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Tapping hydrogen fuel from the ocean : a review on photocatalytic, photoelectrochemical and electrolytic splitting of seawater

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1 2	Tapping hydrogen fuel from the ocean: a review on photocatalytic, photoelectrochemical and electrolytic splitting of seawater
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9 10	
11	Abstract
12 13 14	clean H <sub>2</sub> , but is hampered by selectivity and stability issues. Direct seawater electrolysis is the most established technology, attaining high current densities in the order of 1-2 A.cm <sup>-2</sup> . Alternatively, light-
15 16	driven processes such as photocatalytic and photoelectrochemical seawater splitting are particularly promising as well, as they rely on renewable solar power. Solar-to-Hydrogen efficiencies have
17 18 10	local pH changes (in the order of several tenths of a pH unit compared to up to 9 pH units for
20 21 22	such as Cl <sub>2</sub> and ClO <sup>-</sup> formation, (acid or base induced) corrosion and scaling. Besides, additional requirements for electrolytic cells, <i>e.g.</i> membranes and electricity input, are not needed in pure photocatalysis systems. In this review, the state-of-the-art technologies in light-driven seawater

- splitting are compared to electrochemical approaches with a focus on sustainability and stability.
   Promising advances are identified at the level of the catalyst as well as the process, and insight is
- 25 provided in solutions crossing different fields.
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## 27 Highlights

- Review on electrolytic, photocatalytic and photoelectrochemical seawater splitting
- Activity, selectivity, stability and sustainability of all technologies are compared
- Electrolysis is more mature, while photocatalysis may experience less side effects
- Promising solutions across fields are identified
- 31 32
- 33 Keywords
- 34 Seawater splitting; Hydrogen; Photocatalysis; Photoelectrochemical Cells, Electrolysis, Chloride

- 36 Word count: 10564
- 37

1	List of	abbreviations
2	Abbrev	riations
3	AEC	Alkaline Electrolysis Cell
4	AEM	Anion Exchange Membrane
5	AQY	Apparent Quantum Yield
6	AM	Air Mass
7	BCC	Basic Cobalt Carbonate
8	BOP	Balance of Plant
9	СВ	Conduction Band
10	CD	Carbon Dot
11	CIER	Cl <sub>2</sub> Evolution Reaction
12	COF	Covalent organic framework
13	СОР	Covalent organic polymers
14	CPS	Current Policies Scenario
15	DI	Deionized
16	EC	Electrolysis Cell
17	EDX	Energy Dispersive X-ray
18	FE	Faradaic efficiencies
19	GC	Gas Chromatography
20	HER	Hydrogen Evolution Reaction
21	IEA	International Energy Agency
22	LCOH	Levelized Cost of Hydrogen
23	LbL	Layer-by-Layer
24	LDH	Layered Double Hydroxide
25	(L)SPR	(Localized) Surface Plasmon Resonance
26	LOCH <sub>PV</sub>	Photovoltaics derived Levelized Cost of Hydrogen
27	М	Metal
28	PEC	Photoelectrochemical
29	PEMEC	Polymeric Electrolyte Membrane Electrolysis Cell
30	PGM	Platinum Group Metal
31	RH	Relative Humidity

1	RHE	Reversible Hydrogen Electrode
2	ROS	Reactive Oxygen Species
3	SC	Semiconductor
4	SEM	Scanning Electron Microscopy
5	SHE	Standard Hydrogen Electrode
6	SO	Solid Oxide
7	STH	Solar-to-Hydrogen
8	tj-a-Si	Triple junction amorphous Si
9	UV	Ultraviolet
10	VB	Valence Band
11	VIS	Visible light
12	VOC	Volatile Organic Compound
13	Nome	nclature
14	$\Delta G$	Gibbs free energy
15	∆H	Enthalpy change
16	С	concentration
17	С	speed of light
18	Ε	Energy content
19	E°	Redox potential
20	$E_g$	Band gap energy
21	η	Overpotential
22	L	Length
23	Ν	Number
24	h	Planck's constant
25	h⁺	Hole
26	j	Current density
27	λ	Incident light wavelength
28	v	Incident light frequency
29	Ρ	Energy intensity
30	R	Reaction rate
31	S	Surface area

1	Т	Temperature
2	t	Time
3	t <sub>&gt;90%</sub>	Time before the initial activity drops 10%
4	θ	Angle
5	Units	
6	°C	Degrees Celsius
7	А	Ampere
8	atm	Standard atmosphere
9	$g_{(cat)}$	Gram (of catalyst)
10	h	Hour
11	J	Joule
12	m	Meter
13	М	Molar
14	mol	Mole
15	ppb	Parts per billion
16	ppm	Parts per million
17	sccm	Standard cubic centimeters per minute
18	t	Ton
19	V	Volt
20	W	Watt
21	wt%	Weight percentage
22		
23		
24		

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#### 1 1. Introduction

2 Sufficient energy supply is one of the major issues in our growing modern society. In 2016, the 3 world's energy consumption already amounted to 577.92 EJ. Of this value, 81% was delivered by 4 non-sustainable fossil fuels, resulting in a global CO<sub>2</sub> emission of 32.1 Gt. The International Energy 5 Agency (IEA) forecasted that the global energy demand will exceed 810 EJ following the current 6 policies scenario (CPS) [1]. This will most probably give a strong driving force for global warming if no 7 additional measures are taken. In order to meet the scenario in which the temperature rise stays 8 below 2°C, renewable energy sources must play a more important role. The largest disadvantage of 9 these resources is their variable nature over short periods of time, and the fact that the energy 10 production and demand are not at all perfectly synchronized [2]. In that context the scientific 11 community is showing ever-increasing interest in sustainable energy carriers such as H<sub>2</sub> to store and 12 buffer the fluctuations in energy production and demand. This molecule is recognized worldwide as a 13 promising energy vector. It benefits from a high energy conversion efficiency and ease of conversion 14 in different forms of energy [3,4]. Besides, the required infrastructure, skills and regulations are 15 already (partly) available. E.g. 40% of the European households already uses gas heating systems, 16 that could allow H<sub>2</sub> usage as well. Hydrogen storage is often more cost efficient than battery storage 17 and recharges 15 times faster, leading to smaller charging infrastructure [5]. The major downside is 18 that nowadays, it is still produced for about 95% from fossil fuels since other production technologies 19 are not yet fully mature [6]. Nonetheless, direct water splitting technologies are heavily studied to 20 provide a short-to-medium term solution. In these technologies  $H_2$  and  $O_2$  are simultaneously 21 evolved from water molecules (eq. 1), with basically no undesired side-reactions [4]. It is even stated 22 by Hydrogen Europe that without water-derived H<sub>2</sub>, deep decarbonization (>80%) will be impossible 23 [5].

24 2 H<sub>2</sub>O (I)  $\rightarrow$  2H<sub>2</sub> (g) + O<sub>2</sub> (g) ( $\Delta H$ = 286 kJ.mol<sup>-1</sup>)

(1)

25 With *AH* the change in enthalpy.

26 Electrochemical methods are considered as one of the most efficient ways to provide the required 27 energy for this endothermal reaction. In addition, since solar power is the most abundant renewable energy source (every second about 1.2×10<sup>14</sup> kJ reaches the Earth's surface [7]) photoelectrochemical 28 29 (PEC) and direct photocatalytic water splitting are studied extensively as well [8]. It is even called one 30 of the 'Holy Grails' of chemistry [9]. Next to energy, the other crucial resource is water. 31 Unfortunately, considering the population growth, the availability of fresh water as a feedstock 32 becomes problematic. Hence, it would be better to use abundant seawater, that accounts for ca. 33 97% of the water on Earth [10]. Another advantage is the fact that (sea)water is quite evenly 34 distributed throughout the globe, allowing to decrease the dependence on fossil fuel producing 35 countries [10]. On the other hand, the use of seawater imposes important technological challenges 36 due to the abundant presence of various ions (Table 1), averaging 3.5 wt% in total [10].

Colute /iem)	
Solute (lon)	C (g.kg* seawater)
Cl	20.058
Na⁺	11.177
504 <sup>2-</sup>	2.812
Mg <sup>2+</sup>	1.331
Ca <sup>2+</sup>	0.427
K+	0.414
HCO₃ <sup>-</sup>	0.112
Br⁻	0.070
B(OH)₃	0.020
CO <sub>3</sub> <sup>2-</sup>	0.016
Sr <sup>2+</sup>	0.008
B(OH) <sub>4</sub> -	0.008
F	0.001

1 Table 1: Ion concentrations (*C*) in standard seawater. Based on values from Ref. [10].

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3 Both photocatalytic as well as (photo)electrochemical seawater splitting research has been evolving 4 rapidly over the last years. While a very limited number of overview articles is available on one given 5 technology in particular [11–13], to the best of our knowledge a concise, comparative overview of 6 the current state of the art in these different fields is lacking. In this review these technologies will be 7 compared, starting from their basic fundamental principles, followed by a comparison between their 8 overall efficiencies and resulting costs for  $H_2$  production. In subsequent sections, the seawater 9 selectivity, stability and other influencing factors will be discussed in more detail. A particular focus 10 lies on recent technological developments for dealing with saline media in view of their application in 11 seawater. Note that in this review only direct seawater utilization is considered, *i.e.* without prior 12 desalination of the water. Certainly in applications with space constraints (e.g. ships), a prior 13 desalination step would be inadvisable [10]. Besides, more specific (bio-based) niche-technologies 14 such as microbial processes with cyanobacteria [14], phototrophic [15] and dark-acidogenic bacteria 15 [16], the decomposition of  $H_2S$  from the Black Sea [17] and the hydrolysis of Mg species in seawater 16 [18] are not considered in this overview. The interested reader is kindly referred to the 17 corresponding references, as well as an excellent review article by Fukuzumi et al. (2017) [19].

#### 18 2. Basic principles

19 The following section briefly discusses the fundamentals behind electrolytic, photocatalytic and 20 photoelectrochemical water splitting. Readers already familiar with the basic principles of each 21 technology are welcome to directly proceed to the next section.

#### 22 2.1 Electrolytic water splitting

Volta was probably one of the first to open up the electrolysis research domain with the discovery of his voltaic pile in 1799. A few months later, Carlisle and Nicholson applied this setup for the first time as a water splitting electrolysis cell (EC) [20]. The pile consisted of a series of copper and zinc plates (the electrodes) separated by cardboard layers soaked in salt water (the electrolyte) [21,22]. In a

1 standard EC, an external voltage is applied to the electrodes to drive the different redox half 2 reactions. These electrodes are connected by an electronic circuit to enable the flow of electrons. 3 The ionic conductivity is further guaranteed by the electrolyte [23]. Nowadays, different types of ECs 4 exist with low temperature acidic polymeric electrolyte membrane ECs (PEMECs, Fig. 1a) and liquid 5 electrolyte alkaline ECs (AECs) as the most established ones [10]. Other types of ECs such as anion 6 exchange membrane (AEM) and high temperature solid oxide (SO) ECs will not be discussed here in 7 detail for the sake of brevity. The reader is kindly referred to the reviews of Vincent et al. (2017) [24] 8 and Laguna-Bercero (2012) [25] for more information on those particular topics. The extreme pH 9 conditions in simple PEMECs and AECs result from the addition of strong electrolytes like H<sub>2</sub>SO<sub>4</sub> or 10 NaOH and KOH [26]. Note that their addition is not strictly required in seawater due to the natural 11 occurrence of ions. Nonetheless, it is still often done to increase the ionic conductivity [27-29]. At 12 the anode in a PEMEC, water is oxidized to oxygen (Oxygen Evolution Reaction, OER, eq. 2). The 13 released electrons and protons are then transferred to the cathode via the electronic circuit and the 14 polymeric membrane, respectively. Here, they combine to form H<sub>2</sub> (Hydrogen Evolution Reaction, 15 HER, eq. 3). In AECs on the other hand, hydroxide anions are oxidized to oxygen gas at the anode (eq. 16 4) and water is reduced at the cathode (eq. 5) [7].

