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4 **Vapor phase fabrication of nanoheterostructures based on ZnO for**  
5 **photoelectrochemical water splitting**

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**Abstract:** Nanoheterostructures based on metal oxide semiconductors have emerged as promising materials for the conversion of sunlight into chemical energy. In the present study, ZnO-based nanocomposites have been developed by a hybrid vapor phase route, consisting in the chemical vapor deposition of ZnO systems on fluorine-doped tin oxide substrates, followed by the functionalization with Fe<sub>2</sub>O<sub>3</sub> or WO<sub>3</sub> *via* radio frequency-sputtering. The target systems were subjected to thermal treatment in air both prior and after sputtering, and their properties, including structure, chemical composition, morphology and optical absorption, were investigated by a variety of characterization methods. The obtained results evidenced the formation of highly porous ZnO nanocrystal arrays, conformally covered by an ultrathin Fe<sub>2</sub>O<sub>3</sub> or WO<sub>3</sub> overlayer. Photocurrent density measurements for solar-triggered water splitting revealed in both cases a performance improvement with respect to bare zinc oxide, that was mainly traced back to an enhanced separation of photogenerated charge carriers thanks to the intimate contact between the two oxides. This achievement can be regarded as a valuable result in view of future optimization of similar nanoheterostructured photoanodes.

## 1. Introduction

Over the last decades, the utilization of sunlight-assisted semiconductor photocatalysis for pollutant degradation, as well as for hydrogen production from water has gained considerable momentum, due to the increasing concern on environmental and energy issues.<sup>[1-8]</sup> In particular, photoelectrochemical (PEC) water splitting<sup>[9-12]</sup> stands as a promising route for the renewable conversion of solar light into storable and clean chemical energy with zero carbon emission.<sup>[13-21]</sup> Up to date, a great deal of attention has been dedicated to the development of various oxide photoanodes for these applications (TiO<sub>2</sub>, WO<sub>3</sub>, ZnO, Fe<sub>2</sub>O<sub>3</sub>, BiVO<sub>4</sub>, ...),<sup>[7,10,12-16,18,22-31]</sup> especially in nanostructured forms in order to attain improved system performances.<sup>[11,20,26,32]</sup> In this regard, ZnO, a transparent semiconducting oxide with a large exciton binding energy (60 meV) and high carrier mobility, has attracted an extensive interest.<sup>[5,15,18,32-34]</sup> Nevertheless, its performances are detrimentally affected by its wide band gap ( $E_G = 3.3$  eV),<sup>[3,14,35-39]</sup> limiting radiation absorption to the UV interval ( $\approx 5\%$  of the overall solar spectrum),<sup>[1,2,38,40-43]</sup> and by the rapid charge carriers recombination.<sup>[33,35-37,44]</sup> To tackle these obstacles and extend the system photoresponse into the Vis range,<sup>[1,15,39]</sup> various investigators have been focused on doping with substitutional elements to the Zn and O sites.<sup>[3,21,32,40,41,43]</sup> Appreciable efforts have also been devoted to the coupling of ZnO with other suitable semiconductors, with the aim to tailor their interfacial energetics to the targeted photoactivated processes.<sup>[2,22,27,36,45,46]</sup> In this regard, various heterojunction-containing composites based on ZnO-TiO<sub>2</sub>,<sup>[8]</sup> ZnO-NiO,<sup>[31]</sup> ZnO-CdS,<sup>[11]</sup> ZnO-CdSe,<sup>[34]</sup> ZnO-CdTe,<sup>[43]</sup> ZnO-M(OH)<sub>x</sub> with M = Co, Ni,<sup>[16]</sup> ZnO-BiVO<sub>4</sub>,<sup>[42]</sup> ZnO-WO<sub>x</sub> coupled with CdSe-CdS,<sup>[45]</sup> ZnO-ZnS-FeS<sub>2</sub>,<sup>[39]</sup> and ZnO-CdS-NiO<sup>[17]</sup> have been developed and tested for solar-driven H<sub>2</sub>O splitting. In this broad scenario, an attractive option involves the use of Fe<sub>2</sub>O<sub>3</sub> and WO<sub>3</sub> as functional activators of ZnO systems for the fabrication of Vis-light absorbing photoanodes. In particular, Fe<sub>2</sub>O<sub>3</sub>, an abundant and cheap oxide with a narrow band gap ( $E_G = 2.2$  eV),<sup>[9,26,28,35]</sup> has gained a considerable attention, but its sluggish oxygen evolution

kinetics, low carrier lifetime and short exciton diffusion length limit the resulting functional performances.<sup>[13,18,28,31,47]</sup> Another attractive material not only for solar water splitting, but also for environmental remediation, is  $\text{WO}_3$ ,<sup>[2,6,19]</sup> which possesses an appreciable photostability and a favorable band gap ( $E_G = 2.8 \text{ eV}$ ).<sup>[3,12,22,27,29,30,37]</sup> Nevertheless, the indirect nature of this band gap results in optical pathways corresponding to Vis wavelengths that are at least one order of magnitude higher than the hole diffusion length ( $\approx 0.15 \mu\text{m}$ ),<sup>[29]</sup> requiring the use of tailored nanosystems to improve functional performances. In this scenario, the development  $\text{ZnO-Fe}_2\text{O}_3$  and  $\text{ZnO-WO}_3$  nanoheterostructures, in which  $\text{ZnO}$  nanosystems are functionalized with  $\text{Fe}_2\text{O}_3$  or  $\text{WO}_3$  particles or overlayers, would concurrently provide a valuable route to engineer the system performances and compensate individual material drawbacks, such as  $\text{ZnO}$  photocorrosion.<sup>[48]</sup> In particular, the broader light absorption range and restrained carrier recombination are key advantages for the improvement of PEC  $\text{H}_2$  generation.<sup>[9,13,35,37,38,49]</sup> Nevertheless, up to date  $\text{ZnO-Fe}_2\text{O}_3$  and  $\text{ZnO-WO}_3$  composites have been mainly used for gas sensing<sup>[50-52]</sup> and photocatalytic pollutant degradation,<sup>[3,35,37,38,44,49]</sup> whereas only a few reports have been dedicated to their applications in PEC water splitting.<sup>[14,27,45]</sup> These observations highlight the urgent need of viable preparation routes to supported  $\text{ZnO-Fe}_2\text{O}_3$  and  $\text{ZnO-WO}_3$  nanosystems, allowing a fine control over their morphology and interface structure.<sup>[22,38]</sup>

Taking into account these evidences, the investigation performed in the present work aims at providing a contribution in this direction, being addressed to the fabrication and characterization of  $\text{ZnO}$ -based nanoheterostructures for solar-driven water splitting. Following our previous works on multi-component oxide-based nanomaterials,<sup>[47,53-56]</sup> herein we propose a highly controllable preparation strategy for the fabrication of  $\text{ZnO-Fe}_2\text{O}_3$  and  $\text{ZnO-WO}_3$  nanoheterostructures, consisting in the chemical vapor deposition (CVD) of  $\text{ZnO}$  on fluorine-doped tin oxide (FTO) and in the subsequent radio frequency (RF)-sputtering of  $\text{Fe}_2\text{O}_3$  or  $\text{WO}_3$  onto the obtained systems under mild operational conditions. Particular efforts

1 were specifically dedicated to the uniform decoration of ZnO nanostructures by Fe<sub>2</sub>O<sub>3</sub> or WO<sub>3</sub>  
2 overlayers and to the obtainment of an intimate oxide-oxide contact, in order to  
3 synergistically exploit the combination of the single component properties. To this regard, a  
4 multi-technique investigation was carried out through the use of complementary analytical  
5 tools, in order to shed light on the system nanostructure, morphology, composition and optical  
6 properties. Finally, a preliminary investigation on the system performances in PEC water  
7 splitting promoted by simulated solar light was carried out, discussing the possible causes for  
8 the performance enhancement brought about by ZnO functionalization with Fe<sub>2</sub>O<sub>3</sub> and WO<sub>3</sub>  
9 and providing a possible strategy to achieve enhanced functional performances. To the best of  
10 our knowledge, the preparation of the target systems by the proposed route for PEC water  
11 splitting has never been reported in the literature up to date.

