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Farmers' decision to use drought early warning system in developing countries

# **Reference:**

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# 1 Farmers' Decision to Use Drought Early Warning System in Developing

# 2 Countries

## 3 Abstract

Drought is a persistent, sluggish natural disaster in developing countries that has generated a 4 5 financial burden and an unstable climate. Farmers should adopt early warning systems (EWS) 6 in their strategies for monitoring drought to reduce its serious consequences. However, farmers 7 in developing countries are reluctant to use EWS as their management strategies. Hence, the 8 aim of this study was to investigate the decision of farmers to use climate knowledge through 9 the model of farming activity in Kermanshah Township, Iran. A surveyor questionnaire was used to gather data from 370 wheat farmers using random sampling methods in multi-stage 10 clusters. Results revealed that the decision to use climate information is affected by personal 11 factors, attitude towards climate information, objectives of using climate information, and 12 13 external/physical farming factors. The result of this study has implications for drought management practitioners. To be specific, the results can aid policymakers to design early alert 14 15 programs to minimize the risk of drought and thus move from conventional agriculture to 16 climate smart agriculture.

17 Keywords: Climate information; Drought; Early warning system; Environmental risk;18 Response capacity.

19

# 20 1. Introduction

Drought as the most damaging and the least understood natural hazard (Pulwarty and Sivakumar, 2014) causes a significant burden on people's lives. The drought event occurs in most regions of the world (such as North America, West Africa, and East Asia), along with socio-economic and psychological impacts (Huang et al., 2016). For example, African Sahel (Miyan, 2015) and other developing countries such as Afghanistan, Pakistan, Bangladesh, India, Iran, and Sri Lanka have also endured serious droughts in the last five decades (Miyan,
2015; Mafi-Gholami et al., 2019). The key argument is that droughts are growing in number
and intensity in many arid and semi-arid areas, and the socio-economic and environmental
costs and damages of these slow-onset occurrences are severe.

In addition, drought has severely affected natural resource-dependent sectors such as 30 agriculture, causing huge economic losses (e.g., loss of food and feed production, increased 31 32 livestock mortality, declining household farm incomes, and rising food prices) in this sector. It has also caused irreparable damage, including biodiversity loss, water security risk, reduced 33 34 soil fertility, increased wind erosion, reduced plant fertility, increased disease incidence and pest control, increased fires, and lost canopies. As a result, drought will have many 35 consequences such as reduced quality of life, food insecurity, migration, fragmented society, 36 37 increased access to and use of water, reduced access to training, etc., especially in developing countries (Keshavarz and Karami, 2014; Keshavarz et al., 2013; Miyan, 2015; Sharafi, 2020a; 38 Wang et al., 2015). In fact, due to the effects of wind and flooding, drought can cause soil 39 erosion. In addition, soil drying causes cracks that decrease the volume of the soil (de Souza 40 Machado et al., 2020). Soil defects can result in subsidence, which in turn causes buildings to 41 be damaged. The soil and vegetation cover can suffer serious and permanent damage in regions 42 with frequent or extensive dry periods (Chen et al., 2018). 43

Furthermore, changes in the amount and manner of rainfall play a major role in water erosion. In addition to rainfall, land use also affects water erosion (Lal and Pimentel, 2008). Destructive human activities such as deforestation and other vigorous agricultural activities have caused severe erosion and land degradation in large parts of the world. This is followed by drought, groundwater erosion, and sea level retreat and can threaten the consolidation and stability of local ecosystems and increase the sensitivity of water erosion to rainfall changes (Wei et al., 2010). 51 Drought danger to arid and semi-arid regions is a result of both exposures to the hazard event and the vulnerability to that hazard (Wilhite and Svoboda, 2000). Therefore, identical 52 53 droughts with the same intensity and duration would have different impacts on drought-prone 54 areas due to different levels of vulnerability. On the other hand, vulnerability is defined by multiple socio-economic and environmental variables like population growth and scale, as well 55 as human properties in areas that are vulnerable to drought, land use habits, water usage, 56 57 infrastructure, policy, and economic development (Karimi et al., 2018; Zhang et al., 2019; Bai et al., 2019). As an outcome, subsequent climate change-induced droughts are expected to 58 59 increase the vulnerability of rural societies, especially in arid and semi-arid regions (IPCC, 2014). Therefore, mitigating the negative impacts of drought and reducing the vulnerability of 60 communities are imperative. These require the provision of timely and reliable climate 61 62 information to support the drought management strategies of vulnerable groups (Hurlbert et al., 2019). 63

