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# Prospective material and substance flow analysis of the end-of-life phase of crystalline silicon-based PV modules

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## Abstract

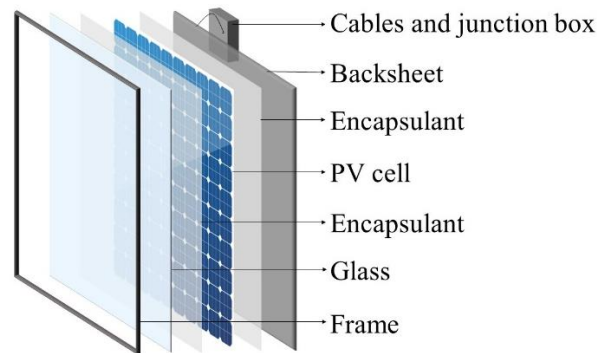
The approaching end-of life phase of early installed PV modules gave rise to a variety of potential end-of-life strategies, ranging from basic generic waste management strategies to advanced case-specific recycling options. However, no comprehensive assessment on the full range of technological possibilities is available and only limited attention was given to the material recovery rates of these different technologies in light of circular economy. In addition, current material recovery rates are indifferent towards the material value and the value of their secondary applications. Based on an extensive literature review, ten end-of-life scenarios with potential learning effects are identified and their material flows are quantified using a combined material and substance flow analysis. Subsequently, material recovery rates from a mass, economic value and embodied energy perspective are calculated, incorporating the differences in secondary applications. The differences in the mass-based recovery rates of the seven end-of-life scenarios that did not have landfill or municipal waste incineration as the main destination were minimal, as 73-79% of the mass was recovered for the best-case learning scenario. For the economic value recovery rate (9-66%) and the embodied energy recovery rate (18-45%), more profound differences were found. The collection rate was identified as most crucial parameter for all end-of-life scenarios, learning scenarios and recycling indicators. The mass-based recovery rate might favor end-of-life scenarios that lead to dissipation of valuable materials in non-functional secondary applications. Additional targets are required to avoid cascading of valuable materials and to avoid the economic cost and environmental burden of virgin materials.

Keywords: Circular economy; Material flow analysis; Recycling indicators; Cascadability; Photovoltaic panels; Learning effects

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37 1. Introduction

38 By 2050, 60-78 million tonnes of cumulative photovoltaic (PV) module waste is expected on a global level  
39 (IRENA and IEA-PVPS, 2016). PV modules can be classified in three generations depending on the  
40 material used and the level of technological maturity. The first-generation PV modules uses crystalline  
41 silicon as a semiconductor, both in a monocrystalline as well as in a multicrystalline form. The second-  
42 generation PV modules are based on thin film cells, including semiconductors made from amorphous  
43 silicon, cadmium telluride or copper indium gallium diselenide. Third-generation PV modules are still  
44 mostly in a development phase and include PV modules with organic semiconductor materials (Sampaio  
45 and González, 2017). As the first-generation has a market share of 95%, it will constitute a major part of  
46 the first end-of-life (EoL) PV modules (Fraunhofer Insitute for Solar Energy Systems ISE, 2021). The  
47 main components of a typical first-generation PV module are illustrated in Figure 1.



48

49 Figure 1. Components of a typical first generation PV module (Xu et al., 2018) (*single column*)

50 As only a limited number of PV modules has been recycled, the technology that will be used for the EoL  
51 phase remains uncertain and will be location and time dependent (Bracquene et al., 2018). Although the  
52 economic and environmental impacts of multiple EoL technologies have been assessed, most of these  
53 studies only focus on one or a few EoL possibilities, providing a fragmented perspective (e.g. Corcelli et  
54 al. (2017), Faircloth et al. (2019), Yu et al. (2017) and Athanailidis et al. (2018)). For a more comprehensive  
55 perspective, a broader range of possibilities needs to be included. This strategy was followed by Lunardi et  
56 al. (2018), who performed a life cycle assessment (LCA) for six EoL scenarios, including standard waste  
57 treatment options such as landfill and more advanced recycling technologies. They found that the advanced  
58 recycling technologies had a lower environmental impact. A more elaborated selection procedure was  
59 followed by Deng et al. (2019), who first performed an extensive review of EoL technologies and  
60 subsequently elaborated a techno-economic assessment (TEA). In this TEA, four mainstream EoL  
61 technologies were compared, being direct landfilling of the entire PV module, downcycling methods based  
62 on current glass recycling technologies, and two dedicated recycling methods based on mechanical and  
63 thermal recycling. The direct landfilling scenario was found to have the lowest net recycling cost, while the  
64 dedicated recycling methods had the highest costs. Similar to the study of Deng et al. (2019), this study will  
65 start from an extensive review of literature, but instead of selecting a limited set of technologies, a more  
66 comprehensive selection of potential EoL scenarios will be made wherein additional recovery processes  
67 are stepwise built in.

68 To assess these EoL scenarios, basic waste treatment technologies such as landfill and incineration will  
69 need to be compared with innovative recycling technologies. However, as these innovative technologies  
70 are still in a development phase, their mature configurations are unknown. Besides upscaling effects and  
71 further optimization of these processes, also learning effects will occur (van der Hulst et al., 2020). Learning

72 effects are improvements in a specific practice when more experience in this practice is gained. These  
73 improvements can lead to cost or environmental impact reductions and should therefore be considered when  
74 comparing a new technology with a conventional technology (Caduff et al., 2012). To classify the maturity  
75 of a technology, technology readiness levels (TRL) can be used, ranging from the observation of basic  
76 principles at TRL0 to a commercial scale technology at TRL9 (Mankins, 2009). Although multiple studies  
77 have been found with comparisons of technologies on different TRLs, this issue was not further discussed  
78 or incorporated (i.e. Deng et al. (2019), Faircloth et al. (2019) and Zhang et al. (2017)). In the current study,  
79 learning effects will be included, following the guidelines of Thomassen et al. (2020).

80 The previously discussed studies focused mainly on the environmental impact and economic costs of the  
81 different processes. The material recovery rates of the specific processes were in general not studied in  
82 detail, leading to unspecified recovery rates (for example in the TEA of Deng et al. (2019), where references  
83 could be found to other studies but no overview of the used recovery rates was provided) or recovery rates  
84 of 100% (for example by Lunardi et al. (2018)). Although these studies provide important insights on  
85 economic and environmental considerations, most of the political targets in line with the Circular Economy  
86 action plan of the European Commission (2020a), focus on the quantification of recovered and recycled  
87 material streams. A study focusing on the material flows in different EoL scenarios has not been found in  
88 literature and this study aims to fill this gap.

89 To assess the material flows in the PV module EoL scenarios, a material flow analysis (MFA) can be used.  
90 An MFA is a systematic assessment of the material flows and stocks within a system, based on the law of  
91 conservation of matter (Brunner and Rechberger, 2004). MFAs have been used to assess the material flows  
92 from PV module waste in various regions, e.g. for Italy by Paiano (2015), for Mexico by Domínguez and  
93 Geyer (2017), for the USA by Domínguez and Geyer (2019), for Australia by Mahmoudi et al. (2019) and  
94 for India by Gautam et al. (2021). However, these studies do not specify the required recycling technologies,  
95 but assume perfect recycling or a combination of recycling rates from other technologies. As discussed by  
96 Mahmoudi et al. (2021), PV module waste can be classified in four levels, being products (e.g. crystalline-  
97 based silicon PV modules), components (e.g. frame, cell), materials (e.g. glass, metal) and substances (Ag,  
98 Si). While MFA assesses the material flows mostly on a product level, substance flow analysis (SFA)  
99 focusses on the material streams of the underlying substances, although the two terms are often used as  
100 synonyms (Brunner and Rechberger, 2004). To include both bulk recovery considerations and the economic  
101 and environmental properties of the individual substances, a combined MFA and SFA approach (M/SFA)  
102 was proposed to support decision making in waste management systems (Stanisavljevic and Brunner,  
103 2014).

104 Based on this M/SFA, circular economy indicators can be calculated (Moraga et al., 2019). A Circular  
105 Economy monitoring framework was proposed by the European Commission to track the progress towards  
106 a circular economy, including ten indicators grouped into four stages (European Commission, 2018). These  
107 indicators are not only used to monitor progress, but also to formulate minimum requirements for different  
108 sectors. In practice, policy directives still use simple recycling rates. For example, the WEEE directive  
109 states that 80% of the PV module materials shall be prepared for re-use and recycled and 85% shall be  
110 recovered (European Parliament and Council, 2012). These indicators mostly focus on the quantity of  
111 material recovered, treating all materials the same without differentiating based on their economic and  
112 environmental importance. This way, small but important material fractions are overlooked as each material  
113 receives an equal weight when aggregating (Ardente et al., 2019; Nelen et al., 2014).

114 Instead of equal weights, the economic value can be used for aggregation into one recycling rate indicator  
115 (Di Maio et al., 2017). Linder et al. (2017) used this approach to introduce a product-level circularity metric,

116 calculating the ratio of recirculated economic value to total product value. A similar metric that includes  
117 the environmental impact of the processes instead of the costs, is the circular economy performance  
118 indicator, introduced by Huysman et al. (2017). Although these metrics provide valuable information on  
119 the circularity of products, they are less useful for policy targets, as the required process cost and  
120 environmental impact calculations require detailed information that is often confidential and uncertain. The  
121 need for a simple metric has been advocated by Di Maio and Rem (2015), recommending that the indicator  
122 should be able to be calculated based on data from standard reports. The circular economy index as  
123 introduced by these authors calculates the ratio of material value produced by the recycler relative to the  
124 material value entering the recycling facility. A similar metric was used by Buechler et al. (2020) to quantify  
125 metal recovery. The only adaptation compared to the recycling rates used in the policy directives is the  
126 addition of material prices as weights. Therefore, this metric has been selected for the current study,  
127 extending it to incorporate the entire EoL phase instead of only the recycling facility. In addition to the  
128 economic value, also the embodied energy can be used as weighting factor to compare the different metrics  
129 and to include environmental considerations. The embodied energy represents the required energy that is  
130 needed to manufacture the component on the required purity level for PV module applications starting from  
131 virgin resources.

132 An additional flaw in the recycling rates used in policy directives is the disregard for the difference between  
133 closed-loop and open-loop recycling. In closed-loop recycling, the waste materials are recycled into the  
134 same products, while in open-loop recycling, the secondary application entails other kind of products  
135 (Nakatani, 2014). Without this differentiation, an unlikely one-to-one displacement of the secondary  
136 material for the primary material is envisioned (Zink et al., 2016). Open-loop recycling often entails  
137 downcycling where the material can be dispersed in non-functional applications, for example the use of  
138 metal containing ash in road infrastructure. This dissipation can lead to irreversible inaccessibility of  
139 valuable materials. The differentiation of the quality of the secondary application is typically not included  
140 in MFA, as MFA focusses primarily on quantity and not on quality (Dewulf et al., 2021). An exception was  
141 found in the MFA study of De Meester et al. (2019), where a differentiation was made between high-end  
142 secondary applications and low-end secondary applications, the latter typically replacing virgin  
143 construction materials. However, more than two secondary application levels can be identified. For  
144 example, besides the use in road construction, downcycling can also lead to the use of high-value materials  
145 in functional applications requiring a lower purity. This way, open-loop recycling can also be considered  
146 as cascading, where materials can be recycled to multiple cascading levels (Desing et al., 2021; Nakamura  
147 et al., 2014).

148 The main aim of this study is therefore to quantify the material recovery in the EoL phase of PV modules  
149 in light of the transition to a circular economy, considering not only the quantity but also the quality of  
150 material recovery according to a comprehensive approach. For this aim, the following novelties are  
151 introduced: 1) the M/SFA of an extensive range of PV module EoL scenarios consisting of different sets of  
152 treatment technologies; 2) the discussion of the learning effects for these PV module EoL technologies; 3)  
153 the analysis of recycling rates to include both the quality level of the second application and the different  
154 values of materials from a mass, economic and energy perspective.

## 155 2. Materials and methods

156 To provide a comprehensive overview of potential technologies and value-chains, an extensive literature  
157 review was performed, resulting in the definition of ten EoL scenarios. Subsequently, an M/SFA was  
158 conducted for each of these ten scenarios. The learning potential for all processes was discussed and  
159 quantified using multiple learning scenarios. As a last step, the recycling and recovery rates were assessed,

160 both from a mass perspective and from an economic value and embodied energy perspective, differentiating  
 161 between various secondary applications. As crystalline-silicon-based PV modules had the highest market  
 162 share, this study will focus on this first generation (IRENA and IEA-PVPS, 2016).

### 163 2.1. Review of crystalline silicon PV recycling methods

164 To obtain a full overview of potential EoL technologies, both current practices and future practices were  
 165 included by reviewing technical as well as economic and environmental assessments. This review resulted  
 166 in 130 literature sources, encompassing in total 197 case studies. Key papers included Dias et al. (2017),  
 167 Latunussa et al. (2016), Deng et al. (2019), Pagnanelli et al. (2017), Lunardi et al. (2018), Klugmann-  
 168 Radziemska and Ostrowski (2010), Park et al. (2016), Duflou et al. (2018), Wambach (2017), Sander et al.  
 169 (2007) and Farrell et al. (2020). A full overview can be found in Supplementary Information A. Resulting  
 170 from the review, ten EoL scenarios were defined, summarized in Table 3 in Section 2.2. In each new EoL  
 171 scenario, new processes are stepwise built in. In EoL scenario 1, the collected panels went directly to a  
 172 landfill facility. In EoL scenario 2, the PV modules were first disassembled before going to a landfill, while  
 173 in EoL scenario 3, the PV modules went to a municipal waste incineration after disassembly. In EoL  
 174 scenario 4, the PV modules were shredded after disassembly and separated into different fractions. EoL  
 175 scenarios 5-7 included an innovative delamination step after disassembly and shredding to separate the  
 176 glass, semiconductor and PV cell itself. This delamination was based on a thermal, chemical and  
 177 mechanical-thermal process for EoL scenarios 5-7, respectively. In EoL scenarios 8-10, the EoL scenarios  
 178 5-7 were extended with a dedicated metal recycling process to recover valuable materials such as silicon  
 179 and silver.