## 2. Results and Discussion

31 In this work, ZnO-Fe<sub>2</sub>O<sub>3</sub> and ZnO-WO<sub>3</sub> photoanodes were developed by means of a multi-  
32 step synthetic strategy, involving: (a) CVD of ZnO on FTO substrates; (b) RF-sputtering of  
33 Fe<sub>2</sub>O<sub>3</sub> or WO<sub>3</sub> onto the obtained systems. For comparison purposes, relevant properties of  
34 bare ZnO were also investigated (see also the Supporting Information).

41 Preliminary information on the system structure was obtained by glancing incidence X-ray  
42 diffraction (GIXRD). As can be observed in Figure 1, the recorded patterns were dominated  
43 by peaks located at  $2\theta = 31.7, 34.4, 36.2,$  and  $47.5^\circ$ , related respectively to the (100), (002),  
44 (101) and (102) reflections of the *wurtzite* ZnO crystalline phase.<sup>[57]</sup> Irrespective of the  
45 processing conditions, the  $I_{(002)}/I_{(101)}$  intensity ratio (Supporting Information, Figure S1) was  
46 always higher than that of the reference powder spectrum, suggesting the occurrence of a  
47 preferred orientation along the *c*-axis (*i.e.*, with the  $\langle 001 \rangle$  direction almost perpendicular to  
48 the substrate).<sup>[58]</sup> This phenomenon could be related to the ZnO *wurtzite* structure, composed  
49 of alternate O and Zn crystallographic planes stacked along the *c* axis. The spontaneous  
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1 polarization along this direction promotes the occurrence of the (001) orientation, due to the  
2 tendency to satisfy the decrease in the overall free energy.<sup>[59]</sup> In the present case, this  
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4 phenomenon was further boosted by the introduction of water vapor, producing a preferential  
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6 interaction of –OH groups with the Zn(II)-terminated (001) polar ZnO surface. This effect, in  
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8 turn, enhances precursor decomposition and favors a preferential growth along this direction.  
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10 Nevertheless, the concomitant hydroxyl interaction with other ZnO surfaces was responsible  
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12 for a lateral growth competitive with the previous one, accounting thus for the appearance  
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14 even of the other diffraction peaks and not only of the (002) one.  
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18 For the composite samples, no additional signals related to crystalline Fe<sub>2</sub>O<sub>3</sub> or WO<sub>3</sub> or mixed  
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20 Zn-Fe-O/Zn-W-O phases could be observed. In line with previous works on multi-component  
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22 oxide nanosystems obtained by analogous routes,<sup>[47,53-56]</sup> this result could be related to the low  
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24 Fe<sub>2</sub>O<sub>3</sub>/WO<sub>3</sub> loading, as confirmed by transmission electron microscopy (TEM) data (see  
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26 below and Figure 4), and suggested that the adopted processing conditions were mild enough  
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28 to avoid significant structural alterations of the pristine ZnO matrix. The main difference for  
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30 ZnO-Fe<sub>2</sub>O<sub>3</sub> and ZnO-WO<sub>3</sub> systems with respect to bare ZnO was the slightly lower  $I_{(002)}/I_{(101)}$   
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32 intensity ratio (Supporting Information, Figure S1). The mean ZnO crystallite size was  
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34 evaluated to be 30 nm and did not undergo any significant alteration upon Fe<sub>2</sub>O<sub>3</sub> and WO<sub>3</sub>  
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36 deposition.  
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40 The surface chemical composition was analyzed by X-ray photoelectron and X-ray excited  
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42 Auger photoelectron spectroscopies (XPS and XE-AES). Survey spectra confirmed the  
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44 successful Fe<sub>2</sub>O<sub>3</sub> and WO<sub>3</sub> deposition onto ZnO (Supporting Information, Figure S2), with no  
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46 other impurity within the technique detection limit, apart from adventitious carbon. In all  
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48 cases, the surface presence of zinc could also be observed (see Figure 2a), a phenomenon  
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50 traced back to ZnO coverage by ultrathin and porous Fe<sub>2</sub>O<sub>3</sub>/WO<sub>3</sub> overlayers (compare field  
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52 emission scanning electron microscopy (FE-SEM) and TEM analyses, see below). The  
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54 Zn2p<sub>3/2</sub> binding energy (BE) was 1022.0 eV for pure ZnO, in line with literature  
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1 data,<sup>[5,31,48,60,61]</sup> whereas it underwent a slight downward (1021.9 eV) and upward (1022.3 eV)  
2 shift for ZnO-Fe<sub>2</sub>O<sub>3</sub> or ZnO-WO<sub>3</sub> samples, respectively. This phenomenon can be explained  
3 taking into account that, at the interface between ZnO and Fe<sub>2</sub>O<sub>3</sub> nanoaggregates, the  
4 equilibration of the energy levels leads to a higher position for the Fe<sub>2</sub>O<sub>3</sub> conduction band  
5 (CB) edge with respect to the ZnO one.<sup>[1,9,13]</sup> This phenomenon, in turn, promotes an electron  
6 transfer from Fe<sub>2</sub>O<sub>3</sub> to ZnO CB, accounting for the lower BE value of Zn2p<sub>3/2</sub> in ZnO-Fe<sub>2</sub>O<sub>3</sub>.  
7 In line with these observations, the position of the Fe2p spin-orbit components [Figure 2b;  
8 BE(Fe2p<sub>3/2</sub>) = 711.4 eV; spin-orbit splitting = 13.7 eV] was slightly higher than that reported  
9 for Fe<sub>2</sub>O<sub>3</sub>.<sup>[1,9,24,47,53]</sup> In a different way, the opposite situation holds for the mutual CB edge  
10 positions at the ZnO-WO<sub>3</sub> interface, so that the electron flow direction is expected to be from  
11 ZnO to WO<sub>3</sub> when the two systems are in contact.<sup>[27,36-38,44]</sup> As a consequence, the measured  
12 BE(Zn2p<sub>3/2</sub>) in the case of ZnO-WO<sub>3</sub> nanoheterostructures was higher than for the pristine  
13 ZnO (see above). The position of the W4f photopeak [BE(W4f<sub>7/2</sub>) = 35.6 eV] (Figure 2c),  
14 slightly lower than previous literature data for W(VI) oxide,<sup>[12,53,61]</sup> validated the above  
15 hypothesis. Overall, the obtainment of ZnO/Fe<sub>2</sub>O<sub>3</sub> and ZnO/WO<sub>3</sub> interfaces with tunable  
16 features appears very promising for a possible improvement of the system functional  
17 properties in PEC water splitting, as described below. Quantitative analyses enabled to  
18 calculate the W and Fe surface molar fraction, yielding a mean value of  $X_M = 0.63$  (M = Fe,  
19 W) for both ZnO-Fe<sub>2</sub>O<sub>3</sub> and ZnO-WO<sub>3</sub> systems.