Weather information structures consist of numerous sub-systems, including an 64 interconnected risk evaluation and coordination and decision support systems, a vital 65 component of which is early warning (Pulwarty and Sivakumar, 2014). An early warning 66 system (EWS) is defined as "the set of capacities needed to generate and disseminate timely 67 and meaningful warning information to at-risk individuals". This, in effect, will require them 68 to plan and act reasonably and with adequate time to mitigate the risk of harm or failure 69 70 (UNISDR, 2009). This means that the concept of EWS is much more than dissemination of forecast. Eventually, EWS is people and location-centered and consists of four interrelated 71 elements, including i) risk knowledge, ii) monitoring and warning service, iii) dissemination 72 and communication of warnings, and iv) response capacity (Pulwarty and Sivakumar, 2014; 73 SU and Yu, 2020; Wang et al., 2020). 74

Many countries have developed EWSs which will help decision-makers raise the effects of 75 repeated extreme droughts. However, a few studies (e.g., Horita et al., 2018; Matere et al., 76 77 2019) have shown that despite the development of drought EWSs, effective communication with end-users, i.e., farmers, has not been achieved. In theory, effective communication of 78 drought EWSs should improve the productivity of agricultural systems and the economic 79 welfare of farm families. As climate change increases the frequency and severity of drought 80 81 events, the value of climate information for farmers should increase (Kusunose and Mahmood, 2016). Under an ideal drought management scenario, farm families should rapidly accept 82 83 drought related warnings and adopt appropriate adaptive strategies at the farm level (Khanian et al., 2019). However, some farmers do not adopt drought EWSs and change their decisions 84 according to early warnings (Sharifzadeh et al., 2012). Studies indicate that farmers' reluctance 85 86 to utilize EWSs is related to their crisis management behavior. In other terms, lack of a coordinated regional drought strategy, that requires robust surveillance, early warning, and 87 information systems, impacts evaluation processes, risk reduction measures, drought 88 preparedness strategies, and disaster response services. 89

Drought EWS assumes that farmers are interested in maximizing their products and profits. 90 This method of agriculture reduces the vulnerability of local farmers to drought and allows 91 farmers to diversify and improve their incomes, which helps increase drought resilience and 92 adaptation. However, adaptation strategies applied by farmers may primarily serve their 93 94 interests in minimizing drought risk and maximizing economic benefits, but it can also undermine social benefits. To maintain agricultural sustainability, a strong adaptive strategy 95 must balance environmental and economic benefits with social interests. Hence, the tendency 96 97 of farmers to use early warning systems as one of the adaptation strategies is increasing (Willock et al., 1999; Güth and Kliemt, 2004). While farmers' decisions are aimed at profit 98 maximization, the complex set of socio-psychological, natural, physical, and structural factors 99

100 have significant effects on their decision-making process too (Feng et al., 2017; Keshavarz and Karami, 2014). Agriculture as a major source of livelihood for rural residents in developing 101 102 countries is inherently sensitive to drought and is regarded as a significant threat to farming systems and rural family livelihood security (Lillemets and Viira, 2019). Vulnerability of 103 farmers' livelihoods also includes a set of financial, physical, social, and natural capitals, so 104 farmers will be willing to use the early warning system to avoid the negative effects of drought 105 106 and financial constraints. Therefore, to understand farmers' behavior toward the adoption of drought EWSs, investigating the drivers and impediments of EWS adoption is imperative. 107

108 Several studies (Basher, 2006; Wilhite and Svoboda, 2000; Wilhite et al., 2014; Buurman et al., 2014; Hou et al., 2017; Li et al., 2017) have suggested that EWSs are still treated as a 109 linear, centralized, and one-way process, and multiple factors limit the application of drought 110 111 EWSs. For example, limitations in modeling the climate system's complexities (Basher, 2006), providing decision support systems in groundwater resources management for the purpose of 112 sustainable development (Aliyari et al., 2018; Chang et al., 2017), comparative analysis of 113 agricultural water pricing (Momeni et al., 2019), drought early warning system for impacts, 114 especially in the food and nutritional security (Akwango et al., 2017; Choularton and 115 Krishnamurthy, 2019; Rembold et al., 2019; Nuñez, 2020), early warning of agricultural 116 drought and forewarning of crop vigor (Das et al., 2019; Vyas et al., 2020), insufficient 117 meteorological and hydrological data density and accuracy (Liu et al., 2018; Wicklung and 118 119 Raum, 2006), and insufficient indices for early onset and end of drought prediction (Shamano, 2010; Pendergrass et al., 2020) have hampered the application of EWSs. 120

In addition, the high cost of EWS data and the insufficient exchange of data between government agencies (Sharifzade et al., 2012) have restricted the applicability of EWSs among farmers. Some other scholars have pointed out that lack of knowledge precision generated by predictions, low credibility and reliability of EWS information, ineffective dissemination of

EWS data, and low user accessibility (Pulwarty and Sivakumar, 2014) tended to reduce the application of EWSs adoption. Finally, the poor understandability of EWS data for people at risk, perceived insufficient capacity to use EWS information, negative attitude towards drought management, perceived low severity of drought, and the cognitive structure of farmers (i.e., their personality, beliefs, and values) have demotivated their adoption of drought EWSs (Buurman et al., 2014; Kusunose and Mahmood, 2016; Sharafi et al., 2020).

