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# Chromium speciation methods and infrared spectroscopy for studying the chemical reactivity of lead chromate-based pigments in the oil medium

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**ABSTRACT**

Environmental factors, such as light, humidity and temperature are triggering agents for the alteration of organic and/or inorganic constituents of oil paintings. The oxidation of the organic material is favored by increasing of relative humidity and temperature, whereas processes involving changes of the oxidation states of a number of inorganic pigments (*e.g.*, vermilion, cadmium yellows, zinc yellows, chrome yellows) are mainly activated by light-exposure.

In view of the optimization of the long-term conservation and restoration strategies of paintings it is of relevant interest to establish the consequences of thermal parameters (temperature and relative humidity) on the chemical/photochemical-reactivity and the nature of the alteration products of light sensitive-pigments in the oil medium.

To this aim here we propose a multi-method analytical approach based on the combination of diffuse reflectance UV-Vis, FTIR, synchrotron radiation (SR)-based micro X-ray fluorescence ( $\mu$ -XRF)/micro-X-ray absorption near edge structure (XANES) and electron paramagnetic resonance (EPR) spectroscopies for studying the effects of different relative humidity conditions before and after light exposure on the reactivity of a series of lead chromate-based pigments [such as  $\text{PbCrO}_4 \cdot \text{PbO}$  (monoclinic),  $\text{PbCrO}_4$  (monoclinic) and  $\text{PbCr}_{0.2}\text{S}_{0.8}\text{O}_4$  (orthorhombic)] in an oil medium. The investigation of paint models was also compared to that of a late 19<sup>th</sup> century historical orthorhombic  $\text{PbCr}_{0.4}\text{S}_{0.6}\text{O}_4$  oil paint.

Diffuse reflectance UV-Vis and FTIR spectroscopies were used to obtain information associated with chromatic changes and the formation of organo-metal degradation products at the paint surface. SR-based Cr K-edge  $\mu$ -XANES/ $\mu$ -XRF mapping analysis and EPR spectroscopy were employed in a complementary fashion to determine the amount, nature and distribution of Cr(III) and Cr(V)-based alteration compounds within the paints with micrometric spatial resolution.

Under the employed thermal aging conditions, lead(II)-carboxylates and reduced Cr-compounds (in abundance of up to about 35% at the surface) have been identified in the lead chromate-based paints. The tendency of chromates to become reduced increased with increasing moisture levels and

was favored for the orthorhombic  $\text{PbCr}_{0.2}\text{S}_{0.8}\text{O}_4$  compounds. The redox process gave rise to the formation of Cr(V)-species in relative amount much higher than that was formed in the equivalent paint which was exposed only to light.

After light-exposure of the thermally aged paints, compounds ascribable to the oxidation of the organic binder were detected for all the types of pigments. Nevertheless, the previous thermal treatment increased the tendency towards photo-reduction of only the  $\text{PbCr}_{0.2}\text{S}_{0.8}\text{O}_4$  pigment. For this light-sensitive compound, the thickness variation of the reduced Cr-rich (*ca.* 70%) photo-alteration layer with moisture levels could be ascribed to a surface passivation phenomenon that had already occurred before photochemical aging.

**KEYWORDS:** chrome yellows, Van Gogh, lead carboxylates, reduction, electron paramagnetic resonance, synchrotron.

## 1. INTRODUCTION

Oil paintings are complex and heterogeneous systems whose appearance and structural integrity over time is influenced by chemical transformations of their organic/inorganic constituents. These reactions might be activated or accelerated by environmental factors, such as light, moisture, heat, atmospheric pollutants, storage conditions or specific conservation and restoration interventions [1]. Studies on both micro-samples taken from 16<sup>th</sup>-20<sup>th</sup> century paintings and on aged model samples have extensively documented that carboxylates of metal ions (soaps) [2,3,4,5] and secondary products (*e.g.*, amorphous, inorganic/organo-metal compounds) arising from changes of the oxidation state of the metal of the pigment [6,7] are common alteration products of oil paintings.

Free fatty acids result from the hydrolysis of triglycerides in the drying oil binder and reacting with metal compounds (that can be present as pigments, fillers, or driers), may form metal carboxylates: soaps of Pb(II) [8,9,10,11], Zn(II) [2,12,13], K<sup>+</sup> [14], Ca(II) and Cu(II) [15,16,17] have been frequently encountered in oil paintings. Humidity and temperature [18,19] as well as paint additives [20] play a key role in the process of formation of metal soaps. They may be present in the form of large aggregates, as superficial deposits or homogeneously distributed throughout the paint layers.

Metal soaps have been characterized by various techniques, such as X-ray diffraction (XRD) [21,22], nuclear magnetic resonance (NMR) spectroscopy [23,24] and a range of vibrational spectroscopic and mass spectrometry techniques [3-5,12-19].

The redox reactions that cause the formation of secondary compounds of various pigments, including vermilion red (HgS) [25,26], realgar/orpiment (arsenic sulfide-based compounds) [27], cadmium yellow (cadmium sulfide-based materials) [28,29,30,31], Prussian blue ( $MFe^{III}[Fe^{II}(CN)_6] \cdot xH_2O$ , with  $M=K^+$ ,  $NH_4^+$  or  $Na^+$ ) [32,33], zinc yellow ( $K_2O \cdot 4ZnCrO_4 \cdot 3H_2O$ ) [34], and red lead ( $Pb_3O_4$ ) [35], are primarily activated by light (sometimes in combination with humidity and/or environmental pollutants). In these cases, the degradation products are formed as a layer of limited thickness (below 100  $\mu m$ ) at the paint surface.

Synchrotron radiation (SR)-based X-ray microprobe techniques, such as micro X-ray fluorescence ( $\mu$ -XRF), micro-X-ray absorption near edge structure (XANES) and  $\mu$ -XRD methods (in point analysis and mapping mode) have been employed as principal techniques of analysis of these degradation layers due to their sensitivity in discriminating between the valence state of the same element and/or in providing spatially resolved elemental or species-specific information at the micrometer scale [6,36,37].

With the final aim to improve the long-term storage conditions of masterpieces, it becomes relevant to assess the consequences of temperature and humidity (thermal aging) on the photochemical reactivity of light-sensitive pigments in an oil medium.

Similarly to the above-mentioned pigments, also chrome yellows ( $\text{PbCr}_{1-x}\text{S}_x\text{O}_4$ , with  $0 \leq x \leq 0.8$ ) belong to the category of the light-sensitive compounds. Our previous investigations conducted on photochemically aged oil model paints [38,39,40] and several paint micro-samples taken from paintings by Vincent van Gogh [41,42,43] showed that the degradation process is due to the reduction of the original chromate-anions to Cr(III)-compounds. The photo-reduction is favored when the pigment is present in its orthorhombic and sulfate-rich form ( $\text{PbCr}_{1-x}\text{S}_x\text{O}_4$ , with  $x \geq 0.5$ ) [39]. Electron energy loss spectroscopy (EELS) investigations allowed us to propose a nano-scale degradation model in which moisture is assumed to play the role in driving the reduction process between the oil binder and the chromate [44]. Moreover, a more recent study has proved that paramagnetic Cr(V)-intermediates are thermally formed through the interaction of the pigment with the oil binder [40], suggesting that a one-electron-transfer may be a part of the reduction mechanism of chromates in an oil painting matrix. These observations have driven the present study where the effect of temperature and humidity on the (photo)reduction process of lead chromate in oil paint has been evaluated to establish *i*) if the darkening of chrome yellows can be caused by thermal aging and *ii*) if the thermal aging affects the photo-induced reduction process.

To this purpose, a multi-method approach based on the combination of diffuse reflectance UV-Vis and attenuated total reflection (ATR)/reflection mode FTIR spectroscopic techniques and metal-

speciation methods, namely SR-based  $\mu$ -XANES/ $\mu$ -XRF analysis and X-band (*ca.* 9 GHz) electron paramagnetic resonance (EPR) spectroscopy, was used for studying a series of laboratory-prepared  $\text{PbCrO}_4$ ,  $\text{PbCrO}_4 \cdot \text{PbO}$  and  $\text{PbCr}_{0.2}\text{S}_{0.8}\text{O}_4$  oil paint models (*i.e.*, with composition similar to that found on a series of paintings by Van Gogh and contemporaries) [45,46], that were first artificially aged with different temperature/relative humidity (RH) conditions in the dark and then exposed to UVA-Vis light.

Diffuse reflectance UV-Vis and ATR/reflection mode FTIR spectroscopies were used to obtain information associated with chromatic changes and to monitor the formation of organo-metal degradation products at the paint surface with aging time. SR-based Cr K-edge  $\mu$ -XANES/ $\mu$ -XRF mapping analyses were employed to gain insight into the amount, distribution, and nature of reduced Cr-compounds within the paints with micrometric spatial resolution. EPR spectroscopy was used as a complementary bulk-technique to SR-based X-ray spectromicroscopic methods due to its specificity and sensitivity in detecting paramagnetic Cr(V)-species. These results were compared with those obtained from materials present in a late 19<sup>th</sup> century historical chrome yellow oil paint tube.



## 2. MATERIALS AND METHODS

### 2.1. Paint models

**2.1.1. Preparation.** Paint models were prepared by mixing  $\text{PbCrO}_4$ ,  $\text{PbCr}_{0.2}\text{S}_{0.8}\text{O}_4$  and  $\text{PbCrO}_4\cdot\text{PbO}$  with linseed oil in a 4:1 mass ratio. These mixtures were applied on areas of about  $1.5\times 1.5\text{ cm}^2$  to a polycarbonate support. The synthesis of monoclinic  $\text{PbCrO}_4$  (henceforth denoted as  $\text{S}_{1\text{mono}}$ ) and of (mainly) orthorhombic  $\text{PbCr}_{0.2}\text{S}_{0.8}\text{O}_4$  ( $\text{S}_{3\text{D}}$ ), along with a detailed discussion of their chemical and structural properties, is reported in our previous study [45].

