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**Reference:**

Spiller Marc, Moretti Michele, De Paepe Jolien, Vlaeminck Siegfried.- Environmental and economic sustainability of the nitrogen recovery paradigm : evidence from a structured literature review  
Resources, conservation and recycling - ISSN 1879-0658 - 184(2022), 106406  
Full text (Publisher's DOI): <https://doi.org/10.1016/J.RESCONREC.2022.106406>  
To cite this reference: <https://hdl.handle.net/10067/1888730151162165141>

# 1 Environmental and economic sustainability of the nitrogen recovery 2 paradigm: Evidence from a structured literature review.

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## 13 Abstract

14 Our economy drives on reactive nitrogen (Nr); while Nr emissions to the environment surpass the  
15 planetary boundary. Increasingly, it is advocated to recover Nr contained in waste streams and to reuse it  
16 'directly' in the agri-food chain. Alternatively, Nr in waste streams may be removed as N<sub>2</sub> and refixed via  
17 the Haber-Bosch process in an 'indirect' reuse loop. As a systematic sustainability analysis of 'direct' Nr  
18 reuse and its comparison to the 'indirect' reuse loop is lacking, this structured review aimed to analyze  
19 literature determining the environmental and economic sustainability of Nr recovery technologies.  
20 Bibliometric records were queried from 2000-2020 using Boolean search strings, and manual text coding.  
21 In total, 63 studies were selected for the review. Results suggest that 'direct' Nr reuse using Nr recovery  
22 technologies is the preferred paradigm as the majority of studies concluded that it is sustainable or that  
23 it can be sustainable depending on technological assumptions and other scenario variables. Only 17  
24 studies compared the 'direct' with the 'indirect' Nr reuse route, therefore a system perspective in Nr  
25 recovery sustainability assessments should be more widely adopted. Furthermore, Nr reuse should also  
26 be analyzed in the context of a 'new Nr economy' that relies on decentralized Nr production from  
27 renewable energy. It is also recommended that on-par technology readiness level comparisons should be  
28 carried out, making use of technology development and technology learning methodologies. Finally, by-  
29 products of Nr recovery are important to be accounted for as they are reducing the environmental  
30 burdens through avoided impacts.

31 **Keywords:** life cycle and economic assessment, circular economy, nutrient reuse, blue/green ammonia,  
32 urine, manure

33

34 List of acronyms

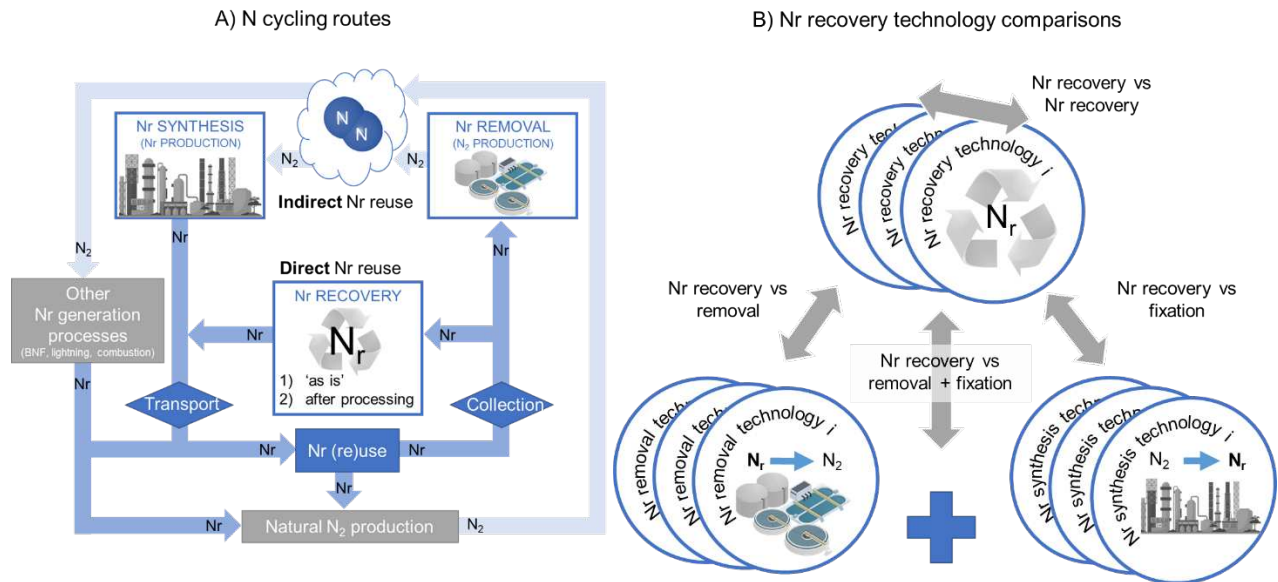
35	AD	Anaerobic Digestion
36	AS	Activated Sludge
37		
38	CO <sub>2</sub> -eq.	CO <sub>2</sub> equivalent
39	HB	Haber-Bosch
40	K	Potassium
41	LCA	Life Cycle Assessment
42	N/DN	Nitrification/Denitrification
43	Nit/DNit	Nitritation/Denitritation
44	Nr	Reactive Nitrogen
45	P	Phosphorus
46	PN/A	Partial Nitritation/Anammox
47	SM	Supplementary Material
48	TRL	Technology Readiness Level
49	Tg	Teragram
50		

# 51 1 Introduction: Nr in the Anthropocene

52 Nitrogen is an essential element for all living organisms. The biggest pool of nitrogen on our planet is the  
53 atmosphere. By volume, dry air consists for 78% of dinitrogen (N<sub>2</sub>), or an estimated 3,878,000,000  
54 Teragram (Tg, million ton N)<sup>1</sup>. Since the early 20<sup>th</sup> century, about 48% of the global population have been  
55 depending on this atmospheric pool by industrially converting N<sub>2</sub> to ammonia (NH<sub>3</sub>) and derived products  
56 like urea and nitrate through the Haber-Bosch (HB) process (Erismann et al., 2008). On a global scale, this  
57 process uses large amounts of fossil energy (1% of global energy and 2% of the global natural gas use)  
58 (Cherkasov et al., 2015) and is responsible for significant CO<sub>2</sub>-equivalent (CO<sub>2</sub>-eq.) emissions (1.2%) (Smith  
59 et al., 2020). Haber-Bosch further accounts for roughly one third (100-165 Tg N/year) of the total global  
60 reactive nitrogen (Nr) generation, with the remained being derived from agricultural biological nitrogen  
61 fixation (50-70 Tg N/year), fossil fuel combustions (27-33 Tg N/year) and natural biological nitrogen  
62 fixation (58-128 Tg N/year) (Galloway et al., 2004; Scheer et al., 2020). The massive increase in  
63 anthropogenic Nr flows and its associated emissions to the environment are profoundly altering the global  
64 biogeochemical N cycle. The landmark publications of Rockström et al. (2009) and Steffen et al. (2015)  
65 show that among all global environmental impacts, Nr is most severely exceeding the carrying capacity of  
66 our planet (about 3 times), with agricultural fertilizer use, livestock wastes and urban wastewater having  
67 major responsibility for this (Campbell et al., 2017; Fowler et al., 2013). Nr production is therefore one of  
68 the most important elements through which humans are altering the global geochemical balance and  
69 leaving their footprint on what can informally be referred to as the Anthropocene (National Geographic,  
70 2022).

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<sup>1</sup> dry air mass as  $5.1352 \pm 0.0003 \times 10^{18}$  kg in atmosphere, 75.5% nitrogen by mass in air.  
[https://www.cs.mcgill.ca/~rwest/wikispeedia/wpcd/wp/e/Earth%2527s\\_atmosphere.htm](https://www.cs.mcgill.ca/~rwest/wikispeedia/wpcd/wp/e/Earth%2527s_atmosphere.htm)



72

73 *Figure 1: A) Indirect and direct reuse routes for reactive nitrogen (Nr) species in waste streams. B) Possible Nr technology*  
 74 *comparisons used in sustainability analyses.*

75 To reduce Nr pollution, different nitrogen management approaches are proposed. A preventive approach  
 76 seeks to minimize Nr losses by increasing nitrogen use efficiency in the agri-food chain, and as such reduce  
 77 diffuse Nr emissions (Kanter et al., 2020). A complementary curative approach proposes to recover Nr  
 78 from point sources, such as sewerage wastewater, and reuse it in the agri-food chain, thereby reducing  
 79 overall Nr production and its associated impacts (Arashiro et al., 2018). For such collectable point source  
 80 waste streams, three main N cycling routes can be distinguished: two for 'direct reuse', and one for  
 81 'indirect reuse'<sup>2</sup> with N passing over the atmosphere (Figure 1a). The first direct reuse route uses the  
 82 waste stream 'as is' or after minimal processing (e.g., solid/liquid separation), typically in the form of  
 83 ammoniacal and/or organic nitrogen, an approach often practiced for animal manure and livestock  
 84 slurries. A second and more demanding direct reuse approach entails concentrating Nr in products after  
 85 processing or refinement (i.e., removal of other elements, pollutants and/or organic matter). Direct Nr  
 86 reuse 'as is' and the reuse through refinement is commonly considered as Nr 'recovery', and is adopted  
 87 as a terminology throughout the document. Indirect Nr reuse is the nitrogen cycling method going over  
 88 atmospheric N<sub>2</sub>. The first step is usually termed Nr 'removal' and is based on the biological conversion of  
 89 Nr to N<sub>2</sub>. The second step is the (re)synthesis of Nr in centralized HB plants, or through biological nitrogen

<sup>2</sup> The terminology is derived from the concept of direct and indirect potable water reuse. In which indirect reuse refers to a passage of the water through the natural environment.