This implies that EWSs should consider a reasonable technical and scientific basis and vigorously focus on the needs, priorities, and capacities of vulnerable communities. Therefore, comprehensive recognition of how farmers make decisions to use drought EWS information is imperative.

Wang et al. (2020) analyzed the predominant disaster factors caused by tropical cyclones 135 and their impacts on early warning systems. Their results showed that predicting catastrophic 136 tropical cyclone-related wind and rainfall is critical for preventing and mitigating tropical 137 cyclone casualties and damage. Their results suggested that the minimum sea level pressure in 138 tropical cyclones affected areas was the predominant disaster-warning factor and indicator for 139 the resulting risks and damages of tropical cyclones between 1975 and 2017. Rovero and 140 Ahumada (2017) argued that while there are well-established early warning systems for a 141 number of natural phenomena, the current biodiversity crisis calls for an early warning system 142 for biodiversity conservation. Hu et al. (2016) stated that although forecast characteristics have 143 144 evolved over time, farmers' understanding of forecasts has not evolved, so there is a need to reflect on the driving factors. This point of view often recommends re-evaluating the impact of 145 forecasts from the farmers' viewpoint, raising questions not only on how farmers interpret 146 forecasts but also on how farmers evaluate such expectations. This shows that farmers' 147 attitudes, values, perceptions, and personalities need to be better understood if the effective 148 application of drought EWS should be considered. While internal drivers and motivators of the 149

150 EWS adoption can provide useful information, there has been only limited research about the psychological factors that might impact farmers' decisions about using the drought EWS. To 151 fill this knowledge gap, this study aimed to investigate farmers' behavior toward the use of 152 drought EWSs in Kermanshah Township, Iran. The objectives of this study are to: i) investigate 153 farmers' decision to use EWS information and ii) explore the internal and external factors 154 involved in farmers' decisions about the application of drought EWS. A better understanding 155 156 of farmers' behavior in using drought EWS can help policymakers assess the needs and capacities of farm families and prioritize drought management programs while enabling 157 158 farmers to build resilience to drought.

# 159 **2. Theoretical framework**

Behavioral approach has been recognized as a useful means for exploring the determinants 160 161 of climate information usage. Many psychological experiments at the farm level have adapted the Theory of Rational Intervention (TRA) or the Theory of Organized Actions (TPB) to 162 describe the activities of farmers (e.g., Alarcon et al., 2014; Ellis-Iversen et al., 2010; 163 Sharifzade et al., 2011). It seems that application of TRA or TPB alone for prediction of 164 farmers' behavior oversimplifies the complexities of decision making (O'Kane et al., 2017). 165 For instance, TRA and TPB overlook the crucial role of personality and values, while previous 166 studies (e.g., O'Kane et al., 2017; Willock et al., 1999) have supported the key role of such 167 personality traits and emotional factors in decision making. 168

In order to investigate farmers' decisions about using EWSs, a model proposed by Willock et al. (1999) was adapted (Fig. 1). The model of Willock et al. (1999) suggests that the strength of decision for using climate information depends on the combination of i) farmers' attitude to use EWS information, ii) objectives to use EWS information, iii) personal factors such as personality traits, and iv) physical farm factors (Fig. 1). Attitudes represent the farmers' personal feelings towards implementation of climate information. They reflect the farmers' 175 positive or negative perceptions about the effects of EWS adoption on improving farm productivity and maximizing on-farm income. Furthermore, they refer to farmers' evaluation 176 of the impacts of EWS adoption. Many studies have shown that mindset is one of the key 177 determinants of the probability that farmers use climate knowledge (e.g., Sharifzadeh et al., 178 179 2012; Mehta et al., 2013). Objectives to use climate information reflect the farmers' dominant values, including economic values (e.g., making maximum profit), social values (e.g., 180 181 continuing farming traditions and optimizing interpersonal relationship), expressive values (e.g., pride of farmland ownership), and intrinsic values (e.g., enjoying work and 182 183 independence) (Gasson, 1973). Personality traits show how farmers' personality differences might influence their decisions about using EWS information. It represents the farmers' 184 motivation to comply with the information provided by early warning providers and agencies. 185 Many studies have suggested that the personality of landholders has a major influence on their 186 decision making (e.g., Byrne et al., 2015; Hirsh et al., 2008). 187