The pigment monoclinic  $\text{PbCrO}_4\cdot\text{PbO}$  (chrome orange,  $\text{S}_{\text{CO}}$ ) was prepared, as described by Xiang *et al.* [47], from a mixture of aqueous solutions of lead(II) acetate and  $\text{K}_2\text{CrO}_4$  (both 0.2 M) in a 1:1 molar ratio that was kept under magnetic stirring at  $\text{pH}\sim 12$ . The powder XRD pattern (not shown) was consistent with the expected monoclinic structure of the synthesized pigment, with lattice parameters [ $a=14.0236(2)\text{ \AA}$ ,  $b=5.67789(7)\text{ \AA}$ ,  $c=7.14784(9)\text{ \AA}$  and  $\beta=115.2376(9)^\circ$ ] in line with those reported in the literature for  $\text{PbCrO}_4\cdot\text{PbO}$  [47].

**2.1.2. Accelerated aging experiments.** Once the paint models were touch-dry (after about one month), they were placed in two sealed vessels maintained at different RH conditions: *ca.* 50% using a  $\text{Mg}(\text{NO}_3)_2\cdot 6\text{H}_2\text{O}$  (Sigma Aldrich) saturated solution and >95% with distilled water. Both vessels were kept in the dark at  $40^\circ\text{C}$  for an overall period of 220 days. Similar aging experiments were also performed on paints made up of pure linseed oil.

At the end of the thermal treatment, paints were subject to UVA-Vis photochemical aging by means of a UV-filtered 175 W Cermax xenon lamp ( $\lambda\geq 300\text{ nm}$ ), see ref. [40] for the spectral profile. The measured illuminance and temperature at the sample position were  $\sim 1.65\times 10^5\text{ lux}$  and  $40\text{ }^\circ\text{C}$ , respectively. Paints were irradiated for 118 hours (total luminous exposure:  $\sim 1.95\times 10^7\text{ lux}\cdot\text{h}$ ) and at environmental humidity (about 45% RH).

### 2.2. Late 19<sup>th</sup> century historical chrome yellow pigment

The pigment was taken from a historical oil paint tube belonging to the Flemish Fauvist Rik Wouters (1882–1913). It is labeled as *Jaune de Chrome* and was produced by Mommen & Cie

(Brussels), a company that does not exist anymore and that was a sponsor of Wouters. This material is composed of orthorhombic  $\text{PbCr}_{0.4}\text{S}_{0.6}\text{O}_4$  mixed with oil. It was artificially aged with UVA-Vis light for about 800 hours. Further details about the chemical composition, the aging conditions and the photo-chemical reactivity of the pigment are described in our previous studies [38,39,45].

### 2.3. Analytical methods

**2.3.1. UV-Vis.** A JASCO V-570 spectrophotometer was used for investigating the unaged paints and those thermally treated before and after UVA-Vis light exposure. Diffuse reflectance spectra were recorded in the 200-850-nm range with a 5 nm spectral bandwidth. The software interfaced with the instrumentation allowed for the conversion of the spectra into CIE  $L^*a^*b^*$  chromatic coordinates under standard illuminant D65 and  $10^\circ$  angle observer. Total color changes were calculated according to CIE 1976 formula,  $\Delta E^* = (\Delta L^{*2} + \Delta a^{*2} + \Delta b^{*2})^{1/2}$ .

**2.3.2. FTIR.** A portable ALPHA spectrometer (Bruker Optics, Germany/USA) was used for performing ATR and reflection mode measurements of the paint models and of the historical paint before and after aging.

Absorbance spectra were collected by means of a Platinum QuickSnap<sup>TM</sup> ATR sampling module (A220/D-01) equipped with a diamond crystal plate. Data were recorded in the  $4000\text{-}375\text{ cm}^{-1}$  range, at a  $4\text{ cm}^{-1}$  spectral resolution and with 168 scans.

Pseudo-absorption spectra [ $\text{Log}(1/R)$ ;  $R$ =reflectance] were obtained using an external reflection module from areas of about 5 mm diameter. Data were acquired in the  $6000\text{-}375\text{ cm}^{-1}$  range, at a resolution of  $4\text{ cm}^{-1}$  and with 186 scans.

Between 3 and 5 spectra were collected from the surface of thermally aged paints after 30, 60, 90, 120, 155, 185 and 220 days. The spectral profiles collected from the paints made up of pure linseed oil did not show significant changes with aging time, thus a selection of them are discussed only in the Supplementary material.

Regarding the photochemically aged samples, analyses of the model paints and of the historical material were performed only at the end of the aging treatment.

**2.3.3. SR-based  $\mu$ -XANES/ $\mu$ -XRF at the Cr K-edge.** Thermally aged paints were analyzed before and after light-exposure in the form of thin sections (5-10  $\mu\text{m}$  in thickness) at the X-ray microscope beamline ID21 of the European Synchrotron Radiation Facility (ESRF, Grenoble, FR) [48].

A highly monochromatic primary beam (with  $\Delta E/E=10^{-4}$ ) was obtained with a Si(220) fixed-exit double-crystal monochromator. Kirkpatrick-Baez mirrors were used for focusing the incident beam down to a size of  $0.8 \times 0.3 \mu\text{m}^2$  (h $\times$ v). Around the Cr K-edge energy range (5.96-6.09 keV), the stability of the beam was within 0.5  $\mu\text{m}$  and 0.3  $\mu\text{m}$  in the vertical and horizontal directions, respectively.

XRF signals were collected in the horizontal plane and at  $69^\circ$  with respect to the incident beam direction using a single energy-dispersive silicon drift detector (Xflash 5100, Bruker). Two-dimensional  $\mu$ -XRF mapping experiments were performed *via* raster scanning of the samples using the focused X-ray beam and with dwell times of 100 ms/pixel. The PyMca software [49] was used to obtain the elemental distributions. The experimental procedure employed for acquiring and producing the Cr(VI)/Cr(III) chemical state maps is reported elsewhere [38].

$\mu$ -XANES spectra in XRF mode were recorded by scanning the primary energy across the Cr K-edge with energy increments of 0.2 eV. A series of one dimensional profiles was collected acquiring a set of  $\mu$ -XANES spectra along a line perpendicular to the exposed surface of each aged sample.

Since previous EPR investigations established that not only Cr(III)-compounds but also Cr(V)-species are present in the paint samples [40], XANES measurements of a commercially available Cr(V)-reference powder, namely sodium bis(2-hydroxy-2-methylbutyrato)oxochromate(V) [Sigma Aldrich;  $\text{NaCrO}_5(\text{C}_5\text{H}_8\text{O})_2$ ], were carried out by employing an unfocussed X-ray beam (diameter of 200  $\mu\text{m}$ ). Because of the sensitivity of Cr(V)-compounds towards reduction under exposure to the X-ray beam [50], preliminary tests were performed to minimize/avoid the damage of the powder.

The ATHENA software [51] was used for the normalization and the linear combination fitting of the spectra against a library of XANES spectra of Cr-reference compounds. This procedure allowed

the average relative amount of Cr(VI)-species (expressed as  $[\text{Cr(VI)}]/[\text{Cr}_{\text{total}}]$ ) and reduced Cr (*i.e.*, Cr(V)- and Cr(III)-species, denoted below as  $[\text{Cr}_{\text{reduced}}]/[\text{Cr}_{\text{total}}]$ ) as a function of the depth to be determined in a quantitative manner.

**2.3.4. EPR.** X-band ( $\nu=9.40965$  GHz) EPR spectra of  $S_{3D}$  paints before and after aging with 95% RH (220 days) were recorded using a Bruker E500 continuous-wave spectrometer equipped with a SHQ cavity and a continuous flow  $^4\text{He}$  cryostat (ESR900, Oxford Instruments) for variable temperature measurements. For each sample,  $g$ -values ( $g_{\text{sample}}$ ) were obtained by the corresponding resonant field ( $H^{\text{res}}$ ) after calibration against a DPPH sample ( $g_{\text{DPPH}}=2.0037$ ), following the relation:

$$g_{\text{sample}} = g_{\text{DPPH}} \times H_{\text{DPPH}}^{\text{res}} / H_{\text{sample}}^{\text{res}}$$

Data of the aged paint were obtained from a portion of the material that was selectively sampled from the surface. Care was taken to ensure to use the same experimental acquisition parameters for the different samples and to avoid saturating conditions.

### 3. RESULTS AND DISCUSSION

#### 3.1. Thermal aging (temperature and humidity) treatment

**3.1.1. UV-Vis and FTIR analysis.** In Figure 1A-B photographs of the  $S_{CO}$ ,  $S_{1mono}$  and  $S_{3D}$  paints and corresponding  $\Delta E^*$  values after the exposure to different RH conditions (at  $T=40^\circ\text{C}$ ) are compared. After aging at 95% RH (Figure 1B: filled bars), the overall color difference, expressed as  $\Delta E^*$  is rather significant for all the paints, with values of about 8, 14 and 18 for  $S_{CO}$ ,  $S_{1mono}$  and  $S_{3D}$ , respectively. The color change is also appreciable for the samples exposed to 50% RH (empty bars),  $\Delta E^*$  values being around 5 and 9 for  $S_{CO}$  and  $S_{1mono}$ , and around 14 for  $S_{3D}$ .

A selection of the ATR mode FTIR spectra obtained from the model paints at different aging steps are shown in Figures 2 and 3. The corresponding reflection mode FTIR spectral profiles provide similar information, despite the bands appear distorted with derivative-like/inverted shapes as described in greater detail in the Supplementary material (Figures S1 and S2; *cf.* also Figure S3 for a selection of equivalent data obtained from the aged unpigmented linseed oil paint).

Under aging at 95% RH (Figure 2), the hydrolysis of triglycerides determines the gradual broadening of C=O ester asymmetric stretching mode [ $\nu_a(\text{C}=\text{O})$ ] at  $\sim 1735\text{ cm}^{-1}$  and the progressive intensity increase of the  $1715\text{-}1705\text{ cm}^{-1}$  band, ascribable to the  $\nu_a(\text{C}=\text{O})$  mode of free fatty acids [4,5]. With increasing aging time,  $\nu(\text{CH})$  signals ( $3100\text{-}2800\text{ cm}^{-1}$ ) become sharper and shift toward lower wavenumbers. No appreciable changes are visible in the  $\nu_a(\text{CrO}_4^{2-})$  region ( $900\text{-}800\text{ cm}^{-1}$ ).

A broad  $\nu_a(\text{COO}^-)$  band at  $1570\text{-}1590\text{ cm}^{-1}$  is visible in the spectra of all samples after treatment for 60 days. This spectral feature resembles that observed in a ZnO oil paint matrix, suggesting the formation of either chemisorbed [52] or amorphous carboxylates [12].