17	Acidic OER: 2 H <sub>2</sub> O (I) $\rightarrow$ O <sub>2</sub> (g) + 4 e <sup>-</sup> + 4 H <sup>+</sup>	(2)
18	Acidic HER: 4 $e^{-}$ + 4 $H^{+} \rightarrow$ 2 $H_{2}$ (g)	(3)

- 18 Acidic HER:  $4 e^{-} + 4 H^{+} \rightarrow 2 H_{2} (g)$ 19 Basic OER:  $4 OH^{-} \rightarrow O_{2} (g) + 2 H_{2} O (I) + 4 e^{-}$
- 19
   Basic OER:  $4 \text{ OH}^- \rightarrow O_2(g) + 2 \text{ H}_2O(l) + 4 \text{ e}^-$  (4)

   20
   Basic HER:  $4 \text{ H}_2O(l) + 4 \text{ e}^- \rightarrow 2 \text{ H}_2(g) + 4 \text{ OH}^-$  (5)
- 21 The absolute minimum theoretical voltage is 1.23 V vs. SHE (Standard Hydrogen Electrode) for water 22 splitting at room temperature. This corresponds to the difference between the redox potentials of 23 the respective half reactions at a given pH. However, a larger potential is applied to ensure a 24 sufficient reaction rate, denoted as the 'overpotential' ( $\eta$ ). Obviously, the goal is to minimize this 25 excess energy, which is the role of the electrocatalyst [7]. For PEMECs, the best performing 26 electrocatalysts are Platinum Group Metals (PGMs) (Pd > Pt ≈ Rh > Ir > Re > Os > Ru > Ni) at the 27 cathode and IrO<sub>2</sub> or RuO<sub>2</sub> at the anode [30]. In AECs, mostly first row transition metals (e.g. Ni, Mn, 28 Fe, Co etc.) or their alloys are used as electrocatalysts for the HER [7], while oxides or 29 (oxy)hydroxides are found at the anode [31].



1

Figure 1: a) Basic schematic of a PEMEC, b) redox potentials of photoinduced charge carriers in TiO<sub>2</sub>, next to the redox potentials of the water splitting half reactions (grey) and reactive oxygen species (ROS) generating half reactions (brown),
c) basic schematic of a PEC cell. Reproduced from Ref. [32], Copyright (2015), with permission from Elsevier and Ref. [33],
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#### 6 2.2 Photocatalytic water splitting

7 Photocatalysis research started nearly two centuries after the discovery of electrolysis. In 1972, 8 Fujishima and Honda observed for the first time the water splitting capacity of TiO<sub>2</sub> under ultraviolet 9 (UV) irradiation [34]. In this domain, semiconductors (SC) catalyze redox reactions such as the HER 10 and OER simultaneously under illumination. This ability originates from their specific electronic band 11 structure, that consists of a filled valence band (VB) and an empty conduction band (CB) with a small 12 bang gap  $(E_q)$  in-between. When the semiconductor is illuminated by light of sufficient energy to 13 overcome the band gap, an electron of the VB is excited to the CB ( $e_{CB}$ ), leaving behind a positively 14 charged hole in the VB ( $h^+_{VB}$ ) (eq. 6) [35]. The required wavelength for this excitation is determined 15 by the Einstein-Planck relation (eq. 7) [32]. After migrating to the catalyst surface, the holes can initiate water oxidation (eq. 8), while the conduction band electrons initiate the reduction of protons 16 17 (eq. 9) [32].

18	$SC + h.v \rightarrow h^+_{VB} + e^{CB}$	(6)
19	$E = h.v = h.c/\lambda > E_g$	(7)

## 20 OER: 2 H<sub>2</sub>O (I) + 4 h<sup>+</sup><sub>VB</sub> $\rightarrow$ O<sub>2</sub> (g) + 4 H<sup>+</sup> (8)

21 HER:  $4 H^+ + 4 e^-_{CB} \rightarrow 2 H_2 (g)$ 

With *h* Planck's constant (6.63 ×  $10^{-34}$  J.s), *v* the incident light frequency, *E* the energy content, *c* the speed of light ( $300 \times 10^6$  m.s<sup>-1</sup>),  $\lambda$  the incident light wavelength and  $E_q$  the band gap.

24 Whether a SC is suitable for un-biased photocatalytic water splitting is largely determined by the 25 respective positions of the VB and CB edges. The redox potentials of the photogenerated  $h^+_{VB}$  and  $e^-_{CB}$ 26 need to be more positive and more negative than those of the water oxidation and reduction half 27 reactions, respectively. These correspond resp. to +0.83 V vs. SHE and -0.41 V vs. SHE at neutral pH 28 [32]. Band gaps should evidently always be greater than 1.23 eV. The optimal value is determined by

(9)

- 1 the availability of sunlight. It is therefore beneficial to have a band gap in the order of 2.53 eV which
- 2 matches the wavelength range of maximum solar intensity at the Earth's surface (around 490 nm)
- 3 [36].

4 The most common SC is the relatively cheap, chemically stable TiO<sub>2</sub> ( $E_q \approx 3.0-3.2$  eV, Fig. 1b). This 5 photocatalyst guarantees water splitting due to its sufficiently strong oxidant  $h^+_{VB}$  (2.53 V > 0.83 V vs. 6 SHE) and reductant  $e_{CB}$  (-0.52 V < -0.41 V vs. SHE) [32]. One of the first main drawbacks of TiO<sub>2</sub> is its 7 fairly large band gap, that requires excitation by UV light. Other suitable SCs include several oxides, 8 (oxy)sulfides and (oxy)nitrides with d<sup>10</sup> cations (*e.g.* Ga<sup>3+</sup>, Ge<sup>4+</sup>, In<sup>3+</sup>, Sb<sup>5+</sup>, Sn<sup>4+</sup>) or d<sup>0</sup> transition metal 9 cations (e.g. Mo<sup>6+</sup>, Nb<sup>5+</sup>, Ta<sup>5+</sup>, Ti<sup>4+</sup>, W<sup>6+</sup>, Zr<sup>4+</sup>) [37]. Also Metal Organic Frameworks (MOFs) are studied 10 for their photocatalytic activity. Note that other factors such as diffusion lengths and efficient 11 separation of the charge carriers are important as well. The more efficient, the less recombination of 12 the photogenerated charge carriers occurs (eq. 10). Recombination processes result in a dramatic 13 decrease in efficiency [32].

14  $h^+_{VB} + e^-_{CB} \rightarrow energy$ 

## 15 2.3 PEC water splitting

16 PEC water splitting is situated at the intersection of ECs and photocatalysis. A basic PEC cell 17 configuration (Fig. 1c) consists of a cathode and an anode submerged in an aqueous electrolyte 18 solution [26]. Alike ECs, both electrodes are connected by an external electronic circuit. The 19 difference with ECs lies in the fact that one or two electrodes are photoactive [26]. Important 20 materials for photoanodes and -cathodes are n-type (e.g.  $TiO_2$  [38]) and p-type semiconductors (e.g. 21 Cu<sub>2</sub>O [39]), respectively. Depending on these photocatalysts, a suitable electrolyte is chosen. For 22 example, alkaline electrolytes are the most optimal for  $TiO_2$  anodes [26]. If non-photoactive counter 23 electrodes are used, they often consist of Pt [26].

When wide band gap SCs such as  $TiO_2$  are utilized, an additional voltage or bias is often applied. This is done when the band edges are not suitable for water splitting (*e.g.* in the case of WO<sub>3</sub>) [40]. In that case, the photoelectrodes also contain an electrocatalyst to minimize the overpotential [41]. The need for additional energy and other issues will be thoroughly discussed in the following section. A comparison between the different technologies will be made with special attention to the technical issues arising when using seawater.

## 30 3. Comparison

Firstly, the efficiencies that can be attained to date are presented for both technologies. Hereafter, a closer look is taken at the different factors that may influence the efficiency, going from selectivity over stability-related problems, to additional operational requirements. Recent interesting improvements on the level of the catalyst or the reactor will be discussed when relevant.

## **35** 3.1 H<sub>2</sub> evolution efficiencies and costs

## **36** *3.1.1 Electrolysis*

37 Water electrolyzers already perform quite efficiently. The efficiency of mature pure water AECs with 38 a capacity up to 150 MW reaches 82%, while the early market PEMECs (< 1 MW) have a 65-78% 39 efficiency [42]. Direct seawater electrolysis on the other hand, attains voltage efficiencies based on 40 the H<sub>2</sub> higher heating value (141.5 MJ.kg<sup>-1</sup>) up to 79% [29]. This is yet similar to the 70-85% range of 41 the industrial large scale steam methane reformers (SMR) (150-300 MW) [42]. Although the 42 efficiencies are similar, the costs differ significantly. SMR-derived H<sub>2</sub> costs about US\$ 1.25 kg<sup>-1</sup> for a 43 natural gas price of US\$ 3.50 GJ<sup>-1</sup>. Nowadays, large scale electrolysis plants operate solely on pure 44 water, yielding  $H_2$  at a cost of *ca*. US\$ 4.09 kg<sup>-1</sup> (coal-powered) [43]. This is slightly above the US

(10)

Department of Energy (DOE) goal of US\$ 2.00-4.00 kg<sup>-1</sup>. The H<sub>2</sub> cost consists of the complete power-1 2 to-gas installation investment costs (US\$ 2009 kW<sup>-1</sup> on average), operational costs (US\$ 39.5 kW<sup>-1</sup>), energy costs and water costs (ca. US\$ 0.08 kg<sup>-1</sup>) [44,45]. In contrast to PV-derived H<sub>2</sub> (> US\$ 5 kg<sup>-1</sup> 3 4 [43]), small-scale wind energy powered  $H_2$  production may already hit this target in specific cases 5 (US\$ 3.53 .kg<sup>-1</sup> in Texas) [44]. However, as mentioned earlier, this is only valid when working with 6 pure water. Accurate techno-economic assessments for direct seawater electrolysis are still non-7 existent and strongly requested. The use of seawater may drastically decrease the cost of water, but 8 increase the electrolyzer costs (e.g. operational EC cost is estimated to increase ≥6-fold without 9 proper stabilization) [13]. d'Amore-Domenech et al. (2020) recently estimated that the lifetime of 10 conventional PGM electrodes would decrease by at least an order of magnitude [13]. Seawater 11 causes several detrimental effects such as Cl<sub>2</sub> evolution [46], scaling [47], fouling of membranes [48], 12 corrosion [46] etc. which is especially true for PEMECs that require very pure water [7]. Ren's group 13 recently attained very promising results for alkaline seawater splitting, similar to pure water splitting. 14 Their 3-dimensional core shell metal nitride electrocatalyst achieved industrially required current 15 densities of 500 and 1000 mA.cm<sup>-2</sup> at record low voltages of 1.608 V and 1.709 V, respectively (Fig. 16 2a). These results emphasize the potential this research domain has to offer [27]. To complete the 17 overview, a selection of recently developed HER and OER electrocatalysts is presented in Table 2 with 18 parameters quantifying their activity, selectivity and stability in seawater. From this table, it is clear 19 that nowadays already low overpotentials (70-480 mV) allow to operate the HER at useful current 20 densities of about 10 mA.cm<sup>-2</sup>. The OER generally requires higher overpotentials. Finally, it is important to notice that both half reactions can be performed using sustainable PGM-free catalysts, 21 22 like Ni. The selectivity and stability will be discussed further in the following paragraphs.





