve products from conversion [10], our study demonstrates that even a simple heat exchanger (hence, without much extra cost) could suffice to

- 364 mitigate the issue with recombination reactions.
- 365

366 3.7 Comparison between arc and MW plasma for CO₂ conversion

Interestingly, our results are comparable to the MW results reported by Hecimovic et al. [22]. They
were able to couple much higher powers in the plasma (up to 3 kW), corresponding to an SEI of
maximum 7 eV molecule⁻¹, which explains why their conversion is significantly higher (up to 60 %).
However, in the same SEI range up to 2 eV molecule⁻¹ and at atmospheric pressure, our heat exchanger
results align exactly with the results in the MW plasma, as the authors reported a conversion of about
16 % for an energy efficiency of 25 %.

373 Earlier works attributed the good performance of warm plasmas, such as (gliding) arc and MW plasmas, 374 to specific plasma effects (e.g., electron impact reactions and vibrational-translational non-375 equilibrium) [6,11]. However, recent in-situ experiments in MW plasmas have demonstrated that the 376 heavy particles in the plasma are in thermal equilibrium with the gas [29–31]. This means that both 377 the conversion and energy efficiency have a theoretical limit that can be determined by 378 thermodynamic equilibrium calculations, as explained by Bekerom et al. [29]. At low SEI, the 379 conversion is limited when the available energy cannot dissociate all molecules. At high SEI, the 380 efficiency is limited when the energy input exceeds the reaction enthalpy for complete dissociation. 381 D'Isa et al. [31] measured a maximum energy efficiency of 30 % and a gas temperature of 6000 K, which 382 agrees exactly with this thermal equilibrium efficiency limit.

In our experiments, we achieved the same maximum efficiency of 30 %. Furthermore, a similar gas temperature of 6000 K was measured in a pin reactor by Becarra et al. [32], indicating that the same effects of thermal equilibrium are dominant, independent of the different physics that govern the MW and arc plasma. This is quite striking, because the latter is heated by DC current, and the former by electromagnetic fields, but it illustrates that these underlying mechanisms do not significantly alter the performance. Indeed, under these conditions, the thermal chemistry is dominant, and quenching is therefore essential to maintain the high conversion from the hot plasma core in the effluent.

390 Our arc plasma can probably be further optimized to achieve the same high conversions as in the MW 391 plasma, when operating at higher power (and thus, SEI), although the advantage of the latter remains 392 that they operate without electrodes and thus avoid problems of electrode erosion. For both plasma 393 reactors, however, the total efficiency of the system is essential when considering practical 394 applications. As highlighted in a recent study by Kiefer et al. [33], the efficiency of MW power supplies 395 (i.e., fraction of power delivered by the PSU that is effectively delivered to the plasma) is limited to the 396 order of 70 % at 2.45 GHz [34], while arc power supplies typically have higher efficiency (order of 80-397 90%) [35]. Moreover, arc plasma reactors are easier to engineer, a significant advantage when 398 considering that any technology for electrification of the chemical industry has to be coupled with heat 399 integration. Until now, there are no studies showing heat recovery of the residual energy for CO₂ 400 conversion through preheating the input gas. Furthermore, the residual heat could be used to activate 401 the reverse Boudouard reaction in a post-plasma carbon bed [36,37], which can further enhance the 402 conversion and energy efficiency.

- 403 In summary, our results show that with efficient quenching, the CO_2 conversion rises linearly with the
- 404 SEI, resulting in better performance. As a result, we obtain a constant energy efficiency, which does
- not drop upon rising SEI. However, the maximum energy efficiency obtained in our work is 30 %, in
- 406 line with the thermal efficiency reported in literature [8,12,31]. Therefore, in our future work, we plan
- to apply heat recovery, by using the heat removed with the heat exchanger to preheat the input gas,
- 408 so that the applied power can all be used for the conversion and does not have to be (partly) used for 409 gas heating. We expect that the overall energy efficiency of the system will in this way increase further,
- 409 gas heating. We expect that the overall energy efficiency of the system will in this way increase further,
- 410 important for industrial application.
- 411

412 **3.8 Considerations for realistic application**

With basic design principles, we already demonstrated significant improved performance in our arc reactor. Of course, the simple setup leaves room for further improvement and some factors must be considered for a more realistic application.