After 120 days, the broad band is replaced by signals at  $1512$  and  $1540\text{ cm}^{-1}$  ascribable to the  $\nu_a(\text{COO}^-)$  modes of Pb(II)-carboxylates [4,9,19,21,24]. Only the former is visible, as a shoulder, in the spectrum of  $S_{1mono}$ . Other spectral features confirm the presence of Pb(II)-soaps: the  $\nu_s(\text{COO}^-)$  band at around  $1416\text{ cm}^{-1}$  and the series of CH bending modes in the  $685\text{-}740\text{ cm}^{-1}$  range [9,21,24]. For all samples, Pb(II)-carboxylates bands become well-rendered in the spectra recorded after 220

days. Whereas the  $S_{1\text{mono}}$  and  $S_{3\text{D}}$  spectral profiles are in line with those of Pb(II)-soaps of saturated fatty acids [e.g., Pb(II)-stearate], for the  $S_{\text{CO}}$  sample the position and intensity of the  $\nu_s(\text{COO}^-)$  ( $\sim 1400\text{ cm}^{-1}$ ) and  $\nu_a(\text{COO}^-)$  ( $\sim 1505\text{ cm}^{-1}$ ) bands are ascribable to the additional contribution of Pb(II)-carboxylates of a unsaturated fatty acid [such as Pb(II)-oleate] [21]. Despite the capability of SR-based  $\mu$ -XRD to detect Pb(II)-carboxylates in painting materials is reported in the literature [15], mapping measurements of this kind carried out on the thermally aged  $S_{3\text{D}}$  thin section did not show evidence of these compounds, likely due to their amorphous nature (results not shown; experiments performed at DESY-P06 beamline).

In the  $1630\text{--}1550\text{ cm}^{-1}$  region, additional bands are visible. These might be attributed to the  $\nu_a(\text{C=O})$  modes of low molecular weight oxidation compounds of the oil binder (e.g., aldehydes, carboxylic acids), conjugated C=C bonds with the C=O group [53,54], and/or reduced Cr-organo metal compounds [55,56,57].

Regarding the spectra obtained from the samples aged at 50% RH (Figure 3), appreciable changes are visible only after 220 days. For the  $S_{\text{CO}}$  and  $S_{3\text{D}}$  materials, the presence of doublet in the  $1545\text{--}1510\text{ cm}^{-1}$  range along with signals at  $1416\text{ cm}^{-1}$  and in the  $750\text{--}680\text{ cm}^{-1}$  region again indicate the presence of Pb(II)-carboxylates. The identification of these compounds is not straightforward in the spectra of  $S_{1\text{mono}}$ , in which a broad band at around  $1545\text{ cm}^{-1}$  is observable.

The ATR spectrum of the historical  $\text{PbCr}_{0.4}\text{S}_{0.6}\text{O}_4$  oil paint, naturally aged for about 100 years in the dark, shows spectral features similar to those of the thermally aged model paints (Figure 4: black line), clearly indicating the possibility of formation of Pb(II)-soaps under ambient (and dark) conditions and within an extended frame time.

From the above, we can conclude that in the thermally aged model paints studied here, the formation of lead(II)-carboxylates is the result of the reaction between the lead chromate-based pigment and the oil binder. In the historical sample, the same hypothesis can be proposed. In this regard, it worth to mention that no additional Pb-based compounds (such as lead carbonate, lead

oxide) have been detected [38,45], suggesting that Pb was introduced only through the chromate-based pigment.

Under similar temperature conditions, the process is moisture-dependent and is favored for  $S_{CO}$  (monoclinic  $PbCrO_4 \cdot PbO$ ) and  $S_{3D}$  (orthorhombic  $PbCr_{0.2}S_{0.8}O_4$ ) rather than  $S_{1mono}$  (monoclinic  $PbCrO_4$ ). These results can be explained by considering that the orthorhombic  $PbCr_{0.2}S_{0.8}O_4$  solid solution has the highest solubility [39,45] while  $PbO$  features the highest reactivity with the oil binder [10,15,19].

Among the factors that might have contributed to the total color change of the aged paint surfaces both the yellowing of the oil [58] and the formation of reduced Cr-compounds need to be considered. The latter is suggested by a reflectance decrease in the 510-765 nm range of the UV-Vis spectra (Figure 5: black lines) [39,40], resembling the one present in the spectral profiles obtained from the equivalent paints that were exposed to UVA-Vis light only (Figure 5: grey lines).

Motivated by such observations, in the next section the Cr-speciation results obtained from the combination of Cr K-edge SR-based  $\mu$ -XANES and EPR analysis will be discussed with the aim of elucidating the effects of the thermal aging on the reduction reaction of lead chromate-based pigments and on the nature of the corresponding Cr-alteration products.

**3.1.2. Cr-speciation investigations.** Figure 6 shows the series of Cr K-edge XANES spectra obtained from cross-sectioned  $S_{1mono}$  and  $S_{CO}$  samples exposed to 95% RH along with the quantitative  $[Cr(VI)]/[Cr_{total}]$  and  $[Cr_{reduced}]/[Cr_{total}]$  depth profiles acquired from thermally aged  $S_{3D}$  thin sections.

One-dimensional series of Cr K-edge XANES spectra collected from  $S_{1mono}$  [Figures 6A and S4A (Supplementary material)],  $S_{CO}$  (Figure 6B), and  $S_{3D}$  [Figure S4B-C (Supplementary material)] vs. depth below the exposed surface demonstrate the reduction of the original Cr(VI). In line with previous studies [38,40], this is demonstrated by the shift of the absorption edge toward lower energies and the decrease of the Cr pre-edge peak intensity at 5.993 keV (*cf.* Figure 7 for a selection of the spectra of Cr-reference compounds). These changes are more pronounced in the spectra

collected in the upper 2.5  $\mu\text{m}$  of the  $S_{3D}$  sample aged at 95% RH [Figure S4C (Supplementary material)]. As Figure 7 illustrates, although in the pre-edge region the position of the peak shifts from 5.9930 keV to 5.9925 keV for the Cr(VI) and Cr(V)-species, respectively and it is split in two bands of very low intensity at 5.9904 and 5.9945 keV for the Cr(III)-compound, the spectra acquired from the aged samples at different depth (Figure 6A-B and Figure S4) do not show appreciable changes in this energy range (*i.e.*, single peak at 5.993 keV).

Three fitting components, such as (a)  $\text{PbCr}_{0.2}\text{S}_{0.8}\text{O}_4$ , (b) Cr(III) acetate hydroxide  $[(\text{CH}_3\text{CO}_2)_7\text{Cr}_3(\text{OH})_2]$ , and (c) either a Cr(III)-oxide [*i.e.*,  $\text{Cr}(\text{OH})_3/\text{Cr}_2\text{O}_3$ ] or  $\text{Cr}_2(\text{SO}_4)_3 \cdot \text{H}_2\text{O}$  or  $\text{NaCrO}_5(\text{C}_5\text{H}_8\text{O})_2$  [*i.e.*, a Cr(V)-compound], were necessary to obtain a good description of the XANES spectra recorded along the first 4  $\mu\text{m}$  of  $S_{3D}$  aged at 95% RH [see Table S1 (Supplementary material) for details about the fitting results]. Diversely, the spectra of this sample recorded at greater depth and those of the other paints could be properly fitted by using 2 components only [a Cr(VI)-species plus either Cr(III)- or Cr(V)-species; Table S1].

In general, the best result is obtained when Cr(III)-compounds are included as components of the fit model; nevertheless, since Cr(V)-species have been detected in paint of similar composition *via* EPR spectroscopy,[40] their presence cannot be excluded. It is worth to mention that despite the fact that the pre-edge peak area of the Cr(V)-compound is 2-3 times higher than that of the Cr(III)-material (Figure 7), the fitting models give rise to relative amounts of reduced chromium that only differ in numerical values within 8% (in excess) when the Cr(V)-component is considered (Table S1). For these reasons, average values of the fitting results with Cr(III)- and Cr(V)-compounds have been considered in the following discussion to quantify the  $[\text{Cr}(\text{VI})]/[\text{Cr}_{\text{total}}]$  and the  $[\text{Cr}_{\text{reduced}}]/[\text{Cr}_{\text{total}}]$ .

Within the first upper micrometer of  $S_{1\text{mono}}$  and  $S_{\text{CO}}$  paints, the average amount of reduced Cr is around 10-13% and 17%, respectively (Figure 6A-B; Table S1), while it reaches 32-34% in  $S_{3D}$  (Figure 6C: green line/triangles; Figure S4C and Table S1). For  $S_{1\text{mono}}$  and  $S_{3D}$ , the abundance of



reduced Cr progressively decreases with increasing depth down to values of about 8% and 20%, respectively.

$\mu$ -XANES investigations of the  $S_{3D}$  thin section exposed to 50% RH (Figure 6C: green line/circles; Figure S4B and Table S1) revealed that reduced Cr-species are closely localized at the exposed surface and in abundances not higher than 24%. In the analyzed regions just underneath (1.5-9  $\mu$ m depth), its amount immediately goes down to values between 7 and 10%. For the  $S_{1mono}$  paint [Figure S4A (Supplementary material)] the Cr-speciation results are comparable to those obtained from the equivalent paint aged with 95% RH (*cf.* Figure 6A).

On the basis of the above discussed results, the sensitivity of EPR spectroscopy to detect paramagnetic species, such as Cr(V)-compounds, was complementary used to  $\mu$ -XANES spectroscopy in order to gain insights into the Cr-speciation of the  $S_{3D}$  paint aged with 95% RH.

In Figure 8 the low-temperature (5 K) EPR spectra obtained from the  $S_{3D}$  paints before (blue line) and after either thermal aging with 95% RH (black line) or UVA-Vis light exposure (grey line) are compared.

In all the spectra two sharp features are clearly visible at  $g=1.937\pm 0.001$  and  $g=1.980\pm 0.001$ . While the latter signal was clearly observed even at room temperature and can be readily attributed to Cr(V)-centers [40], the  $g$  value of the former is on the low side of the expected range for Cr(V) and might also be suggestive of isolated (*i.e.*, non-interacting) Cr(III)-centers. Finally, a weak sharp signal at  $g=2.031\pm 0.001$  is visible in the unaged sample: since it accounts for only a minor fraction of the paramagnetic intensity of the spectrum and it does not appear to be related to the aging process, we leave it unassigned.

