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Real-time simulations of ADF STEM probe position-integrated scattering cross-sections for single element fcc crystals in zone axis orientation using a densely connected neural network

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7 Abstract

5

Quantification of annular dark field (ADF) scanning transmission electron microscopy (STEM) images in terms of composition or thickness often relies on probe-position integrated scattering cross sections (PPISCS). In order to compare experimental PPISCS with theoretically predicted ones, expensive simulations are needed for a given specimen, zone axis orientation, and a variety of microscope settings. The computation time of such simulations can be in the order of hours using a single GPU card. ADF STEM simulations can be efficiently parallelised using multiple GPUs, as the calculation of each pixel is independent of other pixels. However, most research groups do not have the necessary hardware, and, in the best-case scenario, the simulation time will only be reduced proportionally to the number of GPUs used. In this manuscript, we use a learning approach and present a densely connected neural network that is able to perform real-time ADF STEM PPISCS predictions as a function of atomic column thickness for most common face-centered cubic (fcc) crystals (i.e., Al, Cu, Pd, Ag, Pt, Au and Pb) along [100] and [111] zone axis orientations, root-mean-square displacements, and microscope parameters. The proposed architecture is parameter efficient and yields accurate predictions for the PPISCS values for a wide range of input parameters that are commonly used for aberration-corrected transmission electron microscopes.

⁸ Keywords: ADF STEM simulations, Multem, Probe position integrated scattering cross section, Neural network,

⁹ real-time, Tensorflow

10 **1. Introduction**

Scanning transmission electron microscopy (STEM) with an annular dark field (ADF) detector has become a popular 11 technique for quantifying nanostructures at the atomic level due to the absence of contrast reversals in the recorded 12 images with sample thickness and defocus. The quantification process can be performed through three-dimensional 13 atomic resolution electron tomography [1], direct comparison of experimental data with image simulations [2], or 14 by using statistical methods to extract quantitative information from the images [3]. Different methods have been 15 developed for counting the number of atoms in each atomic column from a single ADF STEM image [3, 4, 5]. As 16 a measure of performance for atom-counting, probe-position integrated scattering cross sections (PPISCS) are often 17 used as they are highly sensitive to the number of atoms in a column and its composition [6, 4, 7]. Additionally, 18 they are robust to probe parameters such as defocus and other aberrations [8, 9]. The PPISCS corresponds to the 19 integrated intensity over the atomic feature and can be determined by integrating image intensities in Voronoi cells 20 around the positions of the atomic features [8], or by estimating the volume under each atomic column by fitting 21 a parametric model consisting of 2D overlapping Gaussian peaks to the experimental images [3]. From a set of 22

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²³ PPISCSs, atoms can be counted using an image simulation-based approach [5], a statistics-based approach combined

with prior knowledge of the sample thickness range [10], or by using a hybrid approach that includes prior knowledge
 from image simulations into the existing statistics-based method [11]. Furthermore, atom counts for each chemical

element in alloy systems can be determined by combining prior knowledge with the so-called atomic lensing model,

which enables the prediction of PPISCSs of mixed columns from the PPISCSs of atomic columns consisting of a

²⁸ single atomic element [12].

In order to obtain accurate results for atom-counting, ADF-STEM image simulations are required that include a quan-29 tum mechanical description of the electron-specimen interaction for the same specimen, zone axis orientation, and 30 microscope settings as those used in the experiment. However, in practice, the absolute values of several parameters 31 are unknown, such as defocus, spatial incoherence, root-mean-square displacement (rmsd), specimen thickness, mist-32 ilt, carbon contamination, and other experimental uncertainties [8]. This often leads to a mismatch between the exper-33 iment and simulation [13]. A common method for addressing this mismatch is to estimate the unknown parameters by 34 matching simulations with experimental images. Although modern frozen phonon GPU multislice implementations 35 of the electron-specimen interaction have reduced the computation time of ADF STEM simulations [14, 15, 16], these calculations still take several hours using a single GPU card. ADF STEM simulations can be efficiently parallelised 37 using multiple GPUs, as the calculation of each pixel is independent of the other pixels. However, most research 38 groups do not have access to the necessary hardware. Furthermore, in the best-case scenario, the simulation time can 39 only be reduced proportionally to the number of GPUs used. Therefore, it is highly desirable to significantly speed up 40 the calculation of the ADF STEM PPISCS in order to facilitate the quantification process. 41