#### 24 25

Figure 2: Configuration and H<sub>2</sub> yield for a) Ren's electrocatalysts and b) Guan's photocatalyst (at 27 sun) in seawater. The H<sub>2</sub>
 yields are normalized for the substrate surface area. Adapted with permission from resp. Ref.[27], Copyright (2019) CC BY
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Table 2: Overpotentials ( $\eta$ ) with their current densities (j), reaction media, Faradaic efficiencies (FE) and the time before the initial activity drops 10% ( $t_{>90\%}$ ) for recently studied HER and OER electrocatalysts

Electrocatalyst Working electrode	Counter electrode	Reaction medium (pH <sup>a</sup> )	η (mV) (for j <sup>b</sup> )	FE¢	t <sub>&gt;90%</sub> (h)	Ref.
		HER				
Ti/NiPt	Pt foil	Natural seawater	~342 (10 mA.cm <sup>-2</sup> )	NA	~10	[50]
PtMo <sub>0,1</sub> on Ti mesh	Pt foil	Natural seawater	255 (10 mA.cm <sup>-2</sup> )	NA	172	[51]
Mn doped NiO/Ni	graphite rod	Natural seawater (pH 8.20)	170 (10 mA.cm <sup>-2</sup> )	~100% (1h) ~70% (7h) <sup>d1</sup>	<2	[52]
NiMoN	NiMoN@	Natural seawater +	82 (100 mA.cm <sup>-2</sup> )	97.8% <sup>d1</sup>	48	[27]

	NIFeN	1 М КОН (рн 14)				
NiMoS on C fiber cloth	graphite foil	Natural seawater (pH 7)	200 (10 mA.cm <sup>-2</sup> )	98.2% <sup>d1</sup>	NA	[53]
NiFeC on Co foil	Pt sheet	Artificial seawater at 90°C (pH 12.00)	70 (12 mA.cm <sup>-2</sup> )	NA	NA	[54]
Co₃Mo₃C/CNT/Ni foam	Pt sheet	Natural seawater (pH 6.44)	124 (10 mA.cm <sup>-2</sup> )	NA	26	[55]
CoSe₄ on Co foil	С	Natural seawater (pH 7.4)	268 (100 mA.cm <sup>-2</sup> )	NA	<1	[56]
CoMoP@C core-shell	graphite rod	Natural seawater (pH 8.35)	~479 (10 mA.cm <sup>-2</sup> )	92.5% <sup>d1</sup>	10 <sup>e</sup>	[57]
Urea derived CNTs	C rod	Natural seawater (pH 7.00)	250 (10 mA.cm <sup>-2</sup> )	~100% <sup>d1</sup>	7	[58]
Mo₅N <sub>6</sub> nanosheets on glassy carbon	graphite counter rod	Natural seawater (pH 8.40)	257 (10 mA.cm <sup>-2</sup> )	NA	>40 <sup>f</sup>	[59]
FeOx	FeOx	Artificial seawater + 0.1 M KOH (pH 13)	400 (10 mA.cm <sup>-2</sup> )	~100% <sup>d1</sup>	72	[60]
		OER				
NiMoN@NiFeN	NiMoN	OER Natural seawater + 1 M KOH (pH 14)	369 (500 mA.cm <sup>-2</sup> )	97.8% <sup>d1</sup>	48	[27]
NiMoN@NiFeN NiFe/NiS <sub>x</sub> /Ni foam	NiMoN Ni/NiO/ Cr <sub>2</sub> O <sub>3</sub>	OER Natural seawater + 1 M KOH (pH 14) Artificial seawater + 1 M KOH (pH 14)	369 (500 mA.cm <sup>-2</sup> ) 300 (400 mAcm <sup>-2</sup> )	97.8% <sup>d1</sup> ~100% <sup>d1</sup>	48	[27]
NiMoN@NiFeN NiFe/NiS <sub>x</sub> /Ni foam NiFe layered double hydroxide	NiMoN Ni/NiO/ Cr <sub>2</sub> O <sub>3</sub> Pt mesh	OER Natural seawater + 1 M KOH (pH 14) Artificial seawater + 1 M KOH (pH 14) Artificial seawater + 0.1 M KOH (pH 13)	369 (500 mA.cm <sup>-2</sup> ) 300 (400 mAcm <sup>-2</sup> ) 359 (10 mA.cm <sup>-2</sup> )	97.8% <sup>d1</sup> ~100% <sup>d1</sup> ~100% <sup>d2</sup>	48 1000 ~2	[27] [46] [47]
NiMoN@NiFeN NiFe/NiS <sub>x</sub> /Ni foam NiFe layered double hydroxide NiFe layered double hydroxide	NiMoN Ni/NiO/ Cr <sub>2</sub> O <sub>3</sub> Pt mesh Pt mesh	OER Natural seawater + 1 M KOH (pH 14) Artificial seawater + 1 M KOH (pH 14) Artificial seawater + 0.1 M KOH (pH 13) Artificial seawater + 0.3 M borate buffer (pH 9.2)	369 (500 mA.cm <sup>-2</sup> ) 300 (400 mAcm <sup>-2</sup> ) 359 (10 mA.cm <sup>-2</sup> ) 490 (10 mA.cm <sup>-2</sup> )	97.8% <sup>d1</sup> ~100% <sup>d1</sup> ~100% <sup>d2</sup>	48 1000 ~2 ~0,75	[27] [46] [47] [47]
NiMoN@NiFeN NiFe/NiS <sub>x</sub> /Ni foam NiFe layered double hydroxide NiFe layered double hydroxide Basic Co carbonate @Co <sub>2</sub> [Fe(CN) <sub>6</sub> ] core- shell	NiMoN Ni/NiO/ Cr <sub>2</sub> O <sub>3</sub> Pt mesh Pt mesh NiMoS	OER Natural seawater + 1 M KOH (pH 14) Artificial seawater + 1 M KOH (pH 14) Artificial seawater + 0.1 M KOH (pH 13) Artificial seawater + 0.3 M borate buffer (pH 9.2) Natural seawater (pH 7)	369 (500 mA.cm <sup>-2</sup> ) 300 (400 mAcm <sup>-2</sup> ) 359 (10 mA.cm <sup>-2</sup> ) 490 (10 mA.cm <sup>-2</sup> ) 350 (10 mA.cm <sup>-2</sup> )	97.8% <sup>d1</sup> ~100% <sup>d1</sup> ~100% <sup>d2</sup> ~100% <sup>d2</sup>	48 1000 ~2 ~0,75 100	[27] [46] [47] [47] [61]

1 <sup>a</sup> if known

2 <sup>b</sup> Note that 10 mA.cm<sup>-2</sup> corresponds to a H<sub>2</sub> of 1.86 µmol.h<sup>-1</sup>.cm<sup>-2</sup> if a FE of 100% is assumed. FE refers to the fraction of 3 generated electrons effectively used for H<sub>2</sub> evolution during HER.

4  $^{\rm c}$  FE referring to resp.  $H_2$  and  $O_2$  generation for HER and OER electrocatalysts.

5 d1 determined using gas chromatography (GC); d2 determined using electrochemical online mass spectrometry; d3 6 determined using a volumetric method; <sup>d4</sup> determined using rotating ring-disk electrode for quantitative measurement of 7 Cl<sub>2</sub> (complementary to O<sub>2</sub> evolution)

<sup>e</sup> disregarding initial overshoots

8 9 <sup>f</sup> for  $\eta$  is 300 mV

10

#### 1 3.1.2 Photocatalytic and PEC seawater splitting

The H<sub>2</sub> yields obtained through electrolytic water splitting are not yet achieved by direct photocatalytic processes. The major reason being the limited available natural solar power, in the order of 100 mW.cm<sup>-2</sup>, commonly denoted as an irradiation intensity of '1 sun'. The DOE targeted a Solar-to-Hydrogen (STH) efficiency of 10% as the benchmark for commercialization [40]. This STH can be described according to eq. 11 [63]:

$$7 \qquad STH (\%) = \frac{output \ energy \ in \ H_2}{input \ solar \ energy} = \frac{R_{H_2} \cdot \Delta G}{P \cdot S} \cdot 100\%$$
(11)

8 With  $R_{H2}$  the H<sub>2</sub> evolution rate,  $\Delta G$  the Gibbs free energy for the water splitting reaction, *P* the energy 9 intensity of the used illumination and *S* the illuminated surface area.

For 1 sun illumination and based on the Gibbs free energy (237.13 MJ.kg<sup>-1</sup> at 25°C and 1 atm) this corresponds to a H<sub>2</sub> production rate of ~150  $\mu$ mol.h<sup>-1</sup>.cm<sup>-2</sup> or a photocurrent density of ~8 mA.cm<sup>-2</sup> for PEC cells with a theoretical maximal Faradaic efficiency of 100% [64]. To achieve such high efficiency, photocatalysts should be developed with an absorption edge higher than 600 nm and an apparent quantum yield (AQY) of 60% [65] which is calculated as in eq. 12:

15 AQY = (number of used electrons for  $H_2$ )/(number of incident photons) =  $(2.N_{H2})/N_{photons}$  (12)

16 With  $N_{H2}$  and  $N_{photons}$  the number of generated H<sub>2</sub> molecules and incident photons, respectively.

17 These targets have not yet been attained in this young research field. In general, STH efficiencies of 18 direct photocatalysis often stay one order of magnitude lower, around 1% [63]. This is mostly due to 19 the use of large band gap SCs, recombination, back reactions and poisoning with peroxo-compounds 20 [66]. Yet, promising results have recently been reported using both pure water and seawater. Liao et 21 al. (2013) obtained STH efficiencies in the order of 5% in pure water with earth abundant CoO 22 photocatalysts [67], while Guan et al. (2018) achieved a stable 1.9% efficiency in seawater using p-23 GaN-based nanowire arrays under concentrated sunlight (Fig. 2b) [49]. Besides, several recent 24 studies with less intense light sources yielded STH efficiencies of ~2% [68,69]. Moreover, Jaramillo 25 and co-workers estimated that the cost of H<sub>2</sub> could even drop to US\$ 1.6-3.8 kg<sup>-1</sup> [43]. This is well 26 below the DOE target. Pure photocatalysis would thus outperform current PEC water splitting (US\$ 27 4.2-10.4  $kg^{-1}$  H<sub>2</sub>) due to its simpler design [43], despite the difficulties concerning catalyst 28 immobilization and recovery [70]. Note that over 80% of this maximal cost for PEC water splitting comes from the materials, construction and installation of the PEC cells [43]. Regardless of the higher 29 30 cost, PEC cells are still promising because of their ability to reach high STH efficiencies. The 31 theoretical maximum for a single cell lies at 16.8% given minimal ohmic and kinetic losses [71]. Even 32 higher efficiencies are theoretically possible by using tandem cells [40]. Interesting results in that 33 context were obtained at Rutgers in 2018. A STH efficiency of 11.5% could be reached using a Ni₅P4 34 HER catalyst attached to a high performing p-GaInP<sub>2</sub>/GaAs photoabsorber in pure water. The system 35 was covered with a TiN protection layer possibly allowing the use in more harsh environments [72]. If 36 the STH efficiency of a tandem cell would reach 25%, the levelized cost of hydrogen (LCOH) could even decrease to US\$ 2.90 kg<sup>-1</sup>. This should be possible in theory using appropriate triple junction 37 38 cells [43].

A wide selection of photocatalytic and PEC seawater splitting studies are provided in Table 3 and Table 4, respectively. A very important critical note has to made here, stating that comparisons between different studies are often strongly hampered by the use of different light sources and intensities, especially in pure photocatalysis research. Therefore, it would be very useful if standard testing protocols became more established. A good first measure would be to use 100 mW.cm<sup>-2</sup> (1

1 sun) Air Mass (AM) 1.5G simulated solar light in all setups. From the studies using ca. 1 sun 2 illumination, it is clear that H<sub>2</sub> yields range from 0.8 to 5 mmol.h<sup>-1</sup>.g<sub>cat</sub><sup>-1</sup>. Besides, it seems that the yields for oxides and nitrides are lower than those for sulfide-modified photocatalysts which is in 3 4 accordance with the size of the band gaps. In contrast to pure photocatalysis, PEC cells are easily 5 compared to ECs due to the similar setup. It is clear that the PEC photocurrent densities are limited to an order of magnitude of 10 mA.cm<sup>-2</sup>. This is still 50-200 times smaller than for the most advanced 6 7 ECs. Consequently, larger surface areas will be required for industrial operation, while several other 8 parameters such as seawater selectivity and stability should also be considered. These will be dealt 9 with in the following paragraphs.