All these sharp signals are superimposed on a broader feature centered at  $g=2.0$ , while another additional broad and structured signal centered at  $g=4.0$  is clearly visible. The two latter features are consistent with literature reported EPR spectra of Cr(III)-carboxylate derivatives [56,59] and with spectra of concentrated Cr(III)-species.

While an evaluation *via* EPR of the absolute quantities of Cr(III)- and Cr(V)-species would not provide reliable estimates due to the overlap and structuration of the corresponding signals, it is possible to obtain the qualitative variation of the relative abundance of reduced Cr-species in the different samples. This can be done by analyzing the relative intensities of the sharp signal at  $g=1.980$  [Cr(V)-species] and of the broader features at  $g=2.0$  and  $g=4.0$  [Cr(III)-compounds] in the differently aged samples. Thus, the much higher Cr(V):Cr(III) signal ratio in the thermally aged sample compared to both the unaged and the photochemically aged one is clearly evident.

Taken as a whole, the above-mentioned results suggest that the reduction reaction of the original chromate-anions to Cr(III)- and Cr(V)-compounds has occurred under the employed thermal aging conditions and that is favored for  $S_{3D}$  rather than for  $S_{1mono}$  and  $S_{CO}$  paints.

For  $S_{3D}$  the amount of reduced chromium became higher with increasing RH levels. The employed thermal aging gave rise to the formation of Cr(V)-species, of which abundance is higher than those revealed in the equivalent paint only exposed to light. In the latter, reduced Cr was found to be mainly present in the trivalent oxidation state.

For  $S_{1mono}$  and  $S_{CO}$ , the amount of reduced Cr is similar whatever moisture level is used for aging and is comparable also to those obtained from the equivalent paints that were only photochemically aged (see Figure S5 (Supplementary material) and ref. [40] for further details). Among the set of thermally aged paints, this result suggests that the increased  $\Delta E^*$  in the samples exposed to the highest RH condition [see Figure 1B and par. “3.1.1. UV-Vis and FTIR analysis” for the  $\Delta E^*$  values] is mainly due to the (yellow-brownish) oxidation products of the binder and not to reduction of chromium. The same reason may provide an explanation for the highest  $\Delta E^*$  values obtained from the thermally aged paints when compared to the corresponding ones of the equivalent paints only exposed to UVA-Vis light (*cf.* Figure 1B-C, grey bars:  $\Delta E^*_{S_{CO}\text{-“UVA-Vis”}} \sim 4$  and  $\Delta E^*_{S_{1mono}\text{-“UVA-Vis”}} \sim 8$ ).

The consequences of the thermal conditions on the photo-chemical reactivity of lead chromates are explored in more detail in the following section by discussing the results obtained from the thermally aged model paints and the historical paint after exposure to UVA-Vis light.

### 3.2. Exposure of thermally aged model paints to UVA-Vis light

Figure 9 shows the ATR mode FTIR spectra of the thermally aged model paints later on exposed to UVA-Vis light. After photochemical aging an extensive loss of structure is observed for some Pb(II)-carboxylate bands, namely  $\nu_s(\text{COO}^-)$  and bending modes. A broadening is also visible for the  $\nu_a(\text{COO}^-)$ ,  $\nu(\text{CH})$  and  $\nu_a(\text{C=O})$  modes along with the comparison of new components (*e.g.*, signal at  $1780\text{ cm}^{-1}$ ) and/or changes of the relative intensities of the bands in the  $1650\text{-}1550\text{ cm}^{-1}$  range. No appreciable modifications are observable in the chromate absorption region ( $900\text{-}800\text{ cm}^{-1}$ ).

A similar trend can be observed in the spectra of the historical  $\text{PbCr}_{0.4}\text{S}_{0.6}\text{O}_4$  oil paint (naturally aged for about 100 years) irradiated with UVA-Vis light (Figure 4: grey line), even though the spectrum is different in the  $1650\text{-}1500\text{ cm}^{-1}$  range: the marker  $\nu_a(\text{COO}^-)$  signals of Pb(II)-carboxylates are replaced with a broad band centered at  $1574\text{ cm}^{-1}$ .

The above-mentioned modifications suggest that the oxidation of the binder has taken place, through the formation of lower molecular weight degradation products (such as aldehydes, carboxylic acids) [53,60].

In Figures 1A,C and 5 the photographs, the  $\Delta E^*$  and the diffuse reflectance UV-Vis spectra obtained from the thermally aged model paints after UVA-Vis light exposure are compared with those of the equivalent paints that were only photochemically aged.

Light exposure promoted a visible increment of the darkening of all the thermally aged paints, but in a more pronounced manner for the  $S_{3D}$  materials (Figure 1A). Consistent with these visual observations, the UV-Vis spectra of the  $S_{3D}$  samples show a strong decrease of the reflectance in the  $510\text{-}765\text{ nm}$  range (Figure 5: red lines).

After light exposure (Figure 1C: red bars), the  $\Delta E^*$  of the thermally aged  $S_{CO}$  and  $S_{1\text{mono}}$  samples increases for about 1-3 units, reaching values up to  $\sim 9$  and  $\sim 14$ , respectively. For  $S_{3D}$  paints, the

color change increases by factor of two, going up to  $\sim 34$ ; these values are comparable to the ones obtained for the photochemically aged paint (Figure 1C, grey bar:  $\Delta E^*_{S_{3D}\text{-"UVA-Vis"}} \sim 34$ ).

Whatever RH conditions is used for the aging, the fitting of the XANES spectra obtained from  $S_{1\text{mono}}$  and  $S_{\text{CO}}$  paints [Figure 10A-B and Figure S6A (Supplementary material)] shows that light exposure does not promote significant changes of the relative amount of reduced Cr, with values not above 10-13% [see Table S2 (Supplementary material) for details about the fitting results]. This suggests that the observed darkening is mainly due to the degradation of either the organic binder and/or of the corresponding secondary products.

On the other hand, the chemical state maps of Figure 10C show that the irradiation of  $S_{3D}$  paints gives rise to the formation of a superficial Cr(III)-rich layer, while Cr(VI)-species are the main constituents of the yellow paint underneath.

According to the fitting results of the XANES spectra [Figure S6B-C and Table S2 (Supplementary material)] and in line with the EPR analysis (*cf.* par. "3.1.2. Cr-speciation investigations"), reduced Cr-species in the trivalent state [*e.g.*, Cr(III)-acetate hydroxide and Cr(III)-oxides] are the most likely to be present within the first 3-4  $\mu\text{m}$  at the paint surface (*i.e.*, where the reduction process is significant). Notably, the quantitative  $[\text{Cr(VI)}]/[\text{Cr}_{\text{total}}]$  and  $[\text{Cr}_{\text{reduced}}]/[\text{Cr}_{\text{total}}]$  depth profiles (Figure 10D1,2) highlight that abundances of reduced Cr between 70% and 25% are localized within the upper 7  $\mu\text{m}$  and 9  $\mu\text{m}$  of the material treated with 95% RH and with 50% RH, respectively. In the equivalent paint that was only exposed to UVA-Vis light (Figure 10D3) these alteration species result in amounts between 55% and 25% as a 4-5  $\mu\text{m}$  thick superficial layer. For all samples, the abundance of reduced Cr decreases down to about 20% at greater depth.

In summary, the above-discussed results show that the employed thermal parameters influence the tendency of only the orthorhombic  $S_{3D}$  paints towards photo-reduction. After exposure to light of these thermally aged paints, the thickness of the newly formed photo-degradation layer decreases with increasing moisture levels. This might be explained by considering a surface passivation phenomenon that is activated by humidity in the dark [61,62,63]. Since the amount of reduced Cr at

the surface increases with increasing moisture level, the superficial alteration layer produced from higher RH condition is assumed to be more efficient in protecting the deeper region of the paint from the interaction with light. Nevertheless, the average abundance of reduced Cr within the upper 3-4  $\mu\text{m}$  of the paint is similar whatever RH conditions were used for aging (*ca.* 65-70%). In this regard, the modification (yellowing-brownishing) of the organic binder may have contributed to filter light in a more significant manner for the sample exposed to higher moisture levels.

#### 4. CONCLUSIONS

In this paper, a multi-method approach based on the combination of diffuse reflectance UV-Vis, ATR/reflection mode FTIR, SR-based  $\mu$ -XANES/ $\mu$ -XRF and EPR spectroscopies was successfully exploited to study the effect of temperature and humidity on the (photo)redox process of lead chromate-based pigments (namely,  $\text{PbCrO}_4\cdot\text{PbO}$ ,  $\text{PbCrO}_4$ ,  $\text{PbCr}_{0.2}\text{S}_{0.8}\text{O}_4$ ) in the oil medium. Whereas diffuse reflectance UV-Vis analysis allow us to preliminary determine to which extent the color alteration of the paint surface is due to secondary products arising from the oxidation process of the oil binder and/or to those of the inorganic pigment, ATR and reflection mode FTIR measurements are suitable for the local monitoring of the ongoing reactions between the oil and pigments and for the characterization of the corresponding degradation products from specific spots at the exposed surface.

Regarding the Cr-speciation methods, SR-based X-ray micro-techniques are most useful to extract high-lateral spatial information about the composition and thickness of the micrometric thin upper alteration layer. On the other hand, although EPR does not provide laterally resolved information, it was complementarily employed, considering its highest sensitivity and specificity to detect the formation of long-lived paramagnetic intermediate-species that might be more sensitive toward X-ray beam damage.

After exposure to different relative humidity conditions, FTIR spectroscopy revealed the presence of lead(II)-carboxylates. The process of formation of these compounds increases with increasing moisture levels and is favored for  $\text{PbCr}_{0.2}\text{S}_{0.8}\text{O}_4$  (denoted as  $\text{S}_{3\text{D}}$ ) and  $\text{PbCrO}_4\cdot\text{PbO}$  ( $\text{S}_{\text{CO}}$ ) rather than  $\text{PbCrO}_4$  ( $\text{S}_{1\text{mono}}$ ). The greater solubility of the orthorhombic  $\text{PbCr}_{0.2}\text{S}_{0.8}\text{O}_4$  solid solution with respect to monoclinic phases and the high reactivity of  $\text{PbO}$  with the oil binder might explain this result. Lead(II)-soaps were also found on a 100 years old naturally aged historical orthorhombic  $\text{PbCr}_{0.4}\text{S}_{0.6}\text{O}_4$  oil paint, suggesting the possibility of formation of these compounds under environmental conditions and within an extended frame time.

