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1 **Follow-up of solid-state fungal wood pretreatment by a novel near-infrared**
2 **spectroscopy-based lignin calibration model**

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23 **Abstract**

24 Lignin removal plays a crucial role in the efficient bioconversion of lignocellulose to
25 fermentable sugars. As a delignification process, fungal pretreatment has gained great
26 interest due to its environmental friendliness and low energy consumption. In our
27 previous study, a positive linear correlation between acid-insoluble lignin degradation
28 and the achievable enzymatic saccharification yield has been found, hereby highlighting
29 the importance of the close follow-up of lignin degradation during the solid-state fungal
30 pretreatment process.

31 However, the standard quantification of lignin, which relies on the two-step acid
32 hydrolysis of the biomass, is highly laborious and time-consuming. Vibrational
33 spectroscopy has been proven as a fast and easy alternative; however, it has not been
34 extensively researched on lignocellulose subjected to solid-state fungal pretreatment.
35 Therefore, the present study examined the suitability of near-infrared (NIR) spectroscopy
36 for the rapid and easy assessment of lignin content in poplar wood pretreated with
37 *Phanerochaete chrysosporium*. Furthermore, the predictive power of the obtained
38 calibration model and the recently published Attenuated Total Reflection Fourier
39 Transform Infrared (ATR-FTIR) spectroscopy-based model was compared for the first
40 time using the same fungus-treated wood data set.

41 Partial least squares regression (PLSR) was used to correlate the NIR spectra to the acid-
42 insoluble lignin contents (19.9%–27.1%) of pretreated wood. After normalization and
43 second derivation, a PLSR model with a good coefficient of determination ($R_{CV}^2 = 0.89$)
44 and a low root mean square error ($RMSE_{CV} = 0.55\%$) were obtained despite the
45 heterogeneous nature of the fungal solid-state fermentation. The performance of this
46 PLSR model was comparably good to the one obtained by ATR-FTIR ($R_{CV}^2 = 0.87$) while

47 it required more extensive spectral preprocessing. In conclusion, both methods will be
48 highly useful for the high-throughput and user-friendly monitoring of lignin degradation
49 in a solid-state fungal pretreatment-based biorefinery concept.

50 **Keywords**

51 Biobased economy; Delignification; *Phanerochaete chrysosporium*; Solid-state
52 fermentation; White-rot fungi

53 **1 Introduction**

54 Lignocellulosic biomass derived from plant cell walls is one of the most promising
55 renewable feedstocks for the production of biofuels and biochemicals. However, the
56 genuine recalcitrance of lignocellulose and, consequently, the need to disrupt the cell wall
57 structure to facilitate access to cellulose and hemicellulose leads to an expensive and
58 challenging conversion process. The presence of lignin in the biomass largely hinders the
59 conversion of carbohydrates to fermentable sugars, both by acting as a physical barrier
60 and binding non-productively to cellulase enzymes during enzymatic saccharification
61 (Rahikainen et al., 2013; Yoo et al., 2020). Pretreatment is a crucial step in improving the
62 efficiency of enzymatic saccharification by removing lignin and reducing the
63 recalcitrance of lignocellulose. Many pretreatment techniques, including alkali, sulfite,
64 organosolv, ionic liquids, deep eutectic solvents, and fungal pretreatment have been
65 evaluated to decrease the lignin content of the biomass prior to enzymatic
66 saccharification. Fungal pretreatment, which mainly uses white-rot fungi for the
67 degradation of lignin, has been widely investigated due to its advantages, such as
68 environmental friendliness, low chemical addition, and lack of the production of
69 inhibiting by-products (Sindhu et al., 2016). Sufficient delignification during fungal
70 pretreatment was proven to greatly improve the conversion of carbohydrates into

71 fermentable sugars. Moreover, studies reported a positive linear correlation between
72 lignin degradation and the obtained enzymatic saccharification yield, highlighting the
73 significance of delignification and its monitoring during the fungal pretreatment process
74 (Nazarpour et al., 2013; Wittner et al., 2021).