### 180 2.2. M/SFA

181 All M/SFAs started from the same PV panel composition. This composition was classified in six  
 182 components, consisting out of twelve materials or substances, that could be grouped in seven substance  
 183 groups. To define a representative composition for the silicon photovoltaic panels, the information gathered  
 184 in the literature review was used. The resulting representative composition is provided in Table 1.

185 Table 1. Representative composition of silicon PV modules (Latunussa et al., 2016; Peeters et al., 2017;  
 186 Strachala et al., 2017)

Silicon photovoltaic component	Material/Substance	Substance group	Composition %(w/w)
Frame	Aluminum	Aluminum alloy	17.80
	Magnesium	Aluminium alloy	0.07
	Silicon	Aluminium alloy	0.13
Cables and junction box	Undefined polymer	Plastics	0.67
	Copper	Copper	0.33
Backsheet	PET/PVF	Plastics	1.50
Glass	Glass	Glass	70.00
Encapsulant	EVA	Plastics	5.10
Cell	Silver	Silver	0.22
	Aluminum	Aluminum	0.53
	Silicon	Silicon	3.34
	Copper	Copper	0.11
	Tin	Other non-ferrous metals	0.10
	Lead	Other non-ferrous metals	0.05
	Zinc	Other non-ferrous metals	0.05

187 PET: polyethylene terephthalate; PVF: polyvinyl fluoride (Tedlar); EVA: ethylene vinyl acetate

188 To differentiate between the quality of the secondary products, different cascading levels (CL) were  
 189 introduced to classify the destinations of the different materials. As the difference between what can be  
 190 considered high-end, medium-end and low-end is subjective, specific CLs should be defined if a further  
 191 differentiation is desirable. The CLs as used in this study are explained in Table 2, with a specific example  
 192 for the secondary application of glass. CL6 refers to the ‘unknown destination’ and is used if no data could  
 193 be found on the destination of the materials, for example due to hoarding of the PV modules at home or due  
 194 to illegal collection and reselling. The explanation of the CLs for the other materials can be found in Table  
 195 A1.

196 Table 2. Definition and glass example for the different cascading levels as defined for this study.

Cascading level	Secondary application of glass
CL0 Closed-loop recycling	Glass for PV modules
CL1 Open-loop recycling to high-end application	Bottle glass
CL2 Open-loop recycling to medium-end application	Glass for insulation material
CL3 Open-loop recycling to low-end application	Glass used as road construction material
CL4 Energy recovery	Not applicable
CL5 Lost in landfill	Glass in landfill
CL6 Unknown destination	Unknown destination

197  
 198 An overview of all processes and destinations considered in the different EoL scenarios is provided in Table  
 199 3. A detailed description of the different scenarios, including all used data for all analyses can be found in  
 200 Supplementary Information B. The mass balances were calculated based on primary data received from PV  
 201 Cycle, the organization responsible for the EoL of PV modules in Flanders (Belgium), current recycling  
 202 facilities and literature.

203 Table 3. Included processes and destinations in the ten EoL scenarios (the underlined processes are not yet  
 204 at TRL 9)

EoL scenario	1	2	3	4	5	6	7	8	9	10
<b>Processes</b>										
Collection	x	x	x	x	x	x	x	x	x	x
Disassembly		x	x	x	x	x	x	x	x	x
Aluminium smelter		x	x	x	x	x	x	x	x	x
Cable separation		x	x	x	x	x	x	x	x	x
Plastic recycling		x	x	x	x	x	x	x	x	x
Copper smelter		x	x	x	x	x	x	x	x	x
Incineration of plastic from the cables		x	x	x	x	x	x	x	x	x
Bottom ash treatment		x	x	x	x	x				
Incineration of the PV cell			x							
Generic shredder				x						
Further processing non-ferrous fraction				x						
Glass recycling to glass foam				x						
Incineration of the waste from glass recycling				x	x	x	x	x	x	x
<u>Shredder specific for PV panel applications</u>					x	x		x	x	
<u>Hot knife (mechanical delamination)</u>							x			x
<u>Glass recycling to bottle glass</u>					x	x	x	x	x	x
<u>Glass recycling to solar glass</u>					x		x	x		x
<u>Thermal delamination</u>						x	x	x		x

<u>Chemical delamination</u>							X			X
<u>Dedicated metal recovery</u>									X	X
<b>Secondary material application or destination</b>										
Landfill (CL5)	X	X	X	X	X	X	X	X	X	X
Unknown destination (CL6)	X	X	X	X	X	X	X	X	X	X
Aluminium, metallurgical grade (CL0)		X	X	X	X	X	X	X	X	X
Copper, metallurgical grade (CL0)		X	X	X	X	X	X	X	X	X
Plastics (CL1)		X	X	X	X	X	X	X	X	X
Construction materials (CL3)		X	X	X	X	X	X	X	X	X
Emissions with energy recovery (CL4)		X	X	X	X	X	X	X	X	X
Glass for insulation material (CL2)					X					
Glass for PV modules (CL0)					X	X	X	X	X	X
Bottle glass (CL1)					X		X	X		X
Silicon, metallurgical grade (CL0)								X	X	X
Silver, metallurgical grade (CL0)								X	X	X

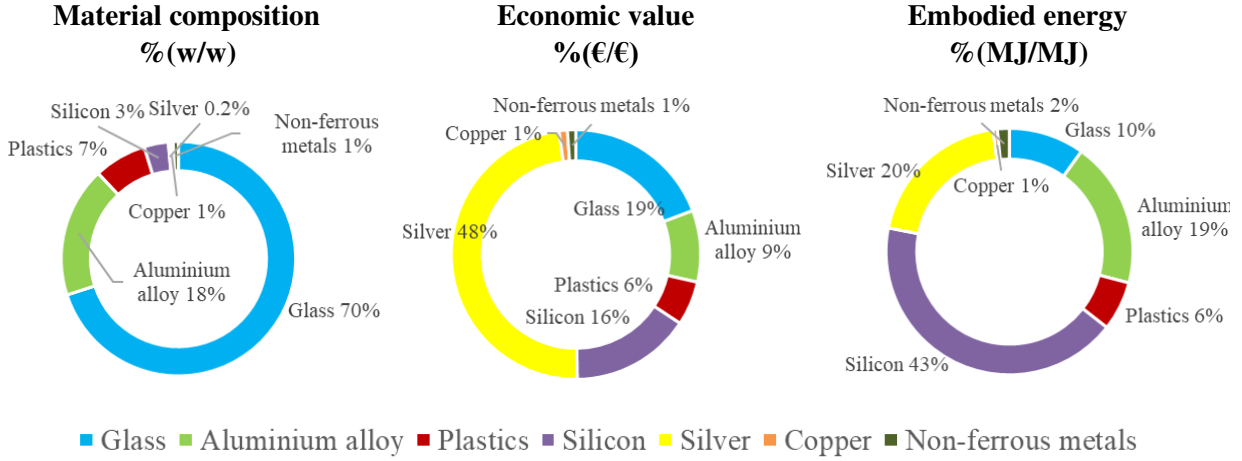
205

206 The main differences between the ten EoL scenarios according to these CLs are provided in Table A2. For  
207 the different EoL scenarios, also learning effect ranges were included. According to the guidelines  
208 published by Thomassen et al. (2020), these learning effects should be estimated by combining the  
209 extrapolation of historical effects and expert estimates. However, the technologies included in the EoL  
210 scenarios are either mature technologies from other industries, e.g. copper smelting, or novel technologies  
211 that are still in the development stage. The mature technologies were assumed to be at the end of their  
212 learning path. Therefore, no learning effects were included for these technologies. The innovative processes  
213 are not on the market yet or have only been on the market for a very short time, resulting in a lack of  
214 historical data that could be extrapolated. To include the learning effect of these technologies, expert  
215 estimates, combined with literature estimates and proxy data from similar processes were therefore used to  
216 calculate a maximum achievable value (MAV). However, as concluded from the expert consultations, most  
217 values reported in literature were already based on the theoretically MAV. Therefore, these reported values  
218 have been considered as the maximum learning scenarios and lower values have been included in the  
219 assessment to correct for the expected lower values in the first years of commercialization. This led to four  
220 learning scenarios (L1-L4) for the material yields in the various new processes as discussed in more detail  
221 in Appendix B. For all EoL and learning scenarios, corresponding Sankey diagrams were constructed using  
222 the e!Sankey software (iPoint, 2021).

### 223 2.3. Recycling and recovery rates

224 Based on the M/SFAs, recycling and recovery rates were calculated for each of the EoL scenarios and each  
225 of the learning scenarios, covering the mass recovery, economic value recovery and embodied energy  
226 recovery. Figure 2 illustrates the composition of a PV module from a material, economic value and  
227 embodied energy perspective. To quantify the embodied energy, the cumulative energy demand of the  
228 virgin materials was used.





229

230 Figure 2. Composition of crystalline silicon-based PV modules (2-column)

231 2.3.1. Mass-based recovery rate

232 The mass-based recovery rate (Equation 1) calculates the mass of recovered materials compared to the  
 233 initial mass in the waste PV modules. The metric is expressed in % and can be calculated for each of the  
 234 substance flows, for each of the CLs, for each of the EoL scenarios and for each of the learning scenarios.  
 235 The overall recycling rate as often used in policies, is based on the mass-based recovery rate<sub>CL0-3</sub>, without  
 236 differentiation amongst the CLs or substance flows. The mass-based recovery rate can also include energy  
 237 recovery (CL4). To calculate the mass-based recovery rates, the results from the M/SFA were used.

238 
$$\text{Mass-based recovery rate}_{i,j,CL0-k} = \frac{\sum_{m=0}^k \sum_{l=1}^7 M_{i,j,l,m}}{\sum_{l=1}^7 M_{l,waste solar panels}},$$

239 
$$\forall i \in EoL, j \in LS, k \in CL, l \in SF, m \in \{0 - k\}, \quad (1)$$

240 with EoL= set of ten end-of-life scenarios, indexed by i; LS= set of four learning scenarios, indexed by j;  
 241 SF= set of seven substance flows, indexed by l; CL=set of five cascading levels, indexed by k;  $M_{i,j,l,k}$  = the  
 242 recovered mass of substance flow l at cascading level k in end-of-life scenario i at learning scenario j  
 243 [tonne];  $M_{l,waste PV modules}$  the mass of substance flow l in the waste PV modules [tonne].

244 2.3.2. Economic value recovery rate

245 Besides recovering the mass of the materials, also the economic value of the materials can be recovered.  
 246 The economic value recovery rate (Equation 2), based on the circular economy index (Di Maio and Rem,  
 247 2015), calculates the recovered economic value of the materials relative to the potential maximum  
 248 recoverable economic value expressed in %. While equation 2 illustrates the economic value recovery rate  
 249 of the sum of the substance flows, this metric can also be calculated for the individual substance flows. To  
 250 calculate the economic value recovery rate, the results from the M/SFA were supplemented with economic  
 251 prices for all materials at all CLs.

252 
$$\text{Economic Value Recovery Rate}_{i,j,CL0-k} = \frac{\sum_{m=0}^k \sum_{l=1}^7 (M_{i,j,l,m} * EV_{l,m})}{\sum_{l=1}^7 (M_{l,waste solar panels} * EV_{l,k=0})},$$

253 
$$\forall i \in EoL, j \in LS, k \in CL, l \in SF, m \in \{0 - k\}, \quad (2)$$

254 with EoL= set of ten end-of-life scenarios, indexed by i; LS= set of four learning scenarios, indexed by j;  
 255 SF=set of seven substance flows, indexed by l; CL=set of five cascading levels, indexed by k;  $M_{i,j,l,k}$  = the  
 256 recovered mass of substance flow l at cascading level k in end-of-life scenario i at learning scenario j  
 257 [tonne];  $M_{l, \text{waste PV modules}}$  the mass of substance flow l in the waste PV modules [tonne];  $EV_{l,k}$  = the economic  
 258 value of substance flow l at cascade level k [€ per tonne].

### 259 2.3.3. Embodied energy recovery rate

260 Equation 3 illustrates the embodied energy recovery rate for EoL scenario i and learning scenario j. Similar  
 261 as for the previously introduced recovery rates, the embodied energy recovery rate can also be calculated  
 262 for the individual substance flows. To calculate the embodied energy recovery rate, the results from the  
 263 M/SFA were supplemented with the embodied energy values for all materials at all CLs, following the  
 264 avoided burden approach.

$$265 \text{ Embodied Energy Recovery Rate}_{i,j,CL0-k} = \frac{\sum_{m=0}^k \sum_{l=1}^7 M_{i,j,l,m} * EE_{l,m}}{\sum_{l=1}^7 M_{l, \text{waste solar panels}} * EE_{l,k=0}},$$

$$266 \forall i \in EoL, j \in LS, k \in CL, l \in SF, m \in \{0 - k\} \quad (3)$$

267 with EoL= set of ten end-of-life scenarios, indexed by i; LS= set of four learning scenarios, indexed by j;  
 268 SF=set of seven substance flows, indexed by l; CL=set of five cascading levels, indexed by k;  $M_{i,j,l,k}$  = the  
 269 recovered mass of substance flow l at cascading level k in end-of-life scenario i at learning scenario j  
 270 [tonne];  $M_{l, \text{waste PV modules}}$  the mass of substance flow l in the waste PV modules [tonne];  $EE_{l,k}$  = the embodied  
 271 energy of substance flow l at cascade level k [MJ per tonne].