20 In all cases, evaluation of the zinc Auger parameter always yielded  $\alpha = 2010.6$  eV, providing  
21 thus a finger-print for the presence of ZnO free from other Zn-containing phases, irrespective  
22 of the processing conditions.<sup>[60]</sup> This conclusion, in agreement with the above discussed XRD  
23 data, was further corroborated by TEM results (see below and Figure 4).

24 Concerning bare ZnO, the surface O1s peak (Figure 2d) was characterized by a main  
25 component located at BE = 530.1 eV, related to lattice oxygen in ZnO,<sup>[3,27,33,59]</sup> and a tailing  
26 towards higher BE with a shoulder at  $\approx 532.0$  eV, attributed to surface -OH groups saturating



1 O vacancies.<sup>[3,24,53,54]</sup> The presence of these defects is expected to favorably influence the  
2 system PEC performances, resulting in an improved charge transportation and in a  
3 photocurrent density enhancement.<sup>[33]</sup> In the case of ZnO-Fe<sub>2</sub>O<sub>3</sub>, the main O1s component  
4 underwent a shift towards lower BEs, due to the contribution of lattice oxygen in Fe<sub>2</sub>O<sub>3</sub>,  
5 expected at 529.8 eV.<sup>[10,47,55]</sup> Conversely, the opposite O1s peak shift took place for the ZnO-  
6 WO<sub>3</sub> specimen, since the O signal for WO<sub>3</sub> is located at 530.5 eV.<sup>[27,61]</sup>

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14 The in-depth system composition was investigated by secondary ion mass spectrometry  
15 (SIMS) analysis, that enabled to estimate a carbon concentration of  $\approx 100$  ppm (averaged over  
16 the whole nanodeposit thickness), demonstrating thus the purity of the obtained materials.  
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The in-depth system composition was investigated by secondary ion mass spectrometry (SIMS) analysis, that enabled to estimate a carbon concentration of  $\approx 100$  ppm (averaged over the whole nanodeposit thickness), demonstrating thus the purity of the obtained materials. Representative profiles (Supporting Information, Figure S3) revealed an almost constant oxygen ionic yield throughout the sampled depth. The analysis of Zn, W and Fe signals revealed that Fe and W were mainly concentrated in the near-surface zone. In addition, the appreciable Fe and W signal tailing towards the interface with the FTO substrate suggested an efficient ZnO coverage by Fe<sub>2</sub>O<sub>3</sub> (or WO<sub>3</sub>) even in the inner system regions, thanks to the porosity characterizing the pristine ZnO nanodeposits [see also high angle annular dark field scanning TEM (HAADF-STEM) and STEM energy dispersive X-ray spectroscopy (STEM-EDXS) analysis for further details]. The present results, in line with recent reports on oxide nanocomposites obtained by hybrid vapor phase approaches,<sup>[24,55,56]</sup> indicate the successful achievement of an intimate contact between the target ZnO nanosystem and the Fe<sub>2</sub>O<sub>3</sub> (or WO<sub>3</sub>) overlayer. The latter phenomenon accounts for the electronic interplay between the system component already discussed in relation to XPS data (see above), and plays a crucial role in determining the photoresponse of ZnO-Fe<sub>2</sub>O<sub>3</sub> and ZnO-WO<sub>3</sub> nanoheterostructures in PEC water splitting (compare Figure 5 and the pertaining data discussion). Finally, the recorded SIMS profiles evidenced a certain Sn inter-diffusion from the FTO substrates into the target nanosystems, an effect that has been reported to positively influence charge transport phenomena and, ultimately, PEC performances.<sup>[24,47]</sup>

1 The system morphology and nano-organization were analyzed by FE-SEM. Plane-view  
2 investigation showed that bare ZnO (Supporting Information, Figure S4) was characterized by  
3 the presence of homogeneously distributed and highly interconnected “wavy” nanoaggregates  
4 (mean lateral size =  $100 \pm 20$  nm), resulting in a porous material extremely favourable for the  
5 subsequent dispersion of Fe<sub>2</sub>O<sub>3</sub> and WO<sub>3</sub>. The deposition of Fe<sub>2</sub>O<sub>3</sub> or WO<sub>3</sub> induced no  
6 appreciable morphological variations on the zinc oxide host matrix (see Figure 3), confirming  
7 thus that the adopted synthetic approach preserved the nanorganization of the underlying  
8 metal oxide. For both bare ZnO and nanocomposite materials, similar mean thickness values  
9 of ( $120 \pm 30$ ) nm were estimated, in line with the deposited small amount of Fe<sub>2</sub>O<sub>3</sub> and WO<sub>3</sub>.  
10 In the case of ZnO-WO<sub>3</sub> system, taking advantage of the different Z values of the metal  
11 centers in the two oxides, the homogeneous distribution of tungsten oxide over ZnO  
12 nanostructures was confirmed by the image recorded collecting backscattered electrons  
13 (Figure 3c).

14 In order to shed further light into the nanoscale structure of ZnO-Fe<sub>2</sub>O<sub>3</sub> and ZnO-WO<sub>3</sub>  
15 materials, HAADF-STEM and EDXS analyses were carried out. Figures 4a-d display  
16 HAADF-STEM overview cross-sectional images of composite systems, together with the  
17 related EDXS elemental maps for Fe, W, Zn, Sn and Si. In both cases, the presence of  
18 glass/FTO/ZnO/Fe<sub>2</sub>O<sub>3</sub> or WO<sub>3</sub> multilayer stacks was clearly evident. EDXS spectra acquired  
19 from the regions shown in Figures 4a-b are presented in Supporting Information, Figure S5.  
20 The presence of dark contrast voids in the ZnO aggregates (Figures 4e-f) highlights a certain  
21 system porosity. High resolution HAADF-STEM images from the ZnO-Fe<sub>2</sub>O<sub>3</sub> and ZnO-WO<sub>3</sub>  
22 interfaces are shown in Figures 4g-h, clearly revealing the successful formation of oxide-  
23 oxide nanoheterostructures. In particular, as can be observed, a conformal coverage of the  
24 ZnO surface by Fe<sub>2</sub>O<sub>3</sub> and WO<sub>3</sub> layers was obtained, and their very low thickness (a few nm)  
25 prevented their clear detection by FE-SEM.<sup>[54]</sup>