75 The most widely used method for quantifying lignin in lignocellulose is based on the two-
76 step acid hydrolysis of the biomass (NREL) (Sluiter et al., 2008a). However, this method
77 suffers from the disadvantages of the highly laborious, time-consuming (> 3 h) and
78 destructive procedure and the relatively large sample size (300 mg). These disadvantages
79 can be effectively circumvented by techniques based on infrared spectroscopy. Recently,
80 the study of Wittner et al. demonstrated that Attenuated Total Reflection Fourier
81 Transform Infrared (ATR-FTIR) spectroscopy in the mid-infrared (MIR) region, coupled
82 with partial least squares regression (PLSR) has a high potential to be a fast and accurate
83 analytical tool for predicting lignin content in fungus-treated poplar wood (Wittner et al.,
84 2023). Fackler et al. used both Fourier Transform MIR spectroscopy in transmission
85 mode and Fourier Transform near-infrared (FT-NIR) reflectance spectroscopy to
86 determine lignin content in beech wood before and after fungal decay (Fackler et al.,
87 2007). In their study, the fungal decay of wood was performed in Petri dishes by placing
88 the inoculated beech veneers in water agar and incubating them for up to 10 weeks.
89 However, their application was not aimed at fungal pretreatment and delignification, as
90 in our study. On the contrary, mainly an increase in lignin content was observed, showing
91 that cellulose and hemicellulose compounds were consumed instead. Moreover, NIR
92 spectroscopy has not yet been used for lignin calibration using fungus-treated wood
93 samples obtained by solid-state fermentation (SSF), i.e. in an industry-relevant fungal
94 pretreatment environment (Pandey, 2003; Wan and Li, 2012).

95 Additionally, the predictive performances of the above-mentioned NIR and ATR-FTIR
96 spectroscopy-based calibration models have not yet been compared based on the same
97 fungus-treated sample set.

98 Therefore, this study has aimed to achieve the following main research goals. (1)
99 Developing a fast and easy NIR spectroscopy-based lignin determination method using
100 fungus-treated wood samples obtained at optimized solid-state fermentation conditions,
101 hereby opening a new door to the practical implementation of the NIR spectroscopy-
102 based lignin quantification. (2) Investigating the effect of the presence of lignin-degrading
103 enzymes and the fungus itself on the NIR spectra by comparing washed and non-washed
104 pretreated wood samples. (3) Evaluating the use of different spectral preprocessing
105 methods to obtain a PLSR model with a high coefficient of determination and low error
106 for reliable lignin prediction. (4) Comparing the predictive power of the NIR and ATR-
107 FTIR spectroscopy-based calibration models using the same fungus-treated samples to
108 provide important information regarding the right choice of IR instrumentation, spectral
109 data processing and modelling.

110 **Materials and Methods**

111 **1.1 Poplar wood substrate and white-rot fungi**

112 Poplar wood sawdust was obtained from Sawmill Caluwaerts Willy (Holsbeek, BE).
113 Sieve analysis was used to determine the particle size distribution of the wood particles.
114 86.1% w/w of the poplar wood pellets were collected between the 2 mm and 0.075 mm
115 screens. The white-rot fungus *Phanerochaete chrysosporium* MUCL 19343 was used for
116 the solid-state fungal pretreatment studies. A spore suspension of $5 \cdot 10^6$ spores/mL was
117 freshly prepared in distilled water from 5 days old cultures grown on potato dextrose agar
118 plates at 39°C.

119 **1.2 Solid-state fungal pretreatment**

120 In order to obtain fungus-treated wood samples with a sufficient range of variability in
121 AIL content for lignin calibration, the solid-state fermentation (SSF) experiments were
122 carried out at different fermentation conditions as described in the study of Wittner et al.
123 (Wittner et al., 2023). In brief, the fermentations were different in the applied substrate
124 sterilization (none or autoclaving at 121°C for 20 min), duration of fermentation (up to
125 28 days), medium composition (complex or simple) and fermentation set-up (rolling
126 bottles or trays).

127 The complex medium was composed of 3 g/L NaNO₃, 20 g/L glucose, 0.5 g/L KCl, 0.5
128 g/L MgSO₄·7H₂O, 0.5 g/L FeSO₄·7H₂O, 1 g/L KH₂PO₄, 0.34 g/L veratryl alcohol, 0.1%
129 v/v Tween 80, 3.69 mM CuSO₄ and 1.41 mM MnSO₄ (Keller et al., 2003; Wittner et al.,
130 2021). The simplified media consisted of 3.69 mM CuSO₄, 1.41 mM MnSO₄ with or
131 without 20 g/L glucose and/or 3 g/L NaNO₃. The Schott bottles (100 mL) contained 3.67
132 g dry weight (DW) poplar wood, 2 mL sterile media, 3.7 mL spore suspension (5·10⁶
133 spores/g DW wood) and distilled water, creating a moisture content of 75% w/w on a wet
134 basis. The media and the poplar wood were sterilized separately by autoclavation at
135 121°C for 20 min. The SSF bottles were rolled on a bottle roller (88881004 Bottle/Tube
136 Roller, Thermo Scientific™) at 4 rpm and incubated (TC 255 S, Tintometer Inc.) at 37°C
137 for up to 4 weeks. Tray fermentations of non-sterilized poplar wood were carried out at
138 37°C for 4 weeks in 500 mL glass dishes containing 2 mL medium, 2.8 g DW untreated
139 non-sterilized wood, 0.9 g DW pretreated wood as inoculum and distilled water resulting
140 in the moisture content of 75% (Wittner et al., 2023). At the end of the fermentation, the
141 pretreated wood was analyzed for its acid-insoluble lignin (AIL) content by the
142 conventional two-step acid hydrolysis (Sluiter et al., 2008b) as a reference method and