Machine learning based on artificial neural networks has become a state-of-the-art method due to its ability to learn 42 from data by adjusting the weight connections between neurons during the training process. Additionally, neural net-43 works have demonstrated breakthrough performance for various tasks such as image recognition [17], image restora-44 tion [18], image super-resolution [19], natural language processing [20] and cognitive science [21]. The performance 45 of the neural network is highly dependent on the quantity and quality of the available training data as well as on its 46 sampling distribution. Furthermore, in order to obtain consistent predictions for physical systems, it is essential that 47 the neural network learns the underlying physics constraints of the governing laws. This can be embedded in the 48 network architecture itself [22, 23] or in its loss function [24, 25]. 49

⁵⁰ In this paper, we use a machine learning approach and present a densely connected neural network to perform real-⁵¹ time ADF STEM PPISCS-thickness simulations for the most common face-centered cubic (fcc) crystals along their ⁵² main zone axis orientations, microscope parameters, and root-mean-square displacement (rmsd) values. In Section 2, ⁵³ we will explain the methodology, including the steps of data generation, neural network architecture, the choice of the

loss function, and implementation details. In Section 3, we will present and discuss the results. Finally, in Section 4,

⁵⁵ we will draw conclusions.

56 2. Methodology

PPISCSs have been proven to be robust for various probe parameters such as defocus, aberrations, and temporal 57 incoherence [8, 9] in aberration-corrected scanning transmission electron microscopes. Additionally, they remain 58 invariant when dealing with spatial incoherence. Despite these advantages, it is still important to have accurate esti-59 mations of PPISCSs-thickness through image simulations in order to determine the number of atoms and composition 60 in an atomic feature. It is noteworthy that, PPISCS-thickness values have non-linear dependence regarding the mi-61 croscope parameters and the rmsd value. While it is possible to train a separate neural network for each fcc crystal 62 for a given zone axis, this approach unnecessarily results in a large number of networks. It has been observed that 63 PPISCS-thickness predictions share many similarities regardless of their atomic number and zone axis orientation. 64 Additionally, the universal approximation theorem for neural networks, as stated in [26], states that any continuous 65 function can be approximated by a multilayer neural network. Therefore, it is more efficient to train a single neural 66 network for PPISCS-thickness predictions. Our results indicate that this approach also reduces the total number of 67 parameters in the network without compromising its accuracy. 68

⁶⁹ Our aim is therefore to train a neural network to perform real-time ADF STEM PPISCS-thickness simulations for the

most commonly used fcc crystals in material science, along their main zone axis orientations, microscope parameters,

and rmsd values. The first step in achieving this is to describe the data generation process.

72 2.1. Data generation

In this work, use is made of the frozen atom multislice simulation approach implemented in the Multem software 73 [14, 15], which was shown to yield results matching experiments [27]. Although our methodology can be applied to 74 general fcc crystals with different zone axis orientations, the calculation time for ADF STEM PPISCS-thickness puts 75 an upper limit on the number of fcc crystals and zone axis orientations that we can generate for our training data using 76 our computational resources. Therefore, we have focused on the most commonly used fcc crystals in materials science 77 along their most relevant zone axis orientations that are used for the atom-counting procedure. We considered Al, Ni, Cu, Pd, Ag, Pt, Au, and Pb fcc crystals along the [100] and [110] zone axis orientation (zao) up to 61 and 87 atoms, 79 respectively. This corresponds to a maximum thickness of around 25nm for Au fcc crystals. In addition, the rmsd 80 value for all atoms of the specimen was assumed to be the same. An x-y supercell size of $n_a \times n_b = \lfloor 50 \text{\AA}/a \rfloor \times \lfloor 50 \text{\AA}/b \rfloor$ 81 unit cells was chosen with a and b the projected unit cell lattice parameters, where $\lfloor \rfloor$ and $\lfloor \rceil$ denote the floor and ceil 82 operators. Moreover, a numerical 2D real-space grid of $\lfloor 1536 \times n_a/n_{max} \rceil \times \lfloor 1536 \times n_b/n_{max} \rceil$ pixels was selected 83 with $n_{max} = \max(n_a, n_b)$. The ADF STEM images were scanned over an area of one projected unit cell using pixel 84 sizes equal to $\Delta x = a/\lfloor a/0.15 \rfloor$ and $\Delta y = b/\lfloor b/0.15 \rfloor$. The multislice frozen atom calculation was performed with 30 85 configurations and a slice thickness dz corresponding to the distance between consecutive crystalline planes along the beam direction. In this study, simulations were performed assuming symmetric and concentric annular detectors with 87 an ideal detector sensitivity, which refers to a detector that has a homogeneous response to electrons. All simulation 88

⁸⁹ settings are summarised in Table 1.