90 fixation. Through these steps, N molecules are indirectly reused by a removal-fixation-usage sequence  
91 across the atmospheric N<sub>2</sub> cycle. The atmospheric N<sub>2</sub> stock is amongst others maintained by  
92 nitrification/denitrification (N/DN) and anaerobic ammonium oxidation (anammox) (Burgin and Hamilton,  
93 2007), both of which processes are applied in wastewater treatment for the removal of Nr.

94 Over the past decade, sustainability concerns have boosted the development of Nr recovery technologies  
95 for concentrated and refined products (see supplementary material (SM) section 1 for a literature search).  
96 The underlying concept of this Nr recovery paradigm is that it can provide a win-win solution as it can  
97 reduce the economic and environmental costs of Nr removal and Nr synthesis. However, the sustainability  
98 of Nr recovery and nutrient recovery in general is by no means self-evident. Maurer et al. (2003) used  
99 operational energy requirements to evaluate scenarios of source-separated urine treatment, concluding  
100 for instance that the route of Nr removal via partial nitritation/anammox (PN/A) and HB Nr synthesis  
101 required less energy than Nr recovery with air stripping and absorption in H<sub>2</sub>SO<sub>4</sub>. Similarly, a study  
102 conducted for a sewage treatment plant in Amsterdam suggested that Nr recovery results in only a limited  
103 improvement in sustainability and that a range of recovery technologies have higher N<sub>2</sub>O emissions and  
104 energy demand than the combination of PN/A and HB (van der Hoek et al., 2018). The authors concluded  
105 that radical changes, such as separate collection and treatment of urine, and application of several Nr  
106 recovery methods in parallel would be required to substantially improve the sustainability of the  
107 biogeochemical N cycle. In a recent review of life cycle assessment (LCA) studies on nutrient recovery from  
108 wastewater, Lam et al. (2020) demonstrated that for sludge recycling and recovered products  
109 environmental benefits only marginally outweigh environmental impacts. However, Lam et al. (2020) only  
110 compared different Nr recovery options for direct Nr reuse with each other and did not systematically  
111 explore differences between Nr recovery and Nr removal, or Nr recovery compared to the indirect route  
112 via the combination of Nr removal and synthesis (Figure 1B). Neither did they detail the technologies for  
113 the recovery technologies investigated.

114 The study of Lam et al. (2020) also highlights the difficulties in comparing outcomes of LCA and  
115 sustainability analysis studies, because the diversity of methodological choices and assumptions (e.g.,  
116 system boundaries, functional units, indicator selection) does not enable the identification for the reasons  
117 underlying the observed differences in the results. Finally, any assessment of technologies should account  
118 for the multi-dimensional nature of sustainability often summarized in the triple bottom line of profit,  
119 planet, people. That is, it should be assessed whether technologies are cost effective (profit), reduce  
120 environmental burdens (planet), while also being socially acceptable (people).

121 The aim of this study is therefore to critically and systematically analyze how the environmental and  
122 economic sustainability of Nr recovery technologies is evaluated in literature. Specifically, it is the aim to  
123 judge whether the direct or the indirect Nr reuse routes are preferable from a sustainability perspective.  
124 It is further the aim to identify methodological challenges that affect outcomes of sustainability  
125 assessment for Nr recovery technologies. Attending to this aim will provide insights as to whether the new  
126 paradigm of Nr recovery is environmentally and economically sustainable. The research will further  
127 provide methodological recommendations for future sustainability assessment studies. For  
128 methodological reasons, the social sustainability of Nr recovery technologies is not addressed in the  
129 systematic review but is part of the discussion (section 5.4).

130 The next section briefly describes the state-of-the-art of technologies related to direct and indirect Nr  
131 reuse routes, thereby providing important background information for the reader to contextualize the  
132 discussion. Thereafter, the methodology section is outlining the research approach taken with more  
133 information available in the SM. In sections 4.1-4.3, the studies included in the review are characterized  
134 including the technologies used, the methods applied and whether indirect and direct Nr reuse routes are  
135 investigated. This analysis is then used to answer the central research question as to whether the Nr  
136 recovery paradigm (i.e. direct reuse) is more sustainable than indirect reuse (section 4.4). In the discussion  
137 section, the findings are integrated and a critical evaluation of Nr reuse sustainability, methodologies, and  
138 a perspective on the role of Nr recovery in a new Nr economy is provided. The article ends with a set of  
139 conclusions and recommendations for future research.

## 140 2 Technologies for N cycling – An overview

### 141 2.1 Technologies for indirect Nr reuse: Cycling over the atmospheric N pool

#### 142 2.1.1 N<sub>2</sub> production: current state and recent advances

143 In most liquid waste streams, reduced Nr is present, either as ammoniacal nitrogen and/or as organic  
144 nitrogenous compounds. Biological nitrogen removal based on autotrophic nitrification, and subsequent  
145 heterotrophic denitrification of the formed nitrate to N<sub>2</sub> is a process globally applied in wastewater  
146 treatment plants (WWTP) since the 1970s (Focht and Verstraete, 1977). Alternatives for this N/DN include  
147 the autotrophic/heterotrophic nitritation/denitritation (Nit/DNit) and the fully autotrophic partial  
148 nitritation/anammox (PN/A). Both Nit/DNit and PN/A are termed shortcut Nr removal processes, as they  
149 do not rely on the formation of nitrate but terminate the oxidation of ammoniacal nitrogen at nitrite,  
150 thereby reducing costs because of savings in aeration energy and chemical oxygen demand (Table 1).



151 Shortcut Nr removal has mainly been applied to treat the warmer (>25°C), higher strength (0.5-1.5 g NH<sub>4</sub><sup>+</sup>  
 152 N/L) liquid streams after anaerobic digestion with low COD concentrations (<1g COD/g N) – e.g., sludge  
 153 reject water in the side stream of municipal wastewater treatment plants. Industrial applications of  
 154 Nit/DNit are limited thus far. For PN/A, in 2014 100 full-scale plants were commissioned, and the number  
 155 has further increased since then (Lackner et al., 2014). Concerning municipal wastewater, the application  
 156 of PN/A to the lower temperatures and Nr concentrations in the water line or mainstream is of great  
 157 research interest as it may result in energy positive WWTPs. This has for instance been demonstrated in  
 158 two installations (Strass, Austria and Changi, Singapore) (Wett et al., 2015; Winkler and Straka, 2019). It  
 159 is expected that CO<sub>2</sub>-eq. emissions from operation can be reduced from 7.0 kg CO<sub>2</sub>-eq/kg Nr for a N/DN  
 160 process to 4.5 kg CO<sub>2</sub>-eq/kg Nr for a mainstream PN/A at similar N<sub>2</sub>O emission (Table 1, for calculations  
 161 SM section 3 Table S1). Meanwhile, costs may nearly halve to about 2.5 euro/kg Nr removed, due to  
 162 savings in energy demand and carbon source consumption (Fux and Siegrist, 2004).

163 *Table 1: Simplified comparison of three Nr removal pathways and the Haber-Bosch (HB) process. The O<sub>2</sub> and COD requirements*  
 164 *for three Nr removal pathways are based on the stoichiometries including anabolism. a (Maurer et al., 2003), b (World Bank,*  
 165 *2021), c (Smith et al., 2020), d (Wang et al., 2021) e (Brentrup et al., 2016), f(Fux and Siegrist, 2004), g own calculations – using*  
 166 *CO<sub>2</sub>-eq emissions of 0.276 kg CO<sub>2</sub>-eq./kWh, h own calculations based on (Maurer et al., 2003) and stoichiometric reduction of*  
 167 *aeration energy demand.*

	Unit	N/DN	Nit/DNit	PN/A	HB fertilizer
Oxygen demand	[g O <sub>2</sub> needed/g Nr <sub>oxidized</sub> ]	4.2	3.2	2.0	NA
COD demand	[g COD <sub>required</sub> /g Nr <sub>removed</sub> ]	4.3	2.6	0.0	NA
Energy demand	[kWh <sub>primary</sub> /kg Nr <sub>fixed or removed</sub> ]	12.5 <sup>a</sup>	9.3 <sup>h</sup>	6 <sup>h</sup>	9.5 <sup>c</sup> (N-NH <sub>3</sub> ) – best available technology
Costs / price	[EUR/kg Nr] (values recalculated to 2021 factor 1.27 for f)	5.2 <sup>f</sup> (with external C source)		3.17 <sup>f</sup> (for sludge reject water).	0.4-0.5 (2020) 0.6 – 2 (2021) (urea) <sup>b</sup>
Greenhouse gas emissions	[kg CO <sub>2</sub> -eq/kg Nr]	5.0-7.0 <sup>g</sup> (without-with methanol; 1% N emitted as N <sub>2</sub> O) <sup>g</sup>	4.8-6.0 <sup>g</sup> (without-with methanol; 1% N emitted as N <sub>2</sub> O) <sup>g</sup>	4.5 <sup>g</sup> (1% N emitted as N <sub>2</sub> O) <sup>g</sup>	2 <sup>c</sup> - 3.5 <sup>e</sup> (urea) 2-3 (NH <sub>3</sub> ) <sup>c, d</sup>

168

### 169 2.1.2 Haber-Bosch Nr synthesis

170 The HB process converts atmospheric N<sub>2</sub> and hydrogen gas to ammonia in a reaction that is driven by high  
 171 temperatures (~650-750 K), pressure (~100-200 bar) and a metal catalyst (Cherkasov et al., 2015).