## 272 2.4. Sensitivity analysis of all scenarios

273 A sensitivity analysis was conducted for each of the different learning and EoL scenarios. In this sensitivity  
 274 analysis, the most influencing parameters were defined for each of the following indicators: mass-based  
 275 recovery rate<sub>CL0</sub>, mass-based recovery rate<sub>CL0-1</sub>, mass-based recovery rate<sub>CL0-2</sub>, mass-based recovery rate<sub>CL0-3</sub>,  
 276 economic value recovery rate<sub>CL0-4</sub>, embodied energy recovery rate<sub>CL0-4</sub>. By including six indicators for ten  
 277 EoL scenarios and four learning scenarios, in total 240 sensitivity analyses were conducted. Each of these  
 278 sensitivity analyses was conducted with the Crystal Ball software from Oracle. For each of the mass yields  
 279 included in the model, a triangular distribution between -10% and +10% was selected. This distribution  
 280 was chosen to enable an identical variation on all mass yields and has been selected before for similar  
 281 sensitivity analyses (Thomassen et al., 2019; Van Dael et al., 2013). All analyses were conducted using  
 282 10.000 iterations.

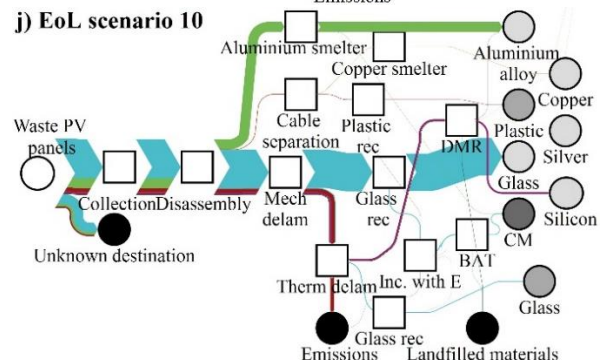
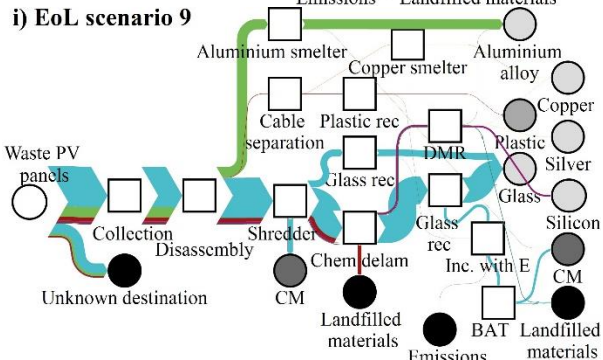
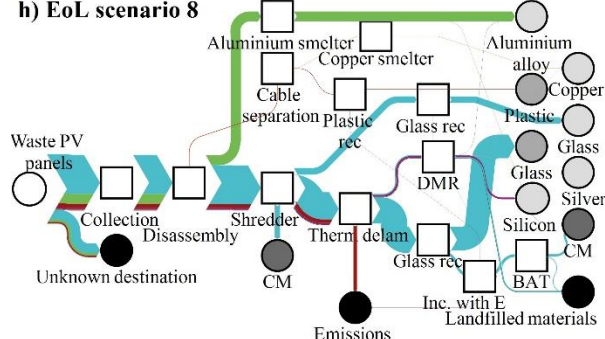
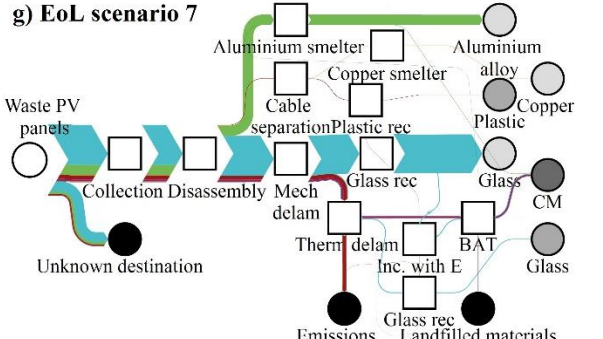
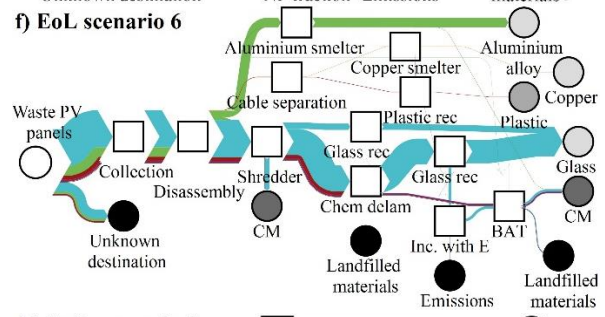
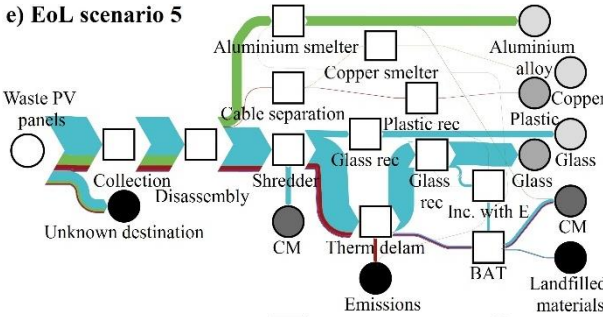
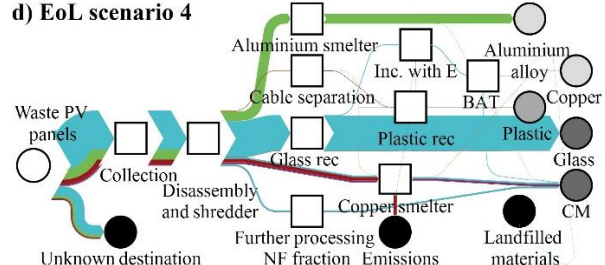
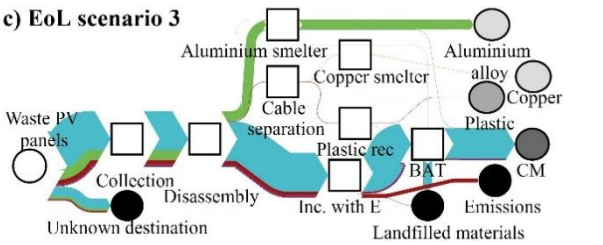
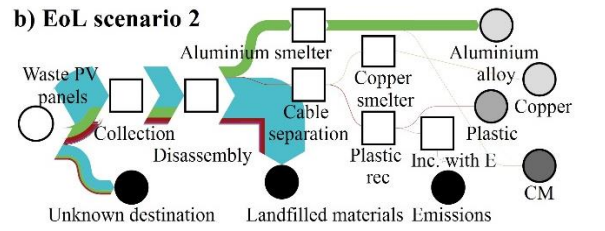
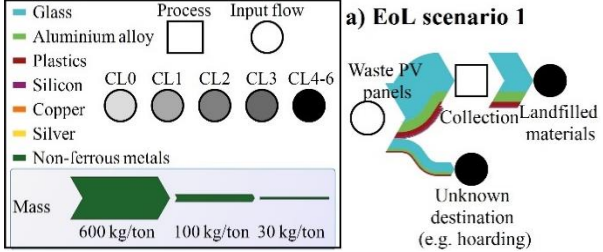
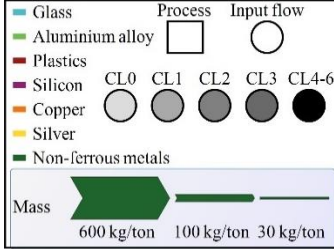
## 283 3. Results and discussion

### 284 3.1. M/SFA

285 The M/SFAs of the ten EoL scenarios in the best-case learning scenario (L4) are illustrated in Figure 3 (and  
 286 Figure S1 in Supplementary Information C). The M/SFAs of the other learning scenarios can be found in  
 287 Appendix C, together with the other results of these learning scenarios. The color of the recovered materials  
 288 indicates their CL, ranging from light grey (CL0) to black (CL>4). As the main component, glass dominates  
 289 the Sankey diagrams, although its destination differs. The recycling path of the aluminium alloy to CL0 is  
 290 also clearly visible.

291

**Legend**

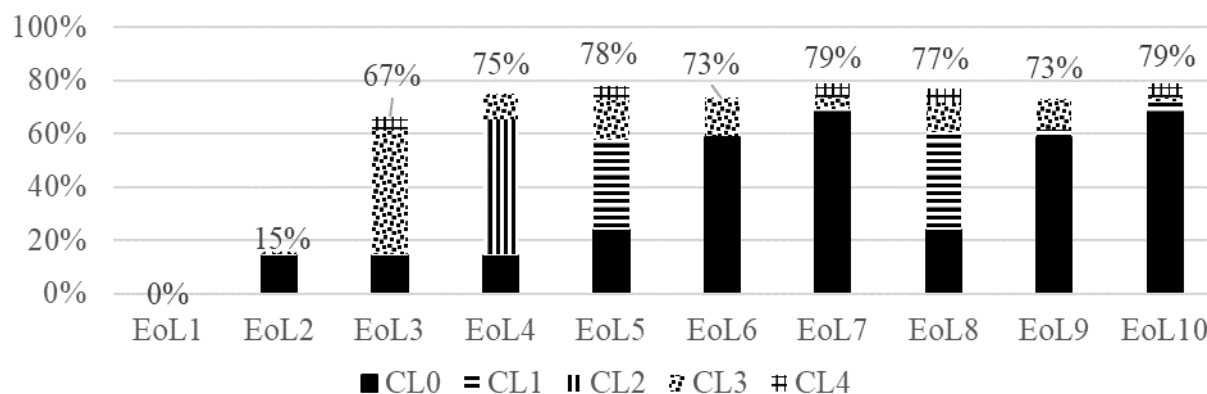


292 Figure 3. M/SFAs of different EoL scenarios for the best-case learning scenario (L4) (DMR: dedicated  
 293 metal recycling; Inc. with E: Incineration with energy recovery; BAT: bottom ash treatment; CM:  
 294 construction materials; Mech: Mechanical; Therm: Thermal; Chem: Chemical; Delam: Delamination;  
 295 Rec: Recycling) (2-column)

296 3.2. Recycling and recovery rate

297 3.2.1. Mass-based recovery rate

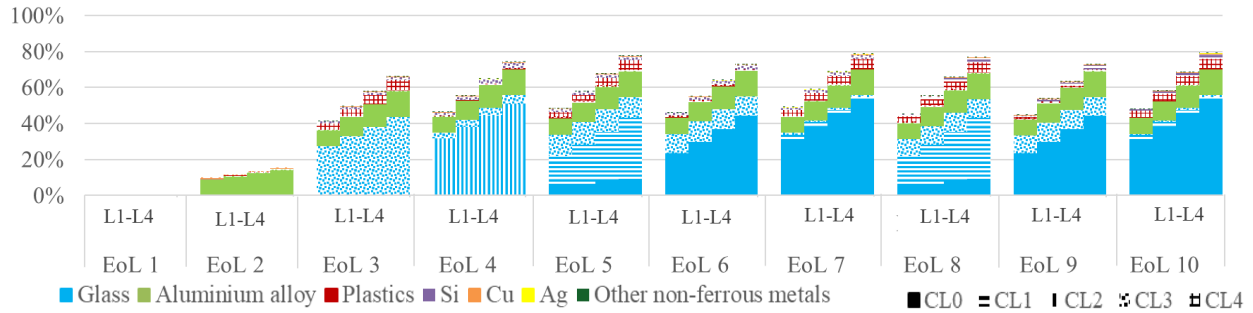
298 Figure 4 illustrates the mass-based recovery rate<sub>CL0-4</sub> for the various EoL scenarios in L4. The difference  
299 between the mass-based recovery rate<sub>CL0-4</sub> for EoL scenario 3-10 is small (ranging between 67-79%), if no  
300 further material or CL considerations are included. Although 67% of the mass is recovered in EoL scenario  
301 3, 46% goes to low-value applications (CL3). In the more advanced EoL scenarios, more mass is recovered  
302 in CL1 and CL0, with a maximum mass-based recovery rate<sub>CL0</sub> of 68% in EoL scenario 10. As the metals  
303 in the solar cell itself only constitute a small mass fraction, their recovery has a minor influence on the  
304 mass-based recovery rate<sub>CL0-4</sub>. This results in only small differences between EoL scenario 5 and 8, 6 and  
305 9, and 7 and 10. To calculate if the recovery and recycling or reuse targets are met (80%/85%), the losses  
306 due to non-collection and the losses in the recycling facilities (smelter, glass recycling) could be excluded  
307 (European Commission, 2019). This way, EoL scenario 3 meets the recovery target and EoL scenarios 4-  
308 10 meet both targets (Figure C5).



309  
310 Figure 4. Mass-based recovery rate<sub>CL0-4</sub> for total mass in L4 (numbers indicate mass-based recovery rate<sub>CL0-</sub>  
311 4) (2-column)

312 In Figure 5, the various learning scenarios and substance flows are illustrated. Glass is already recovered  
313 in EoL scenario 3, however, only at low quality (CL3). In EoL scenario 4, the main glass recovery occurs  
314 at CL2, while for EoL scenario 5 and 8, this occurs at CL1. In EoL scenarios 6,7,9 and 10, glass is mainly  
315 recovered at CL0. Aluminium is recovered to a similar extent in EoL scenarios 2-10. Although EoL  
316 scenarios 3 and 4 are based on current practices and have therefore fewer learning opportunities, the total  
317 difference between the mass-based recovery rate<sub>CL0-4</sub> for the various learning scenarios is similar compared  
318 to the EoL scenarios 5-10. The mass-based recovery rate<sub>CL0-4</sub> of learning scenario 4 for EoL scenarios 3-10  
319 is 58-70% higher than the mass-based recovery rate<sub>CL0-4</sub> for learning scenario 1. In EoL scenario 2, the  
320 difference was less pronounced.