26 From the high resolution HAADF-STEM images and the corresponding fast Fourier

1 transform (FFT) patterns, it could be observed that for the ZnO-Fe<sub>2</sub>O<sub>3</sub> sample the Fe<sub>2</sub>O<sub>3</sub>  
2 overlayer is crystalline, and the observed reflections could be attributed to  $\gamma$ -Fe<sub>2</sub>O<sub>3</sub>  
3 (*maghemite*).<sup>[62]</sup> The formation of this polymorph, instead of the most thermodynamically  
4 stable one,  $\alpha$ -Fe<sub>2</sub>O<sub>3</sub> (*hematite*), could be traced back to the unique non-equilibrium plasma  
5 conditions characterizing RF-sputtering.<sup>[47]</sup> In a different way, for the ZnO-WO<sub>3</sub> system, the  
6 WO<sub>3</sub> overlayer resulted amorphous, similarly to other sputtered oxides produced by a similar  
7 hybrid synthetic route.<sup>[55]</sup> In both cases, the FFTs of the ZnO crystals (Figures 4g-h) could be  
8 indexed according to the hexagonal *wurtzite* phase.<sup>[57]</sup> No Zn-Fe-O or Zn-W-O ternary phases  
9 were detected, in line with the fact that the thermally-induced formation of ZnFe<sub>2</sub>O<sub>4</sub> (ZnWO<sub>4</sub>)  
10 has been reported to occur at temperatures higher than the ones used in the present  
11 investigation (see the Experimental Section).<sup>[10,14,26,63,64]</sup>

12 The final aim of this work was the functional validation of ZnO, ZnO-Fe<sub>2</sub>O<sub>3</sub> and ZnO-WO<sub>3</sub>  
13 nanosystems as photoanodes in sunlight-assisted PEC water splitting. To this regard, Figure  
14 5a displays photocurrent density vs. potential curves recorded under direct illumination.  
15 **Although being lower than those reported for photoanodes based on other materials, such as**  
16 **Fe<sub>2</sub>O<sub>3</sub>,**<sup>[24]</sup> the photocurrents recorded for bare ZnO (30  $\mu$ A/cm<sup>2</sup> at 0.8 V vs. Ag/AgCl)  
17 compared favourably with those pertaining to other zinc(II) oxide systems tested under  
18 similar experimental conditions,<sup>[20,21,31,40-42,65]</sup> and could be mainly traced back to the high  
19 area and inherent porosity of the present ZnO nanoaggregates (see above). This finding  
20 highlights the potential of the proposed fabrication route for the production of ZnO anodes  
21 with a reasonable photoresponse even without the introduction of any further dopant or  
22 activator. Interestingly, a comparison of the pristine ZnO curve with those pertaining to ZnO-  
23 Fe<sub>2</sub>O<sub>3</sub> and ZnO-WO<sub>3</sub> nanoheterostructures evidenced that ZnO functionalization was an  
24 effective mean to increase the recorded photocurrents, particularly at high applied potentials,  
25 although the photoactivity enhancement was different in the two cases. In fact, Fe<sub>2</sub>O<sub>3</sub> was

1 responsible for an improvement close to 15% ( $35 \mu\text{A}/\text{cm}^2$  at 0.8 V vs. Ag/AgCl), whereas  
2  $\text{WO}_3$  introduction resulted in a nearly two-fold photocurrent increase ( $\approx 55 \mu\text{A}/\text{cm}^2$  at 0.8 V  
3 vs. Ag/AgCl). Irrespective of the overlayer nature, an important result was the absence of  
4 saturation at potentials higher than 0.8 vs. Ag/AgCl, which was attributed to the formation of  
5  $\text{ZnO}/\text{Fe}_2\text{O}_3$  or  $\text{ZnO}/\text{WO}_3$  heterojunctions, responsible, in turn, for a more efficient  
6 photocarrier separation with respect to bare ZnO.<sup>[24]</sup> To further demonstrate the beneficial role  
7 of  $\text{Fe}_2\text{O}_3$  and  $\text{WO}_3$  functionalization, it is worthwhile highlighting that the PEC response of  
8 iron(III) and tungsten(VI) oxides, deposited on FTO substrates under the same conditions  
9 used to prepare the composite systems, was appreciably lower (Supporting Information,  
10 Figure S7).

11 In an attempt to investigate the stability of the present materials, PEC measurements were  
12 repeated under the same experimental conditions at the first and third day for both composite  
13 systems, similarly to our previous study.<sup>[24]</sup> The corresponding results (Supporting  
14 Information, Figure S8) revealed that the measured photocurrent values did not undergo  
15 significant variations upon prolonged utilization. This evidence enabled to rule out the  
16 occurrence of relevant photocorrosion/degradation phenomena under the adopted conditions  
17 and suggested a good stability of the target photoanodes, an important issue for their ultimate  
18 functional applications.

19 As a matter of fact, the increased PEC performances of heterostructured systems with respect  
20 to bare ZnO cannot be rationalized basing only on the mutual CB positions of the single oxide  
21 components.<sup>[4,6,14,18,35,36,49]</sup> Indeed, in the case of  $\text{ZnO}-\text{Fe}_2\text{O}_3$  systems, the equilibration of the  
22 quasi-Fermi levels at the interface between the two oxides leads to a higher energy position of  
23 the  $\text{Fe}_2\text{O}_3$  CB edge with respect to ZnO one (Figure 5b).<sup>[1,9,13]</sup> As a consequence, upon the  
24 formation of photoexcited electron-hole pairs, electrons can be transferred from  $\text{Fe}_2\text{O}_3$  to ZnO  
25 CB and, at the same time, an increased number of photo-holes become available at the  
26 photoanode surface to promote water oxidation. This explanation, that is in line with the

1 already discussed XPS results (see above), accounts for the observed enhancement in  
2 photocurrent density of ZnO-Fe<sub>2</sub>O<sub>3</sub> photoanodes in comparison to the pristine bare ZnO. It is  
3  
4 worthwhile noticing that, in this regard, the loading and distribution of Fe<sub>2</sub>O<sub>3</sub> species need to  
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6 be finely tuned. A higher Fe<sub>2</sub>O<sub>3</sub> amount can, on one side, promote the formation of a higher  
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8 number of photogenerated electrons-holes pairs, but, on the other side, be responsible for an  
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10 increased recombination rate.<sup>[13]</sup> Hence, further photocurrent improvements are strongly  
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12 dependent on a fine counterbalance of these effects, that might be achieved by the  
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14 optimization of the Fe<sub>2</sub>O<sub>3</sub> overlayer, as well as of the underlying ZnO system.<sup>[13]</sup>

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19 Regarding ZnO-WO<sub>3</sub> nanoheterostructures, the increased PEC performances of ZnO-WO<sub>3</sub>  
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21 with respect to bare ZnO can be explained considering that, upon irradiation, an increase of  
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23 the WO<sub>3</sub> quasi-Fermi level may be originated by the injection of electrons in its CB. This, in  
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25 turn, could reverse the charge transfer mechanism in comparison to that expected basing on  
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27 the mutual band edge positions. On this basis, electrons in the WO<sub>3</sub> CB can migrate to ZnO  
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29 surface, to which the anodic potential is applied, and subsequently be transferred through the  
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31 external circuit to the counterelectrode. On the other hand, free holes in WO<sub>3</sub> can oxidize  
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33 water (see Figure 5c). A similar mechanism has been proposed for TiO<sub>2</sub> nanosystems coated  
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35 by a WO<sub>3</sub> overlayer,<sup>[22]</sup> and can be reasonably extended to ZnO-WO<sub>3</sub> nanoheterostructures  
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37 considering the similar  $E_G$  and band edge positions of TiO<sub>2</sub> and ZnO.<sup>[6,20]</sup>