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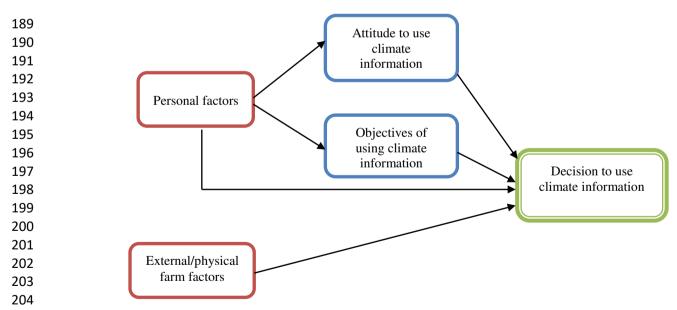


Fig. 1. Determinants of farmers' decisions on using drought EWS information (adapted from
Willock et al., 1999).

# 209 **3. Research Method**

# 210 *3.1. Study area*

227

This research was carried out in the Township of Kermanshah, Iran. Kermanshah is located in 211 Western Iran (Fig. 2). With a population of 946,651 people and an area of 93,389 km2, the city 212 is known as one of the metropolises centers in the west of Iran with a temperate mountainous 213 climate (Sharafi et al., 2020). The city is located in the central part of Kermanshah with 47 214 215 degrees and 4 minutes east and 19 degrees and 34 minutes north and has an area of 24,500 km2 and an altitude of 1200 meters from the sea level (Aliyari et al., 2018). Climatic and ecological 216 217 position of Kermanshah province according to the average annual rainfall and relative humidity is such that the slopes of the mountains and plains are generally covered with forests and 218 pastures and in some places are irrigated and rainfed fields. The most important water resources 219 220 of Kermanshah are Qarahsoo river, Abshouran river, Chambshir river, Taq Bostan lake, Khezr Elias mirage, and Niloufar mirage (Sharafi et al., 2020). 221

However, Kermanshah Township has experienced several droughts for the past 30 years; thus, it has become a recurrent incident (Sharafi et al., 2020). Due to the importance of the agricultural sector in this province and the occurrence of recent droughts, farmers' decisions to use early warning systems in this city are important.

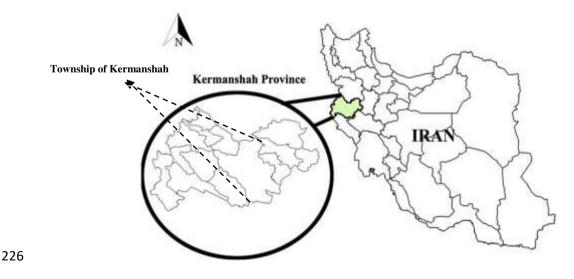


Fig. 2. Map of the study area.

# 228 3.2. Sampling and survey instrument

This cross-sectional study used a quantitative method and a descriptive-correlational research 229 design which can be described based on different aspects. In terms of purpose, it is a type of 230 quantitative applied to descriptive future research (Sajjad Kabir, 2016). The sampling method 231 was multi-stage cluster random sampling method with proportional assignments. The statistical 232 population comprised of wheat farmers in Kermanshah Township (N= 31,130). The sample 233 234 size table proposed by Bartlett was used to determine the sample size (Bartlett et al., 2001). Finally, using multi-stage cluster random sampling, 370 farmers were selected out of whom 235 236 the majority hold elementary education. A researcher-made questionnaire was used to collect quantitative data. After several revisions, the final version of the questionnaire was developed. 237 The questionnaire included several Likert spectrum questions (from "completely disagree" to 238 239 "completely agree"), which assessed the following variables: personality factors (13 questions), external or physical farming factors (7 questions), farmers' attitude towards using 240 climate information (12 questions), farmers' objectives for using climate information (12 241 questions), and decision to use climate information (10 questions). The validity and reliability 242 of the research instrument were respectively tested using a panel of experts and Cronbach's 243 alpha coefficient (Annex 1). Overall, 293 questionnaires were completed showing a high 244 response rate (RR = 79.2%). 245