Table 1: Microscope settings used for data generation

Atomic element Z	13, 28, 29, 46, 47, 78, 79, 82
Zone axis orientation zao	[100] and [110]
Acceleration voltage HT	[60, 80, 100, 120, 200, 300]kV
Spherical aberration C_s	[-1.0, 1.0]µm
Defocus C_{10}	[–100.0, 100.0]Å
Convergence angle α	[17.5, 35.5]mrad
Inner detector angle θ_0	[18.0, 250]mrad
Outer detector angle θ_e	[28.0, 250]mrad
Root mean squared displacement <i>rmsd</i>	[0.075, 0.15]Å

The range of input variables covers the most common experimental conditions for an aberration-corrected transmis-90 sion electron microscope. For each specimen orientation, we simulated 5000 ADF STEM images where the input 91 variables correspond to random draws from a uniform distribution with the ranges defined in Table 1. In order to 92 increase our training data, 20 consecutive detector angles with a minimum angular detector size of 10mrad and with 93 an initial random inner detector angle bigger than the convergence angle were used. In this manner, 210 consecutive 94 detector combinations are generated, which greatly increases our training data. This results in a total set of 16.6 95 millions examples corresponding to the number of elements \times the number of zone-axis orientations \times the number of 96 simulated ADF STEM images \times the combinations of the consecutive detectors or $8 \times 2 \times 5000 \times 210$. Additionally, it is 97 worth noting that the range of *rmsd* values shown in Table 1 represents a temperature range of around [200, 650]°C for 98 a Au fcc crystal [28]. From the simulated images, the PPISCSs were estimated as a function of thickness by summing 99 the image intensity values, multiplying by the product of the pixel sizes and by a scaling parameter, which is equal to 100 the number of atoms on the column over the number of projected atoms in the image. 101

¹⁰² It is important to note that the simulation time for each example of a given specimen orientation, with randomly gen-

¹⁰³ erated input parameters and 20 consecutive detectors, was one hour using the 12GB NVIDIA GTX Titan Volta GPU.

¹⁰⁴ Despite utilizing our research facility, which consists of 20 of these high-performance GPUs, it still took approxi-

¹⁰⁵ mately 3.5 months to generate the full dataset. This emphasizes the significant computational resources required for

this type of research.

¹⁰⁷ Our next step is to design a neural network to map in real-time an input vector $x = [Z, zao, HT, C_s, C_{10}, \alpha, \theta_0, \theta_e, rmsd]$ ¹⁰⁸ to an output vector y = PPISCSs.

109 2.2. Network architecture

Fig. 1 shows the network architecture N which is based on the 1D version of the densely connected network archi-110 tecture DenseNet [29]. The input values of the network are denoted by x and the output equals $y_p = \mathcal{N}(x)$. Using 111 skip connections to directly connect all layers alleviates the vanishing gradient problem, strengthens feature propaga-112 tion, encourages feature reuse, and substantially reduces the number of parameters since there is no need to relearn 113 redundant features. The most important parameter for a given number of layers n_{lay} for DenseNets is the growth rate 114 G which regulates how much information is added to the network by each layer. To reduce the number of hyperpa-115 rameters, the number of units in the input layer G_0 was set to G. The number of units of the output layer was set to 116 87, which represents the highest number of atoms per column in our simulations. The number of layers n_{lay} and the 117 growth rate G are optimised and will be discussed in section 3.1. The smooth and non-monotonic Swish activation 118 function was used for the hidden layers [30]. To fulfil the positiveness hard constraint of the PPISCS, the Softplus 119 function was used for the activation function of the output layer. 120



Figure 1: Densely connected network architecture for probe-position integrated scattering cross sections.

121 2.3. Loss function

122 The loss function is the effective driver of the network's learning. Its goal is to map a set of parameter values of

the network onto a scalar value, which allows candidate solutions to be ranked and compared. Our loss function is

composed of four terms and can be expressed as follows:

$$\mathcal{L} = \lambda_1^n \mathcal{L}_1^n + \lambda_2^n \mathcal{L}_2^n + \lambda_1^{\log} \mathcal{L}_1^{\log} + \lambda_1^{cstr} \mathcal{L}_1^{cstr}, \tag{1}$$

where λ_1^n , λ_2^n , λ_1^{\log} and λ_1^{cstr} are the weighting parameters balancing the different loss terms, which are described in the following sections.