143 by near-infrared spectroscopy. Table S1 shows the applied medium conditions with the
144 corresponding AIL content for each pretreated wood sample.

145 **1.3 Analytical methods**

146 **1.3.1 Removal of water-soluble substances**

147 Prior to the lignin determination, the pretreated wood samples were thoroughly washed
148 to remove the lignocellulolytic enzymes and partially the fungus itself. The washing was
149 carried out as described in the study by Wittner et al. (Wittner et al., 2023). Briefly, the
150 biomass was shaken with 50 mM acetate buffer (pH 4.5) at 400 rpm for 20 min, applying
151 a solid-to-liquid ratio of 1:80, followed by centrifugation (Sigma 3-16KL) for 15 min at
152 4500 rpm and 4°C. After removing the supernatant, the washing was repeated once with
153 acetate buffer and twice with distilled water to remove the traces of acetic acid. The rinsed
154 solid was freeze-dried (ALPHA 1-2 LDplus, Martin Christ Gefriertrocknungsanlagen
155 GmbH) to a constant weight and used for lignin and infrared analysis.

156 **1.3.2 Lignin quantification**

157 The poplar wood samples, before and after fungal pretreatments, were analyzed for their
158 acid-insoluble content by the standard NREL protocol (NREL/TP-510-42618) (Sluiter et
159 al., 2008b). Briefly, the AIL content of the samples was measured gravimetrically after a
160 two-step acid hydrolysis with sulfuric acid.

161 **1.3.3 Milling**

162 Prior to near-infrared (NIR) analysis, the washed and freeze-dried (Alpha 1-2 LDplus,
163 Martin Christ Gefriertrocknungsanlagen GmbH) wood samples were ground using the
164 method of Cornet et al., with slight modifications (Cornet et al., 2018). The wood samples
165 (200 mg) were placed in a grinding jar (25 mL) containing four 10 mm and one 15 mm
166 stainless steel grinding balls. The jar was cooled down by immersion in liquid nitrogen

167 for 30 seconds, and ball milling was carried out in a mixer mill (MM 200, Retsch GmbH)
168 for 4 min at 25 Hz.

169 **1.3.3.1 NIR analysis**

170 The milled, freeze-dried wood samples were placed in a powder mini sample holder
171 having a quartz window and an inner ring with a diameter of 6 mm (Foss NIRSystems
172 Inc.) and closed with a disposable sample cup lid (Foss NIRSystems Inc.). The samples
173 were measured in diffuse reflectance mode using a NIR Systems 6500 spectrophotometer
174 (Foss NIRSystems Inc.) equipped with an internal ceramic reference and four PbS
175 detectors. Spectral data were collected between 1100 and 2498 nm at a data interval of 2
176 nm with 32 scans. Spectra were recorded using Vision 3.20 software (Foss NIRSystems,
177 Inc.).

178 **1.3.4 Spectral data processing and multivariate analysis**

179 All NIR spectra were processed using The Unscrambler[®] X 10.4 (CAMO Software AS.)
180 and Microsoft[®] Excel[®] 2019 (Microsoft Corp.) software. Standard normal variate (SNV)
181 preprocessing was applied to reduce baseline shift (Manfredi et al., 2018). After SNV,
182 principal component analysis (PCA) was carried out on the washed and non-washed wood
183 samples for dimensionality reduction and to identify outlier samples (Sarkar et al., 2017).
184 Since the fungal delignification starts progressively increasing after 14 days of SSF
185 (Wittner et al., 2021), the fermentations carried out longer than 20 days (SSF23–SSF44
186 in Table S1), were used for the PCA analysis to obtain a good differentiation between the
187 two sample groups (i.e., washed and non-washed). Partial least squares regression (PLSR)
188 models were developed using the acid-insoluble lignin content of the washed SSF
189 samples as reference data (Table S1). PLSR models were built using different spectral
190 pretreatment methods, including the SNV and the second derivative methods of gap-
191 segment (G-S) (also known as Norris-Williams derivative) and Savitzky-Golay (SG)