**10** Table 3: Summary of several photocatalyst studies in seawater, presented with the used light, reaction medium,  $H_2$  yield (in mmol  $H_2$  per gram catalyst per hour) and the time before activity drops max. 10% ( $t_{>90\%}$ )

Photocatalyst	Light (intensity <sup>a</sup> )	Reaction medium (pH <sup>a</sup> )	H <sub>2</sub> yield (mmol. g <sub>cat</sub> -1.h <sup>-1</sup> )	t>90% (h)	Ref.
MoS <sub>2</sub> /TiO <sub>2</sub>	Solar (AM1.5G, 100 mW.cm <sup>-2</sup> )	Natural seawater + methanol (8:2 v:v) (pH 8.4)	3.43	21	[73]
2.5% CuO/nano TiO <sub>2</sub>	UV-VIS	Artificial seawater	3.1 x10 <sup>-3</sup>	5	[74]
NiO/Ni/La <sub>2</sub> Ti <sub>2</sub> O <sub>7</sub>	UV	Natural seawater (pH 8.5)	0.696	3	[75]
Pt/CdS/TiO <sub>2</sub>	VIS (>420 nm)	Natural seawater + 10 mM Na <sub>2</sub> S + 2 mM Na <sub>2</sub> SO <sub>3</sub>	1.86	3	[75]
Pt/TiO₂	UV-VIS (>320 nm, 558 mW.cm <sup>-2</sup> )	Natural seawater + 1.09 M glycerol (pH 7.7)	1.57	1	[76]
0.5 wt% Pt/TiO <sub>2</sub>	UV-VIS (>320 nm, with UV: 2.52 mW.cm <sup>-2</sup> )	Artificial seawater + 10 mmol oxalic acid (natural pH)	2.66	<3	[77]
0.5 wt% Pt/TiO <sub>2</sub>	UV-VIS (>320 nm, with UV: 2.52 mW.cm <sup>-2</sup> )	Artificial seawater + 17 mmol ethanol (pH 9.58)	3.60	<3	[77]
Ti <sup>3+</sup> self-doped Ti-O-Si	VIS (>420 nm)	Artificial seawater + 10 vol% triethanolamine (pH 8.2)	1.93	28	[78]
Ti <sup>3+</sup> self-doped Ti-O-Si	420 nm (3.15 mW.cm <sup>-2</sup> )	Artificial seawater + 10 vol% triethanolamine (pH 8.2)	0.236	10	[78]
Rutile TiO <sub>2</sub>	UV-VIS	Artificial seawater + 200 kHz 200 W ultrasound	~0.184	3	[79]
(WS <sub>2</sub> ) <sub>0,7</sub> /(C-TiO <sub>2</sub> ) <sub>5</sub> /g-C <sub>3</sub> N <sub>4</sub>	Solar (AM1.5G, 117 mW.cm <sup>-2</sup> )	Natural seawater	4.56	5	[68]
(WS <sub>2</sub> ) <sub>0,7</sub> /(C-TiO <sub>2</sub> ) <sub>5</sub> /g-C <sub>3</sub> N <sub>4</sub>	420 nm (9.584 mW.cm <sup>-2</sup> )	Natural seawater	0.249	5	[68]
SiO <sub>2</sub> /Ag@TiO <sub>2</sub> core shell	Solar (AM1.5G, 100 mW.cm <sup>-2</sup> )	Artificial seawater + 20% v/v glycerol (pH 7.99)	0.857	2	[80]
0.3 wt% Ni/NaTaO₃	UV-VIS	0.5 M NaCl + 0.1 M glucose	~23.4	NA	[81]
NiS/ZnS <sub>1-x-0.5y</sub> O <sub>x</sub> (OH) <sub>y</sub> /ZnO	VIS (>420 nm)	Natural seawater + 24 g.L <sup>-1</sup> Na <sub>2</sub> S.9H <sub>2</sub> O + 5 g.L <sup>-1</sup> Na <sub>2</sub> SO <sub>3</sub>	0.333	12	[82]
(Ni–ZnO)@C nanoreactors	UV-VIS	Artificial seawater + 2% methanol	5.1 x10 <sup>-3</sup>	5	[83]

Pt/Cd <sub>0,5</sub> Zn <sub>0,5</sub> S	VIS (>420 nm)	0.5 M NaCl + 0.05 M glucose (pH 12)	~0.183	20	[84]
Nanotube TiO <sub>2</sub> /Pt/ Cd <sub>0.8</sub> Zn <sub>0.2</sub> S	UV-VIS (>395 nm)	Natural seawater+ Na2S/Na2SO3 (pH 6.8)	5.1	6	[85]
Nanotube TiO <sub>2</sub> /Pt/ Cd <sub>0.8</sub> Zn <sub>0.2</sub> S	UV-VIS (>395 nm)	Seawater + benzyl alcohol/acetic acid	21.7	6	[85]
ZnO/Pt/ Cd <sub>0.8</sub> Zn <sub>0.2</sub> S	UV-VIS (>395 nm)	Natural seawater+ Na <sub>2</sub> S/Na <sub>2</sub> SO <sub>3</sub> (pH 6.8)	16	6	[85]
ZnO/Pt/ Cd <sub>0.8</sub> Zn <sub>0.2</sub> S	UV-VIS (>395 nm)	Seawater + benzyl alcohol/acetic acid	21.7	6	[85]
Nanorod ZnO/Pt/ Cd <sub>0.8</sub> Zn <sub>0.2</sub> S	UV-VIS (>395 nm)	Seawater+ Na <sub>2</sub> S/Na <sub>2</sub> SO <sub>3</sub>	11.8	6	[85]
Nanorod ZnO/Pt/ Cd <sub>0.8</sub> Zn <sub>0.2</sub> S	UV-VIS (>395 nm)	Seawater + benzyl alcohol/acetic acid	23.7	6	[85]
Eosin Y modified MoS <sub>2</sub>	UV-VIS (>395 nm)	Seawater + triethanolamine	14.5	6	[85]
CdS	UV-VIS (>395 nm)	Natural seawater	0.207	6	[85]
Cd <sub>4</sub> P <sub>2</sub> Br <sub>3</sub>	UV-VIS (>395 nm)	Natural seawater	0.010	6	[85]
C dots/CdS	Solar (AM1.5G, >420 nm)	Natural seawater + 10% lactic acid	4.64	12	[86]
Cd <sub>0,25</sub> Zn <sub>0,75</sub> Se/CoP	Solar (AM1.5G)	Artificial seawater	36.6	7.5	[87]
C dots/g-C <sub>3</sub> N <sub>4</sub>	Solar (AM1.5G, >420 nm, 70 mW.cm <sup>-2</sup> )	Seawater	~0.539	24	[66]
p-GaN/InGaN nanowire arrays	Solar (AM1.5G, 27 sun)	Artificial seawater (pH 7)	5.10 x10 <sup>3</sup>	3	[49]

1 <sup>a</sup> if known

Table 4: Summary of several PEC studies in seawater, presented with the used light, reaction medium, obtained current

2 3 densities (*j*) with the applied bias, Faradaic efficiencies (FE) and the time before activity drops max. 10% ( $t_{>90\%}$ ).

Catalyst working electrode	Counter electrode	Light (intensity)	Reaction medium (pHª)	<i>j</i> (mA.cm <sup>-2</sup> ) (bias)	FE⁵	t <sub>&gt;90%</sub> (h)	Ref.
			HER				
Co <sub>3</sub> O <sub>4</sub>	Pt gauze	Solar (AM1.5G, 100 mW.cm <sup>-2</sup> )	Natural seawater (pH 7.69)	25 (0.830 V <sub>RHE</sub> )	NA	0.5	[88]
p-Si/TiO <sub>2</sub> /NiO <sub>x</sub>	Pt	Solar (AM1.5G, 100 mW.cm <sup>-2</sup> )	Artificial seawater (pH 8.4)	10 (0.7 V <sub>RHE</sub> ); 20 (0.9 V <sub>RHE</sub> )	91% <sup>c</sup>	~5	[89]
			OER				
TiO <sub>2</sub>	Pt	Solar (outdoor, 36.2 mW.cm <sup>-2</sup> )	Natural seawater	0.0947 (0 V <sub>RHE</sub> )	NA	NA	[90]

CdS/TiO <sub>2</sub>	Pt sheet	VIS (>400 nm, 100 mW.cm <sup>-2</sup> )	Artificial seawater	0.494 (NA)	NA	0.083 <sup>s</sup>	[91]
BiOI@Bi core- shell microspheres/ TiO₂ nanotube arrays	Pt sheet	Solar (AM1.5G, 100 mW.cm <sup>-2</sup> )	Artificial seawater (pH 7)	1.42 (1.23 V <sub>RHE</sub> )	85.7% <sup>c</sup>	4	[69]
Polyaniline- graphene oxide-TiO <sub>2</sub>	Pt wire	UV-VIS (300 W Xe with VISREF filter, 320- 780 nm, 503.2 mW.cm <sup>-2</sup> )	Artificial seawater	3.93 (0.6 V <sub>Ag AgCl</sub> )	NA	5	[92]
Co-Pi decorated TiO₂@g-C₃N₄ nanoarrays	Pt wire	Solar (AM1.5G, 100 mW.cm <sup>-2</sup> )	Natural seawater (pH 6.4)	1.6 (1.23 V <sub>RHE</sub> )	NA	10	[93]
porous WO₃ films	Pt wire	Solar (AM1.5G, 100 mW.cm <sup>-2</sup> )	Natural seawater (pH 6.4)	1.95 (1.23 V <sub>RHE</sub> )	NA	3	[94]
Nanostructured WO <sub>3</sub> films	Pt wire	Solar (AM1.5G, 100 mW.cm <sup>-2</sup> )	Artificial seawater (pH 2)	4.78 (0.95 V <sub>RHE</sub> )	NA	<20	[95]
$\alpha$ -Fe <sub>2</sub> O <sub>3</sub> /WO <sub>3</sub> nanorod arrays	Pt foil	Solar (AM1.5G, 100 mW.cm <sup>-2</sup> )	Natural seawater (pH 6.8)	1.02 (1.23 V <sub>RHE</sub> )	NA	~1	[96]
Ag/WO₃/ZnFe- LDH	Pt	Solar (AM1.5G, 100 mW.cm <sup>-2</sup> )	Natural seawater (pH 8.08)	1.18 (1.23 V <sub>RHE</sub> )	~100% <sup>c</sup>	~6.67 <sup>d</sup>	[97]
2D/2D WO₃/g- C₃N₄ nanosheet arrays	Pt wire	Solar (AM1.5G, 100 mW.cm <sup>-2</sup> )	Natural seawater (pH 6.4)	0.73 (1.23 V <sub>RHE</sub> )	NA	1	[98]
RhO₂ loaded Mo-doped BiVO₄	Pt wire	Solar (AM1.5G, 100 mW.cm <sup>-2</sup> )	Natural seawater (pH 6)	2.16 (1.0 V <sub>RHE</sub> )	20% <sup>c</sup>	~3ª	[99]
Ag <sub>8</sub> SnS <sub>6</sub>	Pt sheet	Solar (AM1.5G, 100 mW.cm <sup>-2</sup> )	Artificial seawater (pH 7)	2.09 (0.9 V <sub>RHE</sub> ) 2.5 (1.23 V <sub>RHE</sub> )	NA	<0.03	[100]

<sup>a</sup> if known

2 <sup>b</sup> FE referring to resp. H<sub>2</sub> and O<sub>2</sub> generation for HER and OER electrocatalysts.