321

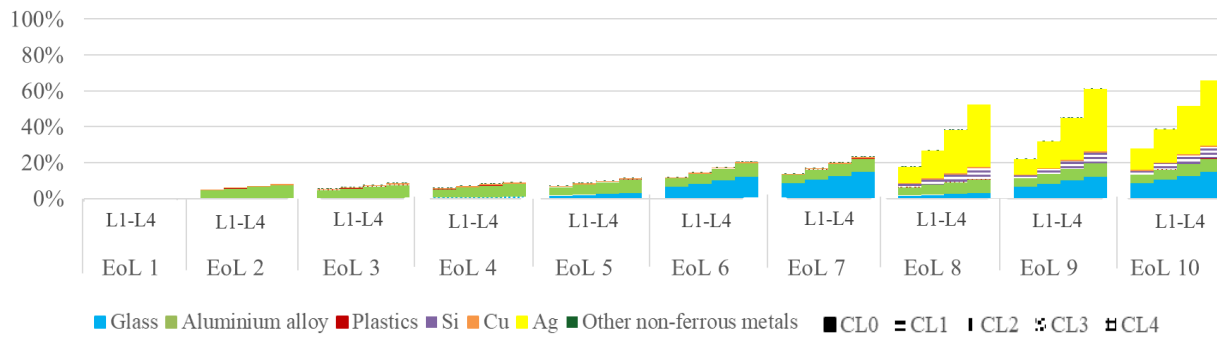


322  
323

324 Figure 5. Mass-based recovery rate<sub>CL0-4</sub> of the ten EoL scenarios, including various substance flows (L1-  
325 4=learning scenario 1-4; EoL 1-10= EoL scenario 1-10; CL0-4=Cascading levels 0-4) (2-column)

326 3.2.2. Economic value recovery rate

327 While for EoL scenario 3-10 for the last three learning scenarios at least half of the total mass is recovered,  
328 half of the economic value is only recovered in learning scenario 4 of EoL scenario 8-10 and learning  
329 scenario 3 of EoL scenario 10 (Figure 6). The economic value recovery rate<sub>CL0-4</sub> of EoL scenarios 8-10 (52-  
330 66% in L4) is also much higher than for the less advanced EoL scenarios (0-23% in L4). This can be  
331 explained by the high economic value of silver, which is only recovered in these last three EoL scenarios.  
332 The four learning scenarios indicate the large uncertainty on the last three end-of-life scenarios compared  
333 to the earlier EoL scenarios, where the difference between the learning scenarios is smaller. The differences  
334 between the learning scenarios for EoL scenarios 8-10 are much larger compared to the differences  
335 identified for the mass-based recovery rate<sub>CL0-4</sub>. This can be explained by the included lower TRL  
336 technologies in EoL scenario 8-10, which focus on the recovery of higher value substance flows, such as  
337 silver.



338  
339

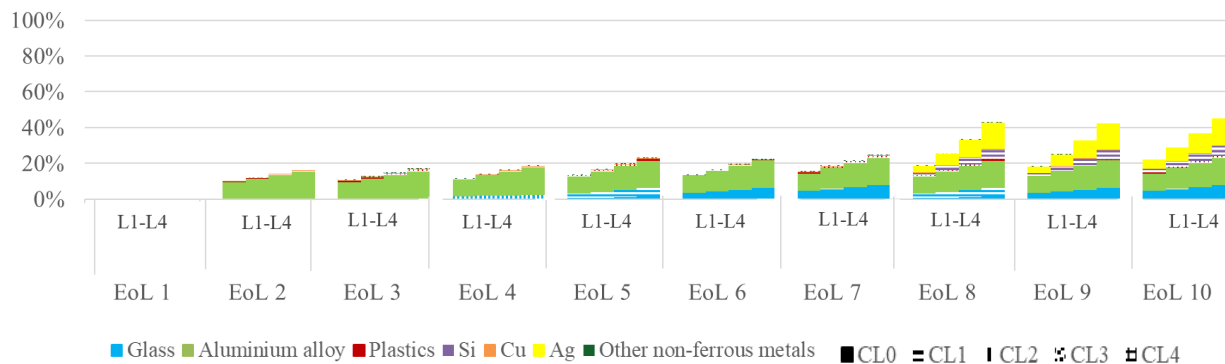
340 Figure 6. Economic value recovery rate<sub>CL0-4</sub> of the ten EoL scenarios, including various substance flows  
341 (2-column)

342 3.2.3. Embodied energy recovery rate

343 None of the defined EoL scenarios in the various learning scenario exceeds an embodied energy recovery  
344 rate<sub>CL0-4</sub> of 50% (Figure 7). However, the differences in the embodied energy recovery rate<sub>CL0-4</sub> are smaller  
345 than for the economic value recovery rate<sub>CL0-4</sub>. Similar as for the economic value, most of the embodied  
346 energy is recovered in closed-loop recycling (CL0). The smaller difference between the EoL scenarios can  
347 be explained by the large amount of embodied energy in aluminium that is mainly present in the frame. As  
348 the frame is already recycled in EoL scenario 2, the additional gain in more advanced EoL scenarios is  
349 smaller. The incineration and thermal delamination of the plastic fraction also induces a recovery in  
350 embodied energy, which does not occur in EoL scenario 6 and EoL scenario 9 that include only chemical

351 delamination. In EoL scenarios 8-10, where also the metals in the cell are recycled, an additional recovery  
 352 of embodied energy due to the potential closed-loop recycling of silver is obtained.

353 As the aluminium recovery plays a vital role for the embodied energy recovery rate<sub>CL0-4</sub>, the differences  
 354 between the results of the various learning rate scenarios are less profound than for the economic value  
 355 recovery rate<sub>CL0-4</sub>, leading to an improvement of 60-130% of the embodied energy recovery rate<sub>CL0-4</sub> for  
 356 learning scenario 4 in comparison to the embodied energy recovery rate<sub>CL0-4</sub> for learning scenario 1.



357

358

359 Figure 7. Embodied energy recovery rate<sub>CL0-4</sub> of the ten EoL scenarios, including various material  
 360 categories (2-column)

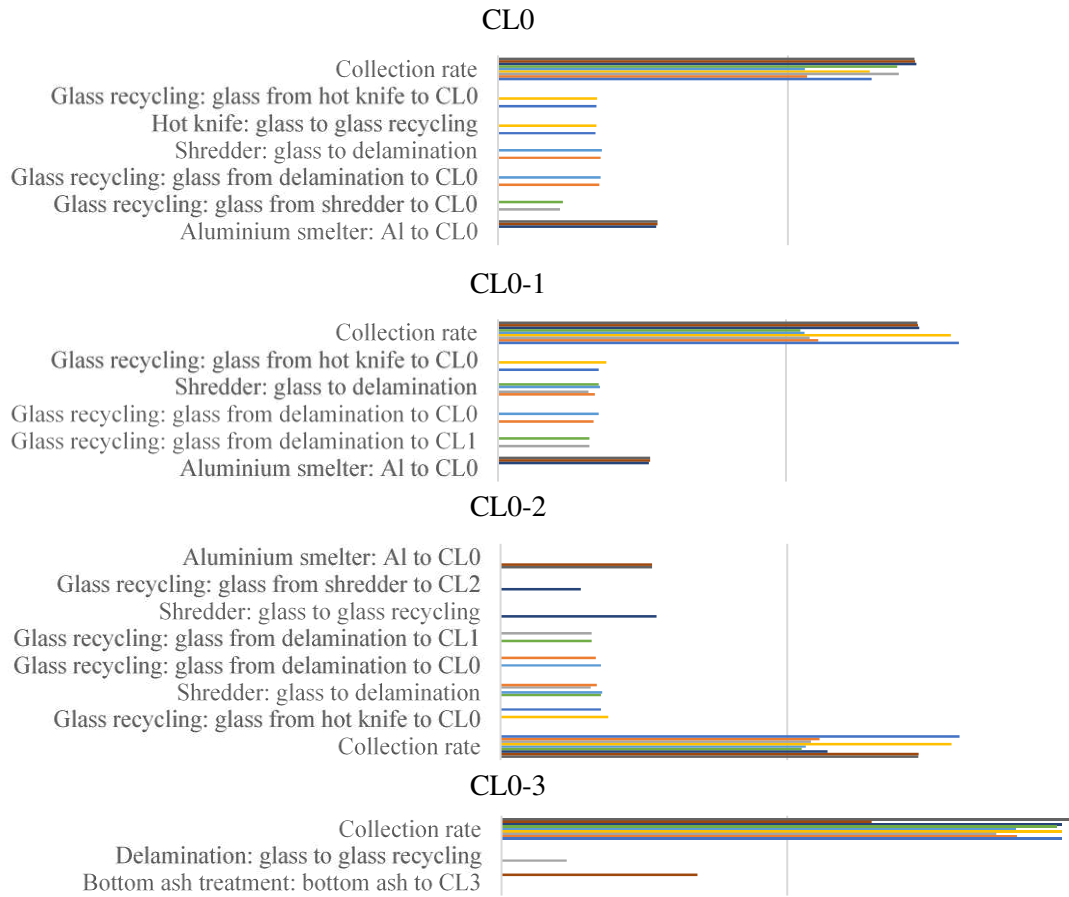
361 3.3. Sensitivity analysis of all the scenarios

362 The results of the sensitivity analysis for the best-case learning scenario are provided in Figure 8, including  
 363 all parameters that have an influence of more than 10% on the variance of the indicators. The collection  
 364 rate is the most influencing parameter for all indicators and all EoL scenarios. A rate of 50 and 80% in the  
 365 worst-case and best-case learning scenario, respectively, was assumed. If the worst-case learning scenario  
 366 would have included this 80% collection rate, all recovery rates for all scenarios would have improved with  
 367 60%.

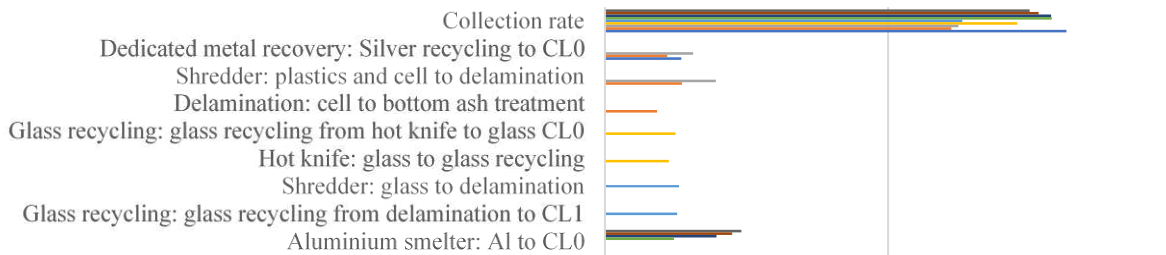
368 A second important parameter is the mass yield of aluminium in the aluminium smelter. As aluminium is  
 369 an important component in mass, economic value and embodied energy, this parameter also influences all  
 370 indicators. A third group of parameters that has a high influence are the glass recovery rates for the various  
 371 processes. The silver recovery in the dedicated metal recycling process, and the processes before aiming to  
 372 maximize the fraction ending up in the dedicated metal recovery have only a high influence on the economic  
 373 value recovery rate<sub>CL0-4</sub>. The embodied energy recovery rate<sub>CL0-4</sub> is mainly influenced by the aluminium  
 374 recovery, which can only be improved by improving the collection rate and minimizing the amount of  
 375 aluminium that ends up as unrecovered slag. This also explains why only two parameters have an influence  
 376 of more than 10% on the variance of the embodied energy recovery rate<sub>CL0-4</sub>. The higher number of  
 377 parameters with an impact of more than 10% on the variance of the economic value recovery rate<sub>CL0-4</sub> can  
 378 be explained by the larger number of processes prior to the silver recovery process. In addition, also the  
 379 parameters influencing glass CL0 recovery are much more important for the economic value recovery  
 380 rate<sub>CL0-4</sub> than for the embodied energy recovery rate<sub>CL0-4</sub>.

381 The sensitivity analysis illustrates that the chosen indicator has a large effect on which parameters are  
 382 identified as important. This means that depending on what the target is, different improvement processes  
 383 will receive priority. In addition, also the learning assumptions influence which parameters have a large  
 384 influence.

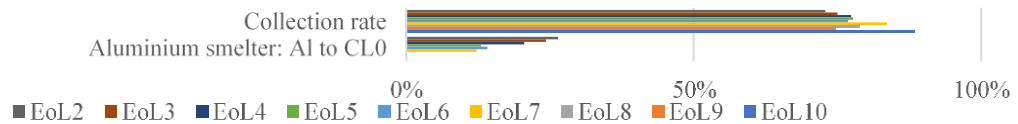
**Mass-based recovery rate<sub>CL0-k</sub>**



**Economic value recovery rate<sub>CL0-4</sub>**



**Embodied energy recovery rate<sub>CL0-4</sub>**



385

386 Figure 8. Sensitivity analysis results for the different recovery rates in the best-case learning scenario (the  
 387 percentages indicate the influence of a change in the parameter on the variance of the indicator; a negative  
 388 percentage indicates that an increase in the parameter leads to a decrease in the indicator) (2-column)

389

### 390 3.4. Limitations and recommendations

391 Due to the large number of technologies, the selected EoL scenarios cannot provide an exhaustive overview.  
392 For example, electric delamination methods were excluded as the differences between these technologies  
393 and the included delamination technologies were assumed to be small (Song et al., 2020). For a more  
394 extensive discussion on the technical challenges and opportunities and research priorities of current  
395 recycling technologies, Farrell et al. (2020) and Heath et al. (2020) can be consulted.

396 The M/SFA requires the specification of the material composition of the waste stream. However, this is  
397 time dependent (Peeters et al., 2017). Labels stating the exact material content of the installed PV modules  
398 would help taking this variability into account (Norgren et al., 2020).

399 By adding the process-specific cost and energy requirements, the net recovered economic value and  
400 embodied energy can be calculated for all EoL scenarios. As this would require a detailed TEA and LCA  
401 of all the included processes, reporting these targets would be data intensive. As the current study focused  
402 on the material perspective, this was considered out of scope. Nevertheless, multiple studies focusing on  
403 LCA and TEA studies are available and can be consulted for this purpose (e.g. Deng et al. (2019), Faircloth  
404 et al. (2019) and Lunardi et al. (2018)). In addition, this study has mainly focused on recycling and recovery.  
405 Other circular economy strategies such as reuse, repair and remanufacturing have been excluded, but can  
406 also play a major role (Deng et al., 2020). For example, Tao et al. (2020) found higher revenues for PV  
407 module component reuse compared to material extraction from these modules. Although the M/SFA in this  
408 study focused on a specific technology, it can also be used to study the material flows on a regional or  
409 system level. This way, also other circular strategies could be visualized in Sankey diagrams. Additional  
410 circularity indicators could be useful to assess these strategies. For example, the in-use occupation of  
411 materials indicators as introduced by Moraga et al. (2021) quantifies the maintenance of the materials in a  
412 useful state in products, covering multiple product lifetimes.