### 3. Conclusions

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48 In this work, we have successfully prepared ZnO-Fe<sub>2</sub>O<sub>3</sub> and ZnO-WO<sub>3</sub> nanoheterostructures  
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50 by means of a combined CVD/RF-sputtering route. A detailed multi-technique  
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52 characterization evidenced the formation of porous ZnO nanostructures conformally covered  
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54 by Fe<sub>2</sub>O<sub>3</sub> or WO<sub>3</sub> overlayers, characterized by an intimate contact between the single oxides.  
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58 A proper tuning of the processing conditions enabled the obtainment of similar thickness  
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60 values of Fe<sub>2</sub>O<sub>3</sub> and WO<sub>3</sub> overlayers. Whereas the latter was revealed to be amorphous, the  
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1 former comprised the  $\gamma$ -Fe<sub>2</sub>O<sub>3</sub> (*maghemite*) crystal structure. Functional tests in solar-driven  
2 PEC H<sub>2</sub>O splitting, carried out for the first time on ZnO-Fe<sub>2</sub>O<sub>3</sub> and ZnO-WO<sub>3</sub> systems  
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4 fabricated by the proposed route, evidenced a favorable performance increase, that was traced  
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6 back to an improved charge carrier separation enhancing the system photoresponse.  
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9 The present results disclose interesting perspectives in view of further optimization of the  
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11 obtained performances through a tailored engineering of the Fe<sub>2</sub>O<sub>3</sub> or WO<sub>3</sub> overlayers.  
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14 Under the present conditions, the introduction of WO<sub>3</sub>, despite the higher  $E_G$ , has been proved  
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16 to be more effective than that of Fe<sub>2</sub>O<sub>3</sub> in boosting PEC performances. On the other hand,  
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18 considering the different charge carrier transfer mechanisms occurring in the two cases, a  
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20 further optimization of material performances would probably require the use of different  
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22 strategies as a function of the overlayer nature. **In order to attain a detailed insight on the**  
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24 **photoanode efficiency and stability as a function of the target heterojunction properties, the**  
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26 **present specimens will be the focus of further advanced studies, involving also the analysis of**  
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28 **incident photon-to-current efficiency (IPCE) and the use of chronoamperometry**  
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30 **measurements.** In any case, the information reported herein may offer novel hints for further  
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32 contribution to high efficiency and low-cost photoelectrode processing for solar-assisted PEC  
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## 4. Experimental Section

### 4.1 Synthesis

ZnO-Fe<sub>2</sub>O<sub>3</sub> and ZnO-WO<sub>3</sub> nanoheterostructures were obtained by means of a multi-step vapor phase process. In particular, ZnO nanosystems were fabricated by a custom-built hot-wall (HW) CVD reactor, equipped with a Carbolite HST 12/200 tubular furnace and a tubular quartz reaction chamber (inner diameter = 9.5 cm, length of the heated region = 20 cm).<sup>[53]</sup> Depositions were carried out on FTO-coated glass substrates (Aldrich<sup>®</sup>, 735167-1EA,  $\approx 7 \Omega/\text{sq}$ , lateral dimensions = 2.0 cm  $\times$  1.0 cm; FTO thickness = 600 nm), pre-cleaned according to a well-established procedure.<sup>[56]</sup> Zn(hfa)<sub>2</sub>TMEDA (hfa = 1,1,1,5,5,5 - hexafluoro - 2,4 - pentanedionate, TMEDA = *N,N,N',N'* - tetramethylethylenediamine) was chosen as Zn precursor and synthesized according to the literature.<sup>[58]</sup>

In a typical experiment, precursor powders (1.2 g) were vaporized in an external glass reservoir maintained at 80°C, and vapor transport into the reaction chamber was performed through gas lines heated at 120°C by means of an N<sub>2</sub> flow (purity = 6.0, rate = 100 sccm). An additional oxygen flow (purity = 6.0, rate = 30 sccm) was introduced separately into the reactor after passing through a water reservoir maintained at 30°C. On the basis of preliminary results, growth processes were performed under optimized conditions (total pressure = 3.0 mbar, substrate temperature 450°C, experiment duration = 120 min). After deposition, the as-prepared ZnO specimens were subjected to *ex-situ* thermal treatments at 550°C for 3 h, carried out in air and at atmospheric pressure using a Carbolite HST 12/200 tubular oven. This pre-annealing treatment of bare ZnO, carried out in order to improve the system crystallinity and reduce grain boundary content, was reported to produce a net photoactivity enhancement.<sup>[8]</sup>

Subsequently, ZnO systems were functionalized with Fe<sub>2</sub>O<sub>3</sub> and WO<sub>3</sub> overlayers by RF-sputtering, using a two-electrode custom-built reactor equipped with an RF-generator ( $v = 13.56 \text{ MHz}$ ).<sup>[66]</sup> Experiments were conducted using electronic grade Ar plasmas, starting from

1 Fe (Alfa Aesar<sup>®</sup>, purity = 99.995%, thickness = 2 mm, 50×50 mm) and WO<sub>3</sub> targets (Neyco<sup>®</sup>,  
2 purity = 99.99%, thickness = 2 mm, diameter = 2 in;) fixed on the RF-electrode, whereas  
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4 FTO-supported ZnO samples were placed on the grounded electrode. For both Fe<sub>2</sub>O<sub>3</sub> and  
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7 WO<sub>3</sub>, sputtering experiments were carried out using optimized conditions (Ar flow rate = 10  
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9 sccm, total pressure = 0.3 mbar; RF-power = 20 W, duration = 3 h, grounded electrode  
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11 temperature = 60°C, inter-electrode distance = 5 cm). Basing on preliminary XPS analyses,  
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13 these experimental settings were chosen in order to obtain a comparable loading of Fe<sub>2</sub>O<sub>3</sub> and  
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16 WO<sub>3</sub> over the pristine ZnO nanodeposits. The use of harsher plasma conditions in sputtering  
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19 processes was intentionally discarded to prevent the obtainment of too compact systems with  
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22 reduced active area, a feature that might negatively influence the system functional  
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24 behavior.<sup>[24,54]</sup> For PEC control experiments, bare Fe<sub>2</sub>O<sub>3</sub> and WO<sub>3</sub> nanodeposits were  
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26 prepared by sputtering on FTO under the above described conditions.  
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29 After sputtering, the obtained systems were subjected to *ex-situ* thermal treatments, in order to  
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31 attain a complete Fe oxidation, for Fe<sub>2</sub>O<sub>3</sub>-containing systems, and a proper material  
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33 stabilization before functional tests, for both ZnO-Fe<sub>2</sub>O<sub>3</sub> and ZnO-WO<sub>3</sub> nanoheterostructures.  
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36 This annealing step was carried out in air for 1 h at 350°C, avoiding the use of higher  
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38 temperatures in order to prevent the formation of ternary phases.<sup>[10,14,26,63,64]</sup>  
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## 4.2 Characterization