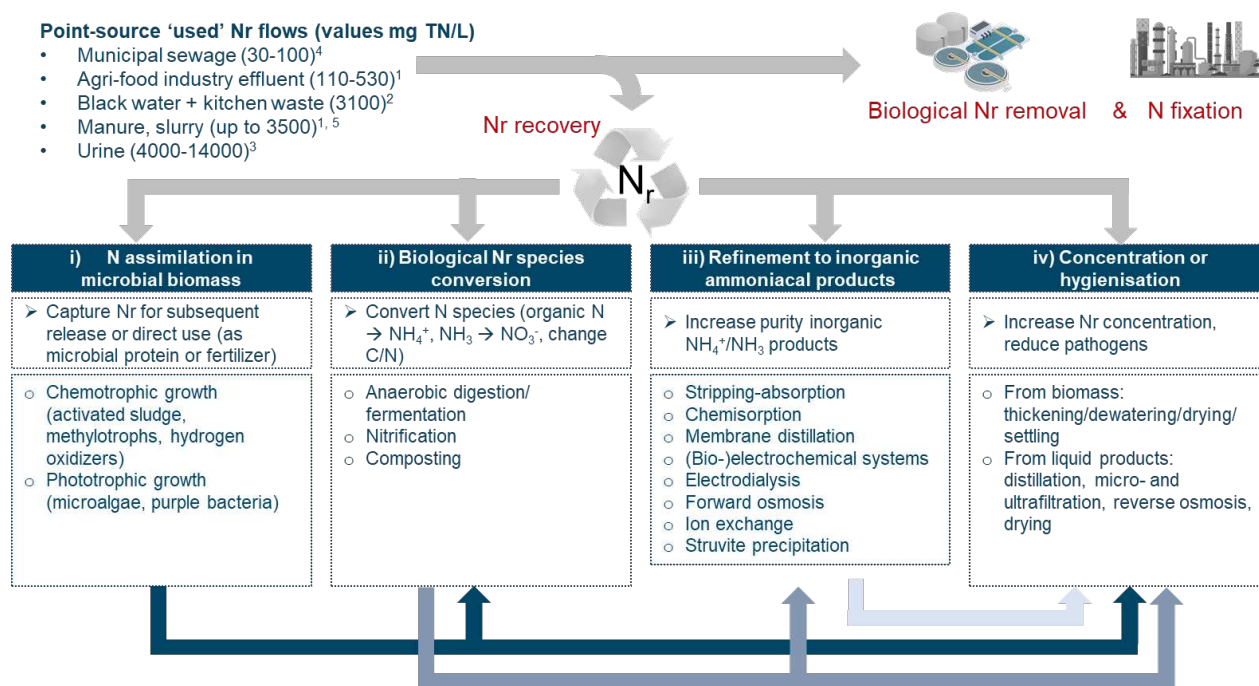
172 Hydrogen is obtained from steam reforming with major feedstock being natural gas and coal, accounting  
173 for about 66% and 30% of the global consumption (International Fertilizer Organisation, 2014). The most  
174 efficient HB installations operate at 9.5 kWh<sub>primary</sub>/kg N-NH<sub>3</sub>, which is close to the theoretical limit of the  
175 process at 7.5 kWh<sub>primary</sub>/kg N-NH<sub>3</sub> (80% for methane as feedstock and 20% to drive the process)  
176 (Cherkasov et al., 2015; Smith et al., 2020). Therefore, it has been argued that the process is highly  
177 efficient and restricted to further energetic process improvements. Along with the increase in energy  
178 efficiency, CO<sub>2</sub>-eq. emissions decreased to 2-2.1 kg CO<sub>2</sub>-eq./kg N-NH<sub>3</sub> (Smith et al., 2020). Factors  
179 contributing to this decrease are the shift to natural gas as feedstock instead of coal (International  
180 Fertilizer Organisation, 2014) and the reuse of about 50% of the CO<sub>2</sub> released in the process for urea  
181 production (Dawson and Hilton, 2011). The efficiency and large scale (2000-3000 tons NH<sub>3</sub>/day) of HB  
182 installations result in a cost of 0.4-2 euro/kg N-urea (2020-2021). Only due to dramatic increases in energy  
183 prices in 2021 costs for urea-N now approach the order of magnitude of Nr removal technologies (World  
184 Bank, 2021) (Table 1).

## 185 **2.2 Recovery technologies for direct Nr reuse**

186 Nitrogen concentrations in wastewater are low. Even concentrated streams like undiluted urine (~4-14 g  
187 Nr/L, (Larsen et al., 2021) and manure slurry (max. ~3.5 g Nr/L, (Baldi et al., 2018; Cai et al., 2013)) contain  
188 maximally 1.4% Nr or less, compared to solid synthetic inorganic fertilizers such as ammonium nitrate and  
189 urea containing around 35-47% Nr. Recovery approaches from liquid waste streams (incl. the solids  
190 transported) are based on four groups of processes (Figure 2; SM Table S2): (i) capture, partition or  
191 'accumulate' Nr from the liquid by assimilation in microbial biomass; (ii) biological Nr species conversion  
192 by either mineralization, releasing NH<sub>4</sub><sup>+</sup> from organic matter, or nitrification, converting NH<sub>4</sub><sup>+</sup> to NO<sub>3</sub><sup>-</sup>; (iii)  
193 refinement of Nr through extraction, and (iv) the concentration of Nr through water removal in order to  
194 increase N concentrations, stabilize the product (i.e., drying) and to reduce transportation costs. The  
195 recovery pathways used are diverse and depend on the total nitrogen concentration, the speciation of Nr  
196 as total ammoniacal nitrogen or organically bound nitrogen and the level of contamination of amongst  
197 others: suspended solids, organics, heavy metals and pathogens. Some Nr recovery methods are feasible  
198 directly on water with low Nr concentrations, after removal of organics and suspended material. For  
199 sewage, microalgae production is such an example as well as ion exchange (Arashiro et al., 2019; Huang  
200 et al., 2020). Streams with higher Nr concentrations in the order of g Nr/L and few interfering compounds,  
201 can directly feed into the recovery process, as is typically considered for urine. Manure slurries contain  
202 relatively high Nr levels, but also suspended solids, and may undergo anaerobic treatment and/or

203 solid/liquid separation prior to Nr recovery (Baldi et al., 2018), but have also been used as a substrate for  
 204 stripping/absorption either directly on the manure or after solid/liquid separation (De Vrieze et al., 2019).  
 205 Agri-food industry, including potato, brewery, dairy, and vegetable processing effluents contain relatively  
 206 high COD level and intermediate N concentrations. Therefore, they commonly undergo anaerobic  
 207 digestion (AD) followed by Nr recovery (Ghyselbrecht et al., 2018). However, as fecal contamination can  
 208 easily be avoided in these wastewaters, it is possible to microbially assimilate N, e.g. with high-rate  
 209 activate sludge, microalgae or purple non-sulfur bacteria, and to subsequently use the biomass as  
 210 microbial or single-cell protein as feed ingredient or as organic fertilizer (Muys et al., 2020; Spiller et al.,  
 211 2020). A similar approach is the biofloc technology commercially applied for aquaculture effluents, where  
 212 an in-situ produced biomass consortium of bacteria and microalgae is grown on the effluent of  
 213 aquaculture, subsequently harvested and provided as proteinaceous feed within the production system  
 214 (Crab et al., 2012).

215



216

217 *Figure 2: Overview of Nr recovery technology routes for several waste streams. For a summary of all technologies and references*  
 218 *to each see Table S2 in the SM. TN = total nitrogen. References: 1 (Cai et al., 2013), 2 (Kujawa-Roeleveld and Zeeman, 2006), 3*  
 219 *(Larsen et al., 2021), 4 (Henze et al., 2008), 5 (Baldi et al., 2018)*

220

### 221 3 Methodological approach

222 This review applied a structured analysis of bibliometric records for the period from 2000-2020. A two  
223 phased approach was adopted to the literature search, progressing from an explorative inductive enquiry  
224 to a deductive enquiry (Corbin and Strauss, 1990). In the explorative phase of the search, it was the  
225 objective to gain deeper insights into the papers that associated sustainability to nitrogen recovery in  
226 general. Therefore, a simple search was used with combinations of words related to sustainability  
227 assessment methods including the economic and environmental dimensions as well as words pertaining  
228 to recovery, reuse or similar (SM section 4). The initial search resulted in >900 publications found in Scopus  
229 and web of science. In an iterative process, the abstracts and body of these papers were screened for the  
230 following criteria.

- 231 • The study investigates Nr recovery processes that result in the accumulation of Nr in a final product  
232 (to be used in the agri-food value chain either as a fertilizer or feed).
- 233 • The study carries out an evidence-based evaluation with the aim to derive conclusions about the  
234 environmental or economic superiority of processes or end products
- 235 • The study benchmarks/ compares against a reference technology/product to determine superior  
236 performance of an Nr recovery technology (i.e., description of a process is insufficient).
- 237 • The study is not a review.

238 If studies did not comply with these criteria, they were not carried forward for further reading and coding.  
239

240 Through several iterations of this process the number of papers was reduced to 38. While iteratively  
241 reading the papers a coding hierarchy emerged (SM section 5) (Corbin and Strauss, 1990). Based on the  
242 emergent coding structure, categories related to end products, substrates and technologies were  
243 implemented into the Boolean search strings that were applied in the second-round search. The  
244 combination of the search strings was carefully designed across more than 50 iterations in which the  
245 impact of the changes in each iteration was monitored. Finally, a search was carried out (SM section 4)  
246 that contained 407 papers and screened along the established criteria. Of these, 63 studies were retained  
247 for final analysis and coded along the developed coding framework (i.e., axial coding) (Corbin and Strauss,  
248 1990). Coding was carried out using the NVivo software (QSR International Pty Ltd., 2018). The coding  
249 framework and the coding criteria can be accessed in SM, Table S3.