192 derivative (Rinnan et al., 2009). The gap-segment algorithm generally performs first a
193 smoothing under a given segment size, followed by a derivative of a given order under a
194 given gap size (Kartakoullis et al., 2019). In this study, the use of different segment sizes
195 (1–15 points) at the constant gap size of 1 point was evaluated. The SG algorithm fits
196 polynomials to the spectrum within windows around each point in the spectrum, and these
197 polynomials are then used to smooth the obtained data and subsequently differentiate
198 them (Savitzky and Golay, 1964). Smoothing windows varying from 3 to 15 points were
199 evaluated in this study. Polynomial smoothing involves fitting an odd number of
200 sequential spectral data points to a polynomial and calculating the centre point of the
201 resulting polynomial (McClure, 2008). When using a window that is too wide, peaks and
202 valleys become rounded off, while a narrow window amplifies the noise present in the
203 original spectrum through the derivative calculation (Næs et al., 2002). However, Shenk
204 and Westerhaus suggest that the best mathematical treatment can only be attained by trial
205 and error (Shenk and Westerhaus, 1994).

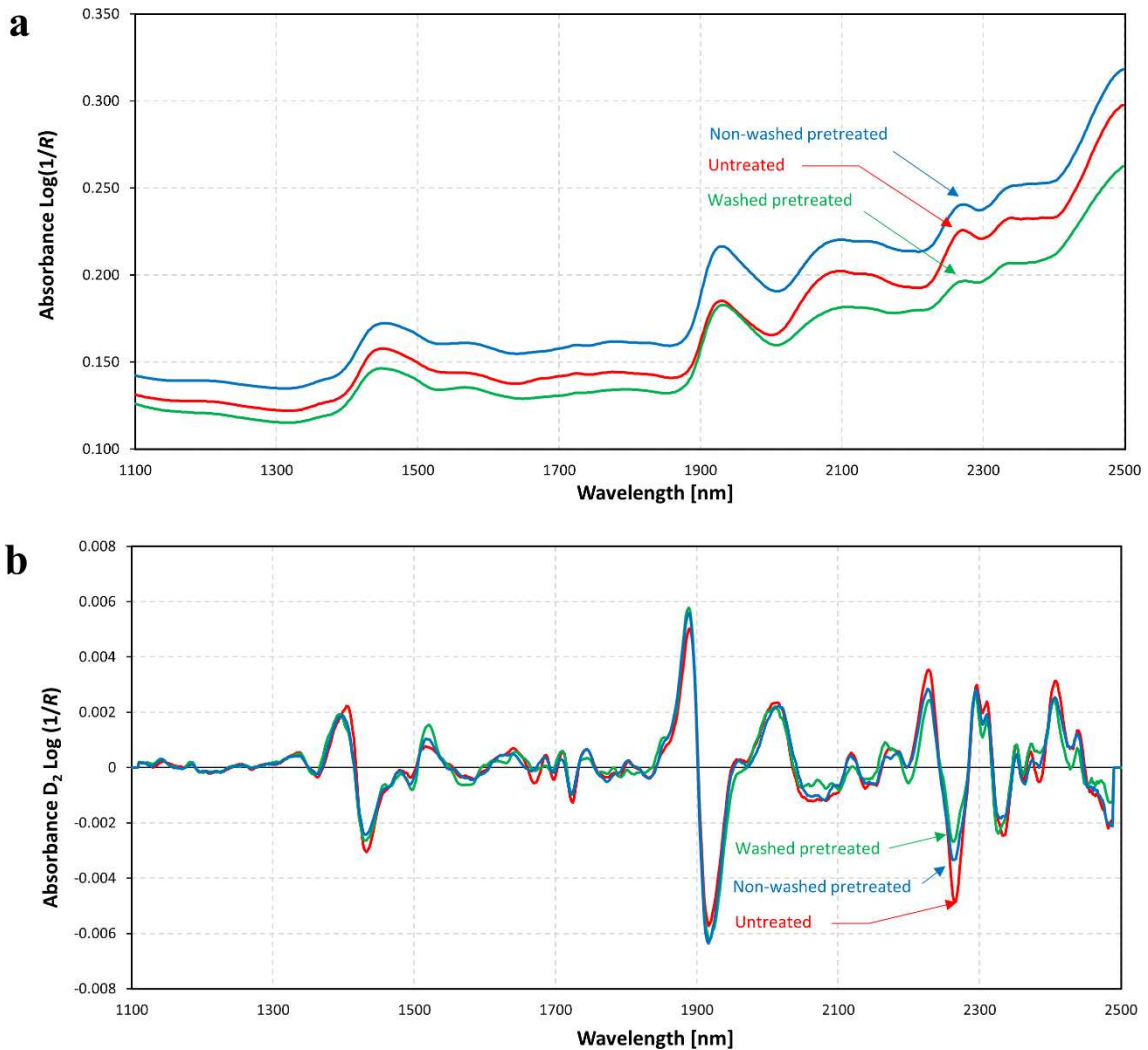
206 PLSR models were validated using a leave-one-out (i.e. full) cross-validation (CV) to
207 find the best-fitting model that has an optimal combination of the highest coefficient of
208 determination (R_{CV}^2) and the lowest root mean square error of cross-validation ($RMSE_{CV}$)
209 with the lowest number of PLSR terms used.