# 246 *3.3. Analysis of decision-making model*

The data were analyzed using both descriptive and inferential statistics. In the descriptive part, the tables for mean and standard deviation were used. In the inferential part, structural equation modeling using SmartPLS 3 software was used to determine factors influencing farmers' decision to use climate information (EWS). PLS-SEM should be used when: 1) the aim is to forecast key constructs or define key constructs and 2) the conceptual model is complicated (Hair et al., 2017 Shiri quoted). In other words, it is a tool that enables researchers 253 to estimate very complex models with several variables of constructs and indicators, particularly when estimation is the study target (Sarstedt et al., 2017). The multivariate analysis 254 focused on Partial Least Squares (PLS) and SmartPLS 3 software was implemented, given that 255 the goals of this study included predicting the decision to use climate knowledge among 256 farmers in Iran and expanding an established structural theory besides the fact that the structural 257 model is complex. Goodness of fit indices for measures of reliability and validity are 258 259 Composite Reliability (CR) and Average Variance Extracted (AVE). For the composite reliability, a value of CR=0.70 or higher is recommended. AVE is estimated to be over or near 260 261 the required level of 0.50 for all buildings. As seen in Table A1 (Appendix 1), all the constructs had composite reliabilities that surpassed 0.70. The findings also show the AVE value to be 262 over or below the required level of 0.50 for all constructs (Sarstedt et al., 2017). This suggested 263 strong indices for the structures used in this analysis. 264

265 **4. Results** 

# 266 *4.1. Farmer personality traits*

Results of farmers' personality traits show that "perseverance in doing works" (M= 4.13), "committed and accountable to works" (M= 4.11), and "goal in life" (M= 4.01) were among the top three most prevalent characteristics of farmers. Overall, farmers in this study scored somewhat high (3.85) in personal characteristics (Table 1).

- 271 Table 1
- 272 Farmers' personality traits

Personality traits	Mean	Standard	Rank
		deviation	
Perseverance in doing things	4.13	0.86	1
Committed and accountable	4.11	0.87	2
Goal in life	4.01	0.88	3
Independence in work and life	4	0.93	4
Self-confident	3.94	0.88	5
Self-motivated	3.94	0.95	6

Spirit of cooperation with others	3.93	0.93	7
Ability to make quick decisions	3.88	0.90	8
Prospect of doing things	3.86	0.91	9
Optimistic	3.71	0.96	10
Communication skills	3.69	0.98	11
Innovation and creativity in doing things	3.49	1.10	12
Risk taking propensity	3.32	1.19	13

273 Scales: (1 = Very Low) to (5 = Very High)

Total mean: 3.85 Total standard deviation: 0.23

275

# 276 4.2. Farmers' attitude towards using climate information

The results show that in general, farmers with a total mean of 3.91 have a positive attitude toward receiving climatic information. For example, the following items were selected by the majority of farmers: "receiving climatic information will lead to more success in agriculture" (M= 4.47), "receiving climatic information leads to better planning for cultivation" (M= 4.44), and "before sowing, we should collect information about drought" (M= 4.24) (Table 2).

- 282 Table 2
- 283
- Farmers' attitude towards using climate information

Items	Mean	Standard	Rank
		deviation	
Receiving climatic information will lead to more success in	4.47	0.65	1
agriculture.			
Receiving climatic information leads to better planning for	4.44	0.68	2
cultivation.			
Before sowing, we should collect information about drought.	4.24	0.77	3
Receiving climatic information leads to more hope for the future	4.22	0.83	4
of agriculture.			
Climatic information helps me determine the right planting date.	4.20	0.76	5
Receiving climatic information leads to a timely harvest.	4.20	0.85	6
Receiving climatic information makes me aware of new	4.15	0.86	7
agricultural information.			
Receiving climatic information helps me plan correct irrigation	4.08	0.87	8
timing.			

	The government policy is to provide farmers with more	4.06	0.96	9
	information and warnings about droughts through various			
	organizations.			
	Personal experience is better than using climate information.	3.31	1.16	10
	Receiving climatic information is not important in selecting crop	2.79	1.23	11
	type and variety.			
	Receiving climatic information has nothing to do with increased	2.72	1.33	12
	income and reduced expenses.			
284	Scales: (1 = Strongly Disagree) to (5 = Strongly Agree)			
285	Total mean: 3.91 Total standard deviation: 0.58			

# Total standard deviation: 0.58

286

#### 287 4.3. Farmers' objectives towards using climate information

Farmers' objectives in using climate information are presented in Table 3. Based on the 288 results, farmers' motivation to use climatic information can be summarized as economic, 289 290 social, expressive, and intrinsic values. The mean values of the above values are relatively high. In terms of ranking, economic, intrinsic, expressive, and social values received the mean values 291 292 of 4.50, 4.24, 4.10, and 3.96, respectively.

- 293
- 294 Table 3

#### Farmers' objectives towards using climate information 295

	Items	Mean	Standard	Rank	Tota
			deviation		mear
Economic	My goal in using climate information is to increase	4.57	0.62	1	4.50
values	the product.				
	My goal in using climate information is to increase	4.56	0.60	2	_
	my income.				
	My goal in using climate information is to develop	4.45	0.75	3	-
	my farming.				
	My goal in using climate information is to reduce	4.42	0.68	4	_
	my farm risk.				
Social	My goal in using climate information is to	4.24	0.89	1	3.96
values	continue our traditional farming.				