127 2.3.1. \mathcal{L}_1^n loss

Fig. 2 shows the PPISCS values as a function of thickness for two different sets of specimen and microscope settings, 128 where the figure on the left (Z = 13) and right (Z = 82) correspond to the smallest and largest values present in 129 the training data. From this figure it is clear that there exists a large range of variation in the PPISCS values in our 130 training data. When implementing the conventional definition for the \mathcal{L}_1 and also the \mathcal{L}_2 loss, this would mainly 131 result in inaccurate predictions for small PPISCS values, corresponding e.g. to small detector ranges and/or low 132 atomic numbers, and more accurate predictions for large PPISCS values. To overcome this problem, the loss function 133 \mathcal{L}_1 is evaluated after normalising the ground truth y and neural network predicted y_p values with a normalization 134 scaling factor equal to $w_{sc} = \max(y)$. This results into y^n and y_p^n , respectively, where the superscript *n* refers to the 135 normalised values. The normalised \mathcal{L}_1 , i.e. \mathcal{L}_1^n , is then defined as: 136

$$\mathcal{L}_{1}^{n} = \mathbb{E}_{\mathbf{y}, \mathbf{y}_{p}}\left\{ \left\| \mathbf{y}^{n} - \mathbf{y}_{p}^{n} \right\| \right\},$$

$$(2)$$

where \mathbb{E}_{y,y_p} {.} is an operator representing the expectation value computed on variables *y* and *y_p* and not on the transformed variables *yⁿ* and *y_pⁿ*.



Figure 2: Probe-position integrated scattering cross sections as a function of the number of atoms for a set of specimen and microscope parameters corresponding to the smallest (left) and largest (right) values in the training data.

139 2.3.2. $\mathcal{L}_2^n loss$

In the loss function in Eq. (1), the normalised
$$\mathcal{L}_2$$
 loss function, \mathcal{L}_2^n , is included as well since it improves predictions of

the PPISCSs over the full thickness range. This can be understood since \mathcal{L}_2 is sensitive to large errors, which mostly occur for large thickness values of the PPISCSs. This loss function is defined as:

$$\mathcal{L}_{2}^{n} = \mathbb{E}_{\mathbf{y}, \mathbf{y}_{p}} \left\{ \left\| \mathbf{y}^{n} - \mathbf{y}_{p}^{n} \right\|^{2} \right\}$$
(3)

143 2.3.3. \mathcal{L}_{1}^{\log} loss

Although the \mathcal{L}_1^n and \mathcal{L}_2^n losses can be used to solve the problem resulting from the large variation in PPISCS values

for different training examples, the training process can become unstable ending up in a local minimum. Therefore, an extra \mathcal{L}_1 loss function is included in which a logarithmic transformation is applied to y and y_p . The \mathcal{L}_1^{\log} loss is defined as:

$$\mathcal{L}_{1}^{\log} = \mathbb{E}_{y, y_{p}} \left\{ \left\| \log\left(y\right) - \log\left(y_{p}\right) \right\| \right\},\tag{4}$$

This transformation reduces the difference in magnitude of the PPISCS values shown in Fig. 2 from a factor of $\sim 10^5$ to $\sim 10^1$. This stabilizes the training process and improves convergence as this transformation maintains the scale factor ratio of different training examples.

151 2.3.4. Constraint loss

Additivity of STEM images of contiguous detectors implies that PPISCS must also be additive. In principle, this is a hard constraint which could in principle be included in the architecture design. However, since this is not straightfor-

ward it was included in the loss function as a soft constraint [23], and is expressed as follows:

$$\mathcal{L}_{1}^{cstr} = \mathbb{E}_{\mathbf{y},\mathbf{y}_{p}}\left\{ \left\| \mathbf{y}^{n} - \mathbf{y}_{p}^{cstr} \right\| \right\},\tag{5}$$

$$y_p^{cstr} = \left(\mathcal{N}(x_c, \theta_0, \theta_m) + \mathcal{N}(x_c, \theta_m, \theta_e)\right) / w_{sc},\tag{6}$$

where $x_c = [Z, zao, HT, C_s, C_{10}, \alpha, rmsd]$, $\mathcal{N}(x_c, \theta_0, \theta_m)$ and $\mathcal{N}(x_c, \theta_m, \theta_e)$ are the predicted PPISCS values of two uniform randomly generated contiguous detectors with inner and outer radius equal to $[\theta_0, \theta_m]$ and $[\theta_m, \theta_e]$, respectively.