The monoclinic  $\text{PbCrO}_4$  and  $\text{PbCrO}_4 \cdot \text{PbO}$  paints showed up to 10-17% average amounts of reduced Cr, irrespective of the relative humidity conditions employed. On the contrary, the reduced Cr-abundance in the orthorhombic  $\text{PbCr}_{0.2}\text{S}_{0.8}\text{O}_4$  paints gradually increased with an increase of the moisture level ranging from about 20% to 34%. For  $\text{PbCr}_{0.2}\text{S}_{0.8}\text{O}_4$ , under the employed thermal conditions, the amount of Cr(V)-compounds is significantly higher with respect to that obtained from the analysis of the equivalent material only treated with light, for which reduced-Cr is mainly present in the trivalent oxidation state. This indicates that the environmental conditions to which the pigment is exposed to play a key role towards the formation of different reduced Cr-based compounds.

Within the limits of the employed aging conditions, the thermal and humidity aging increases the photo-reduction tendency only of the light-sensitive orthorhombic  $\text{PbCr}_{0.2}\text{S}_{0.8}\text{O}_4$  pigment, while it does not contribute to the activation of photo-redox processes for the more lightfast monoclinic  $\text{PbCrO}_4$  and  $\text{PbCrO}_4 \cdot \text{PbO}$  compounds.

The integrated approach here proposed in combination with controlled moisture/temperature conditions and photochemical treatments, could be used for assessing the response of other photo-sensitive pigments. Moreover, on the basis of the comparison with the ATR mode FTIR data, the visualization of the diagnostic bands of metal-carboxylates in the reflection mode FTIR spectra (despite their distorted-shapes) opens up the possibility to use this technique as a valuable tool for the non-invasive monitoring of the presence of these degradation products on oil paintings.

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## Captions to figures

**Figure 1.** (A) Photographs of (from top to bottom)  $S_{CO}$  (chrome orange:  $PbCrO_4 \cdot PbO$ ),  $S_{1mono}$  ( $PbCrO_4$ ) and  $S_{3D}$  ( $PbCr_{0.2}S_{0.8}O_4$ ) oil paints and corresponding final  $\Delta E^*$  after (B) thermal aging [40°C, 95% and 50% relative humidity (RH), 220 days] and (C) a combination of thermal and UVA-Vis light exposure (red bars). The results obtained from the sample UVA-Vis aged only, are also shown for comparison (grey bars) (see Figure 5 for the corresponding UV-Vis spectra).

**Figure 2.** Selection of the ATR mode FTIR spectra in the 3080-1310  $cm^{-1}$  (left) and 905-650  $cm^{-1}$  (right) range of  $S_{CO}$ ,  $S_{3D}$  and  $S_{1mono}$  paints (from top to bottom) before (blue) and during the thermal aging treatment with 95% RH conditions (black) (see Figures S1 and S2 of the Supplementary material for the corresponding reflection mode FTIR spectra).

**Figure 3.** Selection of the ATR mode FTIR spectra in the 3080-1310  $cm^{-1}$  (left) and 905-650  $cm^{-1}$  (right) range of  $S_{CO}$ ,  $S_{3D}$  and  $S_{1mono}$  paints (from top to bottom) before (blue) and during the thermal aging treatment at 50% RH (black) [see Figure S1 (Supplementary material) for the corresponding reflection mode FTIR spectra].

**Figure 4.** ATR mode FTIR spectra obtained from the historical  $PbCr_{0.4}S_{0.6}O_4$  oil paint before (black) and after UVA-Vis light exposure (grey).

**Figure 5.** Diffuse reflectance UV-Vis spectra of (A)  $S_{CO}$ , (B)  $S_{1mono}$  and (C)  $S_{3D}$  paints before (blue) and after (black) different RH aging treatments and RH combined with UVA-Vis light exposure (red). For comparison change of similar paint underwent only to UVA-Vis light exposure are reported (grey) (see Figure 1 for the corresponding  $\Delta E^*$ ).

**Figure 6.** Result of the linear combination fitting (magenta) of  $PbCrO_4/PbCrO_4 \cdot PbO$  and either  $NaCrO_5(C_5H_8O)_2$  or organo-Cr(III) compounds to the line of XANES spectra (black) obtained from the thermally aged (A)  $S_{1mono}$  and (B)  $S_{CO}$  paints. In blue, spectra of the reference compounds. (C) Quantitative  $[Cr_{reduced}]/[Cr_{total}]$  (green) and  $[Cr(VI)]/[Cr_{total}]$  (red) depth profiles obtained as a linear combination fitting of different Cr-reference compounds to the line of XANES spectra recorded from the thermally aged  $S_{3D}$  paints: 95% RH (triangles), 50% RH (circles) [see Table S1 and Figure S4 (Supplementary material) for further details about the fitting results].

**Figure 7.** Cr K pre-edge peak region of the XANES spectra of a selection of different Cr-reference compounds:  $PbCrO_4$  (red),  $NaCrO_5(C_5H_8O)_2$  (blue) and  $Cr(OH)_3$  (green).

**Figure 8.** Low temperature (5 K) X-band ( $\nu=9.40965$  GHz) EPR spectra obtained from the  $S_{3D}$  paint before (blue) and after different aging treatments: only UVA-Vis light exposure (grey) and 95% RH (black). Leftmost panel shows the full spectral range, while the rightmost one illustrates a magnification of the  $g=2.0$  region.

**Figure 9.** ATR mode FTIR spectra obtained from the photochemically aged (from top to bottom)  $S_{CO}$ ,  $S_{3D}$  and  $S_{1mono}$  paints, previously subject to 220 days of thermal aging: 95% RH (solid line) and 50% RH (circles) (*cf.* Figures 2-3 to see the corresponding spectra obtained before light exposure).

**Figure 10.** (A-B) Result of the linear combination fitting (magenta) of  $PbCrO_4/PbCrO_4 \cdot PbO$  and either  $NaCrO_5(C_5H_8O)_2$  or organo-Cr(III) compounds to the line of XANES spectra (black) obtained from the thermally aged (A)  $S_{1mono}$  and (B)  $S_{CO}$  paints after UVA-Vis light exposure. (C) RG composite Cr(VI)/Cr(III) chemical state maps [step sizes ( $h \times v$ ):  $0.8 \times 0.3 \mu m^2$ ; dwell time: 100 ms/pixel] and (D) corresponding quantitative  $[Cr_{reduced}]/[Cr_{total}]$  (green) and  $[Cr(VI)]/[Cr_{total}]$  (red) depth profiles, obtained as a linear combination fitting of different Cr-reference compounds to the line of XANES spectra obtained from the thermally aged  $S_{3D}$  paints after UVA-Vis light exposure. In (B3) the results acquired from the photochemically aged paint are also report for a comparison [see Table S2, Figure S6 (Supplementary material) and ref. [40] for details about the fitting results].