210 **2 Results and Discussion**

211 **2.1 NIR spectra of fungus-treated wood**

212 The NIR spectra of the untreated and pretreated wood with or without washing were
213 compared. The corresponding raw and standard normal variate (SNV) treated second
214 derivative NIR spectra are presented in Fig. 1. SNV is applied to reduce baseline shift
215 caused by light scattering and variable spectral path (Manfredi et al., 2018). Second

216 derivative spectra have a negative peak that matches exactly the absorption maximum
217 (positive peak) of an absorbance band in $\log 1/R$, and these negative peaks are used to
218 detect chemical changes among samples. Second derivative spectra provide more
219 resolved absorption bands and hereby easier band assignments (Czarnecki, 2015) and,
220 therefore, were used in this study for the detailed investigation of spectral changes.



221

222 **Fig. 1.** Raw (a) and Savitzky-Golay second derivative (D_2 , 11 smoothing points) of
223 SNV-treated NIR spectra (b) of (—) untreated poplar wood and pretreated poplar wood
224 (—) with and (—) without washing

225

226 In the second derivative of SNV-treated spectra, the main changes in the peak intensities
227 after fungal treatment were observed at the lignin-related spectral regions of 1440 nm (1st
228 overtone of C–H stretch and C–H deformation), 1670 nm (1st overtone of aromatic C–H
229 stretch) and 2267 nm (O–H and C–O stretch in lignin), and also at the carbohydrate-
230 dominated regions of 2080 nm (O–H stretch and C–H deformation of semi-crystalline or
231 crystalline regions in cellulose) and 2332 nm (C–H stretch and C–H deformation)
232 (Schwanninger et al., 2011; Yang et al., 2015). The intensity of the lignin-associated
233 peaks decreased after fungal pretreatment, confirming the degradation of lignin moieties.
234 However, the non-washed SSF samples showed a smaller decrease in the lignin-related
235 band intensities than the washed pretreated samples, indicating a spectral interference
236 probably caused by the ligninolytic enzymes and the white-rot fungus, both present in the
237 non-washed sample. The removal of these interfering compounds through washing was
238 confirmed by Bradford protein assay and fungal biomass measurement in the work of
239 Wittner et al. (Wittner et al., 2023). In that study, the same sample set as the one used in
240 this research was measured by ATR-FTIR, and principal component analysis (PCA) was
241 applied to the SNV-treated spectral data (Wittner et al., 2023). The PCA analysis provided
242 a distinct sample group for the washed and non-washed samples. This good
243 differentiation was obtained due to the increased band intensities measured in the non-
244 washed samples in the spectral range of 1700–1500 cm⁻¹, assigned to Amide I and Amide
245 II vibrations originating from the lignin-degrading enzymes and the fungus itself. In
246 comparison, in the NIR spectra, the main influence of the washing was observed at 2267
247 nm, i.e. at the spectral region, which can also be assigned to proteins besides lignin
248 (Cozzolino, 2021; Shenk et al., 2008) However, unlike in the work of Wittner et al., in
249 this study, no good differentiation could be seen between these sample groups (data not

250 shown). This can be explained by the increased surface sensitivity and lower optical
 251 penetration depth (up to a few micrometres) of ATR-FTIR spectroscopy compared to NIR
 252 reflectance spectroscopy (up to a few millimetres) (Cogulet et al., 2016; Lu et al., 2017;
 253 Schwanninger et al., 2011). Therefore, ATR-FTIR might be more sensitive to the
 254 presence of the ligninolytic enzymes, which cannot penetrate the wood cell wall due to
 255 their large size and therefore are deposited on the cell wall surface (Kumar and Chandra,
 256 2020).