	My goal in using climate information is to gain up-	3.84	1.11	2	
	to-date information.				
	I use climate information because other farmers use them.	3.79	1.16	3	
Expressive values	I feel overwhelmed by applying scientific information.	4.34	0.81	1	4.10
	My goal in using climate information is to be able to compete with other farmers.	3.87	1.17	2	
Intrinsic values	My goal in using climate information is to be able to stand on my own.	4.38	0.81	1	4.24
	My goal in using climate information is to stay and continue farming.	4.26	0.89	2	
	I enjoy using the advice of experts.	4.09	0.91	3	

296 Scales: (1 = Strongly Disagree) to (5 = Strongly Agree)

297

# 298 4.4. External/physical farm factors

External and physical farming factors are shown in Table 4. The average yearly income is 9425 USD (Min: 270 and Max: 35487 USD) with nearly 9.5 hectares of land holding (Min: 1 and Max: 25). Moreover, only a few land holdings were close to water resources (3 land holdings). The average experience of farmers in agricultural activities (nearly 29 years) reflects the relatively high experience of farmers. Furthermore, on average, 3 people work on each farm, and farmers own 4 agricultural machines.

- 305 Table 4
- 306 External and physical farming variables

Items	Mean	Standard
		deviation
Income	9425 \$	28.78
Land size	9.28 Ha.	4.92
Number of land holdings	5.56	4.05
Distance from road	3.41 Km.	3.93
Number of land holdings near water resources	1.06	1.40
Number of labor force	3.10	2.74

Farmer' experience	28.50 Years	15.02
Number of agricultural machines	4.33	2.43

### 307

# 308 *4.5. Decision to use climate information*

The findings revealed that farmers' decision to use climate information is mainly influenced by statements such as "If I receive climate information from valid resources, I will certainly use them" (4.57), "If climatic information increases my income, I will certainly apply them" (4.43), and "If climate information is provided in simple language, I will certainly use them" (4.24), which were ranked first to third. The total mean of items was 3.45, indicating that farmers had a moderate tendency to use climate information (Table 5).

- 315 **Table 5**
- 316 Farmers' decision to use climate information

Items	Mean	Standard	Rank
		deviation	
If I receive climate information from a valid source, I will	4.57	0.59	1
certainly use it.			
If climatic information increases my income, I will certainly	4.43	0.72	2
use it.			
If climate information is provided in simple language, I will	4.24	0.81	3
certainly use it.			
I will use climate information if drought continues in the	3.72	1.24	4
region.			
In most cases, the climate information provided by public	3.71	1.18	5
organizations is not accurate and thus I prefer not to use it.			
Without climatic information, I will not engage in any	3.62	1.36	6
agricultural activities during drought.			
I only use climate information provided by other sources if I	3.61	1.43	7
am confident that my knowledge is insufficient.			
I use climate information when I am sure that drought is	2.46	1.21	8
causing severe damage to my farm and my family.			
If I have easy access to climate information, I will use it.	2.19	1.10	9
If climate information helps me cope with drought well, I will	1.95	0.98	10
use it.			

318

Scales: (1 = Strongly Disagree) to (5 = Strongly Agree)

Total mean: 3.45 Total standard deviation: 0.89

# 319 *4.6. Factors influencing farmers' decision to use climate information*

Fig. 3 shows the results of Spearman's correlation coefficient. These results examine the relationship between the independent variables of the study (personality traits, attitudes toward the use of climate information, objectives of using climate information, and external or physical agricultural factors) and the dependent variable (farmers' decision to use climate information) (Table 6).

#### 325 **Table 6**

326 Spearman correlation matrix

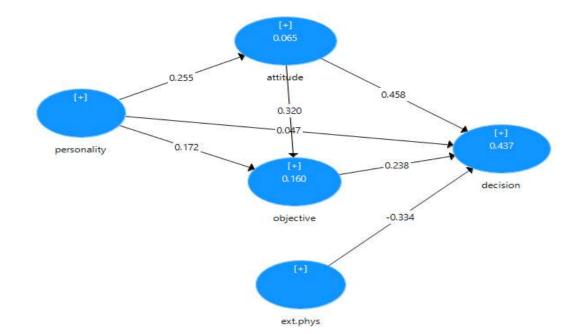
Variables	1	2	3	4	5
Personal factor	1	-	-	-	-
Attitude to use of climate information	$0.145^{*}$	1	-	-	-
Objectives of using climate information	0.345**	0.394**	1	-	-
External/physical farming factors	0.082	0.026	0.014	1	-
Decision/behavior to use climate information	0.165**	0.296**	0.335**	-0.207**	1

327

\*\*p<0.01 \* p< 0.05

As shown in Table 6, there is a positive and significant relationship between personality traits, external/physical farming factors, attitude towards using climate information, and objectives towards using climate information. Farmers' decision to use climate information has a positive and significant relationship with personal factor, attitude to use climate information, and objectives of using climate information, but it has a negative and significant relationship with external/physical farming factors. The relational model integrates the proposed interactions in study process structures (see Fig. 1 and Annex 2).