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45 The deposit mass was measured by using a Mettler Toledo XS105DU Microbalance, yielding  
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47 a mean value of (0.20 ± 0.03) mg.  
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51 GIXRD patterns were recorded at a fixed incidence angle of 1.0° by means of a Bruker D8  
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53 Advance diffractometer equipped with a Göbel mirror, using a CuK $\alpha$  X-ray source powered  
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55 at 40 kV and 40 mA. The mean crystallite sizes were estimated using the Scherrer equation.  
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59 Surface XPS and XE-AES analyses were carried out using a Perkin-Elmer  $\Phi$  5600ci  
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61 instrument using a standard MgK $\alpha$  radiation ( $h\nu = 1253.6$  eV), at working pressures < 10<sup>-8</sup>  
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1 mbar. The element BE values were corrected for charging by assigning a position of 284.8 eV  
2 to the C1s signal arising from adventitious contamination.<sup>[60,61]</sup> Atomic percentages (at. %)  
3 were calculated through peak integration, using standard PHI V5.4A sensitivity factors. Zn  
4 Auger parameter was defined as  $\alpha = BE(\text{Zn}2p_{3/2}) + KE(\text{ZnLMM})$ . Surface Fe and W molar  
5 fractions were calculated as  $X_M = (M \text{ at. \%}) / [(M \text{ at. \%}) + (\text{Zn at. \%})] \times 100$ , with M = Fe,  
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7 W.<sup>[47,53]</sup>  
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10 In-depth SIMS analyses were performed by means of a IMS 4f mass spectrometer (Cameca)  
11 using a Cs<sup>+</sup> primary beam (voltage = 14.5 KeV, current = 20 nA, stability = 0.2%) and by  
12 negative secondary ion detection, using an electron gun to compensate for charging effects.  
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14 Signals were detected in beam blanking mode and high mass resolution configuration,  
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16 rastering over a 150×150 μm<sup>2</sup> area and sampling secondary ions from a 7×7 μm<sup>2</sup> sub-region.  
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19 FE-SEM images were collected by a Zeiss SUPRA 40 VP apparatus, using InLens and back-  
20 scattered electron detectors. Plane-view and cross-sectional micrographs were recorded with a  
21 primary beam voltage of 10.0 kV. The mean nanoaggregate size and deposit thickness values  
22 were estimated by using the ImageJ<sup>®</sup> (<http://imagej.nih.gov/ij/>, accessed September 2016)  
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24 picture analyzer software by averaging over 30 independent measurements.  
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27 Samples for cross-sectional TEM observations were prepared by Ar<sup>+</sup> ion milling. To this aim,  
28 specimens were first mechanically polished using an Allied Multiprep System with diamond-  
29 lapping films, up to a thickness of approximately 20 μm, followed by fine Ar<sup>+</sup> ion milling by  
30 using a Leica EM RES102 with acceleration voltages up to 4 kV and incident beam angles  
31 between 6° and 11°. Low and high magnification HAADF-STEM images as well as EDXS  
32 elemental maps were acquired by using an aberration corrected cubed FEI Titan electron  
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34 microscope operated at 300 kV, equipped with the ChemiSTEM<sup>[67]</sup> system. For HAADF-  
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36 STEM imaging, a probe convergence semi-angle and a detector's inner collection semi-angle  
37 of 21 and 55 mrad, respectively, were used.  
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40 Photocurrent/voltage measurements were performed using a three-electrode electrochemical  
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1 system, with a Pt counterelectrode and an Ag/AgCl (3 M NaCl) reference electrode. Prior to  
2 each measurement the electrolyte, an aqueous solution containing 0.5 M Na<sub>2</sub>SO<sub>4</sub>, was purged  
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4 with flowing N<sub>2</sub> in order to remove dissolved oxygen.<sup>[24,47]</sup> The working electrode geometric  
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6 area ( $1.3 \pm 0.1 \text{ cm}^2$ ) was estimated using a microscope controlled *via* a computer software.  
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9 Cyclic voltammograms were recorded, both in the dark and under front side illumination, at a  
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11 fixed scan rate of  $10 \text{ mV} \times \text{s}^{-1}$  in the potential sweep range of  $-0.4/1.2 \text{ V vs. Ag/AgCl}$ .  
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13 Specimens were irradiated with simulated sunlight from a 450 W Xe lamp equipped with a  
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15 KG3 filter (Thorlabs). The light intensity was set to match  $100 \text{ mW} \times \text{cm}^{-2}$  AM1.5G spectrum.  
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18 Dark currents (not reported) were two orders of magnitude lower than those obtained under  
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21 illumination.  
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## 26 **Supporting Information**