## 250 4 Results

### 251 4.1 Waste stream types, technologies and recovered end products

252 This section provides an overview of the technologies and substrates investigated by the studies included  
253 in this review, informing further analysis (4.4) and discussion (section 5.1). Of the 63 studies that met the  
254 screening criteria, nine studies investigated more than one substrate resulting in a total of 72 instances<sup>3</sup>.  
255 The most considered waste stream for implementation of Nr recovery technologies is municipal  
256 wastewater accounting for 29 studies (Table 2). Other frequently studied substrates are manure slurries  
257 (16) and urine (14). The sustainability of Nr recovery from source separated black water and kitchen waste  
258 is investigated in five studies and a variety of industrial wastewater types is investigated by eight studies  
259 (potato wastewater (Sigurnjak et al., 2016), agri-industry wastewater (Spanoghe et al., 2020; Vulsteke et  
260 al., 2017), aquaculture effluent (Vulsteke et al., 2017), coal wastewater (Bokun et al., 2019), air scrubber  
261 liquid (Sigurnjak et al., 2016; Vaneeckhaute et al., 2013), municipal solid waste leachate (Gu et al., 2019),  
262 not specified (Bratina et al., 2016)).

263

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<sup>3</sup> Because if studies apply more than one substrate, they are counted separately.

264 Table 2: Technologies and substrates investigated by the reviewed studies. Total number of studies as well as distribution of  
 265 technologies between the studied substrates and main technologies investigated. The column 'total studies' indicates the number  
 266 of studies that apply a certain technology. This does not match the sum of the rows as a study may investigate more than one  
 267 substrate. Similarly, the sum of the total of studies exceeds n=63 as studies investigate multiple technologies. To better distinguish  
 268 between activated sludge system and high rate activated sludge a distinction has been made in this Table (i.e. both  
 269 chemoheterotrophic metabolism). References to the studies can be found in the SM section 6.2. KW = kitchen waste, GW = grey  
 270 water, BW = black water, S/L = solid liquid separation, # of studies = the number of studies investigating a specific substrate type.

Category	Technology	Substrates					Total # studies
		TRL	Sewage	Urine	Manure slurry	BW+GW +KW	
i. Biomass assimil.	Activated sludge	9	26	2	1	2	29
	Biomass Chemoorganoheterotrophic	9	2	0	1	0	3
	Biomass Photoheterotrophic	6-7	1	0	0	0	2
	Biomass Photolithoautotrophic	9	4	0	0	0	7
	Biomass Chemoautotrophic	6-7	1	0	2	0	2
ii. N converters.	Anaerobic Digestion	9	24	4	14	5	43
	Composting	9	3	0	2	3	8
	Fermentation	9	2	0	1	0	3
	Nitrification for N recovery	9	0	0	0	0	0
iii. Refinement	Struvite precipitation	9	8	9	3	2	21
	Gas stripping - absorption	9	6	4	6	2	18
	Cation Exchange	8-9	3	1	0	0	4
	BES 'MFC-MEC'	4-5	1	4	0	0	4
	Membrane distillation	4-5	1	1	2	0	3
	Forward Osmosis	6-7	0	1	0	0	1
	Transmembrane Chemisorption	6-7	0	0	0	0	0
	Electrodialysis	6-7	0	0	0	0	0
iv. Concentration	Dewatering; S/L	9	15	2	10	4	30
	Drying	9	8	1	2	0	12
	Reverse Osmosis	9	1	2	1	2	4
	Evaporation	9	1	2	0	0	3
	Ultra Filtration	9	0	0	3	0	3
	Storage urine	9	1	1	0	1	1
	Hygienisation biosolids	9	0	0	1	0	1
	# technologies (Total is 24)		18	13	14	8	11
# studies investigating per substrate		29	14	16	5	8	

271  
 272 Within the four classes of technology routes for Nr recovery introduced (Figure 2), it becomes evident  
 273 that activated sludge (AS) (29) for assimilation of biomass (i), AD (43) for biological N species conversion  
 274 (ii) and dewatering or solid/liquid (S/L) separation (30) for the concentration step (iv) are the most  
 275 frequently used technologies (Table 2). Refinement technologies (iii) on the other hand are dominated by  
 276 struvite precipitation (21) and gas stripping and absorption (18). The relevance of AD for the recovery of  
 277 Nr from liquid streams has been described in literature before as a precursor for the application of  
 278 refinement technologies or as a process that is followed by the treatment of the solids contained in the  
 279 digestate (Acosta and De Vrieze, 2018). Both routes are also prevalent in this review as AD is 24 times the

280 precursor for a refinement step or digestate treatment through composting of dewatered sludge (often  
281 with other substrates) (8) (Bratina et al., 2016; Johansson et al., 2008; Prado et al., 2020), drying (7)  
282 (Bolzonella et al., 2018), thickening/dewatering (8) and hygienisation (1) (Sigurnjak et al., 2017). The  
283 importance of the AS process is mainly a result of its dominance in municipal sewage treatment (26/29)  
284 as a pretreatment process to AD, and often followed by sludge treatment through dewatering and reuse.  
285 The Nr contained in the AS effluent may also undergo recovery for example through autotrophic algae-  
286 biomass production (Fang et al., 2016). Only three exceptions to the AS route for sewage are Pretel et al.  
287 (2015) and Xu et al. (2020) who applied an anaerobic membrane bioreactor after pre-treatment of  
288 municipal wastewater and Arashiro et al. (2018) who applied a high-rate algae pond on settled  
289 wastewater.

290 For this review, the category refinement to inorganic ammoniacal products is of key interest as here  
291 mineral fertilizer like products are generated (Table 2). Struvite precipitation (21) is combined with AD,  
292 except for the cases that treat urine, including Volpin et al. (2019) who precipitated struvite in the  
293 retentate of a FO urine treatment system and Igos et al. (2017) who combined struvite precipitation with  
294 microbial electrocatalysis (others de Faria et al., 2015; Ishii and Boyer, 2015; Landry and Boyer, 2016;  
295 Maurer et al., 2003). The gas stripping and absorption (18) technology is always applied after AD except  
296 when applied to urine or a few specific substrates and cases outlined below (e.g., coal gasification  
297 wastewater, regeneration of zeolite, sludge drying). The final product of gas stripping and absorption is  
298 ammonium sulfate (18), but in three cases ammonium sulfate is also obtained from membrane distillation  
299 (Dube et al., 2016; He et al., 2020; van der Hoek et al., 2018), in two other cases from bio-electrochemical  
300 systems (Igos et al., 2017; van der Hoek et al., 2018)<sup>4</sup> and in one case from the regeneration of an ion  
301 exchange resin with sulfuric acid (Kavvada et al., 2017). Four alternatives to ammonium sulfate are  
302 investigated: (i) ammonium acetate and (ii) ammonium citrate in a study by Jamaludin et al. (2018) that  
303 explores alternative scrubbing agents, (iii) aqueous ammonia from coal gasification wastewater in the  
304 study of Bokun et al. (2019), (iv) ammonium chloride from zeolite regeneration liquids (Lin et al., 2016),  
305 ammonia absorption in boric acid (Kuntke et al., 2012), or in an experimental setup on sewage drying off  
306 gases with phosphoric acid (Deviatkin et al., 2019). The remaining refinement technologies, including ion  
307 exchange, membrane distillation, bio-electrochemical systems and forward osmosis are of similar  
308 recurrence of between 1 to 4 studies (Table 2).

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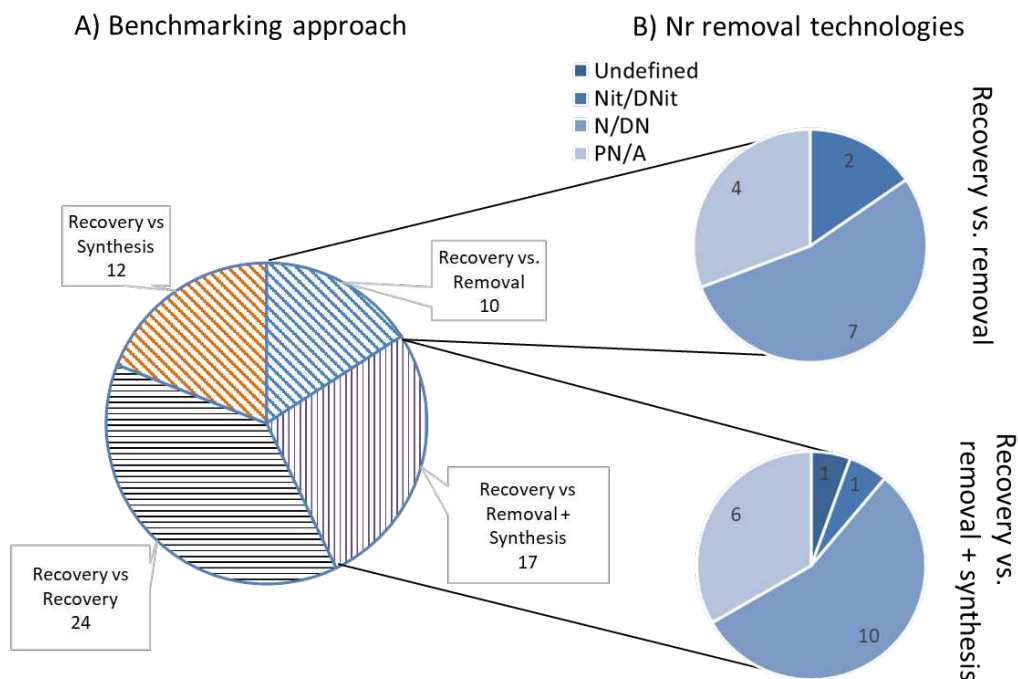
<sup>4</sup> Rodrigues 2015 makes use of bio-electrochemical system but does not define a product.