3 <sup>c</sup> determined using GC

4 <sup>d</sup> disregarding initial overshoots

#### 5 $3.2 \text{ Cl}_2 \text{ and } \text{ClO}^- \text{ evolution}$

6 In the following section a closer look is taken at the selectivity of seawater splitting. Next to the OER, 7 detrimental competing oxidation reaction can occur. Especially Cl<sup>-</sup> chemistry plays a major role in 8 these systems. The selectivity for the OER is therefore far more challenging than for the HER. This 9 HER selectivity originates from its more optimal reduction potential compared to those of the main 10 seawater components [10]. Still, some anions such as NO<sub>3</sub><sup>-</sup> and IO<sub>3</sub><sup>-</sup> can reduce [101], but their 11 concentrations are negligible in seawater (below ppb [102] and ppm level [103], respectively). For 12 these reasons, the main focus lies on Cl<sub>2</sub> and ClO<sup>-</sup> evolution.

#### 1 3.2.1 Electrolysis

2 Competing reactions with Cl<sup>-</sup> species are probably the most important complication for seawater 3 electrochemistry [47]. Based on the Pourbaix diagram (Fig. 3), one could state that at low pH values 4 the  $Cl_2$  evolution reaction (CIER, eq. 13) is the main competing reaction, while at high pH values the 5 formation of the hypochlorite anion (eq. 14) prevails [47]. Next to being toxic and highly 6 environmentally unfriendly [104], these species are also disadvantageous to the electrode materials 7 [48].

8	At pH 0: 2 Cl <sup>-</sup> $\rightarrow$ Cl <sub>2</sub> (g) + 2 e <sup>-</sup> ( $E^0$ = 1.36 vs. SHE)	(13)
9	At pH 14: Cl <sup>-</sup> + 2 OH <sup>-</sup> $\rightarrow$ ClO <sup>-</sup> + H <sub>2</sub> O + 2 e <sup>-</sup> ( $E^0$ = 0.89 vs. SHE)	(14)

At pH 14: Cl<sup>-</sup> + 2 OH<sup>-</sup>  $\rightarrow$  ClO<sup>-</sup> + H<sub>2</sub>O + 2 e<sup>-</sup> ( $E^0$  = 0.89 vs. SHE) 9

10 With  $E^0$  the redox potential of the half reaction.

11 The OER is thermodynamically favored over the CIER due to its lower redox potential OER (1.23 V < 12 1.36 V vs. SHE at pH 0 [10]). Bennet already noticed in 1980 that unbuffered seawater splitting would deliver mostly Cl<sub>2</sub> gas at realistic larger current densities. He explained this by the better kinetics of 13 14 the CIER. The CIER involves only two instead of four electrons, leading to smaller required 15 overpotentials. He pointed out that this effect could be avoided by using inconveniently low (< 1 mA.cm<sup>-2</sup>) or very high current densities (several thousand mA.cm<sup>-2</sup>) [105]. Note furthermore that Br<sup>-</sup> 16 17 oxidation is even thermodynamically favored, but due to its low concentration (289 times lower than 18 Cl<sup>-</sup>), it will not be considered further [10].

19 The Pourbaix diagram (Fig. 3) shows that the CIER can be avoided by operating at higher pH. Dionigi 20 et al. (2016) proposed a pH-value above 7.5 as a criterion for more selective  $O_2$  evolution [47]. 21 Without the addition of strong acids or bases, the pH of natural seawater is around 8 [29]. 22 Nonetheless, in typical liquid-based ECs, a tremendous decrease in the local pH of 5-9 pH units is observed at the anode for high current densities [11,106]. Buffers are hence necessary, despite the 23 24 original occurrence of borate and carbonate [10] (see Table 1). On the other hand, too high pH values 25 should also be avoided, due to safety constraints [7], faster degradation of the cell components and 26 CIO<sup>-</sup> formation [47]. The latter can be suppressed by applying overpotentials lower than 0.48 V (Fig. 27 3). Since the pH dependence of the OER and the evolution of ClO<sup>-</sup> are similar, this second criterion is 28 valid for all pH values above 7.5 [47].



29 30

Figure 3: Pourbaix diagram containing oxygen and Cl<sup>-</sup> redox reactions. The light blue area demarcates the OER selective region for a Cl- concentration of 0.5 M and a temperature (T) of 25°C. Reproduced with permission from Ref. [47]. Copyright

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1 To be less reliant on the reaction conditions, more robust selective solutions have been proposed 2 [62,107–113]. One interesting strategy is the repulsion of negatively charged Cl<sup>-</sup> species. This can be 3 achieved using manganese based electrocatalysts. Their OER selectivity in 0.5 M NaCl solutions 4 (simulated seawater) is very well documented for different pH values (pH 1-10) with all examples 5 showing efficiencies exceeding 90% [62,108,109,112,114]. Another important recent example is the 6 application of an *in situ* generated polyanion-rich passivating layer by Kuang *et al.* (2019). Next to the 7 passivating layer, their multilayer anode contains a NiFe electrocatalyst layer attached to a NiS<sub>x</sub> layer 8 on porous Ni foam. This setup allowed operating at industrially required current densities (0.4-1 9 A.cm<sup>-2</sup>) over 1000h. The polyatomic sulfate- and carbonate-rich passivating layers led to excellent 10 corrosion resistance and were able to effectively repel Cl<sup>-</sup> [46]. In order to repel the negatively charged Cl<sup>-</sup>, the use of cation exchange membranes is often suggested (e.g. Nafion<sup>®</sup>)[115]. 11 12 Unfortunately, these solutions suffer from membrane-related issues (see 3.5.1). Other solutions 13 focus on the preliminary removal of Cl<sup>-</sup> with *e.g.* a settling tank filled with an aqueous NaOH solution 14 [116]. Finally, another interesting approach is the use of seawater vapor instead of liquid seawater 15 (Fig. 4a). This was suggested by Spurgeon and co-workers (2016) [117]. The vapor itself contains far 16 less ions and is sufficiently humid to maintain relatively high current densities. Coupled to 17 photovoltaics (PV), stable STH efficiencies of about 6% were demonstrated for more than 50h at a 18 relative humidity (RH) of 80%. In contrast, the liquid seawater equivalent lost more than 92% of its 19 activity over this period (Fig. 4b). The performance was moreover only minimally affected by RH 20 fluctuations as long as the relative humidity exceeded 30%. However, severe HER selectivity issues 21 occur in these systems. Spurgeon's researchers encountered only low Faradaic efficiencies for the 22 HER in the order of 60%. This was explained by  $O_2$  crossover and its competing reduction reaction. A 23 thicker membrane was hence suggested to suppress gas crossover [117].



24

Figure 4: a) Spurgeon's seawater vapor setup. Electrical energy is delivered by triple junction amorphous Si (tj-a-Si) PV. The
potential of this method is displayed in b) showing a stable current density for seawater vapor (black) and deionized vapor
(DI, green) compared to that of liquid seawater (red). Current densities are measured at an applied voltage of 1.6 V, using a
80% RH vapor stream at 20 sccm for the anode and dry N<sub>2</sub> at 10 sccm for the cathode. Reproduced from Ref. [117] with
permission from The Royal Society of Chemistry.

#### **30** *3.2.2 Photocatalysis and PEC seawater splitting*

In contrast to electrolysis, several studies indicate that photocatalytic seawater splitting does not seem to suffer as much from Cl<sub>2</sub> evolution compared to electrolysis. Pioneers Ji *et al.* (2007) explored the role of salts in seawater, but never detected any formation of Cl<sub>2</sub> in their photocatalytic test systems (*i.e.* La<sub>2</sub>Ti<sub>2</sub>O<sub>7</sub> under UV light and CdS/TiO<sub>2</sub> under visible light (VIS)) [75]. Note that the VBs of these photocatalysts are theoretically suitable for Cl<sup>-</sup> oxidation [40]. It was measured that the overall pH only decreased slightly from 8.5 to 8.3 [75]. Besides, it is not expected to have large local differences since both the oxidation and the reduction occurs at the same catalyst surface [32]. This

- 1 is a strong asset compared to electrolysis where pH changes are observed up to 9 pH units near the
- electrode surface [11]. Also, in other photocatalytic studies no chlorine production was reported,
   explaining it by the possible back reduction of the Cl° radical by an e<sup>-</sup><sub>CB</sub> [77,80,118]. Guan *et al.* (2018)
- 4 observed only a small amount of free chlorine (dissolved  $Cl_2$ , HClO and  $ClO^-$ ) of ~0.1 mg.L<sup>-1</sup> [49]. This
- value was negligible compared to the formed amounts of  $H_2$  and  $O_2$ . The latter were generated in an
- 6 approximately ideal 2:1 ratio. This may imply that the CIER is an intermediate reaction. Also, the role
- of light irradiation may not be underestimated.  $Cl_2$  can react further with water to HClO (eq. 15)
- 8 which is easily decomposed to protons, oxygen gas and Cl<sup>-</sup> under illumination (eq. 16) [99].

9 
$$Cl_2 + H_2O \rightarrow H^+ + Cl^- + HClO$$
 (15)

10 2 HClO + 
$$h.v \rightarrow$$
 2 H<sup>+</sup> + 2 Cl<sup>-</sup> + O<sub>2</sub>

11 With *h* Planck's constant ( $6.63 \times 10^{-34}$  J.s) and *v* the incident light frequency.

12 Cooper et al. (2007) quantified the half-life of HCIO/CIO<sup>-</sup> under conventional sunlight irradiation as 13 0.28h in pure water at pH 7. This value even decreases for lower pH values [119]. Besides, Cl<sub>2</sub> itself 14 can also be decomposed under illumination, giving photocatalytic technology a strong benefit over 15 electrolysis [120]. Low-energy UV-A light already enables Cl<sub>2</sub> photolysis. Finally, an additional reason 16 that helps avoiding Cl<sub>2</sub> evolution is the fact that many photocatalysts, such as TiO<sub>2</sub>, have a point of 17 zero charge below the average pH of seawater (7.5-8.4) [121]. Consequently, their surface is 18 negatively charged which leads to Cl<sup>-</sup> repulsion. On the other hand it means that for some materials 19 Cl<sup>-</sup> oxidation is technically possible, depending on their valence band position [85]. For example, 20 Maeda et al. (2009) attributed the small quantity of evolved oxygen from seawater for their 21  $(Ga_{1-x}Zn_x)(N_{1-x}O_x)$  photocatalysts to the competition with chloride oxidation [122]. However, only 22 very small amounts of CIO<sup>-</sup> were observed after the reaction, compared to the total CI<sup>-</sup> concentration. 23 This might again indicate that the CI species continue to react further, which should be confirmed by 24 on-line measurements in future research.