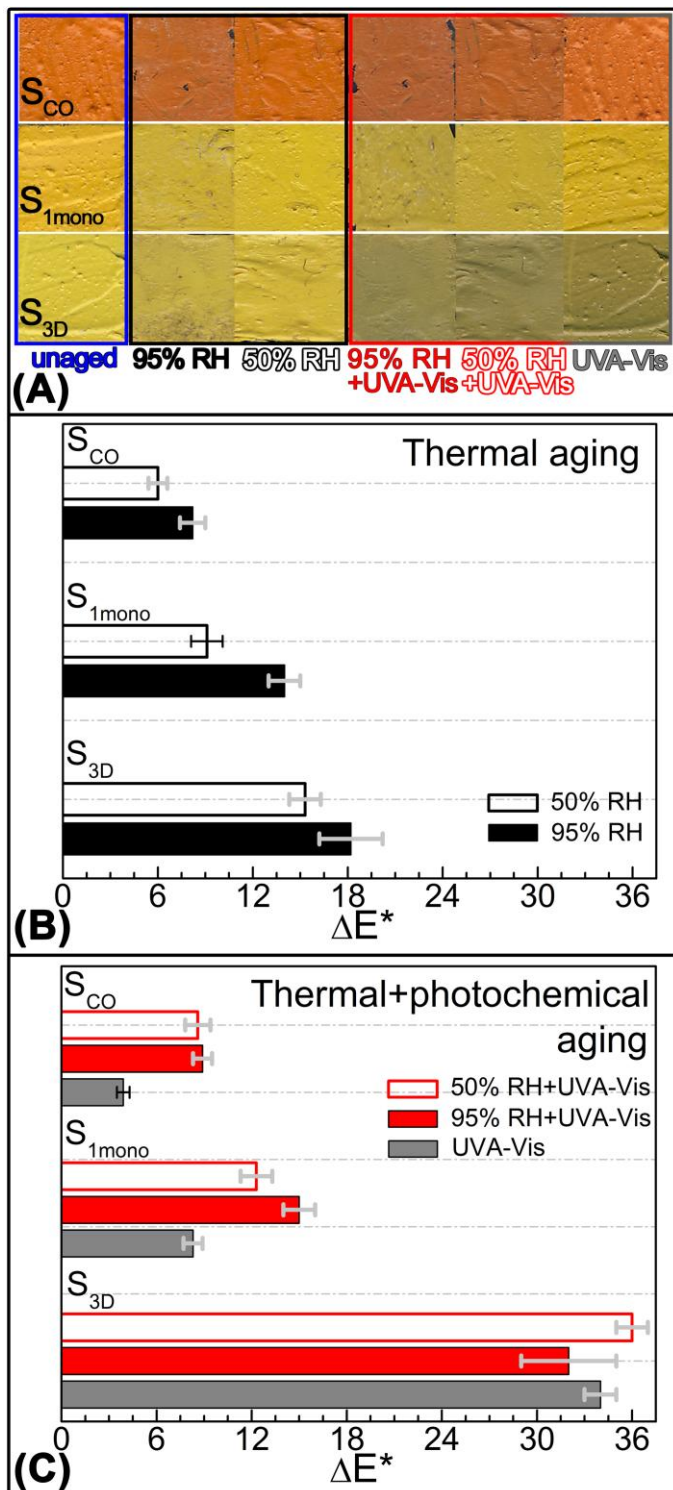


Figure 1

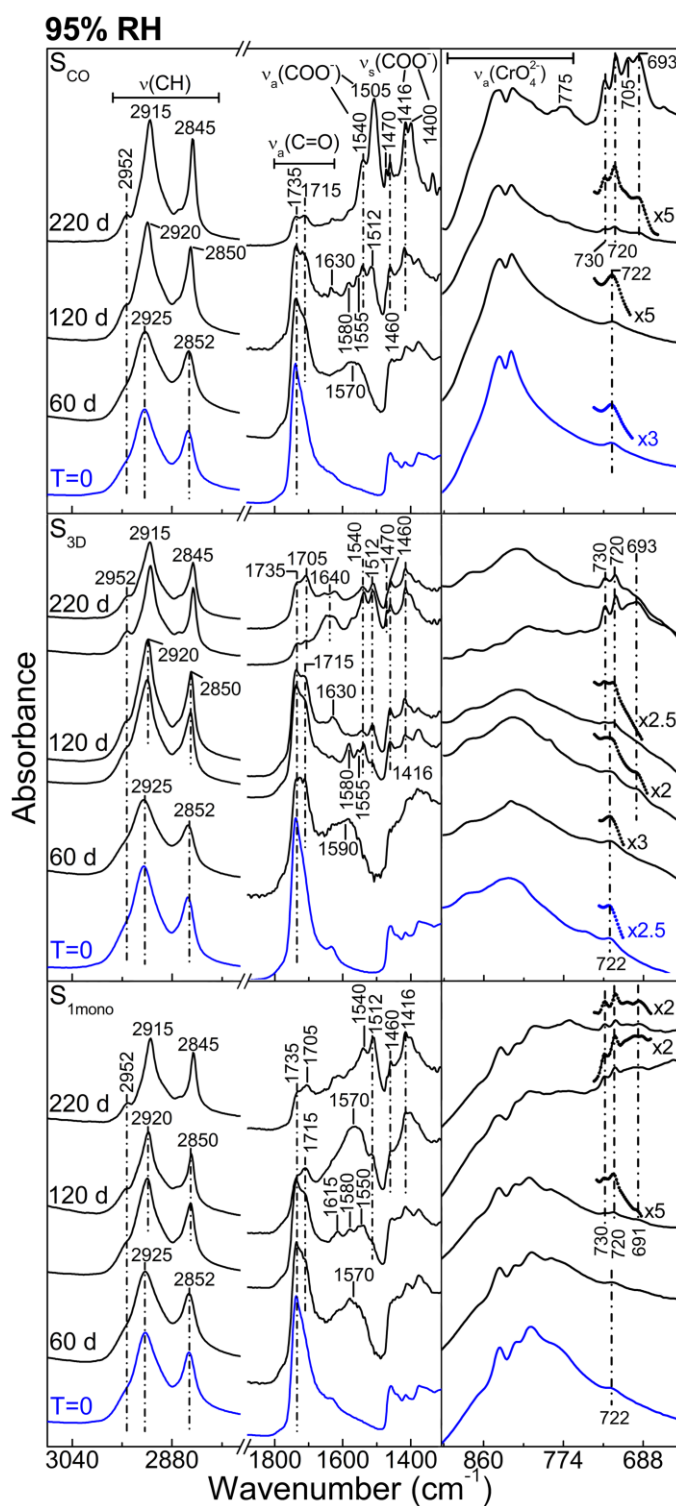


Figure 2

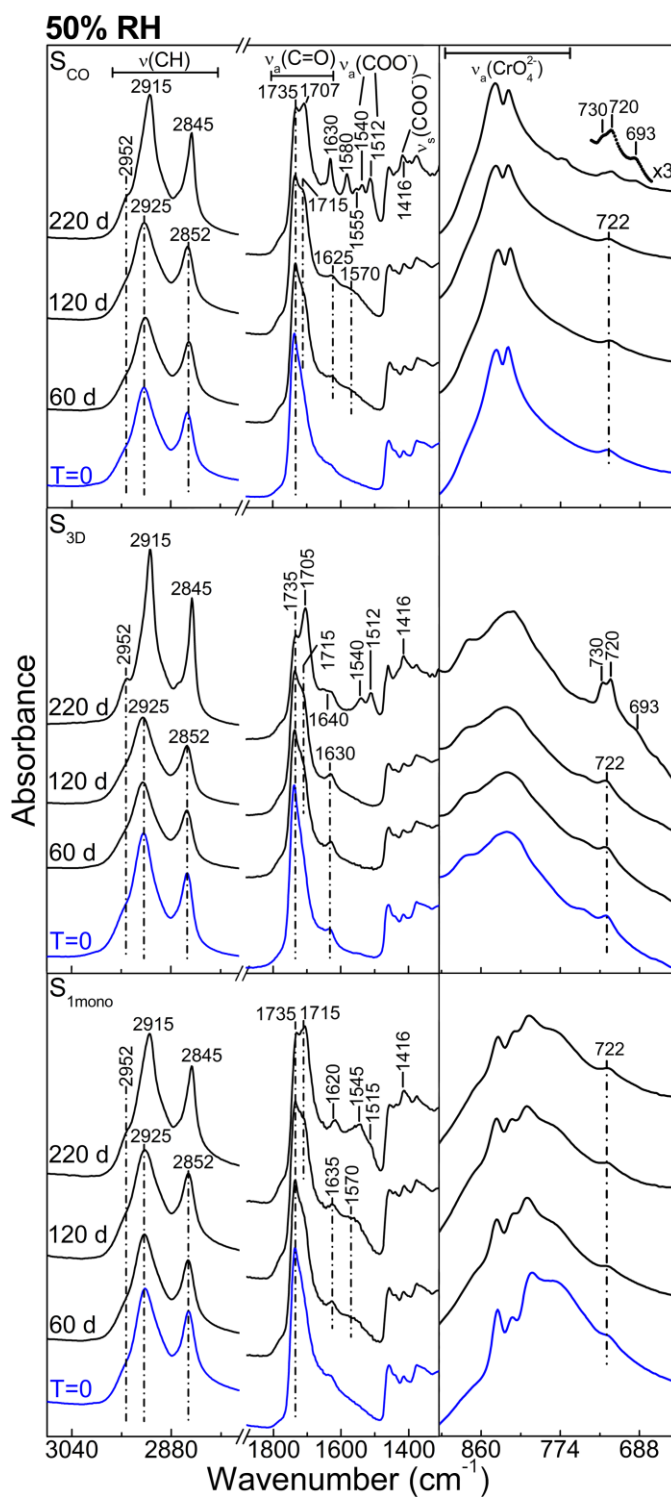


Figure 3