157 2.4. Implementation details

In order to train our neural network, we randomly selected 16 million examples from our total dataset and used them 158 for training. The remaining 600000 examples were used to evaluate the performance of the model. It is important 159 to note that the validation dataset is not used during the training process, but only to evaluate the performance of 160 the model. All models are implemented using the Keras high-level API of Tensorflow 2.10 framework [31] and are 161 trained with 12GB NVIDIA GTX Titan Volta GPU. All network weights were initialised following reference [32]. 162 Since the batch normalization, dropout, and weight decay hamper the model performance, they were not used in this 163 study. Our learning policy is based on the Adam optimiser [33] with $\beta_1 = 0.9$, $\beta_2 = 0.999$, $\epsilon = 1 \times 10^{-7}$, and is divided 164 in two stages. In the first part, the model is trained to minimize the loss function given by Eq. (1) with $\lambda_1^n = 1.0 \times 10^{-1}$, 165 $\lambda_2^n = 1.0 \times 10^{-2}$, $\lambda_1^{\log} = 1.6 \times 10^1$, $\lambda_{cstr} = 1.0 \times 10^{-2}$ for 20 epochs with a learning rate of 5×10^{-5} . The weighting coefficients were chosen to give a high dominance to the \mathcal{L}_1^{\log} loss while yet maintaining a small contribution from 166 167 the other losses, which were found to improve the convergence. This was followed by a second training stage with 168 $\lambda_1^n = 1.0 \times 10^2$, $\lambda_2^n = 2.0 \times 10^4$, $\lambda_1^{\log} = 1.6 \times 10^1$, $\lambda_{cstr} = 1.0 \times 10^2$ for 160 epochs with a learning rate of 2.5×10^{-5} 169 and reduced by a factor of 0.95 every 2 epochs. During the architecture search or hyperparameter tuning, the neural 170 networks were only trained for 5 epochs using the same parameters of the first stage of the training. In order to prevent 171 training instability and wrong local optima, the learning rates were first warmed up for 1×10^5 steps [34, 35]. The 172 mini-batch size of 256 was used for all experiments. The training time for each epoch was 50 minutes, giving a total 173 training time of 5.5 days. 174

175 3. Results and discussion

176 3.1. Ablation study

In this subsection, we will perform a so-called ablation study to investigate the effect of the network architecture and some of its hyperparameters on the normalised \mathcal{L}_1 error given by Eq. (2). The learning rate, batch size, and loss weighting parameters for the first stage of the training were obtained by performing a grid search (not shown here) for a fixed densely connected architecture with G = 160 and $n_{lay} = 13$.

In principle, a sufficiently deep fully connected architecture should be enough to provide good PPISCS predictions. 181 However, it is known that an optimal architecture (i.e. in terms of lower number of parameters and training time) 182 is data dependent. For this work, we performed the ablation study for the two most common architectures: the 183 fully connected architecture and the densely connected architecture. In addition, we also compare the computational efficient ReLU activation function against the smooth and non-monotonic Swish activation function, which has shown 185 an improvement on the accuracy for different classification tasks [36]. Figure 3(a) summarises the performance of 186 the densely connected architecture against the fully connected architecture for different growth rates and activation 187 functions for a fixed number of 13 layers. The results show that for a given activation function, the densely connected 188 architecture outperforms the fully connected architecture and requires significantly fewer parameters and computation 189 time to achieve comparable performance. The same conclusion can be drawn for the performance of the Swish 190 activation function against the ReLU activation function. Moreover, large G units contribute to better performance for 191 both architectures. Figure 3(b) shows the influence of the parameter n_{lav} on the normalised \mathcal{L}_1 error for fixed G = 96. 192 As expected, a deeper network improves the performance of the model by increasing the number of parameters, 193 allowing the model to learn more complex features. 194

We can conclude from these results that the densely connected architecture is performing best in terms of the \mathcal{L}_1^n error metric. Although a densely connected architecture with ($n_{lay} = 13, G = 160$) and ($n_{lay} = 19, G = 96$) shows similar performance with approximately the same number of parameters, deeper networks take longer for the training. Therefore, we will use the first configuration in this work. An extra advantage of this model is that its inference time is of the order of 25μ s on a single thread 10th Gen Intel i7 processor 4.5Ghz.

200 3.2. \mathcal{L}_1^n error distribution

²⁰¹ The effect of employing a combination of loss functions capturing the relevant physical constraints of our data can

²⁰² be seen in Figure 4. This figure shows that the neural network produces nearly the same error distribution for all fcc

crystals and zone axis orientations on the validation data. Note that loss functions based only on absolute scales of



Figure 3: Ablation study of the densely connected architecture and the fully connected architecture \mathcal{L}_1^n errors as a function of the size of the model. a) For different growth rates G with a fixed number of layers $n_{lay} = 13$. The growth rate G is indicated next to each data point. b) For different numbers of layers n_{lay} with a fixed growth rate G = 96. The number of layers n_{lay} is indicated next to each data point.

²⁰⁴ PPISCSs values such as \mathcal{L}_1 and \mathcal{L}_2 would strongly depend on the atomic number. This is due to the fact that high ²⁰⁵ atomic numbers will generate higher PPISCS values than low atomic numbers for the same fcc crystal and microscope

settings, and thus the loss function would be biased towards high atomic numbers.