257 **2.2 Development of PLSR models for lignin quantification**

258 PLSR was performed to correlate the near-infrared spectra to the acid-insoluble lignin
 259 content of 44 wood samples, including the raw feedstock and 43 pretreated wood samples,
 260 each obtained via an individual solid-state fermentation (SSF) (Table S1). The acid-
 261 insoluble lignin contents of these samples ranged from 19.9% to 27.1%. The PLSR
 262 models were constructed using leave-one-out cross-validation. In comparison with the
 263 utilization of the raw spectra ($R_{CV}^2 = 0.79$, $RMSE_{CV} = 0.79\%$), SNV improved the PLSR
 264 model, which was shown by a higher R_{CV}^2 of 0.82 and a lower $RMSE_{CV}$ of 0.73% with the
 265 same number of PLSR factors (7) (Table 1). In comparison, a higher coefficient of
 266 determination ($R_{CV}^2 = 0.87$) and a lower error ($RMSE_{CV} = 0.60\%$) were obtained with
 267 ATR-FTIR using only 4 PLSR factors and SNV as a preprocessing technique (Wittner et
 268 al., 2023).

269

270 **Table 1.** Results of NIR spectroscopy-based PLSR models for lignin quantification

#PLSR model	Treatment	NF ^a	R_C^2 ^b	$RMSE_C$ ^c [%]	R_{CV}^2 ^d	$RMSE_{CV}$ ^e [%]
PLSR1	raw spectra	7	0.88	0.58	0.79	0.79
PLSR2	SNV	7	0.91	0.51	0.82	0.73
PLSR3	G1-S1	7	1.00	0.08	0.52	1.18

PLSR4	G1-S3	6	0.96	0.33	0.88	0.60
PLSR5	G1-S5	6	0.94	0.40	0.88	0.60
PLSR6	G1-S7	6	0.93	0.43	0.86	0.63
PLSR7	G1-S9	6	0.93	0.45	0.86	0.64
PLSR8	G1-S11	6	0.92	0.48	0.85	0.67
PLSR9	G1-S13	6	0.91	0.51	0.84	0.68
PLSR10	G1-S15	6	0.90	0.52	0.84	0.68
PLSR11	SG3	4	0.96	0.34	0.19	1.53
PLSR12	SG5	7	1.00	0.08	0.63	1.03
PLSR13	SG7	6	0.98	0.26	0.82	0.72
PLSR14	SG9	6	0.97	0.31	0.87	0.61
PLSR15	SG11	6	0.96	0.34	0.88	0.59
PLSR16	SG13	7	0.88	0.58	0.79	0.79
PLSR17	SG15	6	0.94	0.40	0.87	0.60
PLSR18	SNV + G1-S1	4	0.97	0.29	0.50	1.21
PLSR19	SNV + G1-S3	5	0.96	0.35	0.89	0.56
PLSR20	SNV + G1-S5	5	0.93	0.43	0.89	0.58
PLSR21	SNV + G1-S7	5	0.92	0.47	0.87	0.61
PLSR22	SNV + GS-S9	5	0.91	0.50	0.86	0.63
PLSR23	SNV + GS-S11	5	0.90	0.53	0.85	0.66
PLSR24	SNV + GS-S13	6	0.91	0.49	0.85	0.65
PLSR25	SNV + GS-S15	6	0.91	0.50	0.85	0.66
PLSR26	SNV + SG3	3	0.90	0.54	0.19	1.53
PLSR27	SNV + SG5	4	0.98	0.26	0.60	1.07
PLSR28	SNV + SG7	5	0.98	0.24	0.85	0.66
PLSR29	SNV + SG9	5	0.96	0.32	0.89	0.56
PLSR30*	SNV + SG11	5	0.95	0.37	0.89	0.55
PLSR31	SNV + SG13	5	0.94	0.41	0.89	0.56
PLSR32	SNV + SG15	5	0.93	0.43	0.88	0.58

271

272 * Spectral pretreatment for which the standardized regression coefficients are shown (Fig. 3).