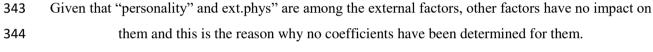
As shown in structural equation model, attitude towards using climate information, objectives towards using climate information, and external/physical farming factors had a direct effect on farmers' decision to use climate information. However, personality trial had an indirect effect on farmers' decision to use climate information through farmers' attitudes towards using climate information and their objective in using climate information. Overall, the model explained a 23% variance in farmers' decision to use climate information (Fig. 3).



341

342

Fig. 3. Path model with Standardized Factor Loadings.



345

#### 346 **5. Discussion**

### 347 5.1. Personality trial

Overall, results revealed that farmers' personality traits had an indirect relationship with farmers' decision to use climate information. This is in line with the results of Willock et al. (1999), showing that personality traits influence farmers' behavior and decision-making process. This implies that farmers' personality characteristics such as perseverance, commitment, and being responsible play a major role in farmers' motivation to use climate information on one hand, and adapting to drought conditions on the other hand.

354 5.2. Attitude towards using climate information

Attitude towards climate information had a direct and positive relationship with farmers' decision to use climate information. Ajzen (1991), Taylor and Todd (1995), and Davis et al. (1989) showed that attitude is a strong predictor of behavior in several socio-psychological studies. This clearly indicates that farmers believe that receiving climatic information will lead 359 to more success in agricultural production. For example, accurate planning and being there at the right time and right place are the benefits of using climatic information as perceived by 360 361 farmers. Some case studies show that attitude is a concept that changes over time and location (Sayuti et al., 2004; McCrea et al., 2005; Sharifzadeh et al., 2012). Among others, Iran is 362 constantly exposed to natural disasters. In this regard, drought is one of the most important 363 natural disasters that has led to huge damage to water resources and farmers' livelihoods. This 364 365 creeping disaster has affected most of Iranian farmers. Given that in the study area, drought is a chronic event, farmers are somewhat adapted to and have developed a hands-on attitude 366 367 towards drought. This indicates that farmers are willing to take proactive measures when coping with this climate incident (Sharafi, 2017; Sharafi et al., 2020). 368

This incident has caused a higher price for agricultural water (Grossi, 2017). Yet, it seems 369 370 that increasing the price of agricultural water will never be effective in reducing water consumption in the study area unless the price adjustment is accompanied by the development 371 of the necessary infrastructure and government support. Drought has increased economic 372 problems among rural communities, which are often poor and dependent on agriculture for a 373 living. Furthermore, drought makes rural farmers vulnerable to the high costs of damage, 374 pollution, crop performance problems, maintenance, and drought (Tulare County, 2017). In 375 fact, as in other businesses, drought EWSs assume that farmers are interested in maximizing 376 production and profit (Willock et al., 1999; Güth and Kliemt, 2004). While farmers' decisions 377 378 are aimed at profit maximization, the complex set of socio-psychological, natural, physical, and structural factors have significant effects on their decision-making process as well (Feng 379 et al., 2017; Keshavarz and Karami, 2014). Therefore, farmers prefer to use adaptive behaviors 380 to cope with climate change, especially drought, despite government support, in order to 381 achieve better yields, higher incomes, and reduced costs of damage. 382

### 384 5.3. Objectives of using climate information

Farmers' economic, social, expressive, and intrinsic values are also affected by their use of 385 early warning systems. Income and profit-making were emphasized by many farmers in the 386 study area. For example, they stated that their goal in agricultural business is mainly profit-387 making and access to more resources. This was also highlighted by Willock et al. (1999) 388 indicating that the majority of farmers' behavior is mainly objective-based behavior. However, 389 390 other researchers stated that profit is not the only factor that influences farmers' decision making. For example, Keshavarz and Karami (2014) and Karali et al. (2011) believe that other 391 392 factors may also influence farmers' decision making.

Small scale farming in Iran has shown that economic incentives are a major driving force for farmers to stay in business even during harsh times such as drought. Gilmor (1986) claimed that life-style priorities could be mirrored in the farm business system, as certain commercial farmers seem to be a little more concerned with economic interests, whereas small-scale farmers seem to be more concerned with the autonomous lifestyle offered by farming.