309 In addition to AS, several processes for Nr recovery through biomass assimilation (i) have been  
310 investigated. In nine cases, autotrophic biomass (seven microalgae -Photolithoautotrophic-; two  
311 methylootrophs or hydrogen oxidizing bacteria) production has been applied. The sustainability of  
312 heterotrophic biomass production, through high-rate AS processes, has been assessed three times (Table  
313 2). Of these, biomass production for use as a fertilizer was studied in 6 cases. Specifically, Spanoghe et al.  
314 (2020) investigated the production of three microbial fertilizers (photolithoautotrophic, aerobic-  
315 heterotrophic and anaerobic photoheterotrophic biomass). Similarly, de Souza et al. (2019) applied an  
316 LCA to the production of settled algae biomass as a fertilizer on primary (i.e., screening and settling)  
317 municipal effluent, while Fang et al. (2016) produced algae on AS wastewater treatment plant effluent for  
318 fertigation. In one case, algae produced on sewage treatment plant effluent was anaerobically digested  
319 and digestate used as a fertilizer (Munasinghe-Arachchige et al., 2020). The concept of recovering Nr in  
320 biomass and use as an animal feed was studied in four instances, by Matassa et al. (2020) and Verbeeck  
321 et al. (2020) who investigated the production of biomass on methane, hydrogen, carbon monoxide and  
322 syngas (Matassa only); by Alloul et al. (2018) who proposed the valorization of chemical oxygen demand  
323 and nutrients contained in the wastewater as a feed protein source; and similarly, by Vulsteke et al. (2017)  
324 who suggested utilizing a consortium of microalgae flocs grown on aquaculture water as a shrimp feed.

325 Finally, it can be observed that the majority of technologies investigated is of a technology readiness level  
326 (TRL) that can be associated to full scale production (TRL 8-9). However, the estimation of the TRL per unit  
327 process (SM Table S2) does not always adequately reflect the state of the art of the technology for Nr  
328 recovery. Studies mainly explore novel combinations of existing technologies or their application in a  
329 novel context. For example, Volpin et al. (2019) proposed a novel combination of struvite precipitation  
330 with forward osmosis, achieved through a reverse flux of  $Mg^{2+}$  from the draw solution. Kjerstadius et al.  
331 (2015) investigated a wide range of source separated sanitation concepts all of which use TRL 9  
332 technologies. However, source separation is only implemented in a hand full of site in the EU therefore  
333 leaving further options for performance improvements and hence reduction of environmental impacts  
334 and costs (Bisschops et al., 2019). Similarly, a recent survey shows that the frequently studied ammonia  
335 stripping and absorption is EU wide only implemented 8 times at commercial or pilot scale for sewage,  
336 manure and urine treatment (STOWA, 2021). In section 5.1, the implications of the difference between  
337 technology readiness will be discussed in the context of technology learning.



338 4.2 Benchmarking approaches



339  
 340 *Figure 3: A. Frequency distribution of benchmarking approaches. Numbers show the number of studies using this benchmarking*  
 341 *approach. B. Nr removal technologies for either recovery vs. removal + synthesis or recovery vs. removal. Numbers show the*  
 342 *number of studies using a certain Nr removal technology. Numbers exceed those of figure a. since double counting is possible.*  
 343 *Nit/DN = Nitritation/Denitritation, N/DN = Nitrification/Denitrification, PN/A = Partial Nitritation/Anammox*

344 To understand how reviewed articles investigated Nr recovery compared to other Nr recovery  
 345 technologies, or Nr removal and/or Nr synthesis they were classified into (a method of classification is  
 346 provided in SM Table S4): Nr recovery vs. Nr recovery, Nr recovery vs. Nr synthesis, Nr recovery vs. Nr  
 347 removal, and Nr recovery vs. Nr removal + Nr synthesis (Figure 1B). The most frequently compared  
 348 scenario is Nr recovery vs. Nr recovery with 24 of 63 studies (Figure 3). These are studies that make  
 349 comparisons to evaluate the performance of recovery technologies between one another and therefore  
 350 do not consider the alternative of Nr removal and Nr synthesis (i.e. indirect reuse). However, ten of these  
 351 24 studies account for the impact of Nr synthesis production only, mainly through the application of the  
 352 LCA system expansion methodology. This is a method in which the impact of Nr synthesized via HB is  
 353 subtracted from the recovered product (also referred to as ‘substitution’ or ‘off-set’), as the recovery is

354 avoiding the production of HB Nr<sup>5</sup>. Therefore, it can be argued that these studies only partially compare  
355 the direct Nr reuse with the indirect reuse pathway.

356 Another 12 of 63 studies benchmarked Nr recovery vs. Nr synthesis. In these studies, a clear distinction  
357 between recovered Nr and synthesized Nr is made, instead of using the system expansion approach (de  
358 Souza et al., 2019; Dube et al., 2016). In total, 27 of 63 studies investigated Nr recovery vs. Nr removal  
359 (10) or Nr recovery vs. Nr removal + Nr synthesis (17 – of which 11 studies used the system expansion  
360 approach see SM section 6.1). Therefore, it can be argued that only 17 of the 63 studies carried out a  
361 comparison of the full set of options for Nr reuse as sketched in Figure 1.

362 Among the 27 studies (30 instances) that are including Nr removal in their comparison, 17 studies  
363 investigate Nr removal using N/DN, 10 studies PN/A and only three Nit/DNIt (Figure 3B). Of the PN/A  
364 technologies, all considered scenarios focused on concentrated Nr streams such as side streams of WWTP  
365 (e.g., dewatering liquor) (Lin et al., 2016; van der Hoek et al., 2018), manure (De Vrieze et al., 2019; De  
366 Vrieze et al., 2016; Menkveld and Broeders, 2018) or urine (de Faria et al., 2015; Maurer et al., 2003). It  
367 can further be observed that Nr removal is in relative terms more frequently investigated for urine  
368 treatment (11/14), than for sewage (14/30) and especially for manure treatment (8/16) (SM Table S6).  
369 The dominant Nr removal technology used as a benchmark is therefore the established N/DN, while novel  
370 technologies such as PN/A and Nit/DNIt are less frequently used.

### 371 4.3 System boundaries, zero-burden approach and functional unit

372 The system boundaries employed by sustainability assessment studies provide an indication whether the  
373 assessment is systemic (i.e. cradle-to-use and application) or more narrowly focused on products without  
374 the use phase. In the reviewed studies, cradle-to-gate evaluations dominate, with 42 of the 63 studies (or  
375 47 of the 72 studied substrates - Figure 4) using this system boundary. Another 17 studies (21 of the  
376 studied substrates) perform cradle-to-use assessments, which is extending the system boundaries to field  
377 application or other uses of the recovered Nr products. Gate-to-gate boundaries are applied in four  
378 studies. Therefore, an approach that includes the reuse of recovered material is considered in less than  
379 half of the cases. However, in relative terms, especially the studies focused on manure (6/16 studies) and  
380 blackwater+ grey water + kitchen waste (3/5 studies) adopt system boundaries that extend to the use of  
381 fertilizer or other products (Figure 4). In the case of manure, this could be explained by the fact that animal

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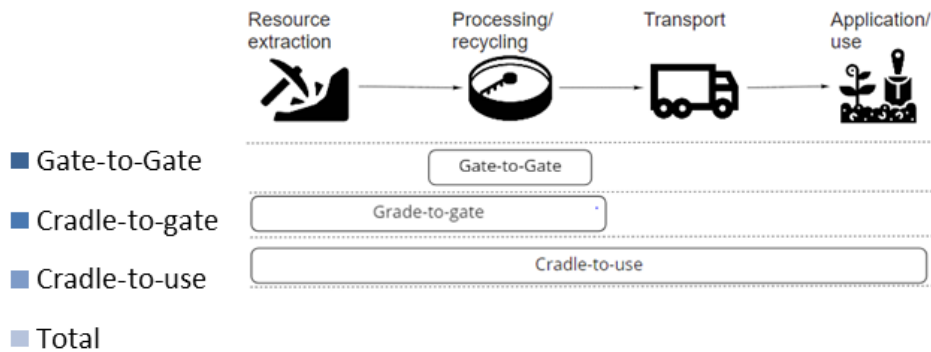
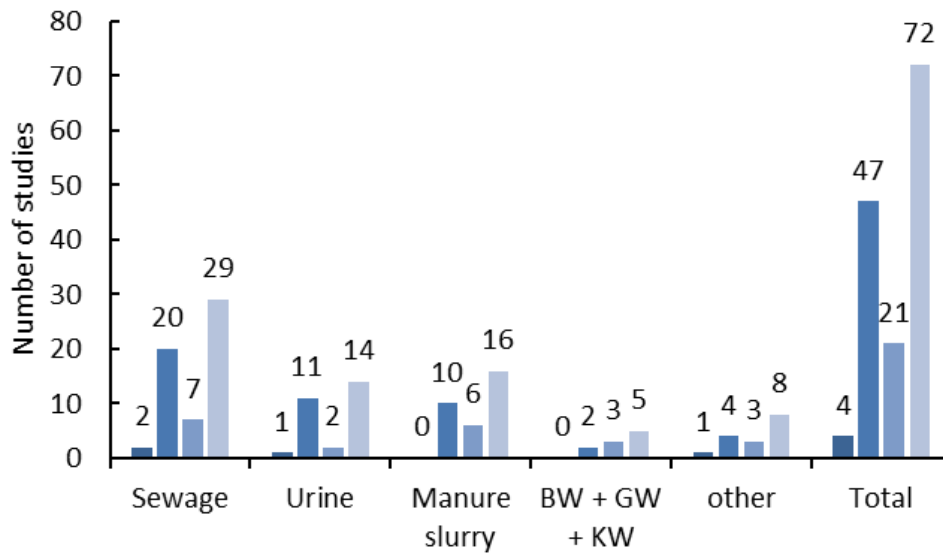
<sup>5</sup> One study (Hellström et al. (2008)) applied a compensation approach in which Nr required by agriculture that is not supplied through recovery is added to the impacts.