273 ^a NF: Number of factors; ^b R_C^2 : Coefficient of determination of calibration; ^c $RMSE_C$: Root mean

274 square error of calibration; ^d R_{CV}^2 : Coefficient of determination of cross-validation; ^e $RMSE_{CV}$:

275 Root mean square error of cross-validation.

276

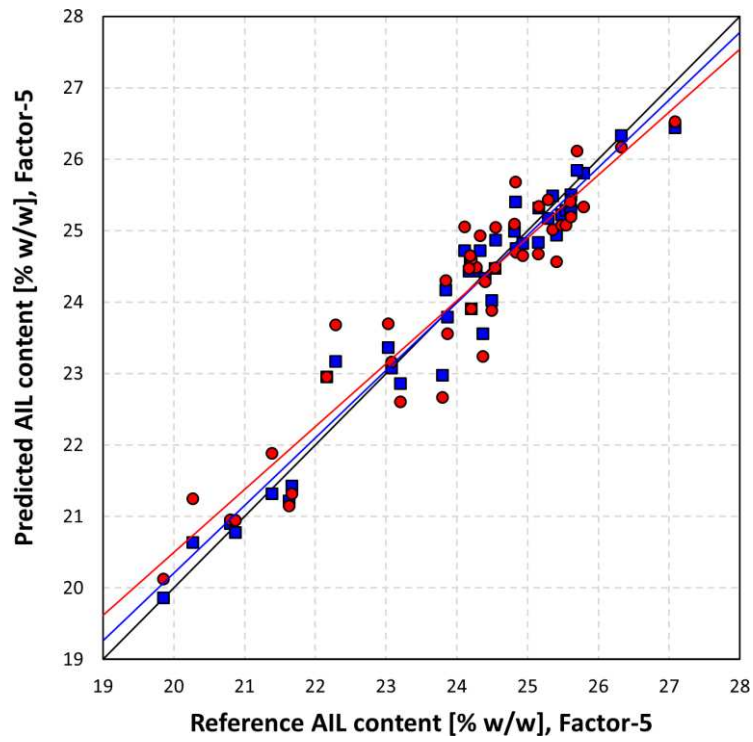
277 In order to further improve the NIR spectroscopy-based method, the two most common

278 second derivative methods, i.e. the gap-segment (G-S) derivative and Savitzky-Golay

279 (SG) derivative, were tested with or without combining with SNV (Rinnan et al., 2009).

280 Each method (i.e. G-S and SG derivative) requires some trade-off between the amount of

281 sharpening and the creation of artefacts and usually involves some smoothing of the
282 spectra (Hruschka, 2008). The second derivative helps resolve the broad, overlapping
283 bands and peak shoulders and accentuates low-intensity peaks in the NIR region.
284 By using a second derivation, the predictive ability of the PLSR model was efficiently
285 improved. Furthermore, the subsequent use of SNV and second derivation resulted in the
286 best-performing models (Table 1). In the case of the G-S method, the segment size
287 influenced the predictive performance of the model (Table 1). The optimum segment size
288 was 3 points (PLSR19 in Table 1), corresponding to a high determination coefficient of
289 0.89 and a low $RMSE_{CV}$ value of 0.56% using 5 PLSR factors. The utilization of SG
290 second derivative provided similarly good results, i.e. an R^2_{CV} of 0.89 and an $RMSE_{CV}$ of
291 0.55% using 5 factors and the optimal smoothing window of 11 points (PLSR30) (Fig.
292 2). The weighted regression coefficients corresponding to this PLSR model (PLSR30),
293 with the highest coefficient obtained at the lignin-specific wavelength of 2267 nm (O–H
294 and C–O stretching (Schwanninger et al., 2011)), are presented in Fig. 3. Changing the
295 size of the smoothing window had no effect on the location (2267 nm) of the maximum
296 regression coefficient except when using small smoothing windows, i.e. 1 point segment
297 size with G-S method and less than 7 points smoothing window with SG method (Table
298 S2).
299