413 The PV module collection rate is identified as the most important parameter. As it depends on the location,  
414 data from the region of Flanders was used. In Flanders, the collection of PV modules is arranged by PV  
415 Cycle, who has setup 58 collection spots in the region. In addition, PV modules can be picked up by request.  
416 The collection in other regions is often organized in a different way. In Germany, the government has  
417 initiated public collection points for private PV modules and additionally, business-to-business e-waste  
418 compliance schemes have been set up. In the USA, the only specific PV EoL regulation was found in  
419 Washington, where a stewardship and takeback program is required (Washington State Legislature, 2020).  
420 In Japan, China and India (other important PV module waste producing countries according to IRENA and  
421 IEA-PVPS (2016)) currently no specific regulations for the EoL of PV modules exist yet (Heath et al.,  
422 2020). A more extended discussion on the PV module EoL management systems in these countries can be  
423 found in IRENA and IEA-PVPS (2016), Heath et al. (2020) and Majewski et al. (2021).

424 The economic value recovery rate<sub>CL0-4</sub> was based on market prices. However, prices are volatile, which  
425 means that the economic value recovery rate<sub>CL0-4</sub> can vary on a daily basis. Although this complicates  
426 comparison, this does mirror economic reality as market and demand influences the importance of the  
427 different materials. To facilitate comparisons, transparency on the used prices (and overall data) is crucial.

428 The cascading levels indicate the highest potential CL, however, additional purity processes can always  
429 lead to a higher CL. In this case, additional raw material might be required to dilute impurities or dedicated  
430 additional purity processes may lead to additional material losses. The defined CLs are therefore specific  
431 for each case and can change when the system boundaries of the M/SFA change.



### 432 3.5. Policy implications

433 The M/SFA approach enables the identification of data gaps and can provide important recommendations  
434 for monitoring and policy purposes. In practice, the recycling rate is often measured at the entrance of a  
435 recycling plant, without a full overview of the value chain. M/SFAs are therefore recommended as a  
436 standard method for monitoring policy targets as they require a full inventory of material flows.

437 Policy directives, such as the WEEE directive (European Parliament and Council, 2012) and the directive  
438 on packaging and packaging waste (European Parliament and Council, 2018), state the mass-based recovery  
439 rates as major targets to boost the circular economy. However, when this indicator is used to compare basic  
440 waste management technologies such as incineration with more innovative recycling schemes, only limited  
441 improvement can be made by the innovative technologies. If all forms of material recovery, including non-  
442 functional recovery as construction materials, are treated equally, no incentive is provided to further  
443 develop technologies focusing on material recovery to high-value applications. This could be observed  
444 from the similar mass-based recovery rates<sub>CL0-3</sub> of EoL scenario 4 and 10. However, the advantage of these  
445 innovative technologies becomes clear when the recycling rate only includes the higher value-applications  
446 (mass-based recovery rate<sub>CL0-1</sub>), or alternatively, when the economic value recovery rate<sub>CL0-4</sub> or embodied  
447 energy recovery rate<sub>CL0-4</sub> is used for comparisons. Besides the quantity, also the quality of recycling is  
448 important. In the Circular Economy action plan of the European Commission (2020a), the need for high-  
449 quality recycling is stated, however, how this high-quality is defined is not further elaborated. The use of  
450 CLs as proposed in this study could enable the differentiation between different quality levels of recycling,  
451 avoiding downcycling of materials, which can lead to the inaccessibility of materials for further functional  
452 applications (Dewulf et al., 2021).

453 Besides the quantity and the secondary application of the material, also the sort of material is important.  
454 The mass-based recovery rates were dominated by glass recycling as glass constitutes the highest fraction  
455 of a PV panel. Other materials such as precious metals (silver) or critical raw materials as defined by the  
456 European Commission (2020b) (silicon) constitute a limited fraction of the total mass. Efforts to improve  
457 their material specific recycling rate did not have an important impact on the mass-based recovery rates.  
458 Therefore, specific targets for these material groups may be required to promote their recycling to higher  
459 cascading levels. For the classification of other material groups, the categorization of raw materials as  
460 provided by Dewulf et al. (2015) can be used. In the economic value recovery rate<sub>CL0-4</sub> and the embodied  
461 energy recovery rate<sub>CL0-4</sub>, the various materials are weighted according to their economic value and  
462 embodied energy. In addition, these two indicators also account for the different CLs, as in CL3, the  
463 replaced virgin materials will have a lower economic value and embodied energy than in CL0. Recovering  
464 materials with a high economic value and embodied energy is crucial as the avoided economic cost and  
465 environmental burden of not having to supply the virgin materials is high. The embodied energy serves  
466 here as a proxy for a broader environmental impact, a correlation which has been previously identified by  
467 Huijbregts et al. (2010).

468 Currently, the term ‘recycling’ covers almost all cascading levels, which can lead to very high recycling  
469 rates (e.g. 93.5% (PV Cycle, 2021)). This is a much higher value than the values found by the current study.  
470 Multiple explanations exist, being differing system boundaries, where for example the collection step is  
471 excluded, the inclusion of processes that are not yet operating on a commercial level and the inclusion of  
472 all forms of secondary applications. The differences in how these recycling rates are calculated makes it  
473 impossible to compare various numbers from various studies. To enable a more specific definition of what  
474 the recycling target should include, also a stricter monitoring is required to make the required data available.  
475 In addition, standards, such as the under development ISO TC 323, could be useful to harmonize circularity

476 calculations (Perissinotti Bisoni et al., 2020). Ideally, the definitions and the monitoring are internationally  
477 agreed, and implemented and maintained by public policy bodies.

478 The transition to renewable energy is an important condition to tackle environment problems such as  
479 climate change (Edenhofer et al., 2012). However, this increased use of renewable energy leads to an  
480 additional material demand, which can have detrimental effect on the environment as well. The transition  
481 to renewable energy and the transition to a circular economy are therefore inherently linked (Carrara et al.,  
482 2020). Recycling PV modules is an important step to limit the loss and additional demand of materials,  
483 which is required to sustain these transitions on a long term.

484 The transition to a circular economy does also contribute to the sustainable development goals (SDGs),  
485 although no specific SDG for ‘circular economy’ exists (UN General Assembly, 2015). As discussed by  
486 Farrell et al. (2020), ten out of the seventeen SDG could be targeted when improving the recycling of PV  
487 modules, making it a very relevant topic on a global level.

#### 488 4. Conclusions

489 As currently only limited amounts of PV module waste are available, only basic waste management  
490 technologies are applied. More specific technologies are under development, but have not yet reached TRL  
491 9. To encourage the recycling of PV modules, targets have been introduced. However, the recycling rates  
492 used by these targets are not able to distinguish between the difference in value of the recycled materials  
493 and the difference in the secondary application. In this study, these differences have been analyzed,  
494 illustrating a clear advantage of more innovative recycling technologies, but also indicating the  
495 insufficiency of the current targets to promote these innovative recycling technologies. While current  
496 recycling processes focus on recovering the bulk glass fraction, smaller but more valuable fractions such  
497 as silver and silicon are not recovered. Although the technologies for this recovery are available, the right  
498 incentives are still lacking. A more specific definition of ‘recycling rates’ could assist in providing these  
499 incentives. In general, the main parameter influencing the recovery of materials from PV modules is the  
500 collection rate. Therefore, it is crucial to get a clear view on what happens with PV modules after their  
501 useful lifetime. This monitoring is also crucial for the other recycling steps to enable a more harmonized  
502 way of assessing recycling processes.

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513 will not carry any liability with respect to the use that can be made of the produced data or conclusions.

514

515

516

517 Appendix A: Cascading levels for the different materials

518

519 Table A1. Cascading levels for the different materials (N/A: not applicable; N/I: not included)

	CL0	CL1	CL2	CL3	CL4	CL5	CL6
Glass	PV modules	Bottle glass	Insulation material	Road construction	N/A	Landfill	Unknown
Silicon	PV modules	Metallurgical grade	N/I	Road construction	N/A	Landfill	Unknown
Aluminium alloy	PV modules	N/I	N/I	Road construction	N/A	Landfill	Unknown
Copper	PV modules	N/I	N/I	Road construction	N/A	Landfill	Unknown
Plastics	PV modules	Mixed plastics	N/I	Road construction	Energy	Landfill	Unknown
Silver	PV modules	N/I	N/I	Road construction	N/A	Landfill	Unknown
Other non-ferrous metals	PV modules	N/I	N/I	Road construction	N/A	Landfill	Unknown

520

521 Table A2. The main differences between the ten EoL scenarios (CL1,5 indicates that a part of the material  
522 flow is recovered in CL1 and the other part is recovered in CL5; The CLs in bold indicate a major change  
523 compared to the previous EoL scenario)

EoL scenario	Glass	Frame and cables (metals)	Frame and cables (plastics)	Backsheet and encapsulant	Cell (Ag)	Cell (Si)	Cell (Cu)	Cell (Al)	Cell (Other metals)
1	CL5	CL5	CL5	CL5	CL5	CL5	CL5	CL5	CL5
2	CL5	<b>CL0</b>	<b>CL1,5</b>	CL5	CL5	CL5	CL5	CL5	CL5
3	CL5	CL0	CL1,5	<b>CL4</b>	<b>CL3</b>	<b>CL3</b>	<b>CL0,3</b>	<b>CL3</b>	<b>CL3</b>
4	<b>CL2,3</b>	CL0	CL1,5	CL4	CL3	CL3	CL0,3	CL3	CL3
5	<b>CL1</b>	CL0	CL1,5	CL4	CL3	CL3	CL0,3	CL3	CL3
6	<b>CL0</b>	CL0	CL1,5	CL5	CL3	CL3	CL0,3	CL3	CL3
7	CL0	CL0	CL1,5	<b>CL4</b>	CL3	CL3	CL0,3	CL3	CL3
8	CL1	CL0	CL1,5	CL4	<b>CL0,3</b>	<b>CL1,3</b>	<b>CL0,3</b>	<b>CL0,3</b>	<b>CL3</b>
9	<b>CL0</b>	CL0	CL1,5	CL5	CL0,3	CL1,3	CL0,3	CL0,3	CL3
10	CL0	CL0	CL1,5	<b>CL4</b>	CL0,3	CL1,3	CL0,3	CL0,3	CL3

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528

## 529 Appendix B: Learning effect assumptions

530

531 Table B1. Learning rate assumptions material yields in four learning scenarios (%)

Process	Parameter	L1*	L2*	L3*	L4*
Collection	Collection rate	50.00	60.00	70.00	80.00
Shredder (EoL 5,6,8,9)	Glass to glass recycling	15.00	15.67	16.33	17.00
	Glass to delamination	62.00	64.67	67.33	70.00
	Glass to construction materials	23.00	19.67	16.33	13.00
Hot knife	Cell fraction to delamination	77.00	83.33	89.67	96.00
	Cell fraction to construction materials	23.00	16.67	10.33	4.00
	Glass fraction to glass recycling	90.00	92.67	95.33	98.00
	Glass fraction to delamination	10.00	7.33	4.67	2.00
	EVA fraction glass recycling	10.00	6.67	3.33	0.00
Thermal delamination	EVA fraction to delamination	90.00	93.33	96.67	100.00
	Glass to glass recycling	85.00	88.67	92.33	96.00
Chemical delamination	Glass to metal recovery	15.00	11.33	7.67	4.00
	Cell fraction to glass recovery	0.50	0.37	0.23	0.10
	Cell fraction to metal recovery	94.50	96.13	97.77	99.40
	Cell fraction to landfill	5.00	3.50	2.00	0.50
	Plastics to metal recovery	10.00	6.67	3.33	0.00
	Plastics to landfill	90.00	93.33	96.67	100.00
	Glass to glass recycling	94.50	96.03	97.47	98.90
Dedicated metal recovery	Glass to metal recovery	5.00	3.67	2.33	1.00
	Glass to landfill	0.50	0.37	0.23	0.10
	Copper recovery	50.00	65.00	80.00	95.00
	Copper to landfill	50.00	35.00	20.00	5.00
	Silicon recovery	50.00	65.00	80.00	95.00
	Silicon to landfill	50.00	35.00	20.00	5.00
	Silver recovery	50.00	65.00	80.00	95.00
	Silver to landfill	50.00	35.00	20.00	5.00

532 \*0: worst-case; -: lower intermediate case; +: higher intermediate case; += best-case

533 For the collection rate, a best-case assumption of 80% was assumed, based on discussions with PV Cycle.

534 For the worst-case learning scenario, a collection rate of 50% was used, similar to the 51.4% collection rate

535 of electric and electronic appliances in Flanders in 2019 (BeWEEE, 2021). The shredder process, which

536 was based on the work of Pagnanelli et al. (2017), was varied according to the different experimental results

537 reported in the mass balances. For the glass separation yield in the hot knife process (mechanical

538 delamination), the 98% yield assumed by Latunussa et al. (2016) and Ardente et al. (2019) was used. After

539 personal communication with the authors, this yield was identified as the best-case value. As no worst-case

540 value was available, a loss of 10% leading to a yield of 90% was assumed, similar to the yield in the glass

541 recycling process itself. This loss could for example be induced by broken glass. The hot knife scrapes the

542 encapsulant layer from the glass layer. In the best-case scenario, 100% of the encapsulant is removed. As

543 concluded by the experimental results in the study of Terryn (2018), the encapsulant is able to reach the

544 required temperature to enable processing 150 panels per hour and could thus be removed completely. In

545 the worst-case, only 90% of the encapsulant is removed.