382 husbandry and crop production operate in the agricultural socio-technical systems and hence research  
383 with this focus may be more inclined to extend boundaries to application and use of recovered Nr.  
384 Whatever the reasons, ignoring the agricultural phase leads to the omission of the economic costs and  
385 environmental impacts related to post-treatment, storage, transport, and field application (Ishii and  
386 Boyer, 2015).

387 Of the 63 studies, 34 used the LCA methodology to quantify the potential environmental impacts of  
388 different Nr recovery technologies. All these LCA studies applied a zero-burden approach or did not define  
389 otherwise. When applying a zero-burden approach, the impact of upstream processes for the generation  
390 of the waste streams is not accounted for (Sfez et al., 2019). This implies that the impact of the waste  
391 stream production, from which Nr is recovered, is excluded from the analysis. Therefore, the application  
392 of the zero-burden approach reduces environmental impacts when compared to Nr products generated  
393 from primary inputs such as HB (section 5.3.2 for further discussion).

394 The reviewed studies applied a large variety of functional units (considering LCA studies only as they  
395 define functional units), including: m<sup>3</sup> of wastewater treated, m<sup>3</sup> of urine treated, treatment of 1 ton  
396 sludge, ton of manure processed, etc. Of these, most studies (28) take an input perspective by defining a  
397 reference flow, as also indicated by Lam et al. (2020). The output or product perspective is less frequently  
398 adopted (8). Consequently, Nr recovery is mainly considered in the context of waste treatment rather  
399 than Nr production. An assessment on how these differences affect the results is not possible with the  
400 present data.

401



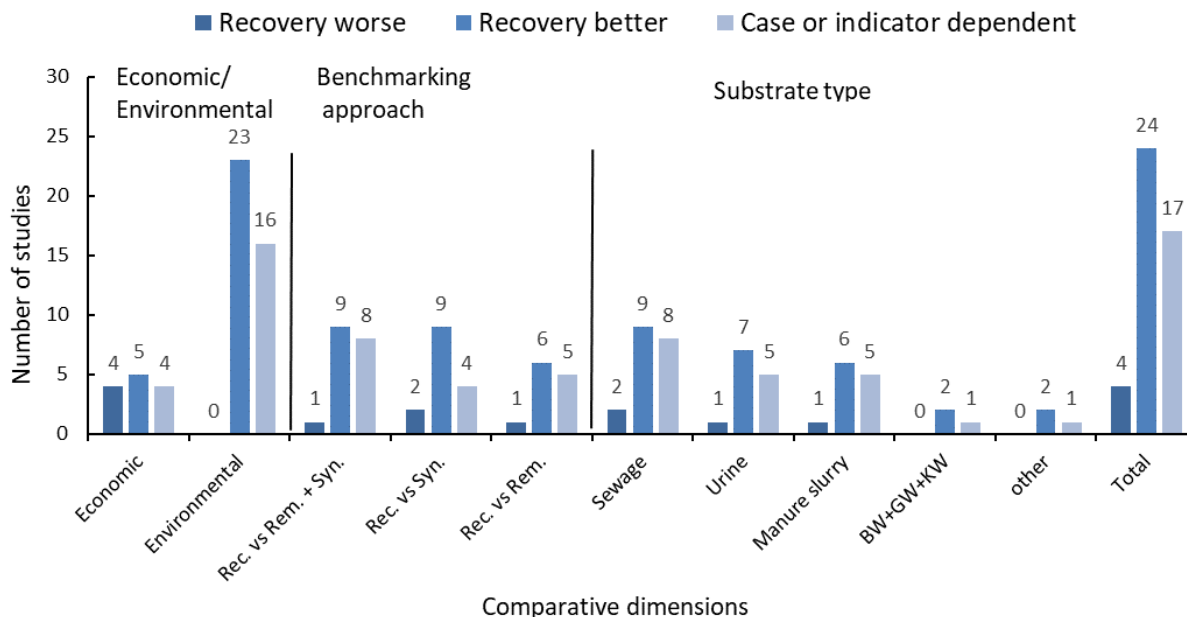
402

403 *Figure 4: Frequency distribution of system boundaries applied in the studies. The number per substrate indicate individual*  
 404 *studies that applied a specific system boundary. As there are studies investigating more than one substrate the sum of studies in*  
 405 *the category 'Total' is exceeding n=63, totaling 72 – see also Table 2.*

#### 406 4.4 Conclusions of the studies regarding the sustainability of Nr recovery

407 Considering only the studies that compare Nr recovery vs. Nr removal, Nr recovery vs. synthesis or Nr  
 408 recovery vs. Nr removal + Nr synthesis (n=39), it can be found that there is evidence for the sustainability  
 409 of Nr recovery, because only 4 studies indicated a worse performance. All four studies arrived at this  
 410 conclusion based on economic indicators (Figure 5). Bridle and Skrypski-Mantele (2000) assessed sludge  
 411 reuse options and concluded that land application of digestate is a cheaper alternative than Nr recovery  
 412 through thermal drying of raw or digested sludge. De Vrieze et al. (2019) found that all Nr and combined  
 413 Nr with phosphorus recovery systems have higher costs than revenues, and especially that refinement  
 414 technologies have higher operational and capital expenditure that cannot be compensated for by

415 increased revenues. However, they also conclude that the economic viability of refinement options  
 416 depends on the desired quality of end products. Similarly, Hermassi et al. (2018) suggested that the  
 417 recovery of Nr using natural zeolites derived from fly ash is more costly than the indirect Nr reuse via the  
 418 combination of HB and Nr removal via PN/A. Finally, Tao et al. (2019) came to the partial conclusion that  
 419 at current market value, struvite production is not cost effective; though they also concluded that Nr  
 420 recovery through stripping/absorption is cost effective in their case.



421  
 422 *Figure 5: Conclusions of studies distinguished by the economic/ environmental, benchmarking approach and substrate type. Total*  
 423 *number of studies deviates from figure Table 2 as, firstly the basis for this analysis excludes studies that only investigated recovery*  
 424 *vs. recovery (n=39) and because studies are double counted when they have different conclusions for sustainability dimensions or*  
 425 *when they have different substrates (references in SM Table S7-9). Abbreviations: Rec = recovery, Rem = removal, Syn = synthesis,*  
 426 *BW = black water, GW = grey water, KW = kitchen waste*

427 However, the conclusion that Nr recovery is more sustainable compared to Nr removal or Nr removal +  
 428 synthesis is not straightforward. Although most studies concluded that Nr recovery is a sustainable  
 429 alternative (24/39 – in total, 5 for economic and 23 for environmental aspect - Figure 5), drawing a  
 430 conclusion on the sustainability of Nr recovery is challenging due to the multi-dimensionality of  
 431 sustainability (i.e., different sustainability domains, different indicators, and different TRL). A substantial  
 432 number of studies (17/39 in total) also reported case specific conclusions, including the fact that  
 433 technologies performed better or worse for certain impact dimensions (Igos et al., 2017; Lin et al., 2016),  
 434 that with further process optimization conclusions may change (de Souza et al., 2019; He et al., 2020), or  
 435 that conclusions are dependent on the scale of the installation (De Vrieze et al., 2016). For illustration

436 purposes, de Souza et al. (2019) showed that algae based organic fertilizer has an inferior environmental  
437 performance for three (climate change, particulate matter formation, freshwater eutrophication) out of  
438 the five environmental impact categories studied (terrestrial acidification, freshwater ecotoxicity).  
439 However, they also demonstrated that when other energy sources are used or other influents are  
440 selected, algae production and use as a fertilizer can become the preferable choice. Another example  
441 comes from Kavvada et al. (2017), who compared urine Nr recovery using ion exchange to Nr removal via  
442 N/DN in municipal sewage. Initially, their findings suggest superiority of Nr recovery from urine, but they  
443 also find that further development of the PN/A processes can reduce CO<sub>2</sub>-eq. emissions to levels as found  
444 in their urine source separation and treatment system (~5-7 kg CO<sub>2</sub>-eq./m<sup>3</sup> urine).

445 Furthermore, the outcomes of the reviewed studies do not indicate a systematic relationship between  
446 the economic and/or environmental performances, benchmarking approaches, substrate types, recovery  
447 technologies, or the system boundaries applied (Figure 5, and SM section 6.2). The difficulty of eliciting  
448 causal relationships between conclusion of reviewed studies was also reported by the review of Lam et  
449 al. (2020); who observed that most studies suggested positive environmental outcomes from wastewater-  
450 based nutrient recycling for agricultural land application, especially when chemical inputs are minimized  
451 and source separation of human excreta is applied.

## 452 5 Discussion

### 453 5.1 Sustainability of Nr recovery in the context of TRL

454 This review indicates that there is a case for the sustainability of Nr recovery from liquid waste streams  
455 because most of the analyzed studies indicate a superior performance of Nr recovery (24) or not an  
456 outright worse performance (17). However, 46 of the 63 studies do not account for the combination of Nr  
457 recovery, Nr synthesis, and Nr removal. This implies that these studies lack the systems perspective on Nr  
458 recovery to conclude about its sustainability, because they are not considering the possibility of Nr  
459 recycling via the indirect reuse route (Figure 1A).