Figure 4: Histogram of the \mathcal{L}_1^n error of the predicted PPISCS in the validation set for different fcc crystals along the (a) [110] and (b) [001] zones axis orientation.

Although the average validation error \mathcal{L}_1^n is small ($\approx 6.0 \times 10^{-4}$), all histograms show long tails independent of the atomic number or zone axis orientation. In order to perform a proper analysis of the \mathcal{L}_1^n error distribution, it is necessary to show the predicted PPISCSs for the average and highest errors that can be observed in these histograms. Figures 5 and 6 show the PPISCS-thickness predictions and ground truth for the average \mathcal{L}_1^n errors for all trained fcc crystal along the [001] and [110] zone axis orientation, respectively. These results show an excellent quantitative match between the ground truth and the predicted values of the PPISCSs for all cases. Moreover, the average error does not seem to be correlated with the input simulation parameters.

Figures 7 and 8 show the PPISCS-thickness predictions and ground truth for the largest \mathcal{L}_1^n errors for all trained fcc crystal along the [001] and [110] zone axis orientation, respectively. These results show that even for the worst-case scenario, the neural network prediction only deviates from the ground truth by approximately 1% in terms of the \mathcal{L}_1^n metric. A closer look at these figures reveals a correlation between the large values of \mathcal{L}_1^n and a smaller detector size

(i.e. the difference between the outer and inner detector angle) of around 10mrad. This correlation arises due to the

²¹⁹ fact that PPISCS values calculated from smaller detector sizes are for most cases highly non-linear against thickness



Figure 5: Probe-position integrated scattering cross sections for different fcc crystals along the [001] zone axis as a function of the number of atoms for different cases corresponding to an average error of the histogram shown in Fig. 4.



Figure 6: Probe-position integrated scattering cross sections for different fcc crystals along the [110] zone axis as a function of the number of atoms for different cases corresponding to an average error of the histogram shown in Fig. 4.

as can be seen in figure 7 and figure 8. Moreover, smaller detector sizes will also require a higher number of phonon
 configurations in order to get stable results. In principle, our model can be improved by generating new PPISCS
 values using a larger number of phonon configurations and a more powerful neural network architecture.

The results of this section show that our neural network is able to learn the complex relationship between the input parameters of our simulation and the PPISCS-thickness dependence. Moreover, it is important to notice that our

neural network runs in real time on a normal desktop computer.

In order to demonstrate the power of our model, we will use the network to show some applications for which real-time
 PPISCS-thickness predictions are required.

228 3.3. Real time applications

²²⁹ Figure 9 shows the PPISCSs as a function of thickness for a broad range of specimen and microscope settings.

In this figure, the standard settings correspond to the following input simulation parameters x = [79, 110, 200 kV]



Figure 7: Probe-position integrated scattering cross sections for different fcc crystals along the [001] zone axis as a function of the number of atoms for different cases corresponding to the highest error of the histogram shown in Fig. 4.



Figure 8: Probe-position integrated scattering cross sections for different fcc crystals along the [110] zone axis as a function of the number of atoms for different cases corresponding to the highest error in the histogram shown in Fig. 4.

0.001mm, -19.40Å, 24mrad, 45mrad, 160mrad, 0.085Å]. Next, each input simulation parameter has been varied in dependently as a function of atomic element, acceleration voltage, spherical aberration, defocus, aperture angle, inner
 detector angle, outer detector angle and *rmsd* in panels a-h, respectively.

The Z-contrast nature of the ADF-STEM signal for different atomic numbers can be seen in figure 9(a). Figure 234 9(b) shows the breakdown in the monotonically increasing relation of PPISCS with thickness and the increase of 235 non-linearity if the acceleration voltage decreases. Furthermore, only very small variations in the PPISCS-thickness 236 curve with spherical aberration and defocus can be seen in figure 9(c) and in figure 9(d), respectively, as expected 237 for aberration-corrected transmission electron microscopes. Figure 9(e) shows the effect the aperture angle on the 238 PPISCS-thickness curve. For small thickness, the PPISCS values are almost independent of the aperture angle. How-239 ever, when the thicknesses increases, a non-linear dependence is observed. Figure 9(f) illustrates a well defined 240 relationship between the PPISCS-thickness curve and inner detector angle. In particular, it is shown that the PPISCS-241 thickness values are inversely proportional to the inner angle. Figure 9(g) and figure 9(h) show the dependence of 242 PPISCS-thickness curve with outer detector angle and the rmsd, respectively. Although PPISCS values mainly show 243





Figure 9: Probe-position integrated scattering cross sections versus the number of atoms as a function of varying independently each of the simulation input parameters as : (a) atomic element, (b) acceleration voltage, (c) spherical aberration, (d) defocus, (e) aperture angle, (f) inner detector angle, (g) outer detector angle and (h) isotropic root-mean-square displacement.