# 398 5.4. External/physical farming factors

The results of this study indicate that farming external and physical factors influenced 399 farmers' decision to use climatic information. Studies by Willock et al. (1999) and Ali and 400 401 Kumar (2011) are in line with our results. In the present study, external variables such as income, land size, number of land holdings, distance from the road, distance from water 402 403 resources, number of labor force members, farmers' experience, and number of agricultural machines were investigated. Results revealed that external and field factors were negatively 404 significant in the study. This means that lower incomes, more land holdings, long distance from 405 the road, less number of agricultural machines, and long distance from water resources affected 406 the farmers' decision to use more information. Simply, farmers who were in poor conditions in 407 terms of these indicators were more interested in using climate information. 408

409 In fact, weak economic and physical capital influences farmers' decision to use climate information. Interestingly, this finding is inconsistent with that of innovation scholars in that 410 they believe that farmers with higher socio-economic characteristics are more likely to adopt 411 innovations (Rehman et al., 2013). This study also showed that farmers' attitude towards 412 seeking climate information was positive. Perhaps this positive attitude towards climate 413 information by farmers is another reason why small-scale farmers were more intended to use 414 415 climate information. Moreover, McCrea et al. (2005) showed that farmers' attitude towards the usefulness of weather predictions is a key factor in using information. 416

According to Table 4, the lowest score of intrinsic values is the experts' advice. This could be due to farmers' lack of confidence in specialists, especially public sector experts, which could be due to the following factors:

1) failure to meet farmers' expectations from experts; 2) ineffectiveness and incompatibility of
technical recommendations given by experts with farmers' experiences and existing climatic,
soil, and land conditions, which ultimately lead to reduced yields and reduced product quality;
3) agricultural staff's lack of information and not enough contacts and communications between
the farmers and experts; 4) lack of belief in the effectiveness of the application of science in
crop production and expert's lack of experience and skills; and 5) lack of timely presence in the
field and farms (Rezaei-Moghaddam and Fatemi, 2020; Ansari et al., 2019).

# 427 6. Conclusions

In accordance with the findings of the present study, we concluded that farmers' decision to use climate information is affected by their personality traits, farm factors, and their attitude, as well as their objectives towards climate information. In the context of climate change education, these conclusions help to shed light on farming vocational behavior. Farmers are engaged in a range of pertinent behaviors such as profit maximization, diversification, conservation, adoption of new technologies, and off-farm work. However, knowledge

collection is one specific activity that connects both risk and creativity. The result of this study 434 provides a deeper understanding of the role of socio-economic and psychological factors in 435 determining farmers' decision to use climate information. In contrast to economic behavioral 436 437 models which assume that all farmers are benefit maximizers, the model presented in this study adds to the current literature that the climate information seeking behavior of farmers is not 438 driven only by the maximization of profit. Farmers' actions are more the product of dynamic 439 440 mechanisms that are affected by a variety of socio-economic and psychological factors. The result of this study has some limitations. We recognized that our focus on wheat farmers serves 441 442 as a limitation for generalizability. Furthermore, as this study was conducted in only one township, the results cannot be generalized beyond wheat farmers and Kermanshah Township. 443 This study recommends, however, that additional research be conducted with other farmers in 444 445 general and rain-fed farmers in particular to develop a firmer grasp of climate information seeking behavior with this type of population. Moreover, further studies are needed to examine 446 other socio-psychological models to assess the predictors of farmers' information seeking 447 behavior. As another recommendation for future research direction, future studies should 448 distinguish between rain-fed farmers and irrigated farmers to have a more accurate analysis of 449 the farmers' information about climate change and adaptation strategies. 450

Finally, this study used a quantitative methodology to assess farmers' decision to use DEWS information using a socio-psychological model; however, the socio-psychological nature of farmers' decisions may require a qualitative measure. Therefore, a mix method design should be considered in future studies.

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# 638 Appendix:

# 639 Annex 1

# 640 Measures of reliability and validity

Variables	Cronbach's alpha	Composite	Average
	coefficients	Reliability	Variance
		(CR)	Extracted (AVE)
Decision/behavior to use climate	1.00	1.00	1.00
information (EW)			
Personality factors	1.00	1.00	1.00
Farmers' attitude to use of climate	1.00	1.00	1.00
information			
Objectives of using climate information	0.924	0.946	0.815
External/ physical factors	0.801	0.883	0.723

# 641

# 642

# 643 Annex 2

644 Direct, indirect, and total effects of predictive variables on decision to use climate information

Dependent	Predictive variables	Direct	Indirect	Total
variable		effect	effect	effect
Decision to use	Personal factors	0.05	0.18**	0.22**
climate	Attitude towards using climate information	0.46**	0.08**	0.53**
information	Objectives towards using climate information	0.24**	-	0.24**
$(R^2=0.44)$	External/physical farming factors	-0.33**	-	-0.33**
			www.co.01	*****

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\*\*p<0.01 \* p< 0.05