416 First, both the materials and geometry of the heat exchanger could be improved based on the well-417 established heat exchanger technology in the chemical industry. The heat transfer could be improved 418 by using specific copper and nickel alloys, or even ceramics instead of stainless steel, although there 419 will be a trade-off between the increased material cost and improved performance [38]. The material 420 must also be able to withstand high temperatures, especially at the arc attachment point (above 6000 421 K), since it is crucial that the quenching happens close to the plasma. For the geometry, the surface 422 area could be increased, and extra strategies such as tape inserts or surface roughness [39] might 423 further improve the performance. From a process point of view, the heat exchanger can also facilitate 424 heat integration with other processes, which is up to now not investigated in plasma reactors.

425 Second, we should note that the energy efficiency here is calculated based on the plasma power. When 426 optimizing the reactor and PSU for realistic application, the plug power is more important. In our 427 experiments, we measured the plug power for the condition of the highest conversion, i.e., of 10 l_s/min 428 and 1 A. While the plasma power was 1.16 kW, the plug power was 2.08 kW, hence the plasma 429 accounted for 55 % of the total consumption. Accounting for the plug power in the SEI calculation, this 430 means that the energy efficiency of 30 % (based on plasma power) would decrease to 18 %, when 431 based on plug power. As mentioned in Section 3.7, the arc power supply can certainly be optimized 432 for a fixed reactor configuration and plasma power. For example, as explored in previous work from 433 our group [40,41], the ballast resistor could be removed if inductive elements are used or if the 434 topology of the PSU changes so that the current limitation is provided by the transformer. In this case, 435 the energy efficiency based on the plug power will be close to the plasma energy efficiency.

436 Another important factor is the long-term stability of the plasma reactor. Kiefer et al. demonstrated 437 for a MW plasma reactor that the performance remains stable for at least 30 h [33]. Similar long-term 438 stability is expected in our arc reactor, and preliminary tests of 6 h for another (gliding) arc reactor in 439 our lab revealed a stable conversion and energy efficiency within 3 %, although the electrode erosion 440 must be considered on even longer time scales. The stainless-steel pin cathode used in our 441 experiments could be further improved with better materials (e.g. tungsten alloys or graphite) or 442 protected by active cooling, i.e., strategies from commercial (larger scale) thermal plasma arc torch 443 applications [42]. Furthermore, operating the plasma reactors in parallel [35] or at lower current and 444 higher voltage will also significantly limit the erosion, as the latter is primarily determined by the 445 current. Higher currents will be needed when upscaling, but this can be optimized depending on the 446 cost of electrode erosion. On the other hand, thermal spray torches go up to 400 A, compared to only 1 A in our experiments, which demonstrates that there exist solutions to mitigate electrode erosionand reactor stability.

449 It is important to put our results in the context of other CO₂ conversion technologies. Plasma 450 technology certainly has interesting advantages, as outlined in the Introduction and the extensive 451 review by Snoeckx and Bogaerts [6]. However, a quantitative comparison is often challenging since 452 the evaluation parameters are very different between the various technologies. Therefore, a 453 discussion on the economic feasibility and environmental impact could provide a more relevant 454 comparison. We recently performed a detailed techno-economic and sustainability analysis of a 455 scaled-up plasma process for CO₂ conversion, based on a warm plasma setup with similar parameters 456 as the experiments in this work, and we compared the metrics to electrolysis (i.e., a zero-gap type low-457 temperature electrolyser). Both the techno-economic [43] and sustainability [44] assessment revealed 458 favourable results for the plasma process, thanks to the simple setup and cost-effective materials.

Specifically, the production cost of CO was estimated at \$ 671 per tonne of CO, compared to \$ 962 for electrolysis, which are both competitive compared to CO transported in gas cylinders (up to \$ 3000 per tonne). The electricity costs had the most significant contribution for both technologies but are expected to decrease from renewable sources in the future. A sensitivity analysis also revealed an optimal scenario with low-cost feedstock and equipment, so that the CO production could fall below \$ 500 per tonne of CO, which is more feasible in the plasma reactors thanks to their simpler design and absence of costly catalysts.