25 Also in the case of PEC seawater splitting, Ji et al. (2007) did not detect Cl<sub>2</sub> evolution [75]. Conversely, 26 Cl<sub>2</sub> evolution may actually increase the STH efficiency [94,95], since Cl<sup>-</sup> can act as a hole scavenger, 27 thus reducing the recombination rate [68]. Especially in the case of WO<sub>3</sub> photoanodes, Cl<sub>2</sub> evolution 28 is interesting. In several studies, the CIER is used to surpass the slow kinetics of the OER due to the 29 intrinsic properties and morphology of  $WO_3$  [123]. Additionally, if oxygen bubbles are evolved, these 30 could also fill the pores of the WO<sub>3</sub> photoelectrodes, leading to lower currents. This issue is less 31 important for Cl<sub>2</sub> evolution because of its higher solubility in water [95]. Another advantage for 32 separated WO<sub>3</sub> anodes is the local acidification caused by the disproportionation of  $Cl_2$  (eq. 15). WO<sub>3</sub> 33 is most stable in acidic environments [26]. Shi et al. (2017) obtained Faradaic efficiencies for the CIER 34 of 56%, using porous WO<sub>3</sub> in 0.5 M NaCl solutions. The remaining holes were likely largely consumed 35 in the formation of HClO. Their WO<sub>3</sub> film photoanode yielded a photocurrent density of 1.95 mA.cm<sup>-2</sup> 36 which was stable for 3h under 1 sun AM 1.5G solar radiation (100 mW.cm<sup>-2</sup>) and at an applied 37 potential of 1.23 V vs. RHE (Reversible Hydrogen Electrode) [94]. Recently, Jadwiszczak et al. (2020) 38 could even achieve higher photocurrent densities in seawater using lower overpotentials. When 39 anodic potentials of 0.95 V vs. RHE were applied, their nanostructured WO<sub>3</sub> photoanodes yielded 40 currents exceeding 4.5 mA.cm<sup>-2</sup> [95]. Yet, extra precautions should be made to monitor and take care 41 of the formed toxic Cl<sub>2</sub>. Possibilities to remove Cl<sub>2</sub> from water include evaporation, adsorption on 42 activated coal or chemical neutralization with ascorbic acid [124]. As in the case of pure 43 photocatalysis, due to the presence of light, Cl<sub>2</sub>, HClO and ClO<sup>-</sup> will also be degraded [119].

44

(16)

- 1 Finally, a possible method of avoiding Cl issues could be to translate Spurgeon's seawater vapor
- 2 approach to a PEC setup [117]. This can be done using gas-phase PEC cells (Fig. 5), based on the initial
- 3 concept of Seger & Kamat (2009) [125] and recently adapted towards hydrogen recovery from
- 4 polluted humid air by Van Hal *et al.* (2017). Although O<sub>2</sub> crossover losses have been recorded, the
- 5 design offers the opportunity to effectively work with vapor streams [126]. Unfortunately, actual PEC
- 6 studies using seawater vapor are still lacking to date, but could present a highly interesting research
- 7 opportunity.
- 8



9
10 Figure 5: Gas phase PEC cell based on all solid design. Instead of a volatile organic compound (VOC) stream, a seawater
11 vapor stream could be introduced. Reproduced with permission from Ref. [126]. Copyright 2017, Wiley-VCH Verlag GmbH.

#### 12 3.3 Catalyst stability

Next to the activity and selectivity, the stability in seawater is a crucial parameter. Important aspects
 including corrosion, scaling, biofouling and flocculation will be discussed.

#### **15** *3.3.1 Electrolysis*

Apart from the formation of  $Cl_2$  and  $ClO^-$ ,  $Cl^-$  can also cause severe corrosion of the electrodes. This happens via the metal chloride-hydroxide mechanism (eq. 17-19). It consists of the  $Cl^-$  adsorption due to surface polarization, which can lead to dissolution of the metal. After this, the chloride is often converted to a hydroxide [46].

20	$M + Cl^- \rightarrow MCl (ads) + e^-$	(17)
21	MCl (ads) + x-1 Cl <sup>-</sup> $\rightarrow$ MCl <sub>x</sub> <sup>-</sup> (aq)	(18)
22	$MCl_x^-$ (aq) + x-1 OH <sup>-</sup> $\rightarrow$ M(OH) <sub>x</sub> (s) + x Cl <sup>-</sup>	(19)

23 Corrosion in general is considered as one of the major issues of seawater electrolysis, resulting in lowered yields [11]. Also for OER selective anodes such as NiFe-LDH (Layered Double Hydroxides) 24 25 [47], Co-borate [28] and Co-phosphate [28,127] severe corrosion on the long term is observed. It is 26 even noticed for noble metal (e.g. Ru) containing electrocatalysts [128]. Important for corrosion 27 processes is the dramatic pH change (up to 9 pH units in slightly buffered seawater) at the anode 28 [11]. For example, when efficient  $H^+$  acceptors are lacking, the electrocatalyst will act as a base 29 resulting in H<sup>+</sup> induced corrosion [127]. Therefore, proper control over the reaction medium [117] 30 and additional modifications such as passivating layers [46] are needed in order to increase the 31 corrosion resistance. Dai's polyatomic sulfate- and carbonate- rich passivating layers are a good 32 example that lead to superior corrosion resistance [46].  $Cl_2$  can also cause corrosion at the cathode due to gas crossover [129]. To prevent this, controlling the pressure gradient is key. Thicker membranes are advisable, although this will be a trade-off with the conductivity [11]. Another option is alloying the Pt or Ni cathodes with transition metals (Cr, Fe, Co and Mo) [130]. For instance, PtMo alloys on a Ti mesh lose less than 10% of their activity in seawater over 172h of operation [51]. Finally, also Spurgeon's seawater vapor approach could be helpful (see 3.2.1 and Fig. 4b).

Secondly, a tremendous pH increase can occur at the cathode when protons are rapidly consumed. 6 7 This leads to very alkaline conditions. Cations such as  $Mg^{2+}$  and  $Ca^{2+}$  may hence precipitate as hydroxides [131]. This precipitation on the electrodes, called inorganic scaling, already begins at pH  $\geq$ 8 9 ~9.5 [132]. Consequently, the active sites of the cathode get blocked. Current density losses of more 10 than 50% after 24h have been reported because of this phenomenon [131]. Proposed solutions are often based on the use of perm-selective overlayers. These block the impurities and still allow 11 transfer of reagents and products [133]. In 2017, Ma et al. suggested a graphitic shell around a 12 13 CoMoP electrocatalyst (Fig. 6a). By using this shell, effective protection against etching, 14 agglomeration and blocking of active sites could be obtained (Fig. 6b). However, close attention 15 should be paid to possible mass transport issues [57].

16



17

Figure 6: a) Configuration of Ma's CoMoP@C electrocatalyst for which the N-doped carbon shell is formed from dicyandiamide. b) Current density vs. time plots for CoMoP@C under a static overpotential of 180 mV during 1h for 12 months with an interval of 3 months. Reproduced from Ref. [57] with permission from The Royal Society of Chemistry.

21 Finally, active site blocking can also originate from organic matter, if no seawater pre-treatment is 22 applied [134]. Next to active site blocking, biofouling can locally attack metallic materials and 23 consequently cause crevice corrosion [135]. Alternatively, it is stated that electrochemical reactions 24 can generate ROS at sufficiently large overpotentials [48]. Important examples of these ROS are the 25 hydroxyl radical at the anode and the superoxide anion radical at the cathode [32], that can oxidize 26 organic matter. Also chlorine species could play that role bringing them back to the Cl<sup>-</sup> state [48]. It 27 should be noted that the occurrence of ROS and Cl<sub>2</sub> is far less likely at the cathode [136]. Esposito's 28 group developed impurity tolerant membrane coated electrocatalysts for the cathode. These 29 catalysts are based on ultrathin layers of SiO<sub>x</sub> coated onto Pt films. The SiO<sub>x</sub> overlayers act here as 30 poison resistant nanomembranes which still easily allow the  $H^+/H_2$  transport [137]. Nevertheless, further research for the effect of impurities in ECs and impurity resistant materials could be very 31 32 advantageous to the community [11].

#### 1 3.3.2 Photocatalysis and PEC seawater splitting

2 As mentioned previously, local pH fluctuation-induced corrosion is not considered a significant 3 problem for photocatalysts. Reported pH changes are in the order of 0.1-1 pH unit [75,99] vs. 5-9 4 units for electrolytic seawater splitting [11]. The most common semiconductor photocatalyst,  $TiO_2$ , is 5 also a chemically stable oxide [138]. Its outstanding chemical stability originates from the fact that 6  $O^{2^{-}}$  is a hard nucleophile [32]. Unfortunately, this also results in a large band gap since the VB consists 7 of the low energy O 2p orbitals [32]. On the other hand, small band gap photocatalysts such as metal 8 chalcogenides [40], (oxy)nitrides [139,140] and oxysulfides [141] suffer from poor stability. In 9 general, corrosion, and photo-corrosion in particular (which obviously does not occur in ECs), are 10 major issues here [40]. Cheng et al. (2016) observed for example performance losses of more than 11 95% for orthorhombic Ag<sub>8</sub>SnS<sub>6</sub> in a 0.5 M NaCl solution due to its poor stability. To solve this, the use 12 of sacrificial agents such as  $Na_2S$  and  $K_2SO_3$  is suggested [100]. Further, the application of co-catalysts 13 could also enhance the corrosion resistance. MoS<sub>2</sub> seems very promising to inhibit photo-corrosion 14 and can improve charge separation and light absorption (absorbance edge around 1040 nm) [142]. 15 Another promising strategy to broaden the activity spectrum of oxide photocatalysts is the 16 deposition of plasmonic nanoparticles (Pt [76], Pd [143], Ag [144,145] and Au [36,146–149]). These 17 possess the optical property of (Localized) Surface Plasmon Resonance ((L)SPR) which can enable the 18 utilization of visible light [150]. These metal particles function additionally as electron sinks under UV 19 illumination, thereby lowering the likelihood of recombination [151]. Especially Ag seems promising 20 due to its lower cost and strong SPR response. Unfortunately, also these noble metals quickly 21 aggregate and oxidize in saline media, and should thus be stabilized. As a solution, work by the 22 Verbruggen group reports on the effect of a stabilizing polyelectrolyte shell. By applying the Layer-23 by-Layer (LbL) technique, a homogeneous capping layer was deposited around the Ag nanoparticles 24 with thickness control up to the sub-nanometer level (Fig. 7a) [145]. The thin shell ensured the 25 plasmonic effect was not compromised, while superior stability of plasmonic Ag nanoparticles could 26 be achieved, which was demonstrated by adding a 1 M NaCl solution to the particle suspension (Fig. 27 7b). An alternative stabilizing technique is the full embedment of the plasmonic particles in the 28 photocatalyst layer itself [146]. 29



1 2

Figure 7: a) Graphical representation of a LbL stabilized plasmonic Ag/TiO2 heterostructure. b) Images of plasmonic Ag 3 suspensions before and after the addition of NaCl. The color change is clear in the case of unprotected Ag, while the LbL 4 stabilized Ag (with 4 polymeric layers, L4) retains its color. Reproduced from Ref. [145], Copyright (2017), with permission 5 from Elsevier.

6 The effect of scaling due to inorganic ions is ambiguous. Ichikawa (1997) reported in the first 7 seawater splitting study using photocatalysts that the surface of a thin anatase TiO<sub>2</sub> film remained 8 clean and free from deposits. He considered this as a strong advantage over seawater electrolysis 9 [90]. Still, Ji et al. (2007) tested the effect of NaCl, MgCl<sub>2</sub>, MgSO<sub>4</sub>, CaSO<sub>4</sub>, K<sub>2</sub>SO<sub>4</sub>, K<sub>2</sub>CO<sub>3</sub> and MgBr<sub>2</sub> in 10 simulated seawater. It was revealed that all the dissolved electrolytes decreased the  $H_2$  formation 11 rates compared to pure water, except K<sub>2</sub>SO<sub>4</sub>. MgCl<sub>2</sub> appeared to be the most detrimental salt. A solution containing ca. 74% and 15% of the Mg<sup>2+</sup> and Cl<sup>-</sup> content of natural seawater, respectively, 12 resulted in the lowest activity (decreased rates with a factor of ~2 compared to pure water). It was 13 14 also demonstrated that simulated seawater without MgCl<sub>2</sub> clearly outperformed seawater containing all seven salts. Hereby, Mg<sup>2+</sup> was exposed as the main culprit [75]. Hence, it can be beneficial to 15 16 selectively exclude this ion. Despite membrane related issues (see 3.5.2), polyelectrolyte multilayer 17 membrane consisting of polyvinyl alcohol and -sulfate can offer a solution. These reject 98% of Mg<sup>2+</sup> 18 from seawater [152].