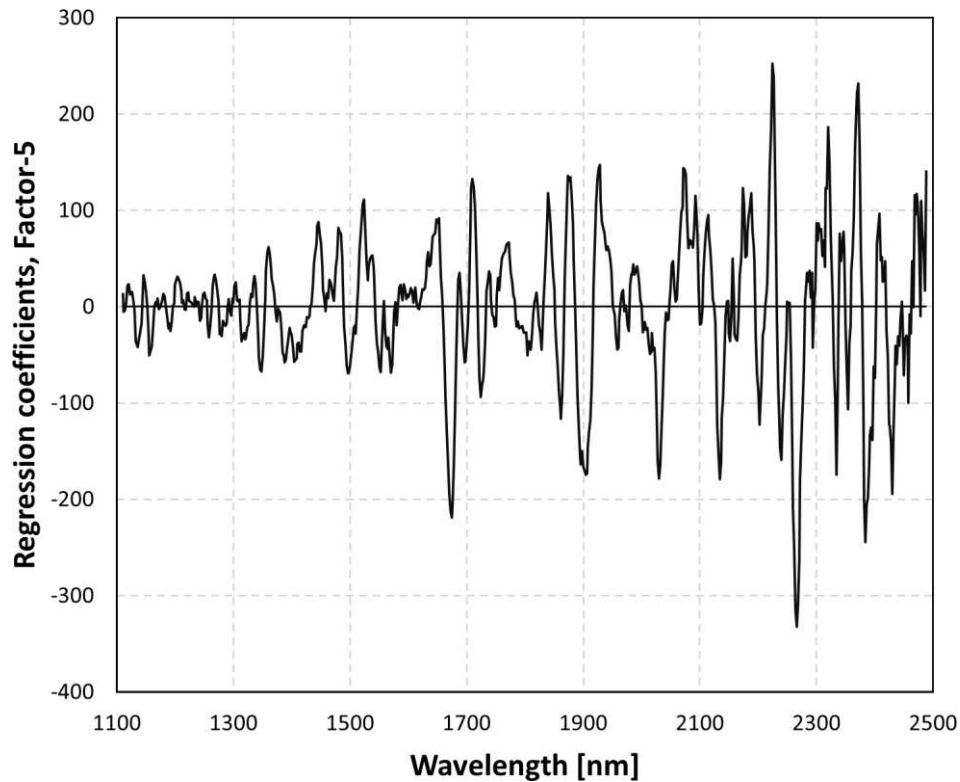


300

301 **Fig. 2.** The predicted vs. reference acid-insoluble lignin (AIL) values of (■) calibration

302 and (●) validation based PLSR30 model in Table 1

303



304

305 **Fig. 3.** Regression coefficients of the PLSR30 model (Table 1) for acid-insoluble lignin
 306 determination

307

308 In comparison to the best-performing PLSR model (PLSR30) obtained in this study, the
 309 work of Fackler et al. on the fungal decay of beech wood veneers presented a slightly
 310 higher coefficient of determination (R_{CV}^2 of 0.91) but also a higher error ($RMSE_{CV} =$
 311 0.71%) using a higher number of PLSR factors (6) for the NIR spectroscopy-based
 312 prediction of lignin in the extracted milled wood samples (Fackler et al., 2007).
 313 Additionally, when the model performance of PLSR30 is compared to the ATR-FTIR
 314 spectroscopy-based method ($R_{CV}^2 = 0.87$, $RMSE_{CV} = 0.60\%$, 4 PLSR factors (Wittner et
 315 al., 2023)), PLSR30 provided a higher coefficient of determination ($R_{CV}^2 = 0.89$) and a
 316 lower error ($RMSE_{CV} = 0.55\%$), using 5 PLSR factors. However, NIR spectroscopy
 317 required more complex spectral pretreatment, i.e. the combination of SNV and second

318 derivation instead of SNV alone, in order to achieve a coefficient of determination
319 similarly high to the ATR-FTIR method.

320 In conclusion, both NIR spectroscopy and ATR-FTIR spectroscopy showed really good
321 predictive abilities considering the heterogeneous nature of the fungal solid-state
322 fermentation, the different fermentation conditions and the complication of the reference
323 acid-hydrolysis-based method while both are fast, easy and non-destructive methods.

324 **3 Conclusions**

325 In this study, measuring samples with NIR combined with PLSR succeeded in providing
326 a reliable prediction of acid-insoluble lignin content in poplar wood pretreated by *P.*
327 *chrysosporium* during solid-state fermentation. High correlations ($R_{CV}^2 = 0.89$) between
328 predicted and measured values were obtained with a low error ($RMSE_{CV} = 0.55\%$) using
329 5 PLSR components. The performance of this PLSR model was comparable to the one
330 obtained by ATR-FTIR ($R_{CV}^2 = 0.87$, $RMSE_{CV} = 0.60\%$, 4 PLSR factors), while it required
331 the combined application of SNV and second derivation instead of SNV alone. In
332 conclusion, both methods are highly suitable for the use as fast and easy lignin
333 quantification in fungus-treated biomass in a wood-based biorefinery concept.

334 **Appendix A. Supplementary data**

335 E-supplementary data of this work can be found in the online version of the paper.

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