546

547 The data for the thermal delamination process were based on the work of Pagnanelli et al. (2019) where the  
548 different reported results for the glass yield were used as best-case and worst-case. It was assumed that this  
549 process did not allow for improvement possibilities to reduce the 0.5% of metals ending up in the glass  
550 fraction. To enable a fair comparison between the thermal and chemical delamination, also the assumptions  
551 from the chemical delamination process were based on the study of Pagnanelli et al. (2019). As they  
552 reported that the metal fraction in the glass was five times lower after chemical delamination compared to  
553 thermal delamination, 0.1% of the metal fraction was assumed to end up in the glass fraction. This was  
554 assumed to be the best-case. In the worst-case, the same assumption, being 0.5%, as for the thermal  
555 delamination was used. After the chemical delamination, the plastic fraction is landfilled. This fraction is  
556 assumed not to contain any metals in the best-case learning scenario. In the worst-case learning scenario,  
557 5% of the metals is assumed to be lost in this landfilled fraction.

558 The chemical delamination assumes that the glass fraction does not contain any plastic anymore. In the  
559 best-case scenario, all the plastic is landfilled. However, in the worst-case scenario, the plastic and cell  
560 fraction (including the metals) are not separated in an efficient way and 10% of the plastics ends up in the  
561 metal fraction. This 10% was selected as it is preferable that plastic ends up in the metal fraction compared  
562 to metal ending up in the plastic fraction and thus being landfilled. For the glass fraction, 98.9% of the glass  
563 is recovered, following the mass balances from Pagnanelli et al. (2019). The glass fraction ending up with  
564 the metal fraction constitutes 1% of the total glass fraction, while 0.1% of the glass ends up in the plastic  
565 fraction. In the worst-case scenario, a fivefold higher loss was included for both parameters, similar as for  
566 the assumption of 0.5% cell fraction lost in the glass fraction.

567 For the dedicated chemical metal recovery, a recovery of 95% was assumed for copper, silver and silicon  
568 and a recovery of 50% was assumed for aluminium, based on the work of Latunussa et al. (2016) and  
569 Ardente et al. (2019). This was categorized as the best-case assumptions after communication with the  
570 authors. As a worst-case assumption, a recovery of 50% was used for copper, silver and silicon as well. For  
571 all parameters, intermediate values were selected for the intermediate scenarios.

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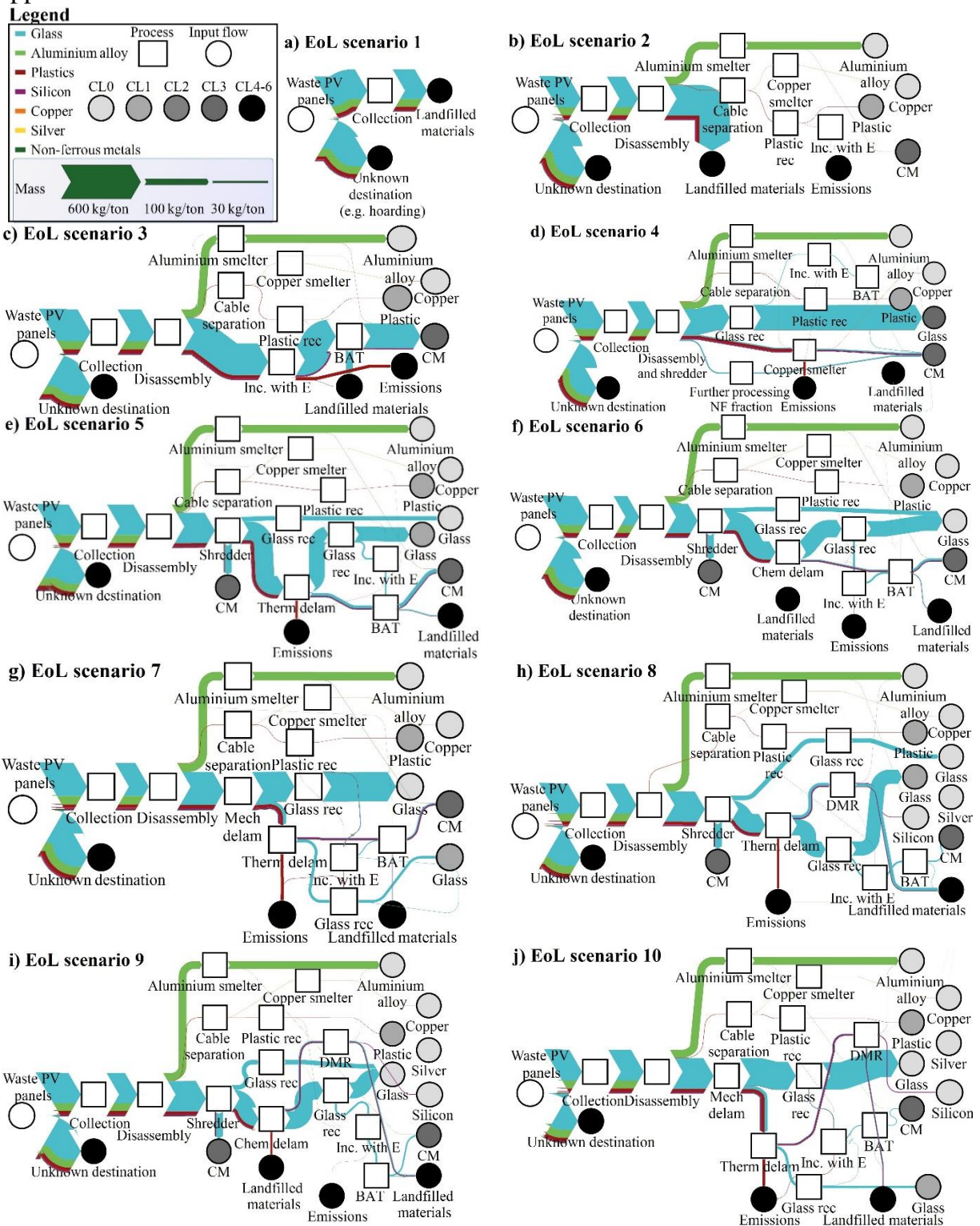
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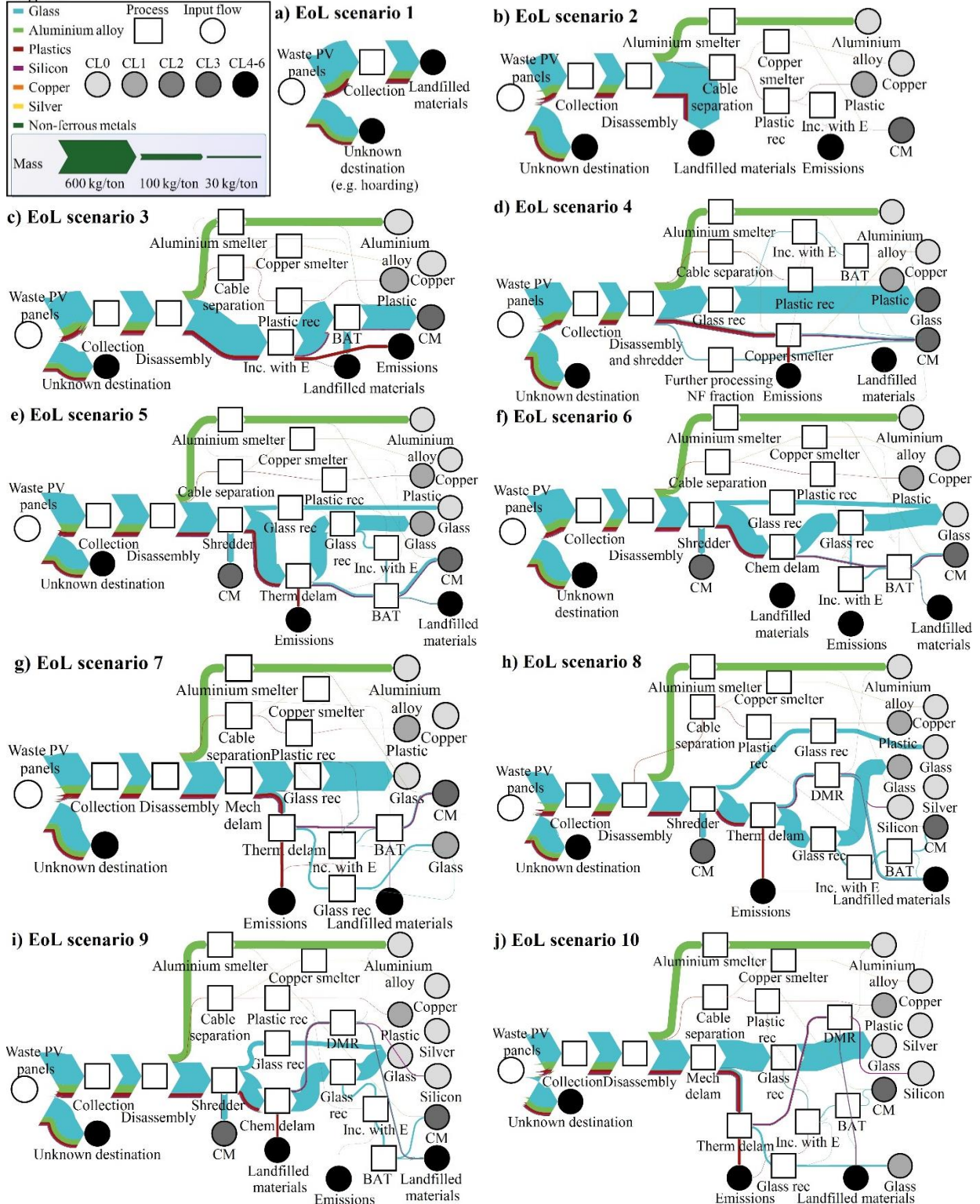
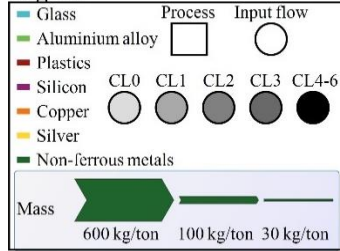
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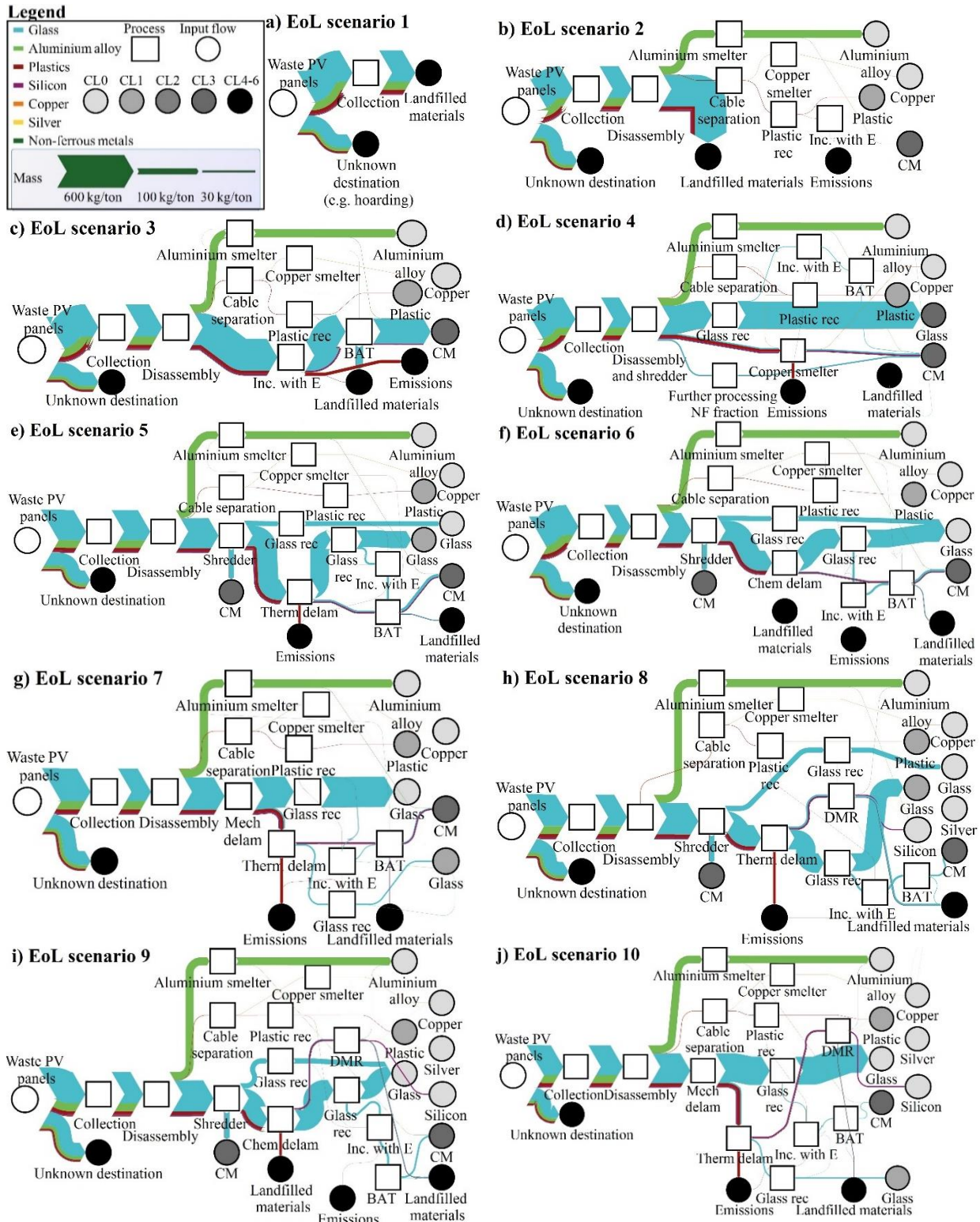