19 Anions are also further investigated for their influence on the photocatalytic activity. Although Krivec 20 et al. (2014) pointed out the detrimental effect of  $Cl^{-}$  active site blocking on TiO<sub>2</sub> [153], the effect of 21 anions can actually be beneficial. It has been shown that carbonates facilitate  $O_2$  desorption on  $ZrO_2$ 22 photocatalysts via a carbonate radical pathway. Back reactions between  $H_2$  and  $O_2$  are consequently 23 suppressed [154]. In addition, small amounts of Cl<sup>-</sup> are known to be effective against peroxo-forming 24 side reactions [155]. These unwanted side reactions can lead to significant activity decreases [156]. 25 Also, the usage of stabilizing co-catalysts such as Co oxides is found to be effective against the peroxo 26 formation on WO<sub>3</sub>. Peroxo compounds are strong poisons for  $C_3N_4$  photocatalysts as well. Therefore, 27 Liu et al. (2015) introduced extra stabilizing carbon dot (CD) co-catalysts. These CDs degrade peroxo 28 species, leading to a long-term stability of at least 200 days[66]. Zhu et al. (2019) showed that these 29 CDs allow the distraction of various ionic components in seawater. Secondly, they give rise to more 30 efficient charge separation in CDs/CdS composites, resulting in 265 times higher  $H_2$  evolution rates 31 than for unmodified CdS [86].

1 Finally, biofouling has never been reported as a severe issue, to the best of our knowledge. This could be explained by the fact that photocatalysts are very prone to generate ROS. ROS can 2 3 effectively degrade organic matter [32]. Therefore,  $TiO_2$  photocatalysts are often applied on different 4 kinds of substrates to decompose organic fouling [146,157–159]. This is an advantage over 5 compartmentalized cells such as ECs and PECCs. On the other hand, an advantage of such cells over 6 plain photocatalysts is the lack of flocculation effects: In photocatalyst slurries, flocculation can occur 7 due to the presence of salts. The ions may remove the electrostatic repulsion. Sakurai et al. (2018) 8 noticed that the resulting agglomeration and decrease in active surface area led to halved H<sub>2</sub> yields 9 for granular Pt/TiO<sub>2</sub>. This flocculation behavior is, at least in part, reversible. For Sakurai's system,

10 enhanced stirring or a Na<sup>+</sup> decrease was already helpful [76].

For PEC seawater splitting, the situation depends strongly on the materials used. For the OER, 11 12 chemically stable photocatalysts, sometimes modified with co-catalysts, are advisable. Luo et al. 13 (2011) showed that unmodified Mo-doped BiVO<sub>4</sub> photoanodes in seawater underwent photocurrent decreases from 18 mA.cm<sup>-2</sup> to 12 mA.cm<sup>-2</sup> after 270 min under 1 sun illumination. After modification 14 15 with RhO<sub>2</sub>, the photocurrent densities were stabilized around 16.7 mA.cm<sup>-2</sup> [99]. At the cathode, 16 similar problems as for ECs can be observed. Ayyub et al. (2018) explained performance decreases by 17 flaky Mg(OH)<sub>2</sub> layers shown by Scanning Electron Microscopy (SEM, Fig. 8a-c) and Energy Dispersive X-ray analysis (EDX, Fig. 8d-f). The adverse blocking effect of formed Cu(OH)<sub>2</sub> was suggested as well. 18 19 This Cu hydroxide might be formed from the Cu<sub>2</sub>O-CuO-Ni<sub>x</sub>P<sub>y</sub> electrodes [85]. To stabilize the 20 cathode, opportunities lie in the use of chemically stable oxides as a protecting layer. Kawde et al. 21 (2018) showed the potential of TiO<sub>2</sub> protection layers for p-Si photoanodes with NiO<sub>x</sub>. Without the 22 extra layer, the silicon would oxidize rapidly, but no declined activity was observed during a 5h test with the protected photoanodes. Excellent photocurrent densities of 10 and 20 mA.cm<sup>-2</sup> could 23 24 moreover be achieved for applied potentials of 0.7 V and 0.9 V vs. RHE using this system [89].





Figure 8: SEM of Cu<sub>2</sub>O-CuO-Ni<sub>x</sub>P<sub>y</sub> photocathode a) before and after 1 sun AM1.5G illumination during 3h in b) distilled water
 and c) seawater. EDX of photocathode d) before and after the illumination in e) distilled water and f) seawater. The insets
 display the color of the photocathode. Note the blue-white hydroxide layer for the seawater cathode. Reproduced with
 permission from Ref. [85]. Copyright 2017, Wiley-VCH Verlag GmbH.

As a final remark, it is even stated in several studies that salts in seawater could act as an ideal electrolyte if corrosion and scaling issues are solved [70,92,160]. Important parameters are composition, concentration and ionic strength [92]. Concentrating seawater is therefore suggested to increase the ionic conductivity, potentially leading to lower ohmic losses [160]. Even RO waste streams could be useful. Hence, also coastal environmental pollution could be avoided [70].

#### 1 3.4 Catalyst sustainability

Another factor of paramount importance is the sustainability of the used catalysts. This determines
 factors like the eventual H<sub>2</sub> cost and upscaling possibilities. The following paragraphs will therefore
 discuss the most commonly used materials and present some sustainable alternatives.

#### 3.4.1 Electrolysis

As mentioned before, PGMs belong to the best performing electrode materials. Only under very
alkaline conditions (30% KOH in water), first row transition metals and their oxides display
reasonably high activities for the HER and OER [7]. Certainly for PEMECs, Pt- and Ir-based catalysts
are considered as the benchmarks [52].

10

5

11 Unfortunately, rational design strategies that have been applied to replace PGMs in studies involving 12 pure water cannot be directly extrapolated to seawater environments. An example is Trasatti's 13 volcano plot that revealed sustainable alternative materials for Pt. This plot captures the relation 14 between the HER exchange current density and the metal-hydrogen bond strength [161]. By 15 combining elements from opposite sides of the plot, promising composite materials could be 16 generated. For example, Lu et al. (2015) combined Cu surfaces with Ti dopants, yielding materials 17 with a Pt-like H-binding energy. This strategy has yielded remarkably stably performing structures for 18 pure water splitting. Cu<sub>95</sub>Ti<sub>5</sub> exhibited even twice the activity of state-of-the-art Pt/C electrocatalysts 19 [162]. However, CuTi composites appear to suffer from pitting corrosion in seawater, and are thus a 20 lot less promising in that context [163]. Still, after proving to be promising alternatives to PGM HER 21 electrocatalysts in pure water [164,165], several abundant transition metals such as Co [58], Fe [60] 22 and Ni [52], as well as transition metal phosphides (e.g. CoMoP [57]) have found their way into 23 seawater research in recent years. The same goes for OER materials, although these still strongly 24 suffer from corrosion [47,127].

25 3.4.2 Photocatalysis and PEC seawater splitting

26 Various oxides based on earth-abundant elements are often applied as photocatalysts. Yet, possible 27 sustainability issues may occur due to use of very specific oxides and co-catalysts. The highest 28 activities are often brought about by components containing rare earth metals like Ta. Replacing 29 such metals by inexpensive, abundant fourth-period transition metals would be desirable [65]. Since 30 many oxide semiconductors exhibit a low solar activity, co-catalysts are regularly added which 31 impacts the catalyst's sustainability. For instance, rare noble metals like Pt [76], Pd [143], Ag [144] 32 and Au [146] perform very well since they improve both the charge separation as the solar light 33 absorption due to plasmonic effects. To investigate the benefits of the plasmonic effect with earth-34 abundant components, Guo et al. (2018) synthesized nonmetal plasmonic MoS2@TiO2 35 heterostructures (Fig. 9a). In pure water, these photocatalysts achieved high H<sub>2</sub> yields of 181 µmol.h<sup>-</sup> <sup>1</sup>.cm<sup>-2</sup> under 1 sun AM 1.5G illumination. This value was 8 times higher compared to bare TiO<sub>2</sub>, but 36 37 decreased by a factor 2-3 in seawater (Fig. 9b), showing the importance of stability [73]. Other 38 sustainable promotors for improving the performance of large band gap oxides in seawater research 39 include CuO [74], NiO [166] and NiS [82].



1 2

Figure 9: a) Representation of non-noble plasmonic MoS<sub>2</sub>/TiO<sub>2</sub> heterostructures. b) H<sub>2</sub> evolution rates for several MoS<sub>2</sub>/TiO<sub>2</sub> 3 heterostructures with different geometric areas in pure (red) and seawater (blue). The values for pristine and sulfurated 4 TiO<sub>2</sub>, and oxidized Mo on TiO<sub>2</sub> are about 8 times lower than for MoS<sub>2</sub>/TiO<sub>2</sub>. Reproduced from Ref. [73] with permission from 5 The Royal Society of Chemistry.

6 Next, non-oxide earth-abundant small band gap photocatalysts are studied extensively. For example, 7 Qiu et al. (2017) revealed the potential of  $Cd_{0.25}Zn_{0.75}Se/CoP$ , displaying an interestingly stable H<sub>2</sub> formation rate of 36.6 mmol.h<sup>-1</sup>.g<sup>-1</sup> in seawater [87]. Equally interesting are sustainable carbon-based 8 9 materials. In 2015, Liu et al. introduced the use of low-cost, earth-abundant and environmentally 10 friendly  $C_3N_4$  ( $E_q = 2.7 \text{ eV}$ ) in seawater research [66]. Speltini *et al.* (2018) further improved g- $C_3N_4$ 's 11 activity by a facile HNO<sub>3</sub> refluxing pretreatment, increasing the surface area, electron transport and 12 reduction capacity. The subsequent oxidized  $g-C_3N_4$  performed 5 times better than TiO<sub>2</sub> in seawater 13 splitting due to its smaller band gap and more beneficial position of the CB [167]. However, Pt co-14 catalysts are often applied to enhance the H<sub>2</sub> evolution, although alternatives such pyridine 15 cobaloxime based co-catalysts are recently appearing as well [168]. In addition,  $g-C_3N_4$  is often 16 combined with other abundant photocatalysts as well [68,93,98]. For example, WS<sub>2</sub>/TiO<sub>2</sub>/g-C<sub>3</sub>N<sub>4</sub> heterojunction composites showed high HER rates of 4.56 mmol.h<sup>-1</sup>.g<sup>-1</sup> for 5h [68]. Another 17 18 interesting class of small band gap materials is based on carbonaceous polymers. Liu et al. (2018) 19 developed electron donor-acceptor covalent organic polymers (COP) using 1,3,6,8-tetrabromopyrene 20 (donor) and 3,8-dibromophenantroline (acceptor) with a band gap of 1.76-1.84 eV [169]. Ultra-stable 21 structures were attained by a Ni catalyzed Yamamoto-type Ullmans cross-coupling reaction which 22 allowed the use of seawater. To exclude any PGM co-catalysts, corrosion resistant carbon 23 encapsulated Ni<sub>2</sub>P substitutes were recently introduced. These sustainably modified COP 24 photocatalysts achieved stable 2.5 mmol  $H_2$  h<sup>-1</sup>.g<sup>-1</sup> evolution over half-a-month of intermittent testing 25 [170]. Finally, also 2 dimensional covalent organic frameworks (COF) have been studied in a seawater context, but currently suffer much from Mg<sup>2+</sup> chelation, yielding less active structures [171]. 26

27 For PEC cells, the use of earth-abundant materials for the photoanode in seawater is quite well 28 documented [69,88,94,95,97–99,156,172]. Yet, similar activity issues arise as for pure photocatalysts. 29 On the cathode side, Pt is usually used [173]. In order to replace it, p-Si as a photoactive substrate 30 with deposited HER electrocatalysts (e.g. Mo sulfides) has been suggested [174]. However, Si 31 electrodes suffer from their poor stability. The same is observed for  $Cu_2O$  (with an ideal  $E_a$  of about 2 32 eV) [175]. Hence, the use of abundant co-catalysts like Ni [175] and protecting layers consisting of 33 e.g. ZnO and TiO<sub>2</sub> is studied [176]. An alternative is the use of Co-based materials [88,177]. Patel et 34 al. (2017) applied porous and semi-transparent  $Co_3O_4$  photocathodes for achieving high current 35 densities of 25 mA.cm<sup>-2</sup> under 1 sun illumination and a bias of 0.83 vs. RHE. Due to the dual band gap 36 character of the porous Co<sub>3</sub>O<sub>4</sub> ( $E_g$  = 1.5 and 2.3 eV), high photo conversion efficiencies of 9% and 2% 37 could be obtained for UV and visible light wavelengths, respectively [88].