460 Another observation is that in many cases concrete conclusions about sustainability are not easy to make  
461 as sustainability is a multi-dimensional concept. Therefore, it is difficult to balance different  
462 environmental indicators or the environmental and economic dimensions to arrive at a better or worse  
463 conclusion. What is also noteworthy is that, because scientific studies focus on low TRL technologies or  
464 novel combinations of established technologies, the use of different prospective scenarios is applied  
465 (section 4.1 & 4.4). In several cases, the assumptions for these scenarios e.g. related to the energy sources,

466 type of waste stream, market prices, are modified resulting in changes to the initial findings (de Souza et  
467 al., 2019; Deviatkin et al., 2019). The aim of these scenario analyses is to estimate the performance of the  
468 novel technologies at full scale, when further optimized or when the operational context has changed  
469 (e.g., new energy sources). In literature, approaches that aim to estimate technological performance in  
470 the future can be classified into technological development and technological learning (Buyle et al., 2019).  
471 Technological development seeks to estimate the environmental and economic performance  
472 improvements of low TRL technologies to market readiness. Market ready technologies further evolve  
473 through technological learning, or a process of optimization (Thomassen et al., 2020). Methods to  
474 estimate these changes in technology include scaling factors, proxy technologies, learning curves and  
475 participatory methods amongst other (Buyle et al., 2019). In the present assessment of 63 studies, no  
476 study explicitly referred to the use of technological development assessment or technological learning or  
477 to any of the methods commonly used in prospective assessments. The use of expert judgement and  
478 literature values was the dominant mechanism for the development of evaluation scenarios and hence  
479 future technology performance. Therefore, it is recommended to explore how to integrate technology  
480 learning and technological development in environmental and economic assessment using the  
481 methodologies and recommendations suggested by Buyle et al. (2019) and Thomassen et al. (2020).

482 Another challenge for environmental and economic assessment will be to provide an on-par comparison  
483 between technologies for Nr recovery, Nr removal and Nr synthesis. Specifically, 27 studies compare Nr  
484 recovery with Nr removal technologies, but the majority (n= 17) chose to compare these technologies  
485 with conventional Nr removal using N/DN (section 4.2), thereby not considering the potential gains from  
486 innovations in removal technologies, such as PN/A (10 studies) or Nit/DNit (3 studies). For Nr removal  
487 technologies, it can therefore be suggested that future studies should pay more attention to match the  
488 TRL and learning stage of the Nr recovery technologies with that of the Nr removal technology. When  
489 studying emerging Nr recovery technologies, it can further be vital to account for advances in Nr synthesis  
490 (next section). Of course, accounting for emerging technologies for Nr removal and synthesis makes  
491 studies more challenging to conduct and implement due to the low availability of data about these  
492 technologies.

## 493 5.2 Nr recovery in the new Nr economy

494 It has been suggested that about 48% of the world's population since 1908, when the HB process was  
495 patented, depended on the input of synthetic Nr fertilizers (Erisman et al., 2008). About 153 Tg Nr (62%  
496 derived from HB) are used in the agricultural system globally (Scheer et al., 2020). Of this, an estimated

497 43-48% is not accessible for recovery as it is 'lost' in diffuse gaseous emissions and runoff from agriculture  
498 (Matassa et al., 2015; Sutton et al., 2013). While exact numbers are subject to uncertainty, it is  
499 undebatable that HB Nr synthesis is essential to enable adequate nutrition for a global community, which  
500 is reflected in estimates by the UN that, at best, Nr production will be stagnant until the year 2050 (Sutton  
501 et al., 2013). The question is therefore not if industrial Nr synthesis is necessary, but how anthropogenic  
502 Nr production will be adapted to meet the sustainability challenges of the 21<sup>st</sup> century, and what the role  
503 of Nr recovery will be within such a new 'Nr economy'.

504 Modern HB processes emit 2-3 kg CO<sub>2</sub>-eq./ton N-NH<sub>3</sub>. By producing so called blue ammonia through  
505 capturing and storing of CO<sub>2</sub>, emissions can be reduced to < 0.6-0.73 kg CO<sub>2</sub>-eq./ton N-NH<sub>3</sub> (Wang et al.,  
506 2021). However, current HB production remains dependent on fossil resources as an energy and H<sub>2</sub>  
507 source. Therefore, interest in renewable energy based or green ammonia is increasing. This is particularly  
508 interesting as a the share of renewables in the energy mix will increase in the future, raising concerns  
509 about intermitted utilization of excess energy (Macfarlane et al., 2020). Ammonia has been proposed as  
510 suitable energy carrier in this context, as it is a fuel with a higher volumetric energy density than H<sub>2</sub> and a  
511 high liquefaction temperature enabling easier storage (NH<sub>3</sub> lower heating value 11.2 MJ/L, H<sub>2</sub> 2.46 MJ/L,  
512 boiling point at atmospheric conditions NH<sub>3</sub> = 33.3°C, H<sub>2</sub> = 252.9°C (Aziz et al., 2020; IEA, 2021).  
513 Furthermore, it is a chemical of versatile use in a range of products including pharmaceuticals and cooling  
514 systems (Smith et al., 2020; Zamfirescu and Dincer, 2008). Wang et al. (2021) demonstrated that  
515 electrolysis of water and the direct electrical synthesis of NH<sub>3</sub> can realize similar CO<sub>2</sub> emissions as the HB  
516 combined with carbon capture and storage at electricity generation emissions of around 50 gCO<sub>2</sub>-  
517 eq./kWh<sub>el</sub>; or, when compared to current HB installations without carbon capture, at < 180 gCO<sub>2</sub>-  
518 eq./kWh<sub>el</sub> (for comparison: France 2021 = 81 gCO<sub>2</sub>-eq./kWh<sub>el</sub>, wind power = 16 g CO<sub>2</sub>-eq/ kWh<sub>el</sub> source  
519 (ecoinvent, 2021)). Wang et al. (2021) further calculated that this technology reaches cost parity at an  
520 electricity price of 0.02 €/kWh. Bicer et al. (2016) evaluated the use of renewable energy for H<sub>2</sub> feedstock  
521 production via electrolysis and provision of process energy demand in combination with HB. They show  
522 that CO<sub>2</sub> emission can be reduced by ~75% (down to 0.46 kg CO<sub>2</sub>-eq./kg N) when using hydropower.  
523 Similarly, Matzen et al. (2015) concludes that NH<sub>3</sub> production from wind energy via water electrolysis and  
524 HB can be attractive from the economic and environmental perspectives. Further processing of NH<sub>3</sub> to  
525 urea, the world's most used N fertilizers (~50% market share (Fertilizer Europe, 2021)), has been shown  
526 to be technically feasible at small scale and low CO<sub>2</sub>-eq. emissions (Driver et al., 2019). Technology  
527 scenarios by the IEA (2021) suggest that CO<sub>2</sub> emissions of Nr synthesis may become less relevant in the



528 next decades, when accounting for technological advances in N fixation, carbon capture and storage in  
529 combination with a transition to renewable energy. This finding is of relevance for Nr recovery as it may  
530 make the indirect Nr reuse route (Figure 1) more viable and potentially changing the conclusions arrived  
531 at by several of the reviewed studies.

532 Furthermore, green ammonia facilities are likely to be of a scale closer to that of Nr recovery technologies.  
533 To date, HB plants have capacities of about 2000-3000 ton NH<sub>3</sub>/day (Wang et al., 2021). Production of NH<sub>3</sub>  
534 from renewable energy sources is suggested to fall within the range of 10-100s ton NH<sub>3</sub>/day (Wang et al.,  
535 2021). Estimates for Nr recovery technologies are in the range of 0.1 – 10 ton NH<sub>3</sub>/day<sup>6</sup>. Therefore, a  
536 situation may emerge where regionally directly reused Nr (i.e. recovered) and indirectly reused Nr (i.e.,  
537 fixed form N<sub>2</sub>) are available. Indeed, technologies such as ‘N<sub>2</sub>-applied’ (<https://n2applied.com/>), where  
538 manure is enriched with atmospheric Nr through plasma technology demonstrate that the boundaries  
539 between the direct and indirect Nr reuse begin to blur (Figure 1). Scenarios for the future ammonia  
540 economy of the IEA (2021) suggest that Nr recovery and Nr synthesis must be complements, because  
541 utilization of ‘waste nitrogen’ may increase the nitrogen use efficiency. However, beyond this, the IEA  
542 (2021) roadmap does not detail the role of Nr reuse in the new Nr economy. It could be plausible that the  
543 new Nr economy comprises a variety of fit-for-purpose technologies at different scale for ‘direct’ and  
544 ‘indirect’ Nr reuse. This would resemble the vision for a renewable energy society that integrates a variety  
545 of energy technologies at different scales. Given the importance of this technological progress, future  
546 sustainability assessments should take a prospective approach for assessing environmental impacts or at  
547 least they should aim for comparison of technologies at similar TRL.

## 548 5.3 Methodological considerations

### 549 5.3.1 Multi-output systems

550 In section 4.2, the application of the system expansion was introduced, as a method where the impact of  
551 Nr synthesized via HB is subtracted from the recovered product. The same methodology is applied for  
552 other by-products of Nr recovery technologies (SM section 6.1). Examples of this are the co-production of  
553 heat, electricity, as well as P and K fertilizers (Ishii and Boyer, 2015). Furthermore, also avoided impacts  
554 of aeration electricity demand for Nr removal in municipal wastewater treatment are accounted for (Igos  
555 et al., 2017). Similarly, it has been suggested that studies focused on Nr recovery in feed products should

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<sup>6</sup> Assumptions: 12 g Nr/person/day, large scale wastewater treatment plant 3 million PE. Equals 36t Nr/day at 100% recovery which is not realistic. Assumption: Manure digester – 3500 gNr/ manure, daily capacity 197t/day (large scale digester in NL) 0.69 t Nr/day.