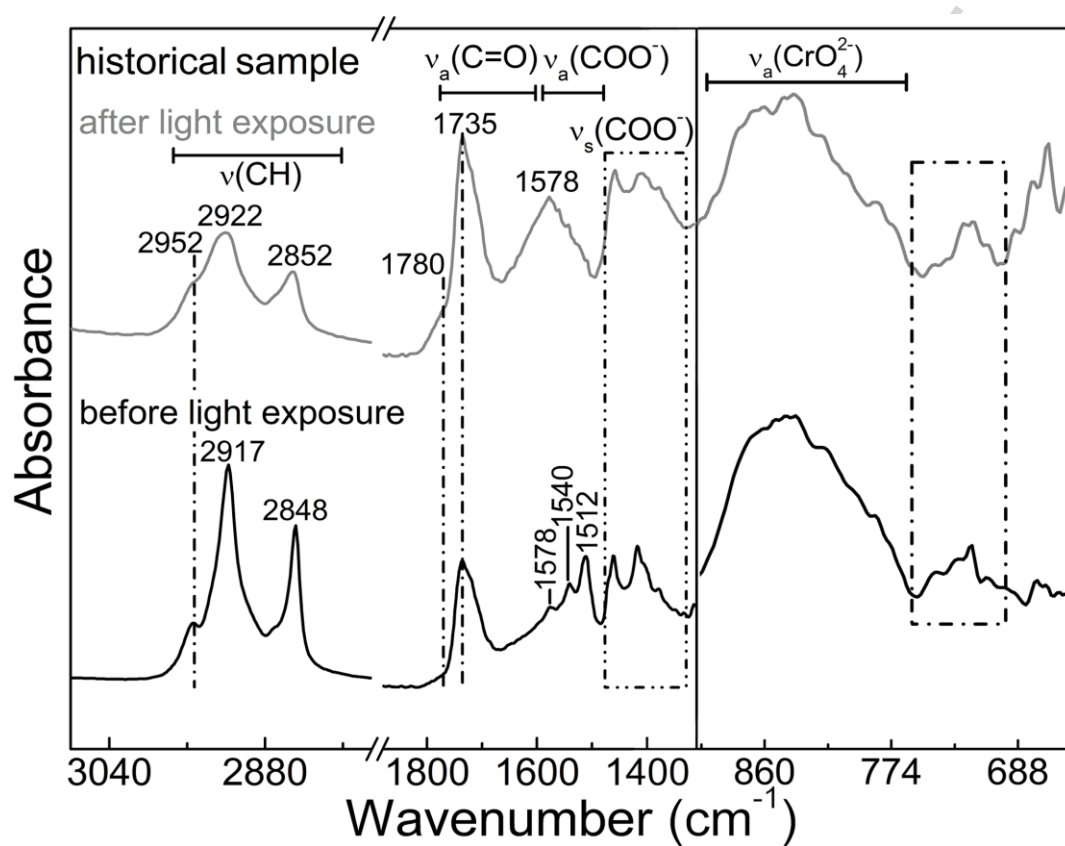


Figure 4

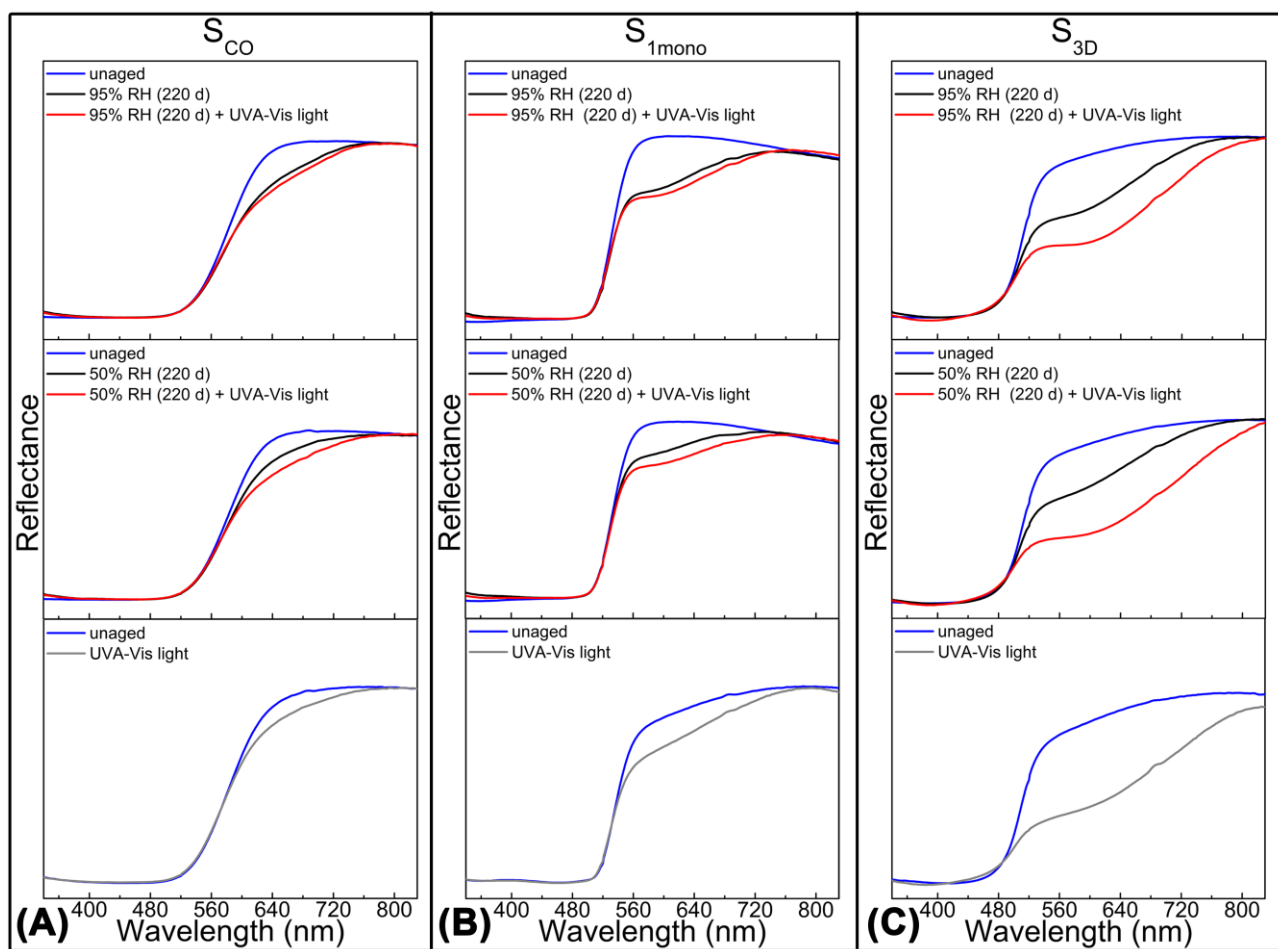


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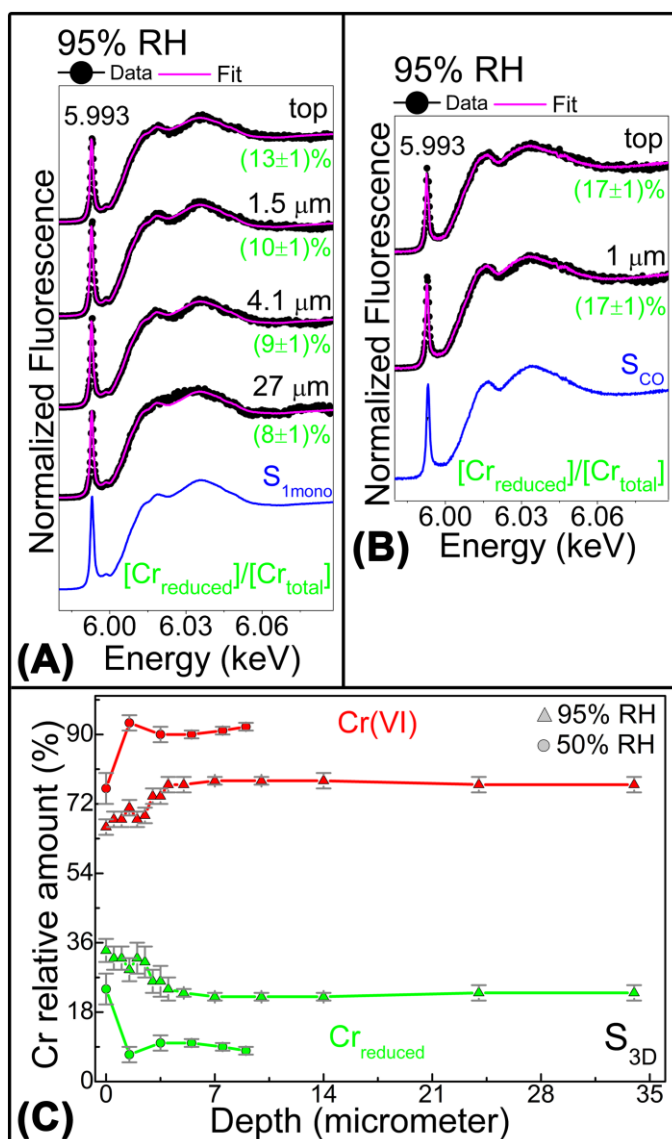