### 1 3.5 Use of membranes

Although the catalyst is considered to be the crucial component in all systems, the use of membranes is simply often required in ECs and PEC cells. They may moreover serve to overcome some of the difficulties when working in seawater, as mentioned before (see 3.2.1). Membranes are known to be affected by ions and impurities as well [178].

### 6 3.5.1 Electrolysis

Membranes are prominently present in PEMECs and AEMECs where they guarantee the ion-selective 7 8 transfer of protons and hydroxide anions, respectively. This also leads to physical separation of the 9 reaction products, increasing the final hydrogen yields [179]. The most common membranes in 10 PEMECs are based on Nafion<sup>®</sup> [136]. These humid sulfonated tetrafluoroethylene-based polymers 11 benefit from their high proton conductivity, good thermal properties and chemical stability in pure 12 water. Unfortunately, it increases the cost of the device significantly [180]. Their lifetime is severely compromised in seawater due to blocking by Na<sup>+</sup>, Mg<sup>2+</sup> and Ca<sup>2+</sup> which decreases the membrane 13 14 performance [181]. This resulted in high water purity requirements for PEMECs (18 M $\Omega$ .cm) making 15 their use in seawater unfeasible. In AEMECs on the other hand, similar issues are observed due to the anions present (Cl<sup>-</sup>, Br<sup>-</sup>, SO<sub>4</sub><sup>2-</sup> ...) [10]. Boettcher's group pointed out that anion exchange membranes 16 17 are still significantly less stable and active than their more developed cation equivalents [182]. Dresp 18 et al. showed a first working membrane-based AEMEC on artificial seawater in 2018 [29]. They used a 19 NiFe LDH anode and a Tokuyama A201 AEM. After addition of 0.5 M NaCl to several KOH solutions 20 (0.1-1.0 M), a remarkable current density decrease was noticed. This decrease could only be related 21 to Cl<sup>-</sup> blocking of the membrane, as no adverse effect of NaCl on the catalysts and electrodes was 22 observed [29].

23 An alternative approach is the use of a Zirfon diaphragm due to its robustness, but these systems 24 suffer from their lack of functional exchange groups. This results in a low ion conductivity [183]. The 25 use of a membrane also generates a pH gradient between the electrodes. This causes an efficiency 26 decrease since the theoretical electrolysis voltage increases. It is expected that this effect will be 27 more significant for larger distances between the electrodes [184]. Besides, biofouling may occur as 28 it is also observed for reverse osmosis membranes [178]. An interesting solution refers back to the 29 seawater vapor approach of Spurgeon and co-workers (2016) [117]. These systems exclude the 30 majority of ions, as mentioned earlier in 3.2.1. The ultimate solution, however, for all the membrane-31 related problems mentioned thus far, is to completely remove it from the cell design. In that regard 32 Esposito's group introduced in 2018 floating membraneless PV-electrolyzers with buoyancy-driven 33 product separation (Fig. 10a) [48]. These electrolyzers contain mesh electrodes with asymmetrically 34 deposited electrocatalysts (Fig. 10b). Using this system, product crossover rates could drop to only 35 1% without the use of any membrane.



1

Figure 10: a) Schematic representation of a membraneless electrode setup. The required voltage is supplied by PV cells. b)
 Detail of the setup in (a). The mesh electrodes with asymmetrically outward deposited electrocatalysts are placed under a
 well-defined angle (θ), separated by certain length (L). The gas bubbles will float upwards after they have grown sufficiently
 in size. Reproduced with permission from Ref. [48], Copyright (2018), with permission from Elsevier.

### 6 3.5.2 Photocatalysis and PEC seawater splitting

7 Another way of surpassing membrane related issues is the use of photocatalysis, as pointed out by 8 Dresp et al. (2019) [10]. For pure photocatalysts, the use of membranes is not required. This has 9 consequences for the hydrogen yield since the reaction products are not physically separated [185]. 10 A solution to suppress the back reaction is the use of oxyhydroxide layers as an  $O_2$  impermeable 11 surface coating. This was successfully applied for pure water splitting by Pan et al. (2015) using 12 complex perovskite-type oxynitride photocatalysts (LaMg<sub>x</sub>Ta<sub>1-x</sub>O<sub>1+3x</sub>N<sub>2-3x</sub> with  $x \ge 0.33$ ) [186]. A more 13 established solution is the use of hole scavengers. These often lead to a higher efficiency in seawater 14 than in pure water due to better adsorption [84,187]. The available ions may remove any 15 electrostatic repulsions between the scavenger and the photocatalyst [84]. Another issue related to 16 the absence of gas separation is the possibility of forming an explosive gas mixture [66]. However, 17 several industrial techniques are already sufficiently mature to extract and separate the H<sub>2</sub> gas such 18 as pressure or temperature swing adsorption [43] and electrochemical pumps [66].

Since PEC water splitting devices are similar to ECs [26], the types of membranes involved and the related issues are similar to those discussed above. Gas-phase PEC cells (see 3.2.2) might at least partially solve the fouling problems, again highlighting the potential of using seawater vapor, also in PEC cells.

#### 1 3.6 Electricity requirements

2 The last discussed parameter is the need for electricity, since this is also an important difference3 between both technologies.

#### 4 3.6.1 Electrolysis

5 Electrolysis is by nature an electricity-driven process. d'Amore-Domenech et al. (2019) calculated 6 that the required electric energy for producing 1 kg H<sub>2</sub> (at 350 bar) amounts to 452.0 MJ if direct 7 seawater electrolysis is applied (Fig. 11). This value is rather high, compared to the estimated 174.6 8 MJ.kg<sup>-1</sup> H<sub>2</sub> for low-temperature pure water electrolysis. It should also be noted that the heat 9 delivered by the electrolyzer cannot be used for co-generation under the studied conditions (operation temperature of 15.9 °C) [188]. Possibly, energy efficiency gains may be obtained by 10 adding more electrolytes to increase the ionic conductivity [160]. To deliver the required energy, 11 12 several sustainable solutions have been explored that are available in a marine environment, *i.e.* 13 close to the source of seawater. Potential energy sources are e.g. salinity and thermal gradients, 14 wave, tidal, solar and wind energy [188].



15

Figure 11: Comparison of energy requirements for the electrolyzer (black), and balance of plant\* (BOP, red) for both electrolytic and photocatalytic water splitting systems. The results are based on the studies of d'Amore-Domenech *et al.* (2019) and Pinaud *et al.* (2013). The error bar for photocatalysis gives the average deviation for different types of photocatalytic systems (single and dual bed particle suspension, fixed panel array and tracking concentrator array). \*The BOP consists of the compressor, pump and control system requirements. The replacement of the panels is not considered here. Based on the values reported in Ref. [188], Ref. [189] and Ref. [43].

#### 22 3.6.2 Photocatalytic and PEC seawater splitting

23 One of the major advantages of photocatalysis compared to electrolysis, is the fact that no external 24 electricity input is required, thus avoiding the associated efficiency losses [190]. PEC cells can in 25 principle also benefit from this advantage, although often an external bias is applied to enhance the 26 activity. Moreover, an energy input of 7-15 MJ per kg H<sub>2</sub> is always required for the utility systems 27 [189]. In multiple studies several tenths of Volts are commonly applied as external bias [7]. The effect 28 of this bias is not as straightforward, since the excess energy leads to a temperature increase of the 29 environment. This in turn results in a lower required energy for the photocatalytic redox reactions 30 [191]. On the other hand it again increases the recombination rate and the rate of reagent desorption as well [192]. In an early study, Raja et al. (2006) demonstrated that the optimal photon 31 32 conversion efficiency for TiO<sub>2</sub> nanotubular arrays in a 3.5 wt% NaCl solution was obtained for a 33 potential applied to the anode of 0.6 V vs. Ag AgCl [191]. To further minimize the overpotentials, 1 electrocatalysts can be added [41]. Important pioneers for this were Chorkendorff and co-workers 2 who assembled electrocatalytic Mo<sub>3</sub>S<sub>4</sub> and red photon absorbing p-Si photocathodes for pure water 3 splitting. This modification made it possible to work quite efficiently without external bias [174]. 4 More specifically in seawater research, Kawde et al. (2018) implemented p-Si/TiO<sub>2</sub> photocathodes 5 without external bias. These already showed to work 15 times better after the addition of NiO<sub>x</sub> [89]. 6 So, the possibility of working without extra electric energy input is clearly a strong advantage for 7 these processes. Hence, Glenk and Reichelstein (2019) stated that despite their early commercial 8 stage, they may improve the economics of renewable H<sub>2</sub> generation in the future [44].

## 9 4. Conclusion and outlook

10 In this review, photocatalytic and PEC seawater splitting are compared to seawater electrolysis. 11 Seawater electrolysis heavily benefits from a high overall activity that is reached to date, while the 12 efficiency of photocatalytic and PEC processes is strongly limited by their dependence on solar light. 13 On the other hand, these technologies may appear superior when selectivity and stability in seawater 14 are concerned. In photocatalysis, harmful Cl<sub>2</sub> and ClO<sup>-</sup> formation tend to be less problematic than for 15 electrolysis, but the actual fate of Cl-species has not yet been fully elucidated is such systems. 16 Besides, conventional ECs suffer strongly from corrosion and inorganic scaling due to the very high 17 local pH changes (up to 9 pH units) occurring at the electrodes. Hence, to deal with the 18 aforementioned selectivity and stability issues, future EC research may benefit from focusing on the 19 application of perm-selective, passivating overlayers, excluding harmful ions and other interfering 20 components in seawater. Another promising research suggestion is the implementation of 21 Spurgeon's seawater vapor approach for more sustainable, earth-abundant metal based electrodes. 22 On the other hand, large pH changes are simply not observed for pure photocatalysts, where they 23 are measured to be limited to several tenths of a pH unit. Photocatalysts are also considered more 24 resistant toward biofouling because of the formation of reactive oxygen species. Other benefits of 25 direct photocatalysis lie in the fact that membranes and additional electricity input are not strictly 26 needed. This makes this young research domain still promising to achieve the DOE targets. However, 27 in order to attain these targets, the solar light utilization capacity of current photocatalysts will need 28 to be improved further. Therefore, research on abundant, small band gap photocatalysts in 29 combination with sustainable co-catalysts is encouraged. However, additional stabilization methods 30 will need to be considered to guarantee long-term performance. Finally, more optimized 31 photocatalysts can be implemented in PEC cells. These cells suffer from several EC-like 32 disadvantages, but benefit from the immediate separation of the evolved gases. Especially all-solid 33 PEC designs operating on seawater vapor have been identified as a potentially promising strategy due to reduced Cl<sub>2</sub> formation, diminished corrosion and scaling, thus offering great new research 34 35 opportunities.

Finally, while seawater electrolysis is clearly the most established technology to date, photocatalysis offers crucial advantages toward selectivity and (long term) stability that could stimulate its further development for applications on the intermediate and long term, while photoelectrochemical techniques may be able to compromise between advances in both fields.

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#### 1 TOC Entry



- 2
- 3 The pros and cons of electrolytic, photocatalytic and photoelectrochemical seawater splitting are
- 4 compared, highlighting technological advances and sustainability aspects.