556 account for aspects such as protein quality, polyunsaturated fatty acids, carotenoids, and vitamins (Spiller  
557 et al., 2020). These examples highlight that Nr recovery is often paired with the generation of other useful  
558 products in multi-output systems. Therefore, when applying the system expansion method, the by-  
559 products reduce environmental impacts as avoided products or improve the economic balance through  
560 the generation of additional income. This highlights that for Nr recovery, taking a single nutrient (i.e., Nr)  
561 perspective is too narrow, but that the additional benefits should, and must, be evaluated for a  
562 comprehensive assessment of Nr recovery sustainability. Interestingly, when comparing the contribution  
563 of avoided HB Nr fertilizer on the example of CO<sub>2</sub>-eq. emissions, it does appear that it, with exceptions,  
564 plays a minor role (e.g. Arashiro et al., 2018; de Faria et al., 2015; de Souza et al., 2019; Fang et al., 2016;  
565 Igos et al., 2017; Ishii and Boyer, 2015; Johansson et al., 2008). Contrary to this, the generation of energy  
566 or production of energy carriers (e.g. biogas) (Arashiro et al., 2018) or the reduction of energy use (Igos  
567 et al., 2017; Ishii and Boyer, 2015) may have a larger effect on the environmental impact evaluation.  
568 Furthermore, as highlighted by several researchers, it appears opportune to aim for the recovery of  
569 products that are of high value instead of simply seeking to recover Nr. For example, Alloul et al. (2018);  
570 Matassa et al. (2015) suggest utilizing the COD and the nutrients contained in liquid waste streams to  
571 produce complex outputs including microbial protein and polyhydroxyalkanoates.

### 572 5.3.2 Zero burden assumption

573 As observed several years ago by Pradel et al. (2016), in the present research all studies employ the  
574 “burden-free” or “zero-burden” assumption (section 4.3). Implying that they do not account for the  
575 impact of upstream processes that are responsible for the generation of the waste streams Nr is derived  
576 from. Sfez et al. (2019) argue that in a circular economy the zero-burden assumption cannot hold, as  
577 ‘wastes’ are by-products of production processes which constitute raw materials for recovery.  
578 Accordingly, Sfez et al. (2019) are proposing two methodologies to avoid the zero-burden assumption by  
579 allocating a part of the production of goods (e.g., food) to the waste stream as well as allocating a part of  
580 the burden of treatment/recovery to the production of primary goods (e.g., food). Sfez et al. (2019)  
581 applied this to phosphorus recovery from wastewater treatment showing that this will increase the  
582 environmental impact between 27-80% for their case study. Therefore, environmental impact evaluations  
583 more in line with the circular economy paradigm (i.e. no zero burden) are likely to increase the  
584 environmental impact of Nr recovery technologies as they are derived from waste streams. Processes  
585 such as the HB or Nr removal are not affected by this since they are mostly based on primary raw material

586 inputs. This implies that conclusions of comparative studies may change in favor of indirect Nr reuse if the  
587 zero burden is not applied.

#### 588 5.4 Barriers to the implementation of resource recovery

589 In addition to environmental and economic aspects, a number of societal variables determine whether Nr  
590 recovery can be successfully implemented. Tur-Cardona et al. (2018) found that farmers in seven EU  
591 countries prefer fertilizers in the solid form due to easier storage and lower transport and application  
592 costs compared with liquid fertilizers. It is therefore noteworthy that a large share of the reviewed studies  
593 investigate the production of liquid fertilizers (e.g. 18 gas stripping/absorption, 4 cation exchange, 3  
594 membrane distillation, 4 reverse osmosis – Figure 1). Nutrient concentration and absence of pollutants  
595 are also identified as decisive traits for farmers' willingness to replace synthetic with biobased fertilizers  
596 (Tur-Cardona et al., 2018). Recovered ammonium sulphate (up to 9%) and ammonium nitrate (up to 20%)  
597 in liquid form have been shown to realise similar Nr concentrations as their synthetic counterparts  
598 (Sigurnjak et al., 2019). However, for other recovered fertilizers, Nr concentrations are well below those  
599 of HB fertilizers (e.g., 46% N urea), with for example struvite up to 5.6% (Muys et al., 2021), dried microbial  
600 biomass up to 8.5% (Spiller et al., 2020); or 10-fold concentrated urine up to 10% (Maurer et al., 2003).  
601 Furthermore, concerns about the variability in nutrient content and product contamination of recovered  
602 products (e.g. heavy metals, micro-pollutants) have been shown to be barriers for the adoption of  
603 recovered Nr as a replacement of synthetic Nr (Case et al., 2017).

604 From an economic perspective, capital investments and payback periods are perceived by farmers as the  
605 main barriers to the implementation of manure processing technologies (Hou et al., 2018). Contrary to  
606 this, Lienert and Larsen (2010) reported the acceptance of urine source separation systems and urine  
607 reuse as fertilizers to be high among the general public, whereas liability claims, lower fertilizer quality as  
608 well as reduced acceptance by consumers limit farmers' acceptance. However, the recent revision of the  
609 EU fertilizer directive may help in stimulating Nr recovery and acceptance by farmers as it sets clear  
610 guidelines for the Nr content of recovered materials (EC, 2019). To qualify as a straight mineral Nr  
611 fertilizer, concentrations of 5% and 10% Nr by mass for liquid and solid fertilizer must be achieved. For  
612 mineral compound fertilizers, this level is reduced to 1.5% (liquid) and 3% N (solid) by mass under the  
613 condition of the presence of other components e.g., 3% P<sub>2</sub>O<sub>5</sub> by mass (solid). However, Article 2.g. of the  
614 Nitrates Directive (EC, 1991) still poses barriers for the utilization of directly reused Nr as it defines  
615 'livestock manure' as waste products excreted by livestock or a mixture of litter and waste products  
616 excreted by livestock, even in processed form. This implies that in nitrate vulnerable zones not more than

617 170 kg Nr/ha originating from livestock can be applied. Similarly, several EU countries (e.g. BE, NL, DE)  
618 only permit the application of human excreta-based fertilizer with specific derogations, as implemented  
619 for struvite (Muys et al., 2021).

## 620 6 Conclusions and recommendations

621 This review investigated whether Nr recovery is economically and ecologically sustainable. The analysis  
622 shows that Nr recovery from liquid waste streams can be considered an environmentally and often also  
623 an economically sustainable alternative to Nr removal and HB Nr synthesis. Therefore, it can be concluded  
624 that Nr recovery from liquid waste streams is a sustainable paradigm. However, it is also evident that due  
625 to the multidimensional character of sustainability straightforward conclusions on the sustainability  
626 performance of Nr recovery cannot always be drawn. Further conclusions and recommendations are:

- 627 • Of the 407 articles that resulted from the literature search, 63 evaluated Nr recovery sustainability  
628 in a comparative manner, yet only 17 studies included the comparison of Nr recovery with the  
629 combination of Nr removal and Nr synthesis. This low proportion suggests that the scientific  
630 community should focus on including the Nr system perspectives (i.e. incl. of Nr removal + Nr  
631 synthesis) in their studies (section 4.2).
- 632 • Concerns about climate change will drive changes in Nr synthesis technology, resulting in a new  
633 Nr economy that uses renewable energy in ‘decentralized’ installations. Future research should  
634 aim to benchmark Nr recovery against these novel technologies as this will provide valuable  
635 insights into whether direct Nr reuse can be more sustainable compared to indirect Nr reuse  
636 (section 5.2).
- 637 • Future studies should strive for an on-par comparison of Nr recovery technologies with Nr  
638 removal and Nr synthesis technologies. Specifically, innovations and associated low TRL  
639 technologies should be compared like for like across the domains of Nr recovery, Nr removal and  
640 Nr synthesis (section 4.1 & 5.1).
- 641 • Many studies make use of prospective or forward-looking technology scenarios in their  
642 evaluations. Studies pursuing such an approach should make better use of methodologies  
643 available in technology learning and technology development literature to estimate future  
644 performance (section 5.1).
- 645 • A minority of reviewed studies investigated the full value chain of recovered products (i.e., cradle-  
646 to-use/application). Therefore, researchers should strive to adopt the most comprehensive

647 system boundaries, thereby avoiding the omission of potentially relevant environmental impacts  
648 and costs (section 4.3).

649 • The production of by-products such as energy, P and/or K fertilizer, or even more complex  
650 products such as proteins, is of importance in making Nr recovery a viable alternative to Nr  
651 removal and Nr synthesis (section 4.2 & 5.3).

652 • Legal aspects, recovered Nr quality, and end user acceptance still pose barriers for  
653 implementation of Nr recovery (section 5.4).

654

## 655 7 Acknowledgements

656 The authors would like to thank the whole water team of the sustainable air and energy technology  
657 research group for their valuable discussion and inputs to early drafts and presentations. Michele Moretti  
658 would like to acknowledge the SUSFERT project funding from the Bio Based Industries Joint Undertaking  
659 (BBI-JU) under the European Union's Horizon 2020 research and innovation program under grant  
660 agreement No. 792021.

## 661 8 CRediT authorship contribution statement

662 **Marc Spiller:** Conceptualization, Methodology, Formal analysis, Writing - original draft, Data curation,  
663 Visualization. **Michele Moretti:** Methodology, Formal analysis, Writing - original draft, Data curation.

664 **Jolien De Paepe:** Writing - review & editing, Visualization. **Siegfried Vlaeminck:** Conceptualization,  
665 Visualization, Writing - review & editing

666

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