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28 Supporting Information is available from the Wiley Online Library or from the authors.  
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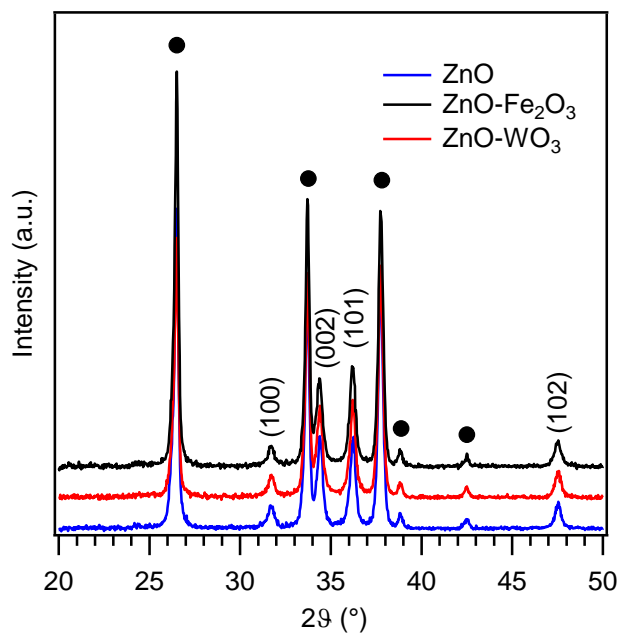
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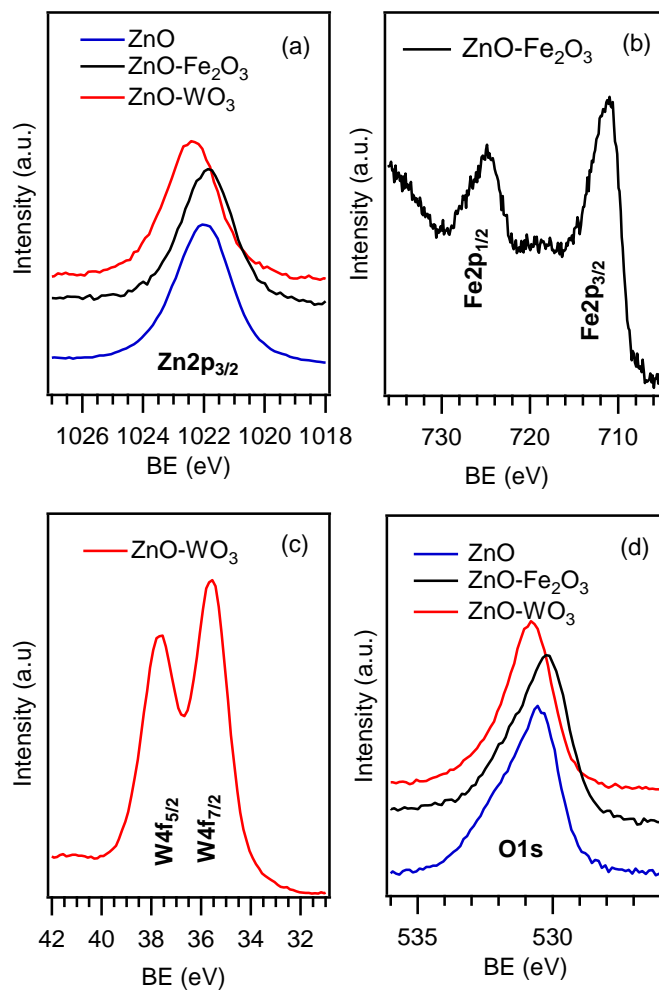
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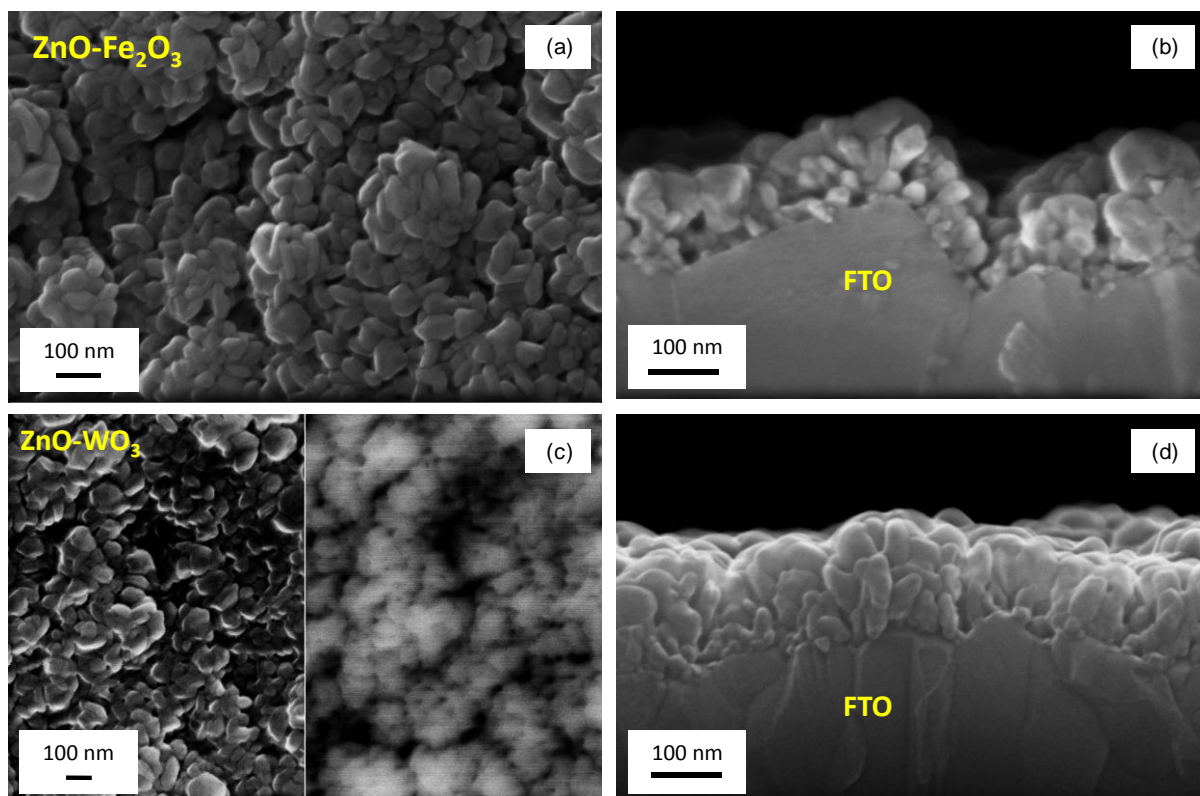


**Figure 1.** GIXRD patterns of ZnO, ZnO-Fe<sub>2</sub>O<sub>3</sub> and ZnO-WO<sub>3</sub> deposits. For sake of clarity, patterns have been vertically shifted and peaks pertaining to the FTO-coated glass substrate marked by black circles. Indexed peaks correspond to *wurtzite* ZnO reflections.