586 Figure C1. M/SFAs of different EoL scenarios for learning scenario (L1) (DMR: dedicated metal  
 587 recycling; Inc. with E: Incineration with energy recovery; BAT: bottom ash treatment; CM: construction  
 588 materials; Mech: Mechanical; Therm: Thermal; Chem: Chemical; Delam: Delamination; Rec: Recycling)

**Legend**

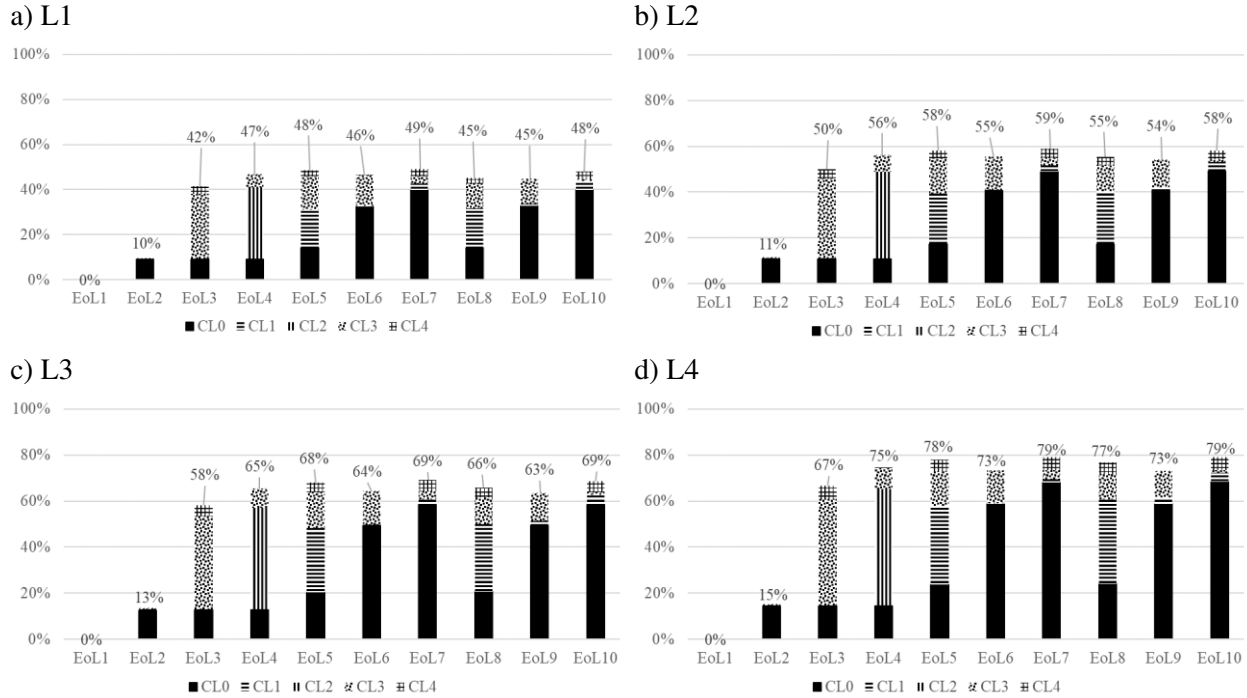


589 Figure C2. M/SAFs of different EoL scenarios for learning scenario (L2) (DMR: dedicated metal  
 590 recycling; Inc. with E: Incineration with energy recovery; BAT: bottom ash treatment; CM: construction  
 591 materials; Mech: Mechanical; Therm: Thermal; Chem: Chemical; Delam: Delamination; Rec: Recycling)

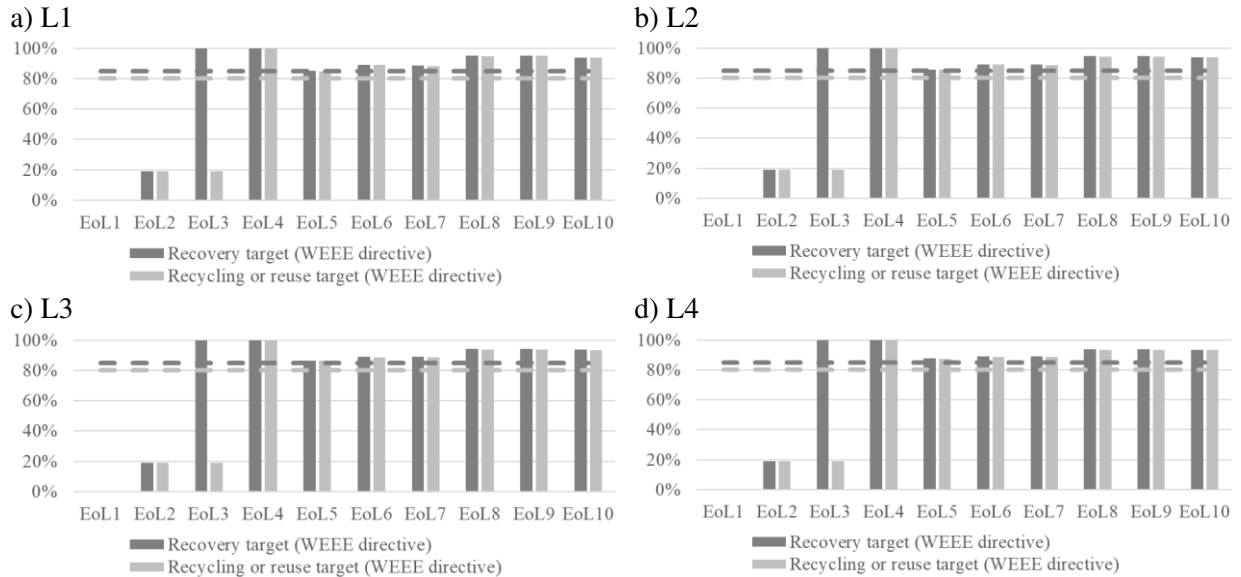


592 Figure C3. M/SFAs of different EoL scenarios for learning scenario (L3) (DMR: dedicated metal  
 593 recycling; Inc. with E: Incineration with energy recovery; BAT: bottom ash treatment; CM: construction  
 594 materials; Mech: Mechanical; Therm: Thermal; Chem: Chemical; Delam: Delamination; Rec: Recycling)





595 Figure C4. Mass-based recovery rate for total mass at the different CLs in L1-4 (number indicates mass-  
596 based recovery rate<sub>0-4</sub>)



597 Figure C5. Recycling and reuse targets of the WEEE directive for L1-4

598

599 Table C1. Mass-based recovery rates per material in learning scenario 1-4

L1	CL	EoL1	EoL2	EoL3	EoL4	EoL5	EoL6	EoL7	EoL8	EoL9	EoL10
Glass	0	0	0	0	0	7	34	44	7	34	44
	0-1	0	0	0	0	31	34	48	31	34	48
	0-2	0	0	0	45	31	34	48	31	34	48

	0-3	0	0	39	50	48	49	50	45	48	49
Aluminium alloy	0-0	0	49	49	49	49	49	49	49	49	49
	0-3	0	50	50	50	50	50	50	50	50	50
Plastics	0-1	0	3	3	3	3	3	3	3	3	3
	0-3	0	3	3	5	14	16	3	14	14	3
	0-4	0	5	50	6	50	18	50	50	15	50
Silicon	0-1	0	0	0	0	0	0	0	19	18	25
	0-3	0	0	33	48	42	40	39	31	30	25
Copper	0	0	35	37	47	37	37	38	40	40	42
	0-3	0	37	46	49	48	48	48	45	45	44
Silver	0	0	0	0	0	0	0	0	19	18	25
	0-3	0	0	34	48	42	40	40	31	30	25
NF metals	0-3	0	0	35	48	43	42	41	12	12	0
<b>L2</b>	CL	EoL1	EoL2	EoL3	EoL4	EoL5	EoL6	EoL7	EoL8	EoL9	EoL10
Glass	0	0	0	0	0	9	43	54	9	43	54
	0-1	0	0	0	0	40	43	58	40	43	58
	0-2	0	0	0	54	40	43	58	40	43	58
	0-3	0	0	47	60	58	59	60	55	58	59
Aluminium alloy	0-0	0	59	59	59	59	59	59	59	59	59
	0-3	0	60	60	60	60	60	60	60	60	60
Plastics	0-1	0	4	4	4	4	4	4	4	4	4
	0-3	0	4	4	6	13	15	4	13	13	4
	0-4	0	6	60	8	60	17	60	60	15	60
Silicon	0-1	0	0	0	0	0	0	0	32	31	39
	0-3	0	0	40	58	49	48	47	43	41	39
Copper	0	0	42	45	56	45	45	45	51	50	52
	0-3	0	45	55	59	58	57	57	56	55	55
Silver	0	0	0	0	0	0	0	0	32	31	39
	0-3	0	0	40	58	50	48	47	43	41	39
NF metals	0-3	0	0	42	58	51	50	50	10	10	0
<b>L3</b>	CL	EoL1	EoL2	EoL3	EoL4	EoL5	EoL6	EoL7	EoL8	EoL9	EoL10
Glass	0	0	0	0	0	11	52	65	11	52	65
	0-1	0	0	0	0	50	52	68	50	52	68
	0-2	0	0	0	63	50	52	68	50	52	68
	0-3	0	0	55	70	68	69	70	65	68	69
Aluminium alloy	0-0	0	69	69	69	69	69	69	69	69	69
	0-3	0	70	70	70	70	70	70	70	70	70
Plastics	0-1	0	5	5	5	5	5	5	5	5	5
	0-3	0	5	5	7	11	13	5	11	11	5
	0-4	0	6	70	9	70	14	70	70	13	70
Silicon	0-1	0	0	0	0	0	0	0	50	49	56
	0-3	0	0	46	67	56	55	55	57	56	56
Copper	0	0	49	52	66	52	52	53	62	62	64
	0-3	0	52	65	69	67	67	67	67	67	66
Silver	0	0	0	0	0	0	0	0	50	49	56
	0-3	0	0	47	67	57	56	55	57	56	56
NF metals	0-3	0	0	49	67	59	58	58	7	7	0
<b>L4</b>	CL	EoL1	EoL2	EoL3	EoL4	EoL5	EoL6	EoL7	EoL8	EoL9	EoL10
Glass	0	0	0	0	0	13	63	77	13	63	77

	0-1	0	0	0	0	62	63	78	62	63	78
	0-2	0	0	0	72	62	63	78	62	63	78
	0-3	0	0	62	80	78	79	80	77	78	80
Aluminium alloy	0-0	0	79	79	79	79	79	79	79	79	79
	0-3	0	80	80	80	80	80	80	80	80	80
Plastics	0-1	0	5	5	5	5	5	5	5	5	5
	0-3	0	5	5	8	8	8	5	8	8	5
	0-4	0	7	80	10	80	10	80	80	10	80
Silicon	0-1	0	0	0	0	0	0	0	73	73	76
	0-3	0	0	53	77	63	63	62	76	76	76
Copper	0	0	56	60	75	60	60	60	75	75	76
	0-3	0	59	74	79	77	76	76	79	79	79
Silver	0	0	0	0	0	0	0	0	73	73	76
	0-3	0	0	54	77	64	64	63	76	76	76
NF metals	0-3	0	0	56	77	67	66	66	3	3	0

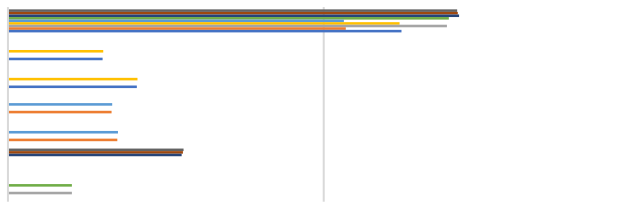
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601 **Mass-based recovery rate<sub>CL0-k</sub>**

602

CL0

Collection rate  
 Glass recycling: glass from hot knife to CL0  
 Hot knife: glass to glass recycling  
 Shredder: glass to delamination  
 Glass recycling: glass from delamination to CL0  
 Aluminium smelter: Al to CL0  
 Shredder: glass to glass recycling

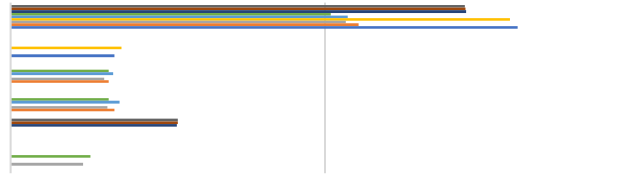


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CL0-1

Collection rate  
 Glass recycling: glass from hot knife to CL0  
 Shredder: glass to delamination  
 Glass recycling: glass from delamination to CL0  
 Aluminium smelter: Al to CL0  
 Delamination: glass to glass recycling

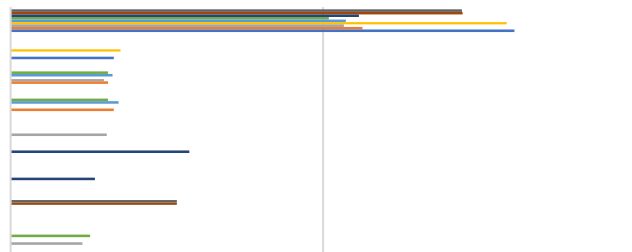


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CL0-2

Collection rate  
 Glass recycling: glass from hot knife to CL0  
 Shredder: glass to delamination  
 Glass recycling: glass from delamination to CL0  
 Glass recycling: glass from delamination to CL1  
 Shredder: glass to glass recycling  
 Glass recycling: glass from shredder to CL2  
 Aluminium smelter: Al to CL0 rate  
 Delamination: glass to glass recycling



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CL0-3

Collection rate  
 Delamination: glass to glass recycling  
 Bottom ash treatment: bottom ash to use



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**Economic value recovery rate<sub>CL0-4</sub>**