- 466 In terms of environmental impacts, the plasma demonstrates reductions in 7 of the 10 environmental 467 impact categories evaluated, when compared to the equivalent conventional process of partial 468 combustion with fossil fuels. The plasma process could also achieve 40 % energy savings compared to 469 electrolysis. Furthermore, adding a recycling loop of unreacted CO_2 increases the material circularity 470 indicator to above 0.8, which is 10 % higher than electrolysis. Finally, also the Green Chemistry metrics 471 are more favourable than electrolysis by around 10 - 30 %. More details can be found in our previous 472 work [43,44].
- The upscaling of the arc plasma under study here will probably be slightly different from the case study of [43,44], which was based on many plasma reactors in parallel, while the arc plasma under study here should be upscaled towards industrial thermal plasma arc torch designs [42]. However, the characteristics of the process will be similar.
- 477 In future work, such comprehensive cost and sustainability studies will also be interesting to 478 investigate for the arc plasma in this work. For example, the impact of the CO₂ source and possible 479 purification costs, as well as heat integration are interesting subjects for such studies. Since our simple 480 heat exchanger was already quite effective to enhance the performance, a passive cooling system with 481 heat integration might be sufficient for improved performance, while avoiding the energy cost of the 482 cooler. It should be noted that studies at such low technology readiness level are not applicable to 483 specific cases for companies, but modelling such costs remains a valuable tool to identify the most 484 relevant optimization strategies.
- Overall, the findings in our study are a promising next step to improve carbon capture, utilization, and
 storage (CCUS) technologies for the electrified production of chemicals. The rapid reduction of CO2
 emission is crucial to limit climate change, and decarbonization will remain the priority. However, in
 the transition period, CCUS is essential as one of the key mitigation strategies to achieve net zero by
 2050 [2]. Together with direct electrification, avoided demand and more renewable energy, improving
 CO2 conversion by plasma technology can help to achieve our climate goals.

491 **4 Conclusion**

492 We performed a systematic investigation on the effect of various quenching methods on the CO₂ 493 conversion and energy efficiency in an arc discharge. We demonstrate that post-plasma cooling can 494 significantly enhance the performance by preventing the recombination reactions. Our results clearly 495 show that a stainless-steel heat exchanger provides the most effective quenching. The conversion 496 reaches up to 18 %, which is a factor three higher than the maximum of 6 % in the benchmark (without 497 quenching). In addition, thanks to the linear correlation between conversion and SEI, the energy 498 efficiency is constant upon rising SEI, reaching 30 %, a factor 1.5 higher than the maximum energy 499 efficiency in the benchmark. Introducing a nozzle in the reactor after the plasma region, also improves 500 the performance, even without wall cooling. The conversion then reaches 12 %, a factor two higher 501 than the benchmark. These results follow the same trend as reported by Hecimovic et. al. [22], 502 indicating that there is no fundamental difference in the underlying processes between a MW and arc 503 discharge and that both are governed by the thermal efficiency.

504 Furthermore, our results show that a stable arc plasma provides better conversion and energy 505 efficiency than when the arc is in an unstable regime. The unstable restriking mode is common for the 506 benchmark design, without cooling options. Introducing a nozzle or heat exchanger provides an 507 attachment point for the arc, which induces a transition from the restriking mode to the more stable 508 takeover mode. Thanks to this stable arc elongation, the average voltage is higher, and more power 509 can be coupled into the plasma, resulting in improved performance.

510 Interestingly, we show that cooling not always helps to improve the performance. We observe a drop 511 in conversion by a factor of 2.3 for an 8 cm electrode distance when compared to the 11 cm distance, 512 in the case of the double wall cooler without nozzle at SEI higher than 0.9 eV molecule⁻¹. This highlights 513 the importance of the quenching location for improved performance, i.e., cooling should not happen 514 within the arc length for the investigated SEI range, but in the afterglow, after the maximum conversion 515 is reached, and recombination reactions become dominant over the CO₂ splitting reactions. A model 516 specific for these experiments, as well as sophisticated laser diagnostics can help to explain the 517 observed trends and will be part of future work.

518 Finally, we outlined some considerations for a more realistic application of this technology. The basic 519 design of the heat exchanger already gives promising results, but the geometry and material choice 520 can certainly be optimized. Strategies to mitigate electrode erosion, as well as long term stability tests, 521 and a more comprehensive energy cost analysis with heat integration are other important steps that 522 will be part of future work.

523

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