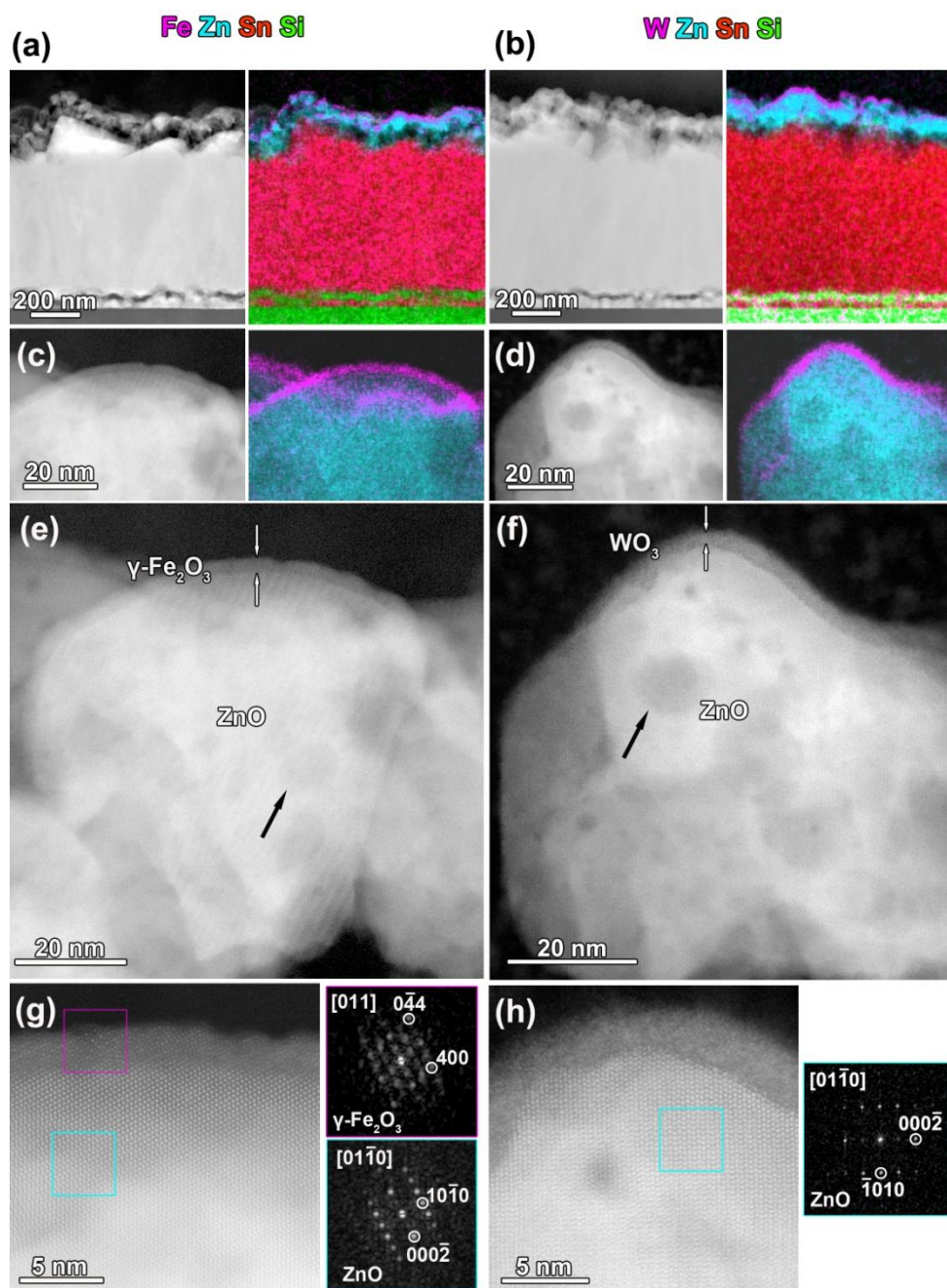




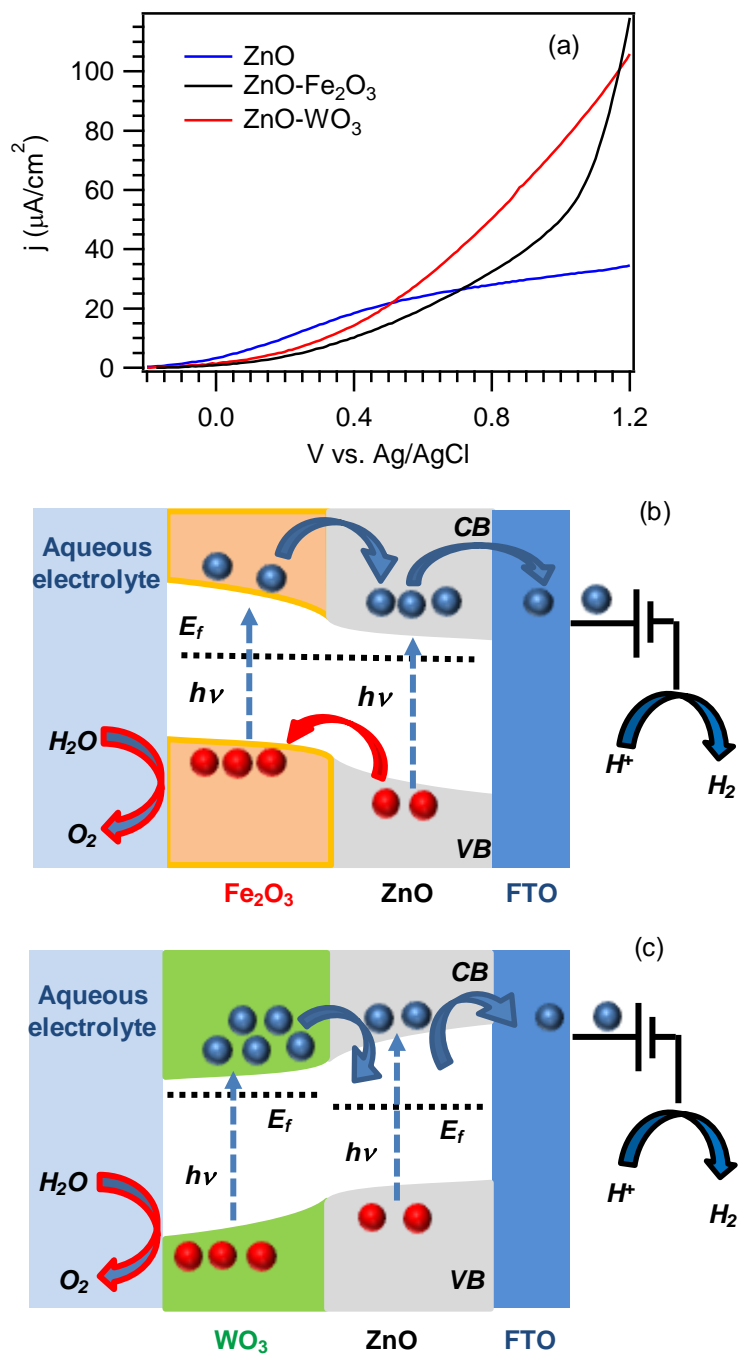
**Figure 2.** Surface Zn2p<sub>3/2</sub> (a), Fe2p (b), W4f (c), and O1s (d) photoelectron peaks for the fabricated specimens.



**Figure 3.** Plane-view (a,c) and cross-sectional (b,d) FE-SEM images for the fabricated composite specimens. For the ZnO-WO<sub>3</sub> sample the left and right plane-view micrographs were recorded using in-lens and back-scattered electrons, respectively.



**Figure 4.** Cross sectional HAADF-STEM images and corresponding EDXS elemental maps for ZnO-Fe<sub>2</sub>O<sub>3</sub> (a) and ZnO-WO<sub>3</sub> (b) nanodeposits. (c-f) High magnification images of ZnO-Fe<sub>2</sub>O<sub>3</sub> and ZnO-WO<sub>3</sub> interface, together with EDXS elemental maps. Black arrows indicate the positions of dark-contrast voids in the ZnO crystals, whereas surface Fe<sub>2</sub>O<sub>3</sub> and WO<sub>3</sub> layers are indicated by white arrows. (g-h) High resolution STEM images of the interface between ZnO-Fe<sub>2</sub>O<sub>3</sub> and ZnO-WO<sub>3</sub> and corresponding FFT patterns. ZnO crystals are oriented along the  $[01 \bar{1} 0]$  zone axis.



**Figure 5.** (a) Photocurrent density vs. applied potential curves for the target systems, recorded in 0.5 M Na<sub>2</sub>SO<sub>4</sub> under simulated solar illumination. Schematic energy level diagrams for: (b) ZnO-Fe<sub>2</sub>O<sub>3</sub>, and (c) ZnO-WO<sub>3</sub> systems, showing the photoactivated charge transfer processes occurring upon operation.

## Table of contents entry

**Keywords:** ZnO; Fe<sub>2</sub>O<sub>3</sub>; WO<sub>3</sub>; nanoheterostructures; water splitting; photoelectrochemistry.

**Vapor phase fabrication of nanoheterostructures based on ZnO for photoelectrochemical water splitting**

Davide Barreca, Giorgio Carraro, Alberto Gasparotto, Chiara Maccato, Thomas Altantzis, Cinzia Sada, Kimmo Kaunisto, Tero-Petri Ruoko, and Sara Bals

**ZnO-Fe<sub>2</sub>O<sub>3</sub> and ZnO-WO<sub>3</sub> nanoheterostructures**, consisting of Zn(II) oxide porous deposits conformally covered by iron or tungsten oxide overlayers, are developed by a hybrid synthetic strategy combining chemical vapour deposition and sputtering processes. The target systems are characterized in detail and investigated as anodes for photoelectrochemical water splitting, a critical research area promising the efficient conversion of solar energy into chemical fuels.