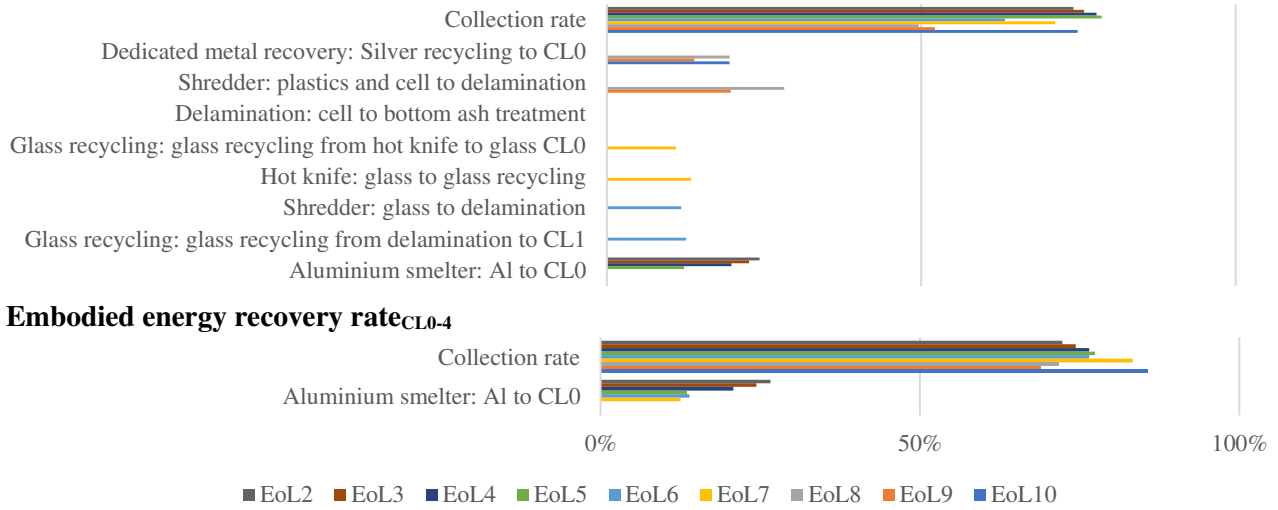
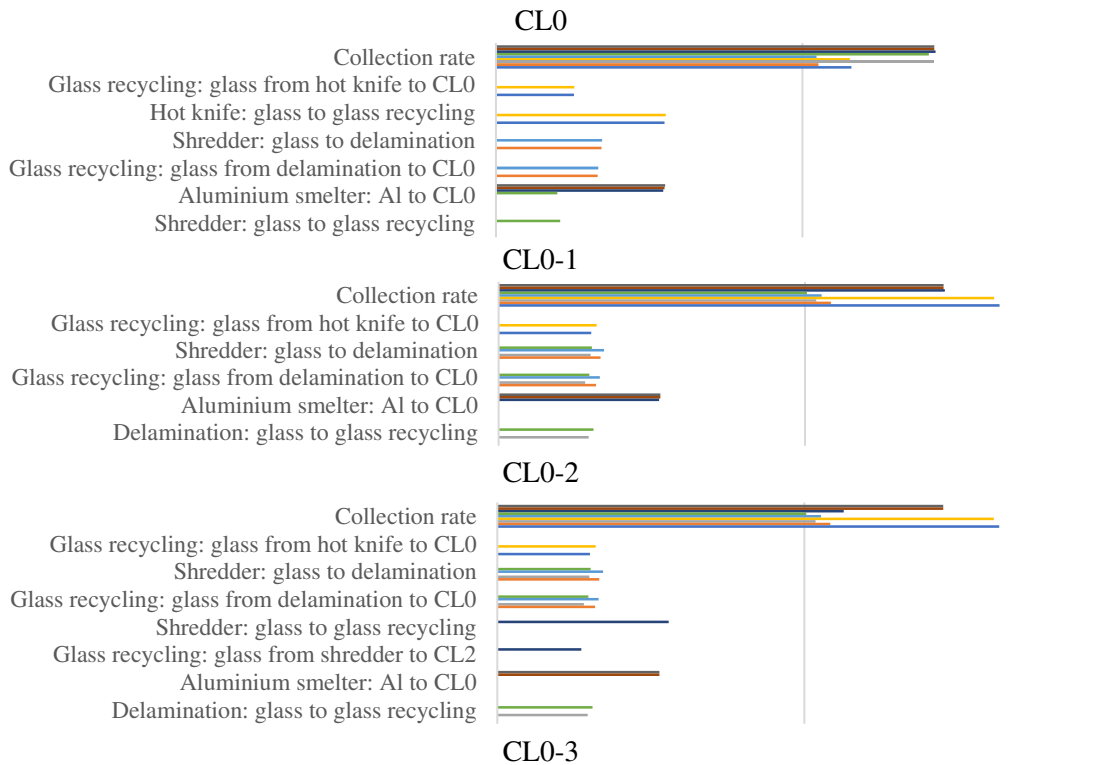


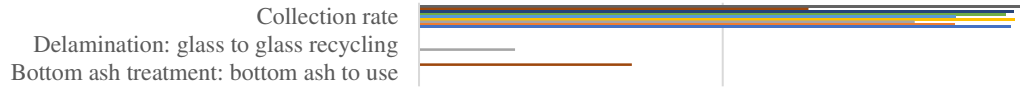
Figure C6. Sensitivity analysis results for mass-based recovery<sub>CL0</sub>, mass-based recovery<sub>CL0-1</sub>, mass-based recovery<sub>CL0-2</sub>, mass-based recovery<sub>CL0-3</sub>, economic value recovery rate<sub>CL0-4</sub> and embodied energy recovery rate<sub>CL0-4</sub> in L3 (the percentages indicate the influence of a change in the parameter on the variance of the indicator; a negative percentage indicates that an increase in the parameter leads to a decrease in the indicator)

**Mass-based recovery rate<sub>CL0-k</sub>**



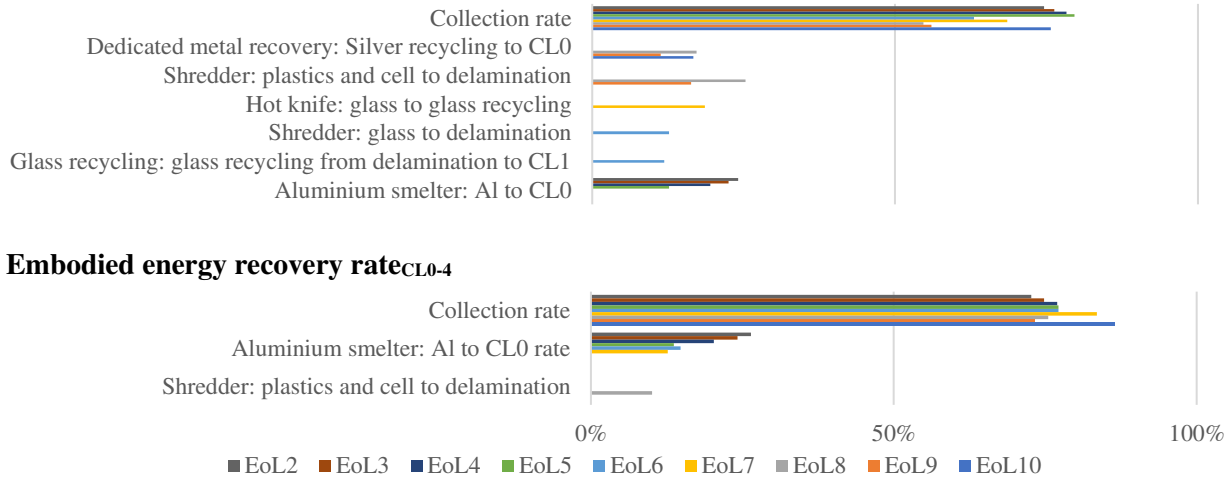
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**Economic value recovery rate<sub>CL0-4</sub>**



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**Embodied energy recovery rate<sub>CL0-4</sub>**



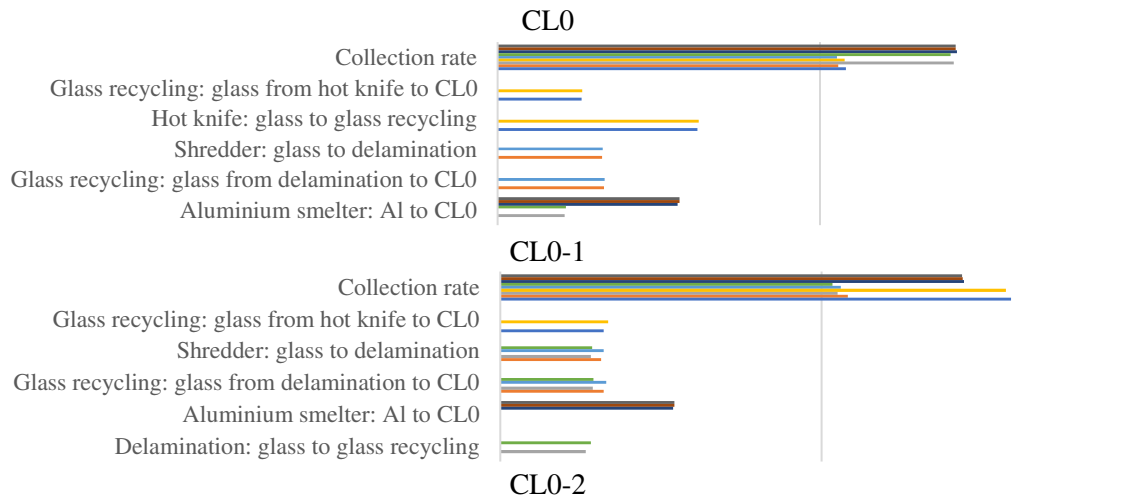
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635 Figure C7. Sensitivity analysis results for mass-based recovery<sub>CL0</sub>, mass-based recovery<sub>CL0-1</sub>, mass-based  
636 recovery<sub>CL0-2</sub>, mass-based recovery<sub>CL0-3</sub>, economic value recovery rate<sub>CL0-4</sub> and embodied energy recovery  
637 rate<sub>CL0-4</sub> in L2 (the percentages indicate the influence of a change in the parameter on the variance of the  
638 indicator; a negative percentage indicates that an increase in the parameter leads to a decrease in the  
639 indicator)

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**Mass-based recovery rate<sub>CL0-k</sub>**

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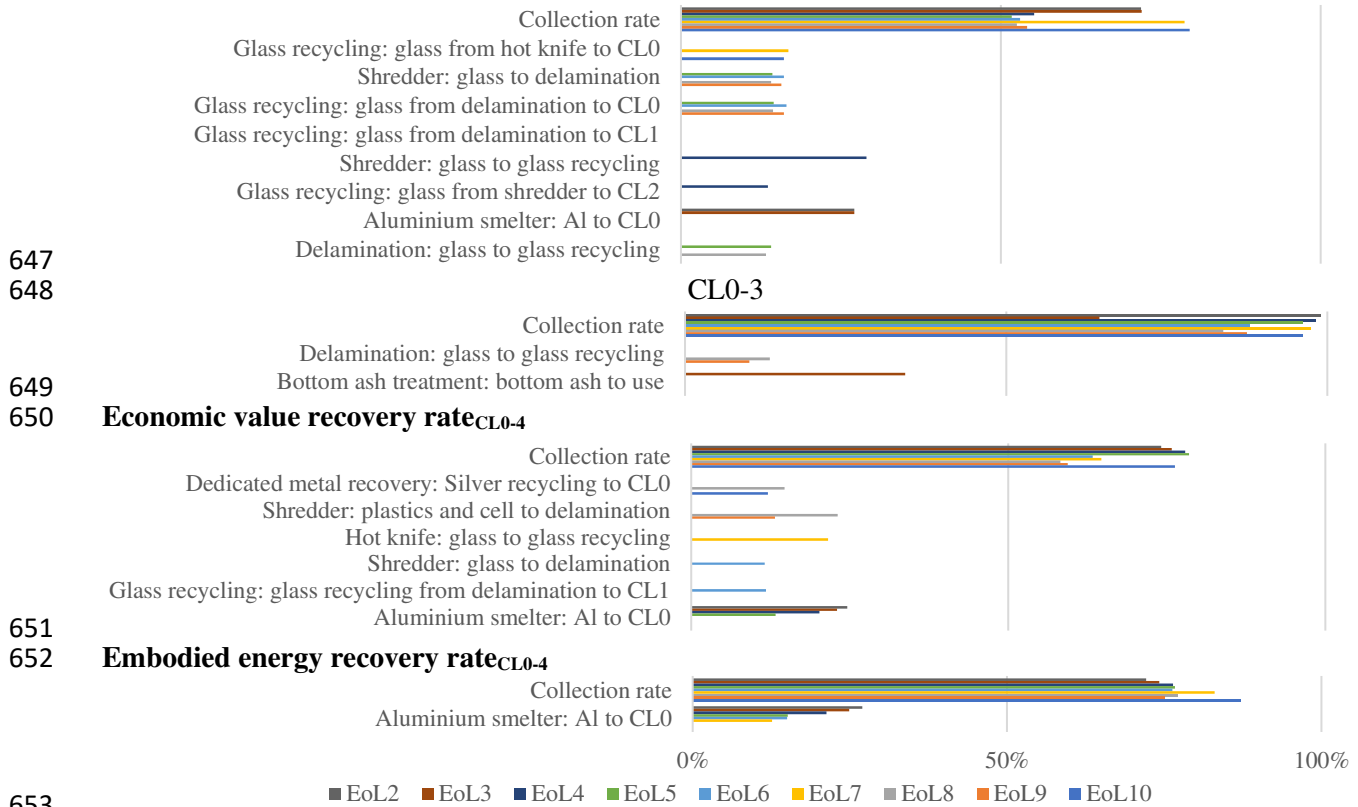


Figure C8. Sensitivity analysis results for mass-based recovery<sub>CL0</sub>, mass-based recovery<sub>CL0-1</sub>, mass-based recovery<sub>CL0-2</sub>, mass-based recovery<sub>CL0-3</sub>, economic value recovery rate<sub>CL0-4</sub> and embodied energy recovery rate<sub>CL0-4</sub> in L1 (the percentages indicate the influence of a change in the parameter on the variance of the indicator; a negative percentage indicates that an increase in the parameter leads to a decrease in the indicator)

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