Figure 6

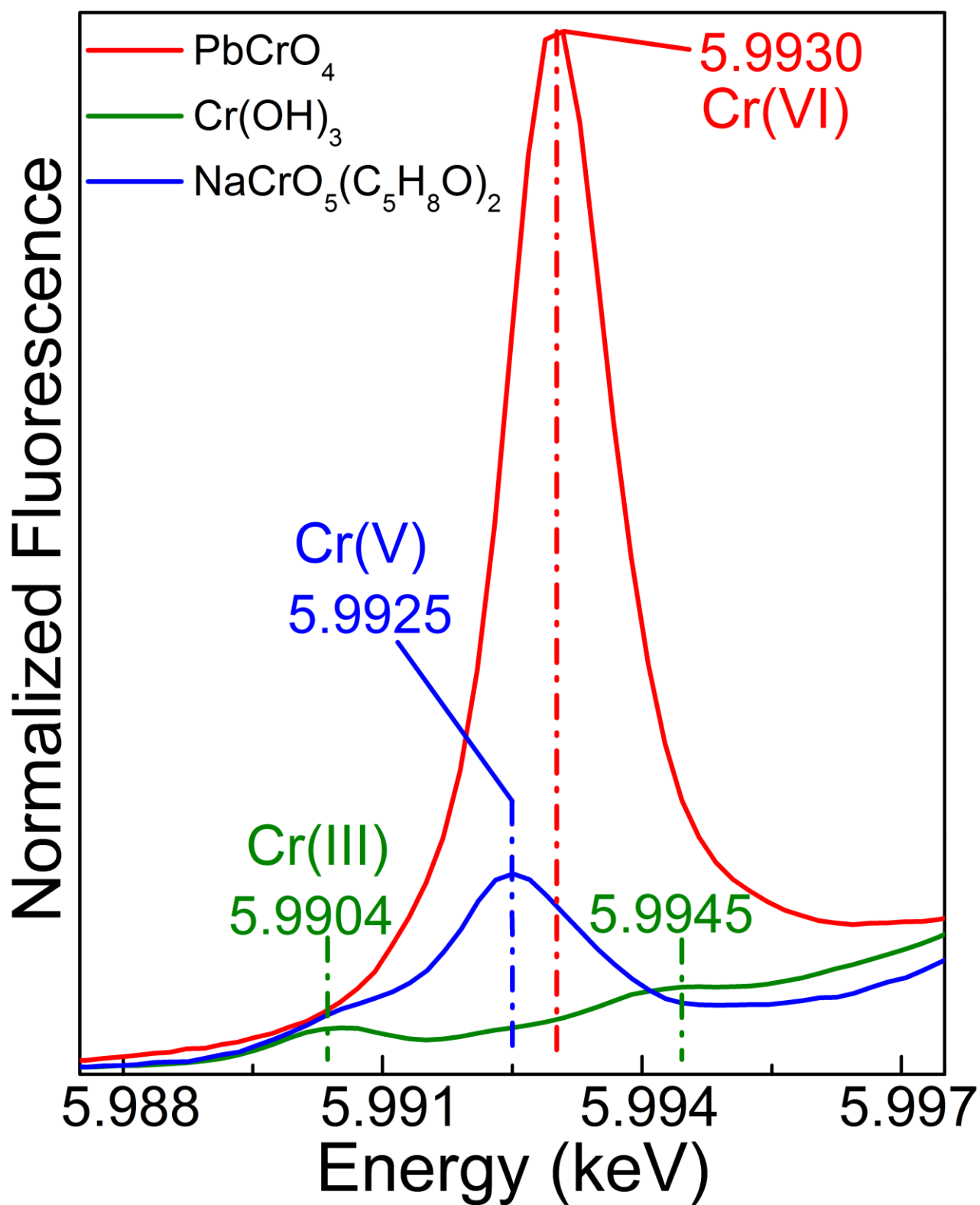


Figure 7

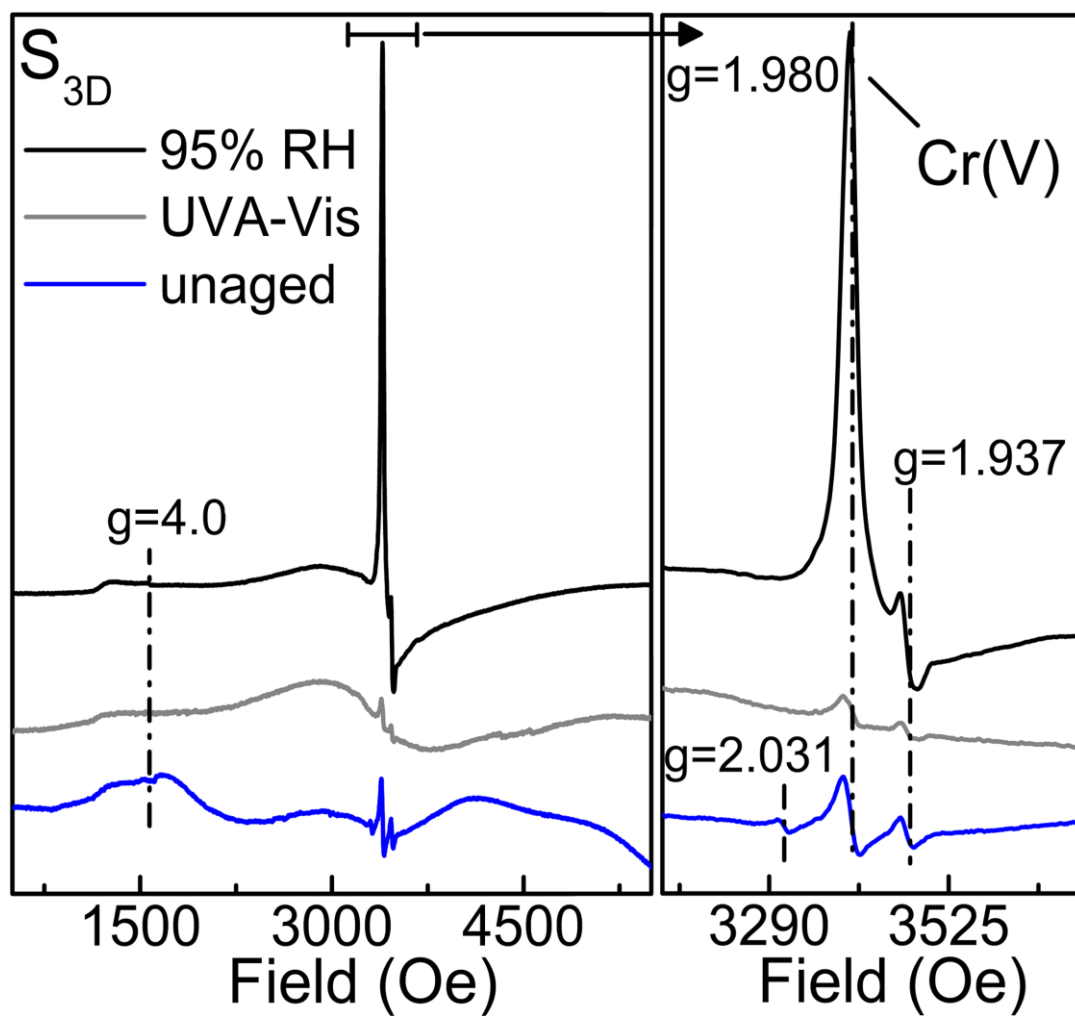


Figure 8



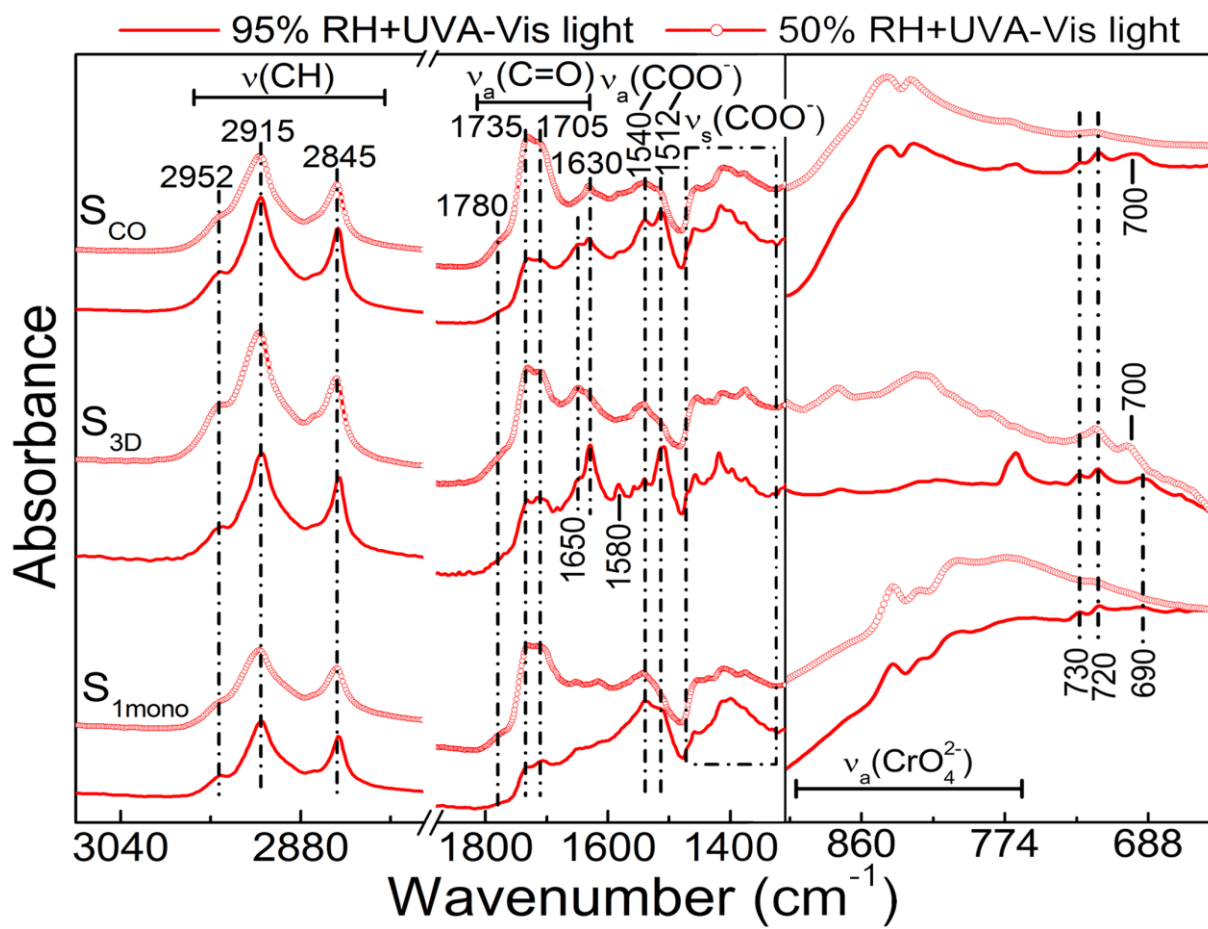


Figure 9

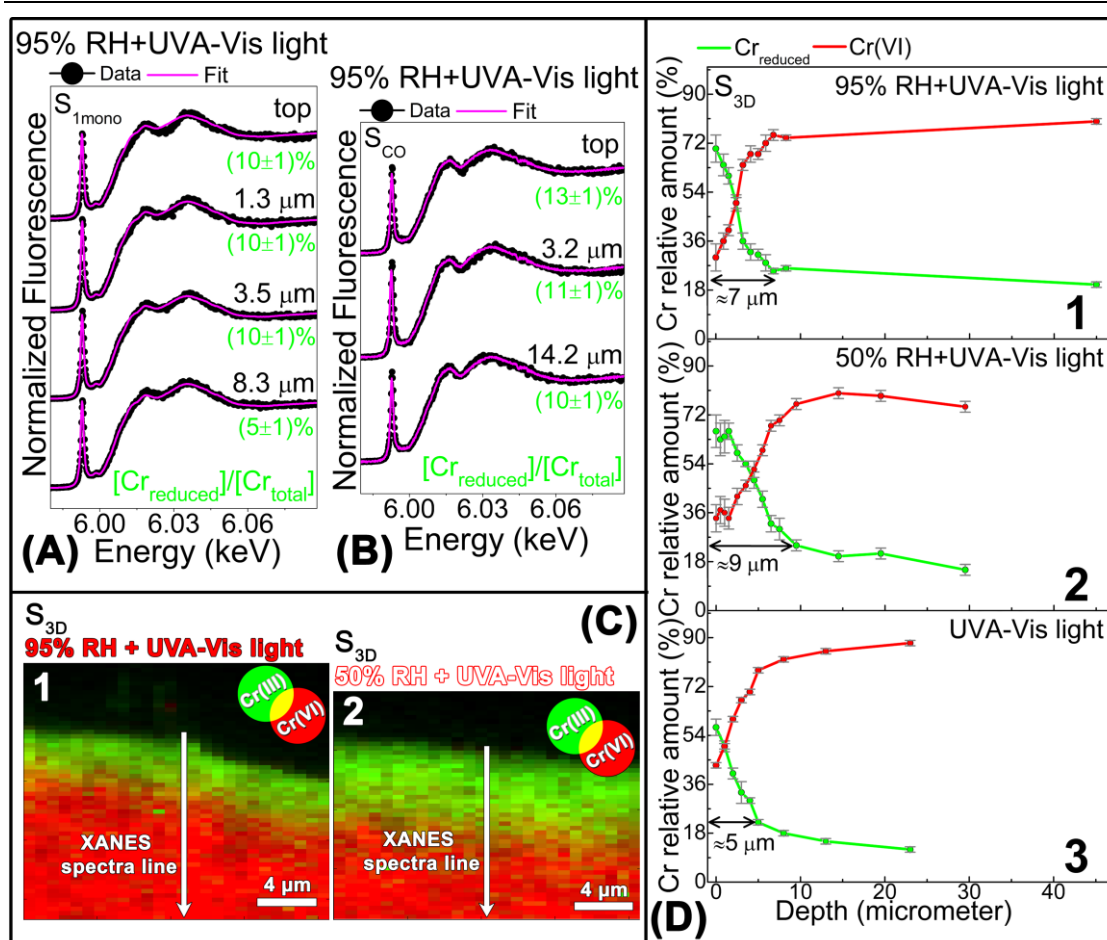


Figure 10

**HIGHLIGHTS**

- The effect of temperature and humidity on the (photo)redox process of different types of lead chromate-based pigments was successfully studied by employing a combination of UV-Vis, FTIR, SR  $\mu$ -XANES/ $\mu$ -XRF and EPR spectroscopies.
- Lead(II)-carboxylates, Cr(III)- and Cr(V)-compounds were identified as secondary products of the alteration process and their formation is favored with increasing moisture levels.
- The amount of Cr(V)-species increases when the pigment is treated with humidity, while Cr(III)-alteration products are predominant in the equivalent material only exposed to light.
- The thermal and humidity aging increases the photo-reduction tendency only of the orthorhombic  $\text{PbCr}_{0.2}\text{S}_{0.8}\text{O}_4$  pigment, while it does not contribute to the photo-reactivity of the monoclinic  $\text{PbCrO}_4$  and  $\text{PbCrO}_4 \cdot \text{PbO}$  compounds.