Since experimental settings are only known within a certain measurement precision, the real-time PPISCS-thickness 245 prediction is of great value to optimize the simulation settings by matching simulated values with the experimental 246 PPISCS-thickness curve, which can be obtained by using the statistic-based atom-counting method [3]. Therefore, the 24 experimental PPISCS-thickness curve together with the standard deviation on the experimentally measured parame-248 ters can be used to optimize the simulation parameters. To illustrate this, PPISCS-thickness values are considered for 249 a Ag crystal along the [001] orientation and an acceleration voltage of 300kV. The ground truth input parameters have 250 been chosen equal to [47,001,300kV,0.0008mm, 50.00Å, 21.00mrad, 46.00mrad, 190.00mrad, 0.092Å] along with 251 their standard deviation std(x) = [0, 0, 0kV, 0.001mm, 80Å, 0.25mrad, 1.0mrad, 1.0mrad, 0.003Å]. The experimen-252 tally measured parameters were randomly generated from the ground truth parameters within a range of $\pm std(x)$ and 253 are equal to $x = [47, 001, 300 \text{kV}, -0.0006 \text{mm}, 13.61 \text{\AA}, 20.78 \text{mrad}, 45.09 \text{mrad}, 189.56 \text{mrad}, 0.090 \text{\AA}]$. The PPISCS-254 thickness curve for the ground truth and the experimentally measured curve is shown in figure 9 in red and blue, respec-255 tively. Next, the input parameters have been optimized using the derivative-free Nelder-Mead simplex method [37]. 256 The cost function minimizes the absolute difference between the measured and predicted PPISCS-thickness curve. 257 The optimisation process takes approximately one second on a normal desktop computer and yields the following esti-258 mated simulation parameters $x = [47, 001, 300 \text{kV}, 0.0006 \text{mm}, 52.79 \text{\AA}, 21.00 \text{mrad}, 46.01 \text{mrad}, 190.44 \text{mrad}, 0.092 \text{\AA}]$. 259 The optimized PPISCS-thickness curve is shown in figure 10 in green. This result demonstrates that the aperture an-260 gle, inner angle and rmsd can be estimated reliably. However, the values for the spherical aberration, defocus and outer 261 angle are less accurate due to the fact that the PPISCS-thickness curve is invariant for changes in those parameters as 262 shown in Figure 9. 263

It is known that the PPISCS-thickness curve can be used to estimate the number of atoms for zone axis oriented 264 specimens. However, uncertainties in the measured microscope parameters can yield large deviations in predicted 265 PPISCS values, especially at larger thicknesses. The standard deviation for each thickness can be estimated by 266 taking random draws of the input parameters from a uniform distribution within their allowed measurement er-267 rors. Figure 11 shows the effect of measurement errors on the PPISCS-thickness curve for two different exam-268 ples. The input parameters are shown as an inset and the standard deviations are assumed to be equal to std(x) =269 [0, 0, 0kV, 0.001mm, 50Å, 0.25mrad, 0.5mrad, 0.5mrad, 0.0025Å]. Based on 1000 random samples for the input pa-270 rameters, the standard deviation on the PPISCS values has been calculated and is shown in blue. This figure shows a 271 monotonic increase in PPISCS errors with thickness. These results demonstrate that in order to count the number of 272 atoms based on the PPISCS-thickness curve, the quantification and inclusion of measurement errors in the microscope 273 settings and rmsd is important. 274



Figure 10: Probe-position integrated scattering cross sections versus number of atoms. The blue curve (before optimization) shows the simulated PPISCS-thickness values using the measured experimental parameters. The green curve illustrates the simulated PPISCS-thickness values after an optimization procedure within the experimental uncertainties. The red curve shows ground truth PPISCS-thickness values.



Figure 11: Influence of uncertainties in the microscope parameters and rmsd on the probe-position integrated scattering cross sections.

As the last application, we show the probability of error for atom-counting from ADF STEM images for different 275 fcc crystals. In order to evaluate the possibilities and limitations for atom-counting, we assess the probability to 276 miscount the number of atoms as a function of a range of microscope settings and specimen parameters [38]. The 277 optimal experimental design corresponds to the set of parameters for which the probability of error is minimised. The 278 availability of the neural network enables us to evaluate more quickly and for a wider range of parameters. Within 279 statistical detection theory, the atom-counting problem is formulated as a statistical hypothesis test, where each hy-280 pothesis corresponds to a specific number of atoms. The probability of error then corresponds to the probability to 281 choose the wrong hypothesis. The decision to assign a certain observation to a specific hypothesis is taken based on 282 the companion set of probability functions for the hypotheses. For ADF STEM observations, the pixel intensities 283 correspond to statistically independent electron counting results which are Poisson distributed. Then, also the prob-284 ability function for the scattering cross-sections can be derived [38]. In order to illustrate the concept of the optimal 285 experiment design, we computed here the probability of error evaluated for the outer detector angle, the acceleration 286 voltage, the convergence angle, the thickness, the atomic number and the temperature. The probability of error for 287 each case is also evaluated as a function of the inner detector radius θ_0 . The settings which are not varied are cho-288 289 250 mrad, rmsd = 0.0898 Å for a thickness up to 85 atoms. The incident electron dose was chosen equal to $10^4 \text{ e}^-/\text{Å}^2$. 290

The results are displayed in the different panels of Figure 12. It is clear from those figures that the inner angle (a-f), acceleration voltage (b), thickness (d), and atomic number (e) have the largest impact on the probability of error values. The dependence of the probability of error on the outer detector angle (a), the convergence angle (c), and the temperature (f) is much smaller. Therefore, the optimization of the first set of parameters will significantly enhance

²⁹⁵ the reliability with which the number of atoms can be counted.



Figure 12: Probability of error for atom-counting as a function of the inner detector radius and (a) the outer detector radius, (b) the acceleration voltage, (c) the convergence angle, (d) the thickness, (e) the atomic number, (f) the temperature.

Finally, we would like to point out that our neural network model could be improved by increasing the training datasets, the number of phonons for the simulations, or by using more sophisticated architectures. Additionally, the applicability of our network can easily be extended to more fcc crystals, zone axis orientations, and a broader range of microscope parameters through the use of transfer learning. Although our network takes into account specific physical constraints, such as the positivity of PPISCS-thickness values and the additivity constraint for contiguous detectors, it is essential to emphasize that the neural network's predictions should only be trusted within the range of the input parameters used during training.

The output of our network, in principle, can be compared with a subset of experimental PPISCSs obtained from quasiideal direct electron detectors. However, these detectors primarily cover low and intermediate scattering angle ranges, which are not fully addressed by our current network. Consequently, as a future research direction, it is possible to enhance the capabilities of the existing PPISCS-thickness neural network by incorporating the detector sensitivity map and expanding the detector value range to include ABF-STEM PPISCS-thickness values.

Nonetheless, such an expansion poses several challenges, as it necessitates new simulations that, in principle, require
 the integration of 2D detector sensitivity maps. To directly incorporate this element, an architecture with convolutional
 layers is essential, which may hinder real-time calculations. A potential solution to this problem involves utilizing
 the radially averaged detector sensitivity map for each detector range. This map can be further compressed through
 parameterization, reducing the input parameters to the network and enabling real-time operation.

Additionally, low-angle scattering is significantly influenced by defocus, necessitating the incorporation of temporal incoherence. This factor can be quantified by a single parameter, known as defocus spread, which primarily results

³¹⁵ from the current instability of the objective lens, the overall energy spread of the incident electron beam, and the

incident electron energy. By employing numerical integration, the defocus spread can be accurately accounted for,
 although at the expense of increased simulation time.

³¹⁸ It is important to recognize that the data generation time will considerably increase, as reliance on the additivity ³¹⁹ constraint for ideal contiguous detectors is no longer feasible.

320 4. Conclusions

In summary, we present a densely connected neural network that can predict real-time ADF STEM PPISCS-thickness 321 values for the most common fcc crystals along their main zone axis orientations, microscope parameters, and rmsd 322 values. We have shown that our architecture with 13 layers and a growth rate parameter equal to 160 is a parameter-323 efficient network and yields accurate predictions for a large range of input parameters which are commonly used for 324 aberration-corrected transmission electron microscopes. We have also shown that our architecture can be used to 325 estimate microscope parameters and the rmsd value of the specimen based on the PPISCS-thickness curve. More-326 over, It can also be used to estimate the uncertainty of the PPISCS-thickness values resulting from experimental 327 measurement errors. The knowledge of this uncertainty will play an important role in the proper quantification 328 of the number of atoms based on the PPISCS-thickness curve. The inference code for MATLAB, python and 329 the tensorflow source code for training is available in the github repository https://github.com/Ivanlh20 https: 330 //github.com/Ivanlh20/RT_PPISCS. 331

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337 Author contributions

³³⁸ I. Lobato and S. Van Aert designed the study. I. Lobato developed the workflow for data generation, implemented,

trained and evaluated neural networks models. A. De Backer evaluated the neural network model for calculating the

probability of error for atom-counting. All authors participated in conceiving the research, discussing the results, and

writing of the